



Hugh McDonald
SECRETARY OF COMMERCE

Alan McClain
COMMISSIONER,
ARKANSAS INSURANCE
DEPARTMENT

BEFORE THE ARKANSAS INSURANCE COMMISSIONER

NOTICE OF ADMINISTRATIVE HEARING

IN THE MATTER OF INSURANCE PRODUCER LICENSEE
MS. TORI UHIREN
NATIONAL PRODUCER LICENSE 16417476

April 26, 2023

TO: TORI UHIREN
395 DILLON DRIVE
CABOT AR 72023

Dear Ms. Uhiren,

You are hereby notified that an administrative hearing has been scheduled pursuant to Ark. Code Ann. § 23-61-303 and other applicable provisions of Arkansas law, before Insurance Commissioner Alan McClain, as Hearing Officer, or his designee, on May 30, 2023, at 10:30AM. in the Arkansas Insurance Department ("Department") 2nd Floor Diamond Mine Hearing Room, 1 Commerce Way, Arkansas Commerce Building, Bldg. 4, Little Rock, Arkansas, 72202. The purpose of the hearing is to determine if your insurance producer license should be suspended or revoked. You have the right to represent yourself or be represented by legal counsel of your own choosing at this proceeding. Additionally, the Commissioner will obtain a competent reporter to record the hearing. You may avail yourself of other privileges, including the Department's assistance to subpoena any needed witnesses or records.

The allegations against you are:

- 1) You have failed to notify the Commissioner of a change of legal name and address. Specifically, you changed your legal name, office address, resident address, and mailing address without notifying the Department within thirty days, a violation of Ark. Code Ann. § 23-64-507(f).
- 2) You no longer meet the qualifications to maintain an Arkansas resident insurance producer license. Specifically, you no longer maintain a place of business or residence in Arkansas as required by Ark. Code Ann. § 23-64-220(a).

- 3) You have violated a law that calls into question your fitness to hold an insurance producer's license, a violation of Ark. Code Ann. § 23-64-512(a)(2). Specifically, you were named in an administrative procedure involving a professional license beginning in September 20, 2022, and as reflected in a Letter of Acceptance, Waiver, and Consent in Financial Industry Regulatory Authority Case No. 2022075514701 in which it was determined you had violated FINRA Rule 3110 and the Securities and Exchange Act of 1934. You failed to notify the Department of this administrative action in which you were barred from associating with any FINRA member in any capacity.

Each of these issues shall be presented at your hearing, but the Department is not limited to only these allegations may our investigations uncover further wrongdoing.

Your verbal or written notification that you have received this notice of hearing must be received in this office within ten (10) days of your receipt. If your notification is not received by the Department within ten (10) days, any and all expenses incurred by the Department in continuing the hearing or in notification of witnesses, including but not limited to, phone calls, issuance of subpoenas and postage, will be charged to the party or parties requesting such continuance. If you or your attorney determines that a continuance is necessary, you wish to waive your right to a hearing, or you have questions or concerns, please contact me at gray.turner@arkansas.gov.

Sincerely yours,



Gray Allen Turner
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