



**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	1,608,872		1,608,872	24,322,108
2. Stocks:				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances) .....				
4.2 Properties held for the production of income (less \$.....0 encumbrances) .....				
4.3 Properties held for sale (less \$.....0 encumbrances) .....				
5. Cash (\$.....61,358,435), cash equivalents (\$.....38,514) and short-term investments (\$.....0) .....	61,396,949		61,396,949	38,059,030
6. Contract loans (including \$.....0 premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....				
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	63,005,821		63,005,821	62,381,138
13. Title plants less \$.....0 charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	14,217		14,217	133,028
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	609,024		609,024	472,232
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....				63,542
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....				204,486
18.2 Net deferred tax asset .....	244,026	7,397	236,629	241,314
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$.....0) .....				
22. Net adjustments in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	884,594		884,594	1,215,672
24. Health care (\$.....852,378) and other amounts receivable .....	1,743,359	890,981	852,378	836,853
25. Aggregate write-ins for other-than-invested assets .....	9,823,353	75,000	9,748,353	9,259,779
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	76,324,394	973,378	75,351,016	74,808,044
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. TOTAL (Lines 26 and 27) .....	76,324,394	973,378	75,351,016	74,808,044
<b>DETAILS OF WRITE-INS</b>				
1101. Rounding .....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....				
2501. Insurance Charter .....	75,000	75,000		
2502. CSR Cost Sharing Receivable .....	9,748,353		9,748,353	9,259,779
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	9,823,353	75,000	9,748,353	9,259,779

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....0 reinsurance ceded) .....	14,875,971	1,440,425	16,316,396	15,549,990
2. Accrued medical incentive pool and bonus amounts .....				
3. Unpaid claims adjustment expenses .....	353,298		353,298	316,514
4. Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act .....	6,813,100		6,813,100	5,364,810
5. Aggregate life policy reserves .....				
6. Property/casualty unearned premium reserve .....				
7. Aggregate health claim reserves .....				
8. Premiums received in advance .....				20,554
9. General expenses due or accrued .....	2,064,770		2,064,770	1,512,259
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)) .....				1,051,583
10.2 Net deferred tax liability .....				
11. Ceded reinsurance premiums payable .....				83,835
12. Amounts withheld or retained for the account of others .....				
13. Remittances and items not allocated .....				
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current) .....				
15. Amounts due to parent, subsidiaries and affiliates .....				
16. Derivatives .....				
17. Payable for securities .....				
18. Payable for securities lending .....				
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers) .....				
20. Reinsurance in unauthorized and certified (\$.....0) companies .....				
21. Net adjustments in assets and liabilities due to foreign exchange rates .....				
22. Liability for amounts held under uninsured plans .....				
23. Aggregate write-ins for other liabilities (including \$.....0 current) .....				4
24. Total liabilities (Lines 1 to 23) .....	24,107,139	1,440,425	25,547,564	23,899,549
25. Aggregate write-ins for special surplus funds .....	X X X	X X X		
26. Common capital stock .....	X X X	X X X	1,013,750	1,013,750
27. Preferred capital stock .....	X X X	X X X	1,500,000	1,500,000
28. Gross paid in and contributed surplus .....	X X X	X X X	45,537,206	45,537,206
29. Surplus notes .....	X X X	X X X	5,000,000	5,000,000
30. Aggregate write-ins for other-than-special surplus funds .....	X X X	X X X		
31. Unassigned funds (surplus) .....	X X X	X X X	(3,247,504)	(2,142,461)
32. Less treasury stock, at cost:				
32.1 .....0 shares common (value included in Line 26 \$.....0) .....	X X X	X X X		
32.2 .....0 shares preferred (value included in Line 27 \$.....0) .....	X X X	X X X		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	X X X	X X X	49,803,452	50,908,495
34. Total Liabilities, capital and surplus (Lines 24 and 33) .....	X X X	X X X	75,351,016	74,808,044
<b>DETAILS OF WRITE-INS</b>				
2301. Rounding .....				4
2302. ....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....				
2399. TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above) .....				4
2501. ....	X X X	X X X		
2502. ....	X X X	X X X		
2503. ....	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	X X X	X X X		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	X X X	X X X		
3001. ....	X X X	X X X		
3002. ....	X X X	X X X		
3003. ....	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	X X X	X X X		
3099. TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above) .....	X X X	X X X		

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	X X X	87,781	86,329	346,338
2. Net premium income (including \$.....0 non-health premium income) .....	X X X	32,853,247	31,092,423	126,667,623
3. Change in unearned premium reserves and reserves for rate credits .....	X X X			
4. Fee-for-service (net of \$.....0 medical expenses) .....	X X X			
5. Risk revenue .....	X X X			
6. Aggregate write-ins for other health care related revenues .....	X X X			
7. Aggregate write-ins for other non-health revenues .....	X X X	7,771	7,816	23,498
8. Total revenues (Lines 2 to 7) .....	X X X	32,861,018	31,100,239	126,691,121
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	2,024,223	20,405,476	18,782,370	57,158,273
10. Other professional services .....				
11. Outside referrals .....				
12. Emergency room and out-of-area .....	265,104	2,672,420	2,784,251	7,912,621
13. Prescription drugs .....		5,133,961	4,488,581	18,022,888
14. Aggregate write-ins for other hospital and medical .....				
15. Incentive pool, withhold adjustments and bonus amounts .....				
16. Subtotal (Lines 9 to 15) .....	2,289,327	28,211,857	26,055,202	83,093,782
<b>Less:</b>				
17. Net reinsurance recoveries .....		17,649	31,450	97,560
18. Total hospital and medical (Lines 16 minus 17) .....	2,289,327	28,194,208	26,023,752	82,996,222
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$.....662,937 cost containment expenses .....		1,061,498	1,743,390	4,430,870
21. General administrative expenses .....		3,805,335	4,828,002	15,884,109
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only) .....				
23. Total underwriting deductions (Lines 18 through 22) .....	2,289,327	33,061,041	32,595,144	103,311,201
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	X X X	(200,023)	(1,494,905)	23,379,920
25. Net investment income earned .....		303,359	152,255	953,402
26. Net realized capital gains (losses) less capital gains tax of \$.....0 .....		(33,972)	(16,593)	(136,268)
27. Net investment gains or (losses) (Lines 25 plus 26) .....		269,387	135,662	817,134
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)] .....				
29. Aggregate write-ins for other income or expenses .....				
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	X X X	69,364	(1,359,243)	24,197,054
31. Federal and foreign income taxes incurred .....	X X X	1,177,458		1,054,196
32. Net income (loss) (Lines 30 minus 31) .....	X X X	(1,108,094)	(1,359,243)	23,142,858
<b>DETAILS OF WRITE-INS</b>				
0601. ....	X X X			
0602. ....	X X X			
0603. ....	X X X			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	X X X			
0699. TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above) .....	X X X			
0701. Commission on Life Product .....	X X X	7,771	7,816	23,498
0702. ....	X X X			
0703. ....	X X X			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	X X X			
0799. TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above) .....	X X X	7,771	7,816	23,498
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....				
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above) .....				
2901. ....				
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....				
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) .....				

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year .....	50,908,495	27,620,834	27,620,834
34. Net income or (loss) from Line 32 .....	(1,108,094)	(1,359,243)	23,142,858
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0			
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....	10,663		238,095
39. Change in nonadmitted assets .....	(7,612)	231,513	(93,294)
40. Change in unauthorized and certified reinsurance .....			
41. Change in treasury stock .....			
42. Change in surplus notes .....			
43. Cumulative effect of changes in accounting principles .....			
44. Capital Changes:			
44.1 Paid in .....			
44.2 Transferred from surplus (Stock Dividend) .....			
44.3 Transferred to surplus .....			
45. Surplus adjustments:			
45.1 Paid in .....			
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			
47. Aggregate write-ins for gains or (losses) in surplus .....		(1)	2
48. Net change in capital and surplus (Lines 34 to 47) .....	(1,105,043)	(1,127,731)	23,287,661
49. Capital and surplus end of reporting period (Line 33 plus 48) .....	49,803,452	26,493,103	50,908,495
<b>DETAILS OF WRITE-INS</b>			
4701. rounding .....		(1)	2
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....			
4799. TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above) .....		(1)	2

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	34,061,147	33,830,017	126,133,748
2. Net investment income .....	422,088	147,116	921,714
3. Miscellaneous income .....	(34,419)	(19,093)	23,498
4. TOTAL (Lines 1 to 3) .....	34,448,816	33,958,040	127,078,960
5. Benefit and loss related payments .....	27,364,260	24,004,280	79,138,862
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	4,277,538	2,361,642	25,848,320
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses) .....	1,990,946		(561,838)
10. TOTAL (Lines 5 through 9) .....	33,632,744	26,365,922	104,425,344
11. Net cash from operations (Line 4 minus Line 10) .....	816,072	7,592,118	22,653,616
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	23,025,331	1,626,311	12,869,943
12.2 Stocks .....			
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7 Miscellaneous proceeds .....			
12.8 TOTAL investment proceeds (Lines 12.1 to 12.7) .....	23,025,331	1,626,311	12,869,943
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	345,985	2,389,698	17,258,546
13.2 Stocks .....			
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			
13.6 Miscellaneous applications .....			
13.7 TOTAL investments acquired (Lines 13.1 to 13.6) .....	345,985	2,389,698	17,258,546
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	22,679,346	(763,387)	(4,388,603)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	(157,499)	(1,424,372)	(11,400,280)
17. Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6) .....	(157,499)	(1,424,372)	(11,400,280)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	23,337,919	5,404,359	6,864,733
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	38,059,030	31,194,297	31,194,297
19.2 End of period (Line 18 plus Line 19.1) .....	61,396,949	36,598,656	38,059,030

**Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:**

20.0001				
---------	--	--	--	--

## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year .....	27,756	15,850	8,336	2,588						982
2. First Quarter .....	29,903	16,453	9,985	2,509						956
3. Second Quarter .....										
4. Third Quarter .....										
5. Current Year .....										
6. Current Year Member Months .....	87,781	48,282	28,993	7,586						2,920
Total Member Ambulatory Encounters for Period:										
7. Physician .....	63,606	36,469	17,288	9,849						
8. Non-Physician .....	67,715	26,652	36,072	4,991						
9. Total .....	131,321	63,121	53,360	14,840						
10. Hospital Patient Days Incurred .....	3,402	2,066	605	731						
11. Number of Inpatient Admissions .....	757	471	184	102						
12. Health Premiums Written (a) .....	32,706,279	21,530,742	9,604,808	1,274,265						296,464
13. Life Premiums Direct .....	287,677		287,677							
14. Property/Casualty Premiums Written .....										
15. Health Premiums Earned .....	32,993,957	21,530,742	9,892,486	1,274,265						296,464
16. Property/Casualty Premiums Earned .....										
17. Amount Paid for Provision of Health Care Services .....	27,409,784	19,593,365	6,409,615	1,047,926						358,878
18. Amount Incurred for Provision of Health Care Services .....	28,211,858	17,697,975	8,815,546	1,224,699						473,638

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

**CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)****Aging Analysis of Unpaid Claims**

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid .....						
0299999 Aggregate Accounts Not Individually Listed - Uncovered .....	43,381	14,517	7,220	3,650	8,576	77,344
0399999 Aggregate Accounts Not Individually Listed - Covered .....	393,927	131,826	65,561	33,149	77,874	702,337
0499999 Subtotals .....	437,308	146,343	72,781	36,799	86,450	779,681
0599999 Unreported claims and other claim reserves .....						15,536,715
0699999 Total Amounts Withheld .....						
0799999 Total Claims Unpaid .....						16,316,396
0899999 Accrued Medical Incentive Pool And Bonus Amounts .....						



## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital & medical) .....	9,857,917	17,100,299	2,241,627	13,038,814	12,099,544	14,599,436
2. Medicare Supplement .....	45,855	1,236,001	88,116	559,348	133,971	562,062
3. Dental only .....						
4. Vision only .....						
5. Federal Employees Health Benefits Plan .....						
6. Title XVIII - Medicare .....						
7. Title XIX - Medicaid .....						
8. Other health .....	158,535	233,075	80,351	308,140	238,886	388,491
9. Health subtotal (Lines 1 to 8) .....	10,062,307	18,569,375	2,410,094	13,906,302	12,472,401	15,549,989
10. Healthcare receivables (a) .....	845,916	375,982		852,378	845,916	870,396
11. Other non-health .....						
12. Medical incentive pools and bonus amounts .....						
13. Totals (Lines 9 - 10 + 11 + 12) .....	9,216,391	18,193,393	2,410,094	13,053,924	11,626,485	14,679,593

(a) Excludes \$.....0 loans or advances to providers not yet expensed.

# Notes to Financial Statement

## QUALCHOICE LIFE AND HEALTH INSURANCE COMPANY, INC.

### Notes to Financial Statements - Statutory Basis

**(1) Summary of Significant Accounting Policies**

The following is a summary of the significant accounting policies used in the preparation of the accompanying financial statements. Such policies are in conformity with the Annual Statement Instructions and the Accounting Practices and Procedures Manual of the National Association of Insurance Commissioners (“NAIC”) and the accounting practices as prescribed or permitted by the Arkansas Insurance Department and are not intended to be a presentation in conformity with accounting principles generally accepted in the United States of America.

	SSAP#	F/S Page	F/S Line#	3/31/2019	12/31/2018
<b>Net Income (Loss)</b>					
(1) QC Life & Health state basis (Page 4, Line 32, Columns 2 & 3)				\$(1,108,094)	\$23,142,858
(2) State prescribed practices that increase/(decrease) NAIC SAP					
(3) State permitted practices that increase/(decrease) NAIC SAP					
(4) NAIC SAP (1 - 2 - 3 = 4)				\$(1,108,094)	\$23,142,858
<b>Surplus</b>					
(5) QC Life & Health state basis (Page 3, Line 33, Columns 3 & 4)				\$49,803,452	\$50,908,495
(6) State prescribed practices that increase/(decrease) NAIC SAP					
(7) State permitted practices that increase/(decrease) NAIC SAP					
(8) NAIC SAP (5 - 6 - 7 = 8)				\$49,803,452	\$50,908,495

**Cash and Cash Equivalents and Short Term Investments:** The Company considers all cash accounts and all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

**Premiums Receivable:** The Company uses the allowance method of accounting for uncollectible receivables. Premiums receivable represent medical premium revenue that has been billed and recognized as revenue, but has not been collected.

**Investment Securities:** Bonds and other debt instruments for which the Company intends to resell in the near future are classified as available for sale and are stated at cost adjusted for amortization of premiums and accretion of discounts.

- a) Short-term investments are stated at amortized cost;
- b) Bonds not backed by other loans are stated at amortized cost using the interest method.
- c) The Company does not have common stock
- d) The Company does not have preferred stock.
- e) The commercial mortgage-backed and other loan-backed securities are stated at amortized cost using the effective interest method.
- f) The Company does not have derivatives.
- g) The Company does not anticipate investment income as a factor in the premium deficiency calculation.

**Medical Claims Payable:** Reported claims expected to be paid after the balance sheet date for services provided to members prior to the balance sheet date are recorded as liabilities. Claims for services provided to members during the financial reporting period which are unreported at the balance sheet date are estimated based on the Company’s claims experience and recorded as liabilities. The amounts recorded are based upon estimates of the ultimate net cost of such services provided. These reserves are subject to continuous review by management and changes in estimates are reflected in earnings currently.

**Income Taxes:** Income taxes are provided for the tax effects of transactions reported in the financial statements and consist of taxes currently due.

**Revenue:** Medical premium revenue is recognized in the month in which members are entitled to receive health care services. Medical premiums collected in advance are recorded as unearned premium revenue.

**Cost of Benefits Provided:** Cost of benefits provided includes the costs of all medical services delivered to enrolled members of the Company and for whom the Company has recorded medical premium revenue during the reporting period. These costs include payments for specific medical services paid to physicians, hospitals, and other health care providers on a fee-for-service basis. Costs of benefits include claims paid, claims in process and pending, estimates of unreported claims and charges, and processing costs of those estimates at the end of the fiscal year for which the Company will be responsible.

**Premium Tax:** The state in which the Company does business requires the remittance of premium taxes based upon a percentage of billed premiums.

**Advertising Costs:** Advertising and promotions related expenses are charged to operations when incurred.

## Notes to Financial Statement

**Non-Admitted Assets:** Certain assets (principally pharmaceutical rebate receivables and deferred tax assets not expected to be realized within a 12 month period) designated as "non-admitted" are not included in the financial statements.

**Accounting Estimates:** The preparation of financial statements in conformity with the accounting practices described above requires management to make estimates and assumptions that affect the reported amounts in the financial statements and accompanying notes. The accounting practices also require disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

**Going Concern:** There are no conditions or events that raise substantial doubt about the entity's ability to continue as a going concern.

(2) **Accounting Changes and Corrections of Errors**

None.

(3) **Business Combinations and Goodwill**

None.

(4) **Discontinued Operations**

None.

(5) **Investments**

A) The Company has no real estate loans, restructured debt, or reverse mortgages.

D) The Company is relying on Bank of New York Mellon as a source used to determine the currently estimated cash flows, including new prepayment assumptions. The Company has no mortgage-backed or other loan-backed securities with a recognized other-than-temporary impairment.

E) The Company has no Dollar repurchase agreements and/or securities lending transactions.

F) The Company has no repurchase agreements transactions accounted for as secured borrowing.

G) The Company has no reverse repurchase agreements transactions accounted for as secured borrowing.

H) The Company has no repurchase agreements transactions accounted for as a sale.

I) The Company has no reverse repurchase agreements transactions accounted for as a sale.

M) The Company has no working capital finance investments.

N) The Company has no offset or net Assets and Liabilities.

(6) **Joint Ventures, Partnerships and Limited Liability Companies**

None

(7) **Investment Income**

No significant changes

(8) **Derivative Instruments**

None

(9) **Income Taxes**

No significant changes

(10) **Information Concerning Parent, Subsidiaries and Affiliates**

No significant changes

(11) **Debt**

As of March 31, 2019, the Company has no outstanding Federal Home Loan Bank agreements.

(12) **Retirement Plans, Deferred Compensation and Other Postretirement Benefit and Compensated Absences and Other Postretirement Benefit Plans**

The Company has an employee 401(k) plan covering all full-time employees of the Company who have completed three months of employment and choose to participate. The benefit plan has not changed since the year ended 12/31/18

(13) **Capital and Surplus, Shareholders' Dividend Restrictions and Quasi- Reorganizations**

No significant changes

(14) **Contingencies**

No significant changes

(15) **Leases**

None

(16) **Information About Financial Instruments With Off Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

None

(17) **Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

The Company did not participate in any transfer of receivables, financial assets, or wash sales.

(18) **Gain or Loss To The Reporting Entity From Uninsured A&H Plans and The Uninsured Portion of Partially Insured Plans**

## Notes to Financial Statement

No significant changes.

**(19) Direct Premium Written/Produced By Managing General Agents/Third Party Administrators**

None

**(20) Fair Value Measurements**

No significant changes

**(21) Other Items List**

No significant changes

**(22) Events Subsequent**

On April 1, 2019, the company was sold to Centene Corporation. Before the close of the sale, the entity repaid the surplus notes and interest owed to QualChoice Health Plan Services in the amount of \$5,000,000 and 1,298,836 respectively. Also an extraordinary dividend was paid to QualChoice Holdings, in the amount of \$31,056,811.

**(23) Reinsurance**

No significant changes

**(24) Retrospectively Rated Contracts & Contracts Subject To Redetermination**

E. Risk-Sharing Provisions of the Affordable Care Act

(1) The Company has accident and health insurance premiums in 2019 subject to the risk-sharing provisions of the ACA. For 2019, only the risk adjustment provision remains. The ACA imposes fees and premium stabilization provisions on health insurance issuers offering comprehensive commercial health insurance.

(2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations.

<b>A. Permanent ACA Risk Adjustment Program</b>		<b>Amount</b>
Assets		
1.	Premium Adjustment Receivable due to ACA Risk Adjustment	
Liabilities		
2.	Risk Adjustment User Fees Payable for ACA Risk Adjustment	\$9,300
3.	Premium Adjustments Payable due to ACA Risk Adjustment	\$6,813,100
Operations (Revenue & Expense)		
4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$(1,448,290)
5.	Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	\$9,300

<b>B. Transitional ACA Reinsurance Program</b>		<b>Amount</b>
Assets		
1.	Amounts recoverable for claims paid due to ACA Reinsurance	
2.	Amounts recoverable for claims unpaid due to ACA Reinsurance	
Liabilities		
3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	
4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	
5.	Ceded reinsurance premiums payable due to ACA Reinsurance	
6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	
Operations (Revenue & Expense)		
7.	Ceded reinsurance premiums due to ACA Reinsurance	\$17,649
8.	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	
9.	ACA Reinsurance contributions – not reported as ceded premium	

<b>C. Temporary ACA Risk Corridors Program</b>		<b>Amount</b>
Assets		
1.	Accrued retrospective premium due to ACA Risk Corridor	
Liabilities		
2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	
Operations (Revenue & Expense)		
3.	Effect of ACA Risk Corridors on net premium income (paid/received)	
4.	Effect of ACA Risk Corridors on change in reserves for rate credits	

3. The following table is a roll forward of the prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
	1	2	3	4	5	6	7	8	9	10	
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a.	Permanent ACA Risk Adjustment Program										

## Notes to Financial Statement

1. Premium adjustments receivable									A		
2. Premium adjustments (payable)	\$	\$(5,364,810)	\$	\$	\$	\$(5,364,810)	\$	\$	B	\$	\$(5,364,810)
3. Subtotal ACA Permanent Risk Adjustment Program	\$	\$(5,364,810)	\$	\$	\$	\$(5,364,810)	\$	\$		\$	\$(5,364,810)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	\$33,542	\$	\$51,191	\$	\$(17,649)	\$	\$17,649	\$	C	\$	\$
2. Amounts recoverable for claims unpaid (contra liability)									D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium									F		
5. Ceded reinsurance premiums payable									G		
6. Liability for amounts held under uninsured plans									H		
7. Subtotal ACA Transitional Reinsurance Program	\$33,542	\$	\$51,191	\$	\$(17,649)	\$	\$17,649	\$		\$	\$
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium									I		
2. Reserve for rate credits or policy experience rating refunds									J		
3. Subtotal ACA Risk Corridors Program											
d. Total for ACA Provisions	\$33,542	\$(5,364,810)	\$51,191	\$	\$(17,649)	\$(5,364,810)	\$17,649	\$		\$	\$(5,364,810)

## Explanations of adjustments

- A.  
B. Adjustment made for prior years  
C. Adjustment made for prior years  
D-J.

## (4) Roll Forward of ACA Risk Corridor Asset and Liability Balances

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
	1	2	3	4	Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Ref	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
					5	6	7	8		9	10
a. 2014											
1. Accrued Retrospective Premium									A		
2. Reserve for rate credits policy experience rating refunds									B		
b. 2015											
1. Accrued Retrospective Premium									C		
2. Reserve for rate credits policy experience rating refunds									D		
c. 2016											
1. Accrued Retrospective Premium									I		
2. Reserve for rate credits or policy experience rating refunds									J		
d. Total for Risk Corridor											

## Explanations of adjustments

A-J.

## (5) ACA Risk Corridor Receivable:

Risk Corridors Program Year	1 Estimated amount to be filed or final amounts filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset balance (gross of nonadmission) (1-2-3)	5 Nonadmitted amounts	6 Net admitted assets
a. 2014						
b. 2015	\$4,524,888	\$4,524,488	\$	\$	\$	\$
c. 2016	\$6,742,797	\$6,742,797	\$	\$	\$	\$
d. Total	\$11,267,285	\$11,267,285	\$	\$	\$	\$

## (25) Change In Incurred Claims and Claim Adjustment Expenses

## Notes to Financial Statement

Reserves as of March 31, 2019 were \$16,316,396. As of March 31, 2019, \$10,062,307 has been paid for incurred claims and claim adjustment expenses attributable to insured events incurred prior of January 1, 2019. Reserves remaining for prior years are now \$2,410,094 as a result of re-estimation of unpaid claims and claim adjustment expenses. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

(26) **Intercompany Pooling Arrangements**

None

(27) **Structured Settlements**

None

(28) **Health Care Receivables**

No significant changes

(29) **Participating Policies**

None

(30) **Premium Deficiency Reserves**

No significant changes

(31) **Anticipated Salvage and Subrogation**

None

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes[ ] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes[ ] No[ ] N/A[X]
  
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[ ] No[X]
- 2.2 If yes, date of change: .....
  
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes[X] No[ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes[ ] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes: Yes[ ] No[X]
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes[ ] No[X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. Yes[ ] No[X]
  
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes[ ] No[X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes[X] No[ ] N/A[ ]  
If yes, attach an explanation.
  
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2017 .....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2014 .....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 04/16/2016 .....
- 6.4 By what department or departments?  
Arkansas Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes[ ] No[ ] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[ ] No[ ] N/A[X]
  
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[ ] No[X]
- 7.2 If yes, give full information
  
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[ ] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[ ] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	..... No .....	..... No .....	..... No .....	..... No .....

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes[X] No[ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes[ ] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes[ ] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes[X] No[ ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ ..... 0

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes[ ] No[X]
- 11.2 If yes, give full and complete information relating thereto:
  
- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ ..... 0
- 13. Amount of real estate and mortgages held in short-term investments: \$ ..... 0
  
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes[ ] No[X]

## GENERAL INTERROGATORIES (Continued)

### INVESTMENT

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....		
14.22 Preferred Stock .....		
14.23 Common Stock .....		
14.24 Short-Term Investments .....		
14.25 Mortgages Loans on Real Estate .....		
14.26 All Other .....		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....		

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes[ ] No[X]  
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes[ ] No[ ] N/A[X]  
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date: \$ ..... 0  
 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ ..... 0  
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ ..... 0  
 16.3 Total payable for securities lending reported on the liability page
17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes[X] No[ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon .....	200 Park Avenue, New York, NY .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes[ ] No[X]  
 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
Catholic Health Initiatives - Treasury Department .....	A .....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes[ ] No[X]  
 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes[ ] No[X]  
 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
N/a .....	Catholic Health Initiatives - Treasury Department .....	47-0617373 .....		

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes[X] No[ ]  
 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:  
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.  
 b. Issuer or obligor is current on all contracted interest and principal payments.  
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.  
 Has the reporting entity self-designated 5GI securities? Yes[ ] No[X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:  
 a. The security was purchased prior to January 1, 2018 .



## **GENERAL INTERROGATORIES (Continued)**

- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities?

Yes[ ] No[X]

## **General Interrogatories Part 1 Attachments**

The Management Service Agreement is made and is effective as of July 1, 2014 by the QualChoice Health Plan Services, Inc. a Colorado for-profit corporation and QualChoice Life and Health Company Inc. (QCLH), an Arkansas for-profit corporation. QualChoice Health Plan Services has agreed to provide a full range of administrative, management, and technology services to QCLH under QCLH's direction as set forth in the contract agreement. In consideration of QualChoice Health Plan Services' performance of services in connection with QCLH's business, for each month (or part thereof) beginning on the effective date and continuing through the term hereof, QCLH shall pay to QualChoice Health Plan Services a service fee as set forth in the Management Service Agreement. This Management Agreement terminated at the time of acquisition by Centene Corporation on April 1, 2019.

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent	87.837%
1.2 A&H cost containment percent	2.018%
1.3 A&H expense percent excluding cost containment expenses	12.796%
2.1 Do you act as a custodian for health savings accounts?	Yes[ ] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[ ] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes[X] No[ ]
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes[ ] No[X]

**SCHEDULE S - CEDED REINSURANCE**  
**Showing All New Reinsurance Treaties - Current Year to Date**

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>Life and Annuity - Affiliates</b>								
38636 .....	13-3031176 .....	01/01/2017 .....	PARTNER REINS CO OF THE US .....	NY .....	SSL/A/G .....	.....	.....	.....
38636 .....	13-3031176 .....	01/01/2017 .....	PARTNER REINS CO OF THE US .....	NY .....	SSL/A/I .....	.....	.....	.....
77828 .....	57-0523959 .....	09/01/2013 .....	COMPANION LIFE INS CO .....	SC .....	OTH/A/G .....	.....	.....	.....

# SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

## Current Year to Date - Allocated by States and Territories

1	State, Etc.	Active Status (a)	Direct Business Only							
			2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1.	Alabama (AL)	N								
2.	Alaska (AK)	N								
3.	Arizona (AZ)	N								
4.	Arkansas (AR)	L	32,706,280				287,677		32,993,957	
5.	California (CA)	N								
6.	Colorado (CO)	N								
7.	Connecticut (CT)	N								
8.	Delaware (DE)	N								
9.	District of Columbia (DC)	N								
10.	Florida (FL)	N								
11.	Georgia (GA)	N								
12.	Hawaii (HI)	N								
13.	Idaho (ID)	N								
14.	Illinois (IL)	N								
15.	Indiana (IN)	N								
16.	Iowa (IA)	N								
17.	Kansas (KS)	N								
18.	Kentucky (KY)	N								
19.	Louisiana (LA)	N								
20.	Maine (ME)	N								
21.	Maryland (MD)	N								
22.	Massachusetts (MA)	N								
23.	Michigan (MI)	N								
24.	Minnesota (MN)	N								
25.	Mississippi (MS)	N								
26.	Missouri (MO)	N								
27.	Montana (MT)	N								
28.	Nebraska (NE)	L								
29.	Nevada (NV)	N								
30.	New Hampshire (NH)	N								
31.	New Jersey (NJ)	N								
32.	New Mexico (NM)	N								
33.	New York (NY)	N								
34.	North Carolina (NC)	N								
35.	North Dakota (ND)	N								
36.	Ohio (OH)	N								
37.	Oklahoma (OK)	N								
38.	Oregon (OR)	N								
39.	Pennsylvania (PA)	N								
40.	Rhode Island (RI)	N								
41.	South Carolina (SC)	N								
42.	South Dakota (SD)	N								
43.	Tennessee (TN)	N								
44.	Texas (TX)	N								
45.	Utah (UT)	N								
46.	Vermont (VT)	N								
47.	Virginia (VA)	N								
48.	Washington (WA)	N								
49.	West Virginia (WV)	N								
50.	Wisconsin (WI)	N								
51.	Wyoming (WY)	N								
52.	American Samoa (AS)	N								
53.	Guam (GU)	N								
54.	Puerto Rico (PR)	N								
55.	U.S. Virgin Islands (VI)	N								
56.	Northern Mariana Islands (MP)	N								
57.	Canada (CAN)	N								
58.	Aggregate other alien (OT)	X X X								
59.	Subtotal	X X X	32,706,280				287,677		32,993,957	
60.	Reporting entity contributions for Employee Benefit Plans	X X X								
61.	<b>Total (Direct Business)</b>	<b>X X X</b>	<b>32,706,280</b>				<b>287,677</b>		<b>32,993,957</b>	
<b>DETAILS OF WRITE-INS</b>										
58001.		X X X								
58002.		X X X								
58003.		X X X								
58998.	Summary of remaining write-ins for Line 58 from overflow page	X X X								
58999.	<b>TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)</b>	<b>X X X</b>								

(a) Active Status Counts:

L Licensed or Chartered - Licensed insurance carrier or domiciled RRG

E Eligible - Reporting entities eligible or approved to write surplus lines in the state

N None of the above Not allowed to write business in the state

2

R Registered - Non-domiciled RRGs

Q Qualified - Qualified or accredited reinsurer

55

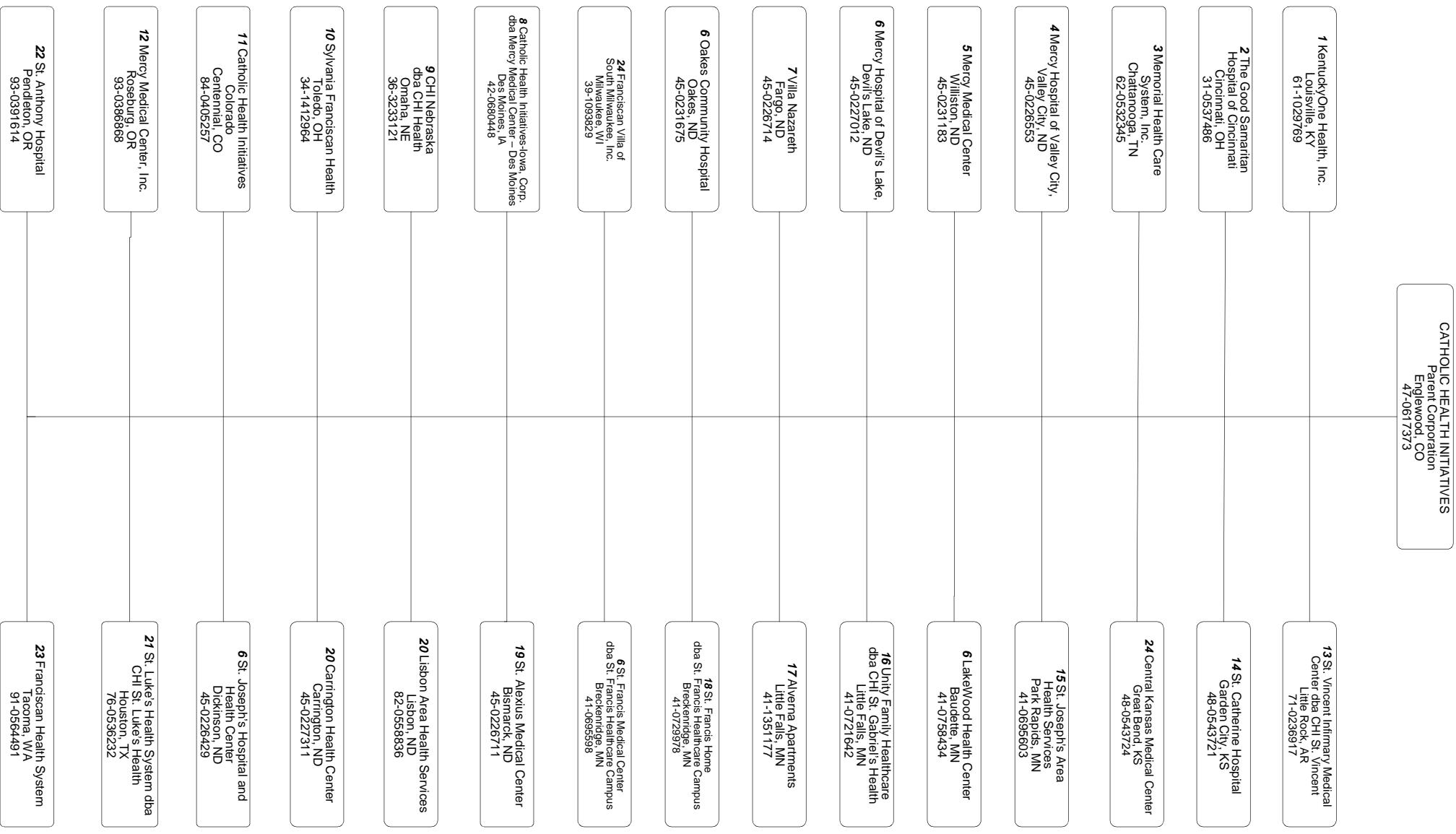
# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER

## MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

**CATHOLIC HEALTH INITIATIVES ORGANIZATION CHART**

**Wholly-owned or Controlled Hospitals / LTC Entities**



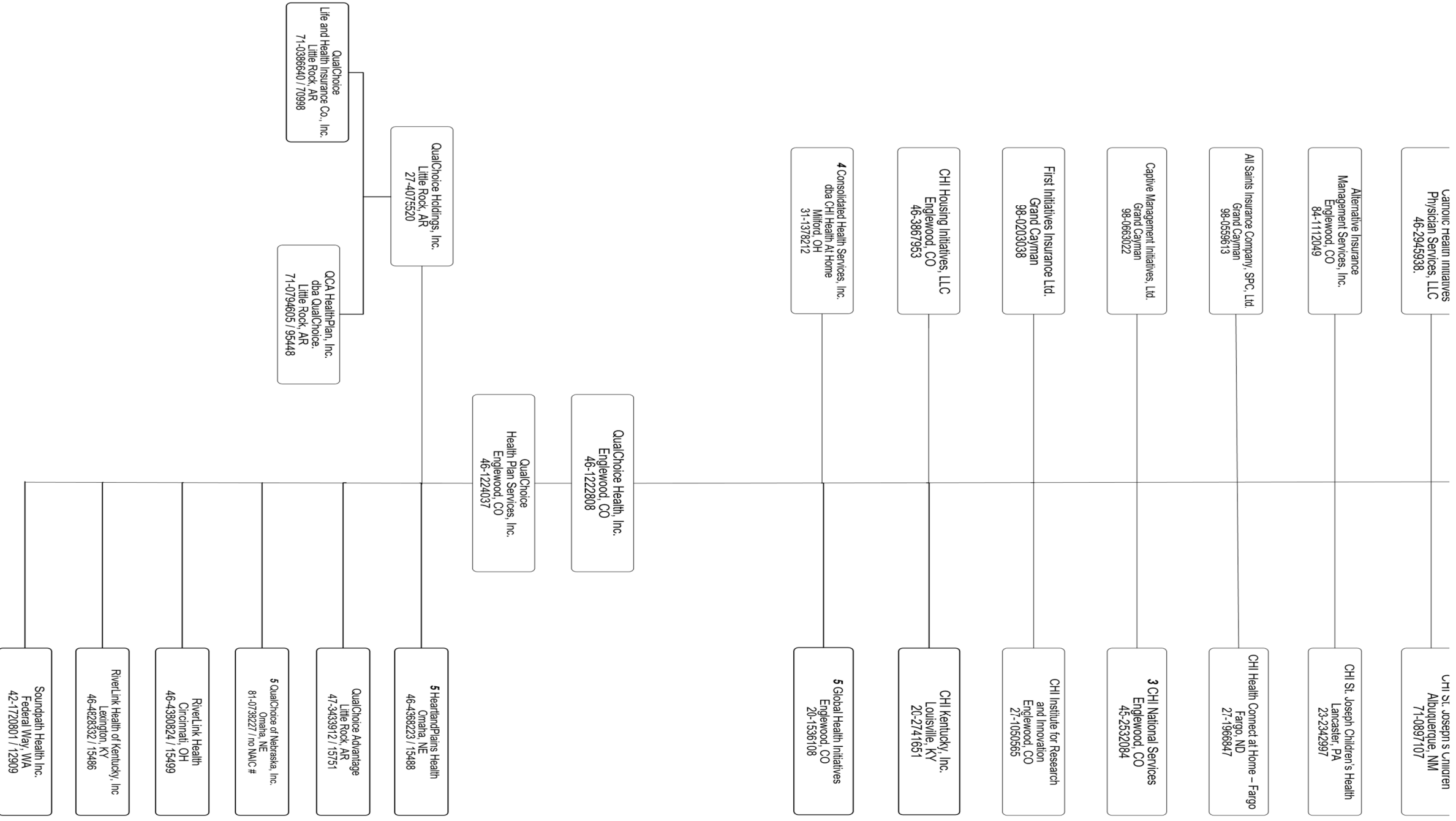
**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER**  
**MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

- 1 13 hospitals, 8 foundations, 6 physician groups & health service providers, ACO, 4 JV health service providers
- 2 hospital, school of nursing, foundation, JV rehab hospital, 6 JV health service providers; this entity is managed by JOA with CHI 50% & Bethesda Hospital, Inc. 50%.3 3 hospitals, foundation, 7 100% health service providers, 3 JV health service providers 4 single hospital, foundation, 1 assisted living JV
- 5 single hospital, foundation, medical retail store
- 6 single hospital, foundation
- 7 provides housing & services for developmentally disabled, 1 housing JV
- 8 4 hospitals, school of health sciences, clinics, 3 ACOs, foundation, JV rehab hospital, 3 100% & 4 JV health service providers; this entity is managed by JOA with CHI 50% & Trinity Health Corporation 50%.9 16 hospitals, 3 physician groups, clinics, 2 ACOs,10 foundations, JV ortho hospital, 11 100% health service providers, 17 JV health service providers 10 8 hospitals, 1 JV rehab hospital, 15 LTC facilities, 3 foundations, medical group, 9 100% health service providers, 1 JV health service provider
- 11 9 hospitals, 1 JV ortho hospital, 1 JV LTACH hospital, 1 LTC facility, foundation, 9 100% health service providers, 21 JV health service providers; this entity is managed by JOA with CHI 65% & Adventist Health System 35%.
- 12 single hospital, 3 physician groups, foundation, clinic. JV health service provider
- 13 4 hospitals, ACO, foundation, 2 physician groups, clinics, 3 100% health service providers, 11 JV health service providers14 2 hospitals, foundation, 1 JV health service provider
- 15 single hospital, 1 JV health service provider
- 16 single hospital, 1 JV medical office building
- 17 HUD housing
- 18 1 LTC facility, 1 senior housing facility
- 19 3 hospitals, ACO, 1 100% health service provider, 9 JV health service providers
- 20 single hospital
- 21 10 hospitals, 1 JV teaching hospital, ACO, 2 foundations, clinics, 3 physician groups, PHO, 17 100% health service providers, 10 JV health service providers22 single hospital, foundation, 1 100% health service provider
- 23 9 hospitals, 1 JV rehab hospital, 2 foundations, 2 physician groups, ACO, 3 100% health service providers, 15 JV health service providers 24 Inactive

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER

### MEMBERS OF A HOLDING COMPANY GROUP

#### PART 1 - ORGANIZATIONAL CHART





**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER**  
**MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

- 1 CHI owns/controls multiple health-care related organizations including: hospitals, physician groups and other health service providers, foundations, educational organizations, among others.
- 2 This entity is a holding company which owns 100% 1 non-hospital/LTC subsidiary.
- 3 This entity controls 3 100% subsidiaries & is participant in 1 50/50 JV providing home nursing.
- 4 This entity owns 3 100% subsidiaries & is participant in 10 JVs providing home nursing, DME & transportation.5 Inactive

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
4807	Catholic Health Initiatives	70998	71-0386640				QualChoice Life and Health Insurance Company, Inc.	AR	RE	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	95448	71-0794605				QCA Health Plan, Inc.	AR	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	12909	42-1720801				Soundpath Health, Inc.	WA	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15488	46-4368223				Heartland Plains Health	NE	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15499	46-4380824				Riverlink Health	OH	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15486	46-4828332				Riverlink Health of Kentucky, Inc.	KY	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15751	47-3433912				QualChoice Advantage, Inc.	AR	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-4075520				QualChoice Holdings, Inc.	AR	UDP	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-1224037				QualChoice Health Plan Services, Inc.	CO	UIP	QualChoice Health, Inc.	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-1222808				QualChoice Health, Inc.	CO	UIP	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	31-1378212				Consolidated Health Services, Inc. dba CHI Health At Home	OH	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-3867953				CHI Housing Initiatives, LLC	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	98-0203038				First Initiatives Insurance, Ltd.	CYM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	98-0663022				Captive Management Initiatives, Ltd.	CYM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	98-0559613				All Saints Insurance Company, SPC, Ltd.	CYM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	84-1112049				Alternative Insurance Management Services, Inc.	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-2945938				Catholic Health Initiatives Physician Services, LLC	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	23-2487967				Franciscan Services, Inc.	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	20-1536108				Global Health Initiatives	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	20-2741651				CHI Kentucky, Inc.	KY	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-1050565				CHI Institute for Research and Innovation	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	45-2532084				CHI National Services	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-1966847				CHI Health Connect at Home - Fargo	ND	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	23-2342997				CHI St. Joseph Children's Health	PA	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	71-0897107				CHI St. Joseph's Children	NM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-0930004				CHI National Foundation	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	47-0617373				Catholic Health Initiatives	CO	UIP	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	

916

Asterisk	Explanation
0000001	

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**RESPONSE**

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



70998201936500001

2019

Document Code: 365



**SCHEDULE A - VERIFICATION****Real Estate**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other-than-temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION****Mortgage Loans**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest points .....		
9. Total foreign exchange change in book value/recorded investment .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION****Other Long-Term Invested Assets**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**NONE****SCHEDULE D - VERIFICATION****Bonds and Stocks**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	24,322,108	20,098,653
2. Cost of bonds and stocks acquired .....	345,985	17,258,544
3. Accrual of discount .....	7,283	22,004
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(33,972)	(136,268)
6. Deduct consideration for bonds and stocks disposed of .....	23,025,331	12,872,799
7. Deduct amortization of premium .....	7,201	50,887
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		2,861
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) .....	1,608,872	24,322,108
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	1,608,872	24,322,108

## SCHEDULE D - PART 1B

### Showing the Acquisitions, Dispositions and Non-Trading Activity During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	21,342,318	845,496	20,582,323	3,380	1,608,871			21,342,318
2. NAIC 2 (a) .....	2,979,785		2,976,980	(2,804)	1			2,979,785
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds .....	24,322,103	845,496	23,559,303	576	1,608,872			24,322,103
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....								
15. Total Bonds & Preferred Stock .....	24,322,103	845,496	23,559,303	576	1,608,872			24,322,103

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

QS102

**SCHEDULE DA - PART 1**

**Short - Term Investments**

	1 Book/Adjusted Carrying Value	2	3 Actual Post	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals .....	<b>NONE</b>				

**SCHEDULE DA - Verification**

**Short-Term Investments**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		2,186,184
2. Cost of short-term investments acquired .....		1,636,192
3. Accrual of discount .....		27,624
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		3,850,000
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) .....		
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11) .....		

**SI04 Schedule DB - Part A Verification ..... NONE**

**SI04 Schedule DB - Part B Verification ..... NONE**

**SI05 Schedule DB Part C Section 1 ..... NONE**

**SI06 Schedule DB Part C Section 2 ..... NONE**

**SI07 Schedule DB - Verification ..... NONE**



**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year .....	40,200	1,680,693
2.	Cost of cash equivalents acquired .....	499,735	1,618,867
3.	Accrual of discount .....	488	145
4.	Unrealized valuation increase (decrease) .....		
5.	Total gain (loss) on disposals .....		
6.	Deduct consideration received on disposals .....	501,909	3,259,505
7.	Deduct amortization of premium .....		
8.	Total foreign exchange change in book/adjusted carrying value .....		
9.	Deduct current year's other-than-temporary impairment recognized .....		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) .....	38,514	40,200
11.	Deduct total nonadmitted amounts .....		
12.	Statement value at end of current period (Line 10 minus Line 11) .....	38,514	40,200

**E01 Schedule A Part 2 . . . . . NONE**

**E01 Schedule A Part 3 . . . . . NONE**

**E02 Schedule B Part 2 . . . . . NONE**

**E02 Schedule B Part 3 . . . . . NONE**

**E03 Schedule BA Part 2 . . . . . NONE**

**E03 Schedule BA Part 3 . . . . . NONE**

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
<b>Bonds - U.S. Governments</b>									
9128285R7	UNITED STATES TREASURY NOTE/BOND		01/02/2019	NOMURA SECURITIES/FI	X X X	230,997	230,000	315	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	230,997	230,000	315	X X X
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
36256XAD4	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		01/08/2019	RBC CAPITAL MARKETS	X X X	114,987	115,000		1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	114,987	115,000		X X X
8399997 Subtotal - Bonds - Part 3					X X X	345,984	345,000	315	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	345,984	345,000	315	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8999999 Subtotal - Preferred Stocks					X X X		X X X		X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X		X X X		X X X
9899999 Subtotal - Preferred and Common Stocks					X X X		X X X		X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	345,984	X X X	315	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0.

# SCHEDULE D - PART 4

## Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

QE05

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
<b>Bonds - U.S. Governments</b>																					
9128282Q2	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	113,266	115,000	115,121	115,067		(7)		(7)		115,060		(1,794)	(1,794)	944	08/15/2020	1
9128282V1	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	230,842	235,000	233,430	234,085		91		91		234,176		(3,335)	(3,335)	1,517	09/15/2020	1
9128282Z2	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	226,586	230,000	229,263	229,553		42		42		229,595		(3,009)	(3,009)	1,438	10/15/2020	1
9128284C1	UNITED STATES TREASURY NOTE/BOND		01/02/2019	CITIGROUP GLOBAL MKT	X X X	234,073	235,000	234,780	234,861		1		1		234,861		(788)	(788)	1,380	03/31/2020	1
9128284G2	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BARCLAYS CAPITAL FIX	X X X	4,693,993	4,710,000	4,692,916	4,696,715		962		962		4,697,678		(3,684)	(3,684)	43,024	04/15/2021	1
9128284T4	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BARCLAYS CAPITAL FIX	X X X	947,178	945,000	944,889	944,915		6		6		944,920		2,258	2,258	5,384	06/15/2021	1
9128285A4	UNITED STATES TREASURY NOTE/BOND		03/01/2019	SG AMERICAS SECURITI	X X X	713,994	710,000	705,479	705,824		257		257		706,081		7,912	7,912	9,169	09/15/2021	1
9128285F3	UNITED STATES TREASURY NOTE/BOND		03/01/2019	ABN AMRO SECURITIES	X X X	307,681	305,000	304,456	304,486		31		31		304,517		3,163	3,163	3,373	10/15/2021	1
9128285L0	UNITED STATES TREASURY NOTE/BOND		03/01/2019	CITIGROUP GLOBAL MKT	X X X	484,331	480,000	480,638	480,623		(34)		(34)		480,589		3,742	3,742	4,155	11/15/2021	1
9128285R7	UNITED STATES TREASURY NOTE/BOND		03/01/2019	HSBC SECURITIES (USA	X X X	230,665	230,000	230,997			(54)		(54)		230,944		(279)	(279)	1,310	12/15/2021	1
912828U73	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BANC/AMERICA SECUR.L	X X X	743,291	750,000	748,887	749,632		65		65		749,698		(6,407)	(6,407)	2,238	12/15/2019	1
912828W63	UNITED STATES TREASURY NOTE/BOND		03/01/2019	GOLDMAN SACHS & CO	X X X	807,391	815,000	814,728	814,893		15		15		814,908		(7,517)	(7,517)	6,219	03/15/2020	1
912828X96	UNITED STATES TREASURY NOTE/BOND		03/01/2019	HSBC SECURITIES (USA	X X X	345,721	350,000	350,506	350,238		(30)		(30)		350,208		(4,488)	(4,488)	1,581	05/15/2020	1
912828XU9	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	172,676	175,000	174,597	174,798		24		24		174,821		(2,145)	(2,145)	570	06/15/2020	1
912828XY1	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BANC/AMERICA SECUR.L	X X X	699,371	700,000	698,633	698,941		120		120		699,061		310	310	3,046	06/30/2020	1
912828Y20	UNITED STATES TREASURY NOTE/BOND		03/01/2019	NOMURA SECURITIES/FI	X X X	120,281	120,000	119,859	119,880		8		8		119,888		393	393	1,993	07/15/2021	1
912828Y46	UNITED STATES TREASURY NOTE/BOND		03/01/2019	CITIGROUP GLOBAL MKT	X X X	700,520	700,000	699,398	699,521		51		51		699,571		948	948	10,812	07/31/2020	1
0599999	Subtotal - Bonds - U.S. Governments				X X X	11,771,860	11,805,000	11,778,577	11,554,032		1,548		1,548		11,786,576		(14,720)	(14,720)	98,153	X X X	X X X
<b>Bonds - All Other Governments</b>																					
298785HQ2	EUROPEAN INVESTMENT BANK	D	03/01/2019	MORGAN STANLEY & CO	X X X	119,353	120,000	119,600	119,705		21		21		119,726		(373)	(373)	887	05/13/2021	1FE
1099999	Subtotal - Bonds - All Other Governments				X X X	119,353	120,000	119,600	119,705		21		21		119,726		(373)	(373)	887	X X X	X X X
<b>Bonds - U.S. States, Territories and Possessions</b>																					
8827237H6	STATE OF TEXAS		03/06/2019	CLEARVIEW CORRESPOND	X X X	44,631	45,000	45,000	45,000						45,000		(369)	(369)	333	10/01/2019	1FE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions				X X X	44,631	45,000	45,000	45,000						45,000		(369)	(369)	333	X X X	X X X
<b>Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>																					
64966H4G2	CITY OF NEW YORK NY		03/07/2019	RAYMOND JAMES & ASSO	X X X	110,700	110,000	118,824	112,038		(514)		(514)		111,523		(824)	(824)	1,930	10/01/2019	1FE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions				X X X	110,700	110,000	118,824	112,038		(514)		(514)		111,523		(824)	(824)	1,930	X X X	X X X
<b>Bonds - U.S. Special Revenue, Special Assessment</b>																					
3137EAEF2	FEDERAL HOME LOAN MORTGAGE CORP		03/01/2019	MORGAN STANLEY & CO	X X X	113,464	115,000	114,252	114,666		43		43		114,709		(1,245)	(1,245)	589	04/20/2020	1
3137EAEI9	FEDERAL HOME LOAN MORTGAGE CORP		03/01/2019	JPM SECURITIES-FIXED	X X X	642,821	645,000	643,079	643,604		108		108		643,712		(891)	(891)	8,425	02/16/2021	1
64990CEM9	NEW YORK STATE DORMITORY AUTHORITY		03/06/2019	CLEARVIEW CORRESPOND	X X X	59,616	60,000	60,000	60,000						60,000		(384)	(384)	599	07/01/2019	1FE
914805EQ5	UNIVERSITY OF PITTSBURGH-OF THE COMMONWE		03/06/2019	RAYMOND JAMES & ASSO	X X X	179,028	180,000	180,000	180,000						180,000		(972)	(972)	1,582	09/15/2019	1FE
3199999	Subtotal - Bonds - U.S. Special Revenue, Special Assessment				X X X	994,929	1,000,000	997,331	998,270		151		151		998,421		(3,492)	(3,492)	11,195	X X X	X X X
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																					
00287YA6	ABBVIE INC		03/05/2019	MORGAN STANLEY & CO	X X X	59,607	60,000	60,704	60,323		(45)		(45)		60,278		(671)	(671)	471	05/14/2020	2FE
02005AHE1	ALLY MASTER OWNER TRUST		03/06/2019	BMOCM/BONDS	X X X	115,863	115,000	114,984	114,986		1		1		114,987		875	875	875	07/17/2023	1FE

## SCHEDULE D - PART 4

### Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol/ Market Indicator (a)	
02007YAC8	ALLY AUTO RECEIVABLES TRUST																				
0258MODX4	2017-5 AMERICAN EXPRESS CREDIT CORP		03/06/2019	BANC/AMERICA SECUR L	XXX	69,516	70,000	69,995	69,997					69,998		(482)	(482)	321	03/15/2022	1FE	
02665WCP4	AMERICAN HONDA FINANCE CORP		03/05/2019	MILLENNIUM ADVISORS	XXX	114,412	115,000	116,455	115,857	(93)		(93)		115,763		(1,351)	(1,351)	1,437	09/14/2020	1FE	
026874BW6	AMERICAN INTERNATIONAL GROUP INC		03/04/2019	MORGAN STANLEY & CO	XXX	101,060	100,000	99,952	99,952	3		3		99,955		1,105	1,105	806	12/10/2021	1FE	
03066FAE1	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST		03/05/2019	WELLS FARGO SECS LLC	XXX	110,706	105,000	113,256	111,212	(554)		(554)		110,658		47	47	1,531	12/15/2020	2FE	
03066LAD0	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST		03/06/2019	HSBC SECURITIES (USA)	XXX	99,238	100,000	99,997	99,999					99,999		(761)	(761)	453	07/18/2022	1FE	
031162CG3	AMGEN INC		03/06/2019	GOLDMAN SACHS & CO	XXX	110,279	110,000	109,982	109,985	2		2		109,986		293	293	770	03/20/2023	1FE	
031162CM0	AMGEN INC		03/05/2019	MORGAN STANLEY & CO	XXX	72,937	75,000	71,676	72,197	181		181		763		559	559	763	08/19/2021	2FE	
035242AJ5	AMGEN INC		03/05/2019	WELLS FARGO SECS LLC	XXX	59,464	60,000	59,967	59,985	2		2		59,987		(523)	(523)	425	05/11/2020	2FE	
037833DJ6	ANHEUSER-BUSCH INBEV FINANCE INC		01/22/2019	NON-BROKER TRADE, BO	XXX	46,605	47,000	47,375	47,249	(14)		(14)		657		(630)	(630)	657	02/01/2021	2FE	
053332AS1	APPLE INC		03/04/2019	DEUTSCHE BANC/ALEX B	XXX	108,748	110,000	109,908	109,942	5		5		109,947		(1,199)	(1,199)	691	11/13/2020	1FE	
053332AS6	AUTOZONE INC		03/05/2019	CITIGROUP GLOBAL MKT	XXX	88,816	90,000	88,400	88,775	93		93		888		(52)	(52)	888	04/15/2021	2FE	
05531FAU7	BB&T CORP		03/05/2019	JPM SECURITIES-FIXED	XXX	114,502	115,000	116,330	115,963	(121)		(121)		115,842		(1,340)	(1,340)	570	06/29/2020	1FE	
06051GEC9	BANK OF AMERICA CORP		03/05/2019	MILLENNIUM ADVISORS	XXX	134,411	130,000	143,030	135,751	(680)		(680)		135,071		(660)	(660)	4,997	07/01/2020	1FE	
06051GFT1	BANK OF AMERICA CORP		03/05/2019	MORGAN STANLEY & CO	XXX	59,678	60,000	60,421	60,268	(26)		(26)		60,242		(564)	(564)	604	10/19/2020	1FE	
06051GFW4	BANK OF AMERICA CORP		03/04/2019	GOLDMAN SACHS & CO	XXX	114,257	115,000	112,984	113,342	122		122		113,465		792	792	1,149	04/19/2021	1FE	
084670BF4	BERKSHIRE HATHAWAY INC		03/05/2019	MARKETAXESS CORP	XXX	96,879	95,000	95,161	95,159	(7)		(7)		95,152		1,728	1,728	1,947	01/31/2022	1FE	
09659QAD9	BMW VEHICLE OWNER TRUST 2018-A		03/06/2019	GOLDMAN SACHS & CO	XXX	104,413	105,000	104,999	104,999					105,000		(586)	(586)	500	04/25/2022	1FE	
10373QAU4	BP CAPITAL MARKETS AMERICA INC		03/05/2019	BANC/AMERICA SECUR L	XXX	88,098	90,000	86,782	86,838	203		203		87,041		1,057	1,057	903	09/16/2021	1FE	
12596EAC8	CNH EQUIPMENT TRUST 2018-B		03/07/2019	NATL FINANCIAL SERVI	XXX	110,619	110,000	109,985	109,987	1		1		109,988		631	631	838	11/15/2023	1FE	
12652VAC1	CNH EQUIPMENT TRUST 2018-A		03/07/2019	JPM SECURITIES-FIXED	XXX	115,377	115,000	114,975	114,981	2		2		114,983		394	394	857	07/17/2023	1FE	
126650CJ7	CVS HEALTH CORP		03/05/2019	RBC CAPITAL MARKETS	XXX	114,446	115,000	117,686	116,394	(168)		(168)		116,226		(1,781)	(1,781)	2,030	07/20/2020	2FE	
126650DC1	CVS HEALTH CORP		03/04/2019	MORGAN STANLEY & CO	XXX	60,173	60,000	59,969	59,977	2		2		59,979		194	194	988	03/09/2021	2FE	
14313FAD1	CARMAX AUTO OWNER TRUST		03/12/2019	CITIGROUP GLOBAL MKT	XXX	115,840	115,000	114,984	114,987	1		1		114,989		851	851	890	06/15/2023	1FE	
14314AAC3	CARMAX AUTO OWNER TRUST 2018-1		03/12/2019	GOLDMAN SACHS & CO	XXX	114,443	115,000	114,991	114,995	1		1		114,995		(552)	(552)	705	11/15/2022	1FE	
14314JAC4	CARMAX AUTO OWNER TRUST 2017-1		03/12/2019	VARIOUS	XXX	210,110	211,171	211,130	211,160	3		3		211,163		(1,053)	(1,053)	976	11/15/2021	1FE	
14913QZ8	CATERPILLAR FINANCIAL SERVICES CORP		03/05/2019	GOLDMAN SACHS & CO	XXX	90,444	90,000	89,931	89,938	4		4		89,942		502	502	1,418	09/07/2021	1FE	
151020BC7	CELGENE CORP		03/05/2019	GOLDMAN SACHS & CO	XXX	99,485	100,000	99,954	99,967	3		3		99,970		(485)	(485)	1,581	02/19/2021	2FE	
15189TAT4	CENTERPOINT ENERGY INC		03/05/2019	GOLDMAN SACHS & CO	XXX	70,561	70,000	69,980	69,982	2		2		69,983		578	578	1,064	11/01/2021	2FE	
166764BP4	CHEVRON CORP		02/07/2019	MILLENNIUM ADVISORS	XXX	114,170	115,000	114,831	114,933	6		6		114,939		(769)	(769)	1,005	03/03/2020	1FE	
17275RAP7	CISCO SYSTEMS INC		03/05/2019	GOLDMAN SACHS & CO	XXX	120,433	120,000	120,335	120,243	(19)		(19)		120,224		210	210	1,769	03/04/2021	1FE	
172967HU8	CITIGROUP INC		03/05/2019	JPM SECURITIES-FIXED	XXX	89,880	90,000	90,792	90,182	(56)		(56)		90,126		(245)	(245)	1,363	07/29/2019	2FE	
172967KB6	CITIGROUP INC		03/05/2019	HSBC SECURITIES (USA)	XXX	114,393	115,000	116,004	115,620	(60)		(60)		115,560		(1,167)	(1,167)	1,109	10/26/2020	2FE	
172967LF6	CITIGROUP INC		02/07/2019	MILLENNIUM ADVISORS	XXX	59,719	60,000	60,068	60,023	(3)		(3)		60,021		(301)	(301)	862	01/10/2020	2FE	
20030NBA8	COMCAST CORP		03/04/2019	MARKETAXESS CORP	XXX	76,644	75,000	81,810	77,827	(424)		(424)		77,403		(759)	(759)	1,985	03/01/2020	1FE	
20030NCQ2	COMCAST CORP		03/04/2019	GOLDMAN SACHS & CO	XXX	91,109	90,000	89,909	89,916	5		5		89,921		1,188	1,188	1,302	10/01/2021	1FE	
205887BZ4	CONAGRA BRANDS INC		03/05/2019	MILLENNIUM ADVISORS	XXX	70,629	70,000	69,920	69,924	5		5		69,929		700	700	998	10/22/2021	2FE	
24422ETS8	JOHN DEERE CAPITAL CORP		03/04/2019	GOLDMAN SACHS & CO	XXX	89,045	90,000	89,945	89,973	3		3		89,976		(931)	(931)	361	06/22/2020	1FE	
24422EUK3	JOHN DEERE CAPITAL CORP		03/04/2019	BAIRD ROBERT W & CO	XXX	30,273	30,000	29,991	29,992	1		1		29,992		281	281	458	09/10/2021	1FE	
25468PDJ2	TWDC ENTERPRISES 18 CORP		03/05/2019	SUMRIDGE PARTNERS LL	XXX	84,351	85,000	83,477	83,518	121		121		83,639		712	712	1,113	02/12/2021	1FE	
25746UCQ0	DOMINION ENERGY INC		01/15/2019	MATURITY	XXX	50,000	50,000	49,966	49,999	1		1		50,000				469	01/15/2019	2FE	
260543CC5	DOW CHEMICAL CO/THE		03/05/2019	MORGAN STANLEY & CO	XXX	147,437	145,000	148,935	147,781	(301)		(301)		147,480		(42)	(42)	1,917	11/15/2020	2FE	
26441CAR6	DUKE ENERGY CORP		03/05/2019	AMHERST SECURITIES G	XXX	96,890	100,000	95,729	96,272	240		240		96,512		378	378	930	09/01/2021	2FE	
26875PAD3	EOG RESOURCES INC		02/07/2019	MILLENNIUM ADVISORS	XXX	25,195	25,000	27,378	25,375	(102)		(102)		25,273		(78)	(78)	273	06/01/2019	1FE	
31620MAP1	FIDELITY NATIONAL INFORMATION SERVICES I		03/04/2019	MILLENNIUM ADVISORS	XXX	80,504	80,000	81,006	80,721	(73)		(73)		80,648		(144)	(144)	1,136	10/15/2020	2FE	
34531HAD1	FORD CREDIT AUTO OWNER TRUST 2017-B		03/06/2019	BNYMELLON/RE SMBC NI	XXX	113,994	115,000	114,995	114,998					114,998		(1,005)	(1,005)	448	11/15/2021	1FE	
34531LAD2	FORD CREDIT AUTO LEASE TRUST		03/07/2019	RBC CAPITAL MARKETS	XXX	130,482	130,000	129,989	129,991	1		1		129,992		491	491	991	12/15/2021	1FE	
34532AAD5	FORD CREDIT AUTO OWNER TRUST 2017-C		03/06/2019	OPPENHEIMER AND CO I	XXX	99,141	100,000	99,982	99,991	1		1		99,993		(852)	(852)	463	03/15/2022	1FE	
36251PAA2	GS MORTGAGE SECURITIES TRUST 2016-GS3		03/08/2019	VARIOUS	XXX	50,181	49,418	49,417	49,413					49,413		768	768	192	10/01/2049	1FM	
36256XAD4	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		03/12/2019	BARCLAYS CAPITAL FIX	XXX	115,377	115,000	114,987	114,987	1		1		114,988		389	389	579	11/16/2023	1FE	
369550BE7	GENERAL DYNAMICS CORP		03/05/2019	GOLDMAN SACHS & CO	XXX	115,371	115,000	114,201	114,365	47		47		114,412		959	959	1,112	05/11/2021	1FE	
36962G4J0	GENERAL ELECTRIC CO		03/05/2019	TORONTO DOMINION SEC	XXX	137,600	135,000	149,810	140,035	(881)		(881)		139,154		(1,554)	(1,554)	4,929	01/08/2020	2FE	
37045XBV7	GENERAL MOTORS FINANCIAL CO INC		03/04/2019	MARKETAXESS CORP	XXX	54,679	55,000	54,923	54,966	5		5		54,971		(292)					

## SCHEDULE D - PART 4

### Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol/ Market Indicator (a)	
38013FAD3	GM FINANCIAL CONSUMER																					
	AUTOMOBILE RECEIVA		03/12/2019	BARCLAYS CAPITAL FIX	X X X	85,671	85,000	84,987	84,988				1		84,990		681	681	667	10/16/2023	1FE	
38013MAE6	GM FINANCIAL AUTOMOBILE LEASING TRUST 20		03/07/2019	CREDIT SUISSE FIRST	X X X	173,886	175,000	174,964	174,987				2		174,989		(1,103)	(1,103)	858	06/21/2021	1FE	
38141GVU5	GOLDMAN SACHS GROUP INC/THE		03/05/2019	BANC/AMERICA SECUR.L	X X X	118,844	120,000	117,767	118,289				127		118,417		428	428	1,155	04/25/2021	1FE	
427866BA5	GOLDMAN SACHS GROUP INC/THE		03/05/2019	MARKETAXESS CORP	X X X	119,590	120,000	119,986	119,996				1		119,997		(408)	(408)	1,456	07/23/2019	1FE	
458140AZ3	HERSHEY CO/THE		03/05/2019	GOLDMAN SACHS & CO	X X X	115,800	115,000	114,921	114,937				5		114,942		858	858	1,109	05/15/2021	1FE	
46625HHU7	INTEL CORP		03/05/2019	GOLDMAN SACHS & CO	X X X	74,235	75,000	74,972	74,987				2		74,989		(754)	(754)	447	05/11/2020	1FE	
46625HKA7	JPMORGAN CHASE & CO		03/04/2019	MITSUBISHI UFJ SECS	X X X	229,271	225,000	233,172	230,722			(551)	(551)	230,171		(900)	(900)	3,745	10/15/2020	1FE		
46645UAQ0	JPMORGAN CHASE & CO		03/05/2019	JPM SECURITIES-FIXED	X X X	114,418	115,000	114,903	114,950				8		114,958		(540)	(540)	1,610	01/23/2020	1FE	
	JP MORGAN CHASE COMMERCIAL MORTGAGE SECU		03/08/2019	VARIOUS	X X X	9,755	9,806	9,806	9,806						9,806		(51)	(51)	48	12/01/2049	1FM	
47788CAC6	JOHN DEERE OWNER TRUST 2018		03/07/2019	BMOCM/BONDS	X X X	89,793	90,000	89,996	89,996						89,997		(204)	(204)	572	04/18/2022	1FE	
49326EEF6	KEYCORP		03/05/2019	GOLDMAN SACHS & CO	X X X	114,834	115,000	117,142	116,289				(133)		116,155		(1,321)	(1,321)	1,593	09/15/2020	2FE	
494550BB1	KINDER MORGAN ENERGY PARTNERS LP		02/20/2019	WELLS FARGO SECS LLC	X X X	113,856	110,000	117,937	114,560				(565)		113,995		(139)	(139)	3,914	02/15/2020	2FE	
494550BR6	KINDER MORGAN ENERGY PARTNERS LP		02/01/2019	MATURITY	X X X	45,000	45,000	45,464	45,017				(17)		45,000				596	02/01/2019	2FE	
50077LAH9	KRAFT HEINZ FOODS CO		03/04/2019	SANTANDER INVESTMENT	X X X	59,668	60,000	60,485	60,283				(35)		60,248		(580)	(580)	1,139	07/02/2020	2FE	
50077LAR7	KRAFT HEINZ FOODS CO		03/04/2019	GOLDMAN SACHS & CO	X X X	84,929	85,000	84,935	84,947				4		84,950		(22)	(22)	645	06/15/2021	2FE	
58013MEX8	MCDONALD'S CORP		03/04/2019	GOLDMAN SACHS & CO	X X X	114,887	115,000	116,142	115,745				(69)		115,676		(789)	(789)	764	12/09/2020	2FE	
581557BD6	MCKESSON CORP		03/05/2019	JPM SECURITIES-FIXED	X X X	69,992	70,000	70,271	70,026				(23)		70,003		(11)	(11)	764	03/15/2019	2FE	
585055BG0	MEDTRONIC INC		02/07/2019	WELLS FARGO SECS LLC	X X X	64,758	65,000	65,798	65,308				(29)		65,279		(521)	(521)	659	03/15/2020	1FE	
594918BP8	MICROSOFT CORP		03/04/2019	MILLENNIUM ADVISORS	X X X	116,839	120,000	114,968	115,768				274		116,042		797	797	1,075	08/08/2021	1FE	
61747WAL3	MORGAN STANLEY		03/04/2019	MILLENNIUM ADVISORS	X X X	121,115	115,000	120,014	119,908				(319)		119,589		1,525	1,525	3,830	07/28/2021	1FE	
61761JB32	MORGAN STANLEY		03/05/2019	MILLENNIUM ADVISORS	X X X	114,709	115,000	116,178	115,691				(84)		115,607		(898)	(898)	725	06/16/2020	1FE	
666807BL5	NORTHROP GRUMMAN CORP		03/05/2019	GOLDMAN SACHS & CO	X X X	64,097	65,000	64,990	64,994				1		64,995		(898)	(898)	533	10/15/2020	2FE	
68389XA3	ORACLE CORP		03/05/2019	JPM SECURITIES-FIXED	X X X	69,821	70,000	70,769	70,235				(55)		70,181		(360)	(360)	652	10/08/2019	1FE	
69371RN85	PACCAR FINANCIAL CORP		03/05/2019	CITIGROUP GLOBAL MKT	X X X	44,326	45,000	44,996	44,997						44,998		(672)	(672)	292	11/13/2020	1FE	
695156AS8	PACKAGING CORP OF AMERICA		03/05/2019	MILLENNIUM ADVISORS	X X X	41,471	42,000	41,955	41,970				3		41,973		(502)	(502)	234	12/15/2020	2FE	
713448BY3	PEPSICO INC		03/05/2019	CITIGROUP GLOBAL MKT	X X X	54,998	55,000	53,953	53,987				6		54,042		956	956	765	03/05/2022	1FE	
713448DX3	PEPSICO INC		03/05/2019	MILLENNIUM ADVISORS	X X X	84,002	85,000	82,800	83,123				142		83,265		737	737	671	04/15/2021	1FE	
74432QBM6	PRUDENTIAL FINANCIAL INC		03/05/2019	MORGAN STANLEY & CO	X X X	66,975	65,000	68,004	67,093				(250)		66,842		132	132	738	06/21/2020	1FE	
74432QC89	PRUDENTIAL FINANCIAL INC		02/26/2019	TORONTO DOMINION SEC	X X X	79,834	80,000	80,682	80,178				(45)		80,133		(299)	(299)	1,008	08/15/2019	1FE	
80285MAE9	SANTANDER DRIVE AUTO RECEIVABLES TRUST 2		03/06/2019	BNP PARIBAS SEC CORP	X X X	40,013	40,000	40,000	40,000						40,000		13	13	278	03/15/2022	1FE	
816851BE8	SEMPRA ENERGY		03/05/2019	NATL FINANCIAL SERVI	X X X	74,540	75,000	74,898	74,946				9		74,955		(415)	(415)	1,080	02/01/2020	2FE	
828807CU9	SIMON PROPERTY GROUP LP		03/04/2019	MILLENNIUM ADVISORS	X X X	114,289	115,000	115,501	115,301				(37)		115,264		(975)	(975)	1,477	09/01/2020	1FE	
887317AK1	WARNER MEDIA LLC		03/11/2019	US BANKCORP INVESTME	X X X	113,982	110,000	115,381	113,951				(334)		113,616		366	366	2,380	03/29/2021	2FE	
89236TEU5	TOYOTA MOTOR CREDIT CORP		03/05/2019	CITIGROUP GLOBAL MKT	X X X	115,238	115,000	114,954	114,965				3		114,967		271	271	1,357	04/13/2021	1FE	
89417EAG4	TRAVELERS COS INC/THE		03/05/2019	MARKETAXESS CORP	X X X	91,588	90,000	92,001	91,473				(141)		91,332		255	255	1,229	11/01/2020	1FE	
90131HAQ8	21ST CENTURY FOX AMERICA INC		01/25/2019	CITIGROUP GLOBAL MKT	X X X	92,476	90,000	93,751	92,797				(94)		92,705		(228)	(228)	1,834	02/15/2021	2FE	
91159HML7	US BANCORP		03/04/2019	MARKETAXESS CORP	X X X	114,041	115,000	115,897	115,577				(50)		115,527		(1,486)	(1,486)	1,629	01/29/2021	1FE	
913017CML9	UNITED TECHNOLOGIES CORP		03/05/2019	JPM SECURITIES-FIXED	X X X	59,267	60,000	59,971	59,987				2		59,988		(721)	(721)	390	05/04/2020	2FE	
92343VCN2	VERIZON COMMUNICATIONS INC		03/12/2019	WELLS FARGO SECS LLC	X X X	95,645	95,000	94,562	94,617				26		94,642		1,003	1,003	1,053	11/01/2021	2FE	
92868LAD3	VOLKSWAGEN AUTO LOAN ENHANCED TRUST 2018		03/11/2019	BARCLAYS CAPITAL FIX	X X X	100,320	100,000	99,986	99,990				1		99,991		330	330	696	11/21/2022	1FE	
931142EJ8	WALMART INC		03/05/2019	CITIGROUP GLOBAL MKT	X X X	75,692	75,000	74,996	74,997						74,997		695	695	482	06/23/2021	1FE	
949746RS2	WELLS FARGO & CO		03/04/2019	MITSUBISHI UFJ SECS	X X X	89,152	90,000	88,502	88,871				89		88,960		192	192	1,138	03/04/2021	1FE	
95000GAW4	WELLS FARGO COMMERCIAL MORTGAGE TRUST 20		03/08/2019	VARIOUS	X X X	25,094	25,609	25,609	25,607						25,607		(513)	(513)	92	08/01/2049	1FM	
98161FAD7	WORLD OMNI AUTOMOBILE LEASE SECURITIZATI		02/15/2019	PAYDOWN	X X X	11,421	11,421	11,419	11,421						11,421				19	08/15/2019	1FE	
06367T4W7	BANK OF MONTREAL	A	03/05/2019	GOLDMAN SACHS & CO	X X X	60,209	60,000	59,930	59,946				4		59,950		259	259	744	04/13/2021	1FE	
136375CNO	CANADIAN NATIONAL RAILWAY CO	A	03/05/2019	JPM SECURITIES-FIXED	X X X	69,729	70,000	69,974	69,986				2		69,988		(259)	(259)	999	02/03/2020	1FE	
78013GKN4	ROYAL BANK OF CANADA	A	03/05/2019	MILLENNIUM ADVISORS	X X X	108,679	110,000	109,936	109,961				4		109,965		(1,286)	(1,286)	861	10/26/2020	1FE	
89114QBU1	TORONTO-DOMINION BANK/THE	A	03/05/2019	JPM SECURITIES-FIXED	X X X	84,553	85,000	84,915	84,965				8		84,973		(420)	(420)	597	10/24/2019	1FE	
89114QBZ0	TORONTO-DOMINION BANK/THE	A	03/04/2019	MORGAN STANLEY & CO	X X X	120,743	120,000	119,946	119,956				3		119,959		784	784	921	06/11/2020	1FE	
05565QCX4	BP CAPITAL MARKETS PLC	D	03/04/2019	JPM SECURITIES-FIXED	X X X	59,744	60,000	60,484	60,184				(28)		60,155		(412)	(412)	783	02/13/2020	1FE	
377373AE5	GLAXOSMITHKLINE CAPITAL PLC	D	03/05/2019	CITIGROUP GLOBAL MKT	X X X	115,638	115,000	114,691	114,753				18		114,771		867	867	1,128	05/14/2021	1FE	
45687AAM4	INGERSOLL-RAND GLOBAL HOLDING CO LTD	C	03/05/2019	GOLDMAN																		



**E06 Schedule DB Part A Section 1 ..... NONE**

**E07 Schedule DB Part B Section 1 ..... NONE**

**E08 Schedule DB Part D Section 1 ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity ..... NONE**

**E10 Schedule DL - Part 1 - Securities Lending Collateral Assets ..... NONE**

**E11 Schedule DL - Part 2 - Securities Lending Collateral Assets ..... NONE**



**SCHEDULE E - PART 1 - CASH****Month End Depository Balances**

1 Depository		2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
						6 First Month	7 Second Month	8 Third Month	
<b>open depositories</b>									
BANK OF NEW YORK .....	200 Park Avenue, New York, NY 10017 .....					1,217,130	1,814,925	(786)	X X X
Arvest Bank .....	P.O. Box 1583, Little Rock, AR 72203 .....		0.002	663		341,249	590,516	299,660	X X X
Bank of America .....	100 North Tryon Street, Charlotte, NC 28255 .....		0.004	194,710		37,939,994	38,437,234	61,059,561	X X X
0199998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories .....		X X X	X X X						X X X
0199999 Totals - Open Depositories .....		X X X	X X X	195,373		39,498,373	40,842,675	61,358,435	X X X
0299998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories .....		X X X	X X X						X X X
0299999 Totals - Suspended Depositories .....		X X X	X X X						X X X
0399999 Total Cash On Deposit .....		X X X	X X X	195,373		39,498,373	40,842,675	61,358,435	X X X
0499999 Cash in Company's Office .....		X X X	X X X	X X X	X X X				X X X
0599999 Total Cash .....		X X X	X X X	195,373		39,498,373	40,842,675	61,358,435	X X X

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
Cusip	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>All Other Money Market Mutual Funds</b>								
825252885	STIT - GOVERNMENT & AGENCY PORTFOLIO		03/01/2019	0.000	X X X	38,514	76	223
8699999	Subtotal - All Other Money Market Mutual Funds					38,514	76	223
8899999	Total - Cash Equivalents					38,514	76	223

## INDEX TO HEALTH QUARTERLY STATEMENT

Accounting Changes and Corrections of Errors; Q10, Note 2; Q11  
Accounting Practices and Policies; Q5; Q10, Note 1  
Admitted Assets; Q2  
Bonds; Q2; Q6; Q11.1; Q11.2; QE04; QE05  
Bonuses; Q3; Q4; Q8; Q9  
Borrowed Funds; Q3; Q6  
Business Combinations and Goodwill; Q10, Note 3  
Capital Gains (Losses)  
    Realized; Q4  
    Unrealized; Q4; Q5  
Capital Stock; Q3; Q10, Note 13  
Capital Notes; Q6; Q10, Note 11  
Caps; QE06; QSI04  
Cash; Q2; Q6; QE12  
Cash Equivalents; Q2; Q6; QE13  
Claims; Q3; Q4; Q8; Q9  
Collars; QE06; QSI04  
Commissions; Q6  
Common Stock; Q2; Q3; Q6; Q11.1; Q11.2  
Cost Containment Expenses; Q4  
Contingencies; Q10, Note 14  
Counterparty Exposure; Q10, Note 8; QE06; QE08  
Debt; Q10, Note 11  
Deferred Compensation; Q10, Note 12  
Derivative Instruments; Q10, Note 8; QSI04; QSI05; QSI06; QSI07; QE06; QE07; QE08  
Discontinued Operations; Q10, Note 4  
Electronic Data Processing Equipment; Q2  
Encumbrances; Q2; QSI01; QE01  
Emergency Room; Q4  
Expenses; Q3; Q4; Q6  
Extinguishment of Liabilities; Q10, Note 17  
Extraordinary Item; Q10, Note 21  
Fair Value; Q7, Note 20  
Fee for Service; Q4  
Foreign Exchange; Q2; Q3; Q5; QSI01; QSI03; QE01; QE02; QE03; QE05  
Forwards; QE06; QSI04  
Furniture, Equipment and Supplies; Q2  
Guaranty Fund; Q2  
Health Care Receivables; Q2; Q9; Q10, Note 28  
Holding Company; Q16  
Hospital/Medical Benefits; Q4  
Incentive Pools; Q3; Q4; Q8; Q9  
Income; Q4; Q5; Q6  
Income Taxes; Q2; Q3; Q4; Q5; Q10, Note 9  
Incurred Claims and Claim Adjustment Expenses; Q10, Note 25  
Intercompany Pooling; Q10, Note 26  
Investment Income; Q10, Note 7  
    Accrued; Q2  
    Earned; Q2; QSI03  
    Received; Q6  
Investments; Q10, Note 5; Q11.1; Q11.2; QE08  
Joint Venture; Q10, Note 6  
Leases; Q10, Note 15  
Limited Liability Company (LLC); Q10, Note 6  
Limited Partnership; Q10, Note 6  
Long-Term Invested Assets; Q2; QE03  
Managing General Agents; Q10, Note 19  
Medicare Part D Coverage; QSupp1  
Member Months; Q4; Q7  
Mortgage Loans; Q2; Q6; Q11.1; QSI01; QE02  
Nonadmitted Assets; Q2; Q5; QSI01; QSI03  
Off-Balance Sheet Risk; Q10, Note 16  
Options; QE06; QSI04  
Organizational Chart; Q11; Q14  
Out-of-Area; Q4  
Outside Referrals; Q4  
Parent, Subsidiaries and Affiliates; Q2; Q3; Q10, Note 10; Q11.1  
Participating Policies; Q10, Note 29  
Pharmaceutical Rebates; Q10, Note 28  
Policyholder Dividends; Q5; Q6  
Postemployment Benefits; Q10, Note 12  
Postretirement Benefits; Q10, Note 12  
Preferred Stock; Q2; Q3; Q6; Q11.1; Q11.2

## INDEX TO HEALTH QUARTERLY STATEMENT

Premium Deficiency Reserves; Q10, Note 30  
Premiums and Considerations  
    Advance; Q3  
    Collected; Q6  
    Deferred; Q2  
    Direct; Q7; Q13  
    Earned; Q7  
    Retrospective; Q2  
    Uncollected; Q2  
    Unearned; Q4  
    Written; Q4; Q7  
Prescription Drugs; Q4  
Quasi Reorganizations; Q10, Note 13  
Real Estate; Q2; Q6; QE01; QSI01  
Redetermination, Contracts Subject to; Q10, Note 24  
Reinsurance; Q9; Q10, Note 23  
    Ceded; Q3; Q12  
    Funds Held; Q2  
    Payable; Q3  
    Premiums; Q3  
    Receivable; Q2; Q4  
    Unauthorized; Q3; Q5  
Reserves  
    Accident and Health; Q3; Q4  
    Claim; Q3; Q5; Q8  
    Life; Q3  
Retirement Plans; Q10, Note 12  
Retrospectively Rated Policies; Q10, Note 24  
Risk Revenue; Q4  
Salvage and Subrogation; Q10, Note 31  
Securities Lending; Q2; Q3; QE09; QE11  
Servicing of Financial Assets; Q10, Note 17  
Short-Term Investments; Q2; Q6; Q11.1; QSI03  
Stockholder Dividends; Q5; Q6  
Subsequent Events; Q10, Note 22  
Surplus; Q3; Q5; Q6  
Surplus Notes; Q3; Q5; Q6  
Swaps; QE07; QSI04  
Synthetic Assets; QSI04; QSI05  
Third Party Administrator; Q10, Note 19  
Treasury Stock; Q3; Q5  
Uninsured Accident and Health; Q2; Q3; Q10, Note 18  
Valuation Allowance; QSI01  
Wash Sales; Q10, Note 17  
Withholds; Q4; Q8