



Hugh McDonald
SECRETARY OF COMMERCE

Alan McClain
COMMISSIONER,
ARKANSAS INSURANCE
DEPARTMENT

BEFORE THE ARKANSAS INSURANCE COMMISSIONER
AMENDED NOTICE OF ADMINISTRATIVE HEARING

Sent via certified and U.S. First Class mail, Parcel No. 7021 0350 0001 0367 7875

August 3, 2023

Daniel Lauletta
4009 N Steels Cir
Cuyahoga Falls OH 44224-4925

Dear Mr. Lauletta,

You are hereby notified that an administrative hearing has been re-scheduled pursuant to Ark. Code Ann. § 23-61-303 and other applicable provisions of Arkansas law, before Insurance Commissioner Alan McClain, as Hearing Officer, or his designee, and will be held on September 27, 2023, at 10:00 AM CST in the Arkansas Insurance Department ("Department") 2nd Floor Diamond Mine Hearing Room, 1 Commerce Way, Arkansas Commerce Building, Bldg. 4, Little Rock, Arkansas, 72202. The purpose of the hearing is to hear the following allegations:

1. Respondent, Daniel Lauletta, holds an Arkansas non-resident Insurance Producer License, National Producer Number 363899, and is a resident of Ohio.
2. Respondent was formerly a registered investment adviser representative, registered in Arkansas through the Arkansas Securities Department.
3. Respondent's registration as a broker-dealer agent in Arkansas was revoked effective April 12, 2022, and he was ordered to pay a \$10,000 fine by the Arkansas Securities Department in ASD Order No. S-20-0046-22-0R02, issued September 1, 2022.
4. Respondent failed to report the ASD administrative action to the Arkansas Insurance Commissioner, a violation of Ark. Code Ann. § 23-64-519 that requires an insurance producer to notify the Commissioner of any administrative action taken against the producer in another jurisdiction or by another governmental agency in this state within thirty (30) days after the final disposition of the matter..

You have the right to represent yourself or be represented by legal counsel of your own choosing at this proceeding. Additionally, the Commissioner will obtain a competent reporter to record the hearing. You may avail yourself of other privileges, including the Department's assistance to subpoena any needed witnesses or records.

Your verbal or written notification that you have received this notice of hearing must be received in this office within ten (10) days of your receipt. If your notification is not received by the Department within ten (10) days, any and all expenses incurred by the Department in continuing the hearing or in notification of witnesses, including but not limited to, phone calls, issuance of subpoenas and postage, will be charged to the party or parties requesting such continuance. If you or your attorney determines that a continuance is necessary, you wish to waive your right to a hearing, or you have questions or concerns, please contact me at gray.turner@arkansas.gov.

Sincerely yours,

A handwritten signature in blue ink that reads "Gray Turner". The signature is written in a cursive, slightly slanted style.

Gray Allen Turner
Associate Counsel
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Little Rock Arkansas 72202
501-371-2820
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