



# HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019  
OF THE CONDITION AND AFFAIRS OF THE

## Arcadian Health Plan, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 12151 Employer's ID Number 20-1001348  
(Current) (Prior)

Organized under the Laws of Washington, State of Domicile or Port of Entry WA

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ X ] No [ ]

Incorporated/Organized 04/06/2004 Commenced Business 01/01/2005

Statutory Home Office 300 Deschutes Way SW, Suite 304 Tumwater, WA, US 98501  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 500 West Main Street  
(Street and Number)  
Louisville, KY, US 40202 502-580-1000  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036 Louisville, KY, US 40201-7436  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 500 West Main Street  
(Street and Number)  
Louisville, KY, US 40202 502-580-1000  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Amanda Nethery 502-580-1624  
(Name) (Area Code) (Telephone Number)  
DOIINQUIRIES@humana.com 502-580-2099  
(E-mail Address) (FAX Number)

### OFFICERS

President Bruce Dale Broussard Chief Financial Officer Taylor Conrad Ballou  
Assistant Corporate Secretary Joseph Matthew Ruschell # SVP, Chief Actuary Vanessa Marie Olson

### OTHER

<u>Alan James Bailey, VP &amp; Treasurer</u>	<u>Ricky Howard Beavin, Chief Executive Officer</u>	<u>Andrew Joseph Besendorf III #, Appointed Actuary</u>
<u>Courtney Danielle Durall #, Sr Legal Professional &amp; Asst Corp Sec</u>	<u>Jeffrey Carl Fernandez, SVP, Medicare West and MarketPOINT</u>	<u>Christopher Howal Hunter, Segment President, Group Business</u>
<u>Brian Andrew Kane, Executive VP, Finance</u>	<u>Steven Edward McCulley, SVP, Medicare</u>	<u>Sean Joseph O'Reilly, SVP, Chief Compliance Officer</u>
<u>William Mark Preston, VP, Investments</u>	<u>Richard Donald Remmers, SVP, Employer Group Sales</u>	<u>George Renaudin II, SVP, Medicare East &amp; Provider</u>
<u>Donald Hank Robinson, SVP, Tax</u>	<u>Joseph Matthew Ruschell #, Asst Gen Counsel &amp; Asst Corp Sec</u>	<u>Gilbert Alan Stewart, SVP, Medicare Divisional Leader</u>
<u>Daniel Andrew Tufto, SVP, Medicare Divisional Leader</u>	<u>Richard Andrew Vollmer, SVP, Medicare Divisional Leader</u>	

### DIRECTORS OR TRUSTEES

Ricky Howard Beavin Bruce Dale Broussard Brian Andrew Kane

State of Kentucky SS:  
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Bruce Dale Broussard Joseph Matthew Ruschell # Alan James Bailey  
President Assistant Corporate Secretary VP & Treasurer

Subscribed and sworn to before me this 9th day of November, 2019

Julia Wentworth  
Notary Public  
January 10, 2021



**Julia Wentworth**  
Notary Public  
State at Large  
Kentucky

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed.....  
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	759,938,985	0	759,938,985	506,525,579
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ .....0 encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ .....0 encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ .....0 encumbrances) .....	0	0	0	0
5. Cash (\$ .....(920,677) ), cash equivalents (\$ .....311,858,864 ) and short-term investments (\$ .....0 ) .....	310,938,187	0	310,938,187	168,236,655
6. Contract loans (including \$ .....0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	0	0	0	0
9. Receivables for securities .....	663,200	0	663,200	0
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	1,071,540,372	0	1,071,540,372	674,762,234
13. Title plants less \$ .....0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	4,216,997	0	4,216,997	3,825,305
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	8,414,626	1,551,705	6,862,921	1,850,388
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....0 earned but unbilled premiums) .....	0	0	0	0
15.3 Accrued retrospective premiums (\$ .....73,796 ) and contracts subject to redetermination (\$ .....48,354,563 ) .....	48,428,359	0	48,428,359	69,350,789
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	0	0	0	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	1,873,342	0	1,873,342	164,915
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	0
18.2 Net deferred tax asset .....	5,401,673	505,668	4,896,005	4,896,005
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ .....0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	0	0	0	22,858,057
24. Health care (\$ .....78,697,221 ) and other amounts receivable .....	84,202,603	5,314,991	78,887,612	56,551,775
25. Aggregate write-ins for other than invested assets .....	23,038,796	23,038,796	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	1,247,116,768	30,411,160	1,216,705,608	834,259,468
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27)	1,247,116,768	30,411,160	1,216,705,608	834,259,468
<b>DETAILS OF WRITE-INS</b>				
1101. ....	0	0	0	0
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Commissions .....	15,703,865	15,703,865	0	0
2502. Provider Contracts .....	6,344,800	6,344,800	0	0
2503. Deposits .....	990,131	990,131	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	23,038,796	23,038,796	0	0

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ .....0 reinsurance ceded) .....	358,479,135	18,459,424	376,938,559	258,907,187
2. Accrued medical incentive pool and bonus amounts .....	57,712,043	0	57,712,043	35,051,571
3. Unpaid claims adjustment expenses .....	2,231,575	0	2,231,575	1,708,388
4. Aggregate health policy reserves, including the liability of \$ .....0 for medical loss ratio rebate per the Public Health Service Act .....	15,040,251	0	15,040,251	8,683,445
5. Aggregate life policy reserves .....	0	0	0	0
6. Property/casualty unearned premium reserve .....	0	0	0	0
7. Aggregate health claim reserves .....	0	0	0	0
8. Premiums received in advance .....	737,091	0	737,091	515,022
9. General expenses due or accrued .....	5,057,031	0	5,057,031	3,247,558
10.1 Current federal and foreign income tax payable and interest thereon (including \$ .....(1,617) on realized gains (losses)) .....	10,227,699	0	10,227,699	6,808,022
10.2 Net deferred tax liability .....	0	0	0	0
11. Ceded reinsurance premiums payable .....	0	0	0	0
12. Amounts withheld or retained for the account of others .....	2,893	0	2,893	1,876
13. Remittances and items not allocated .....	803,953	0	803,953	670,260
14. Borrowed money (including \$ .....0 current) and interest thereon \$ .....0 (including \$ .....0 current) .....	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates .....	5,791,848	0	5,791,848	0
16. Derivatives .....	0	0	0	0
17. Payable for securities .....	40,851	0	40,851	0
18. Payable for securities lending .....	0	0	0	0
19. Funds held under reinsurance treaties (with \$ .....0 authorized reinsurers, \$ .....0 unauthorized reinsurers and \$ .....0 certified reinsurers) .....	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ .....0 ) companies .....	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....	0	0	0	0
22. Liability for amounts held under uninsured plans .....	46,972,221	0	46,972,221	7,015,067
23. Aggregate write-ins for other liabilities (including \$ .....19,324 current) .....	75,715	0	75,715	166,349
24. Total liabilities (Lines 1 to 23) .....	503,172,306	18,459,424	521,631,730	322,774,745
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	64,296,157	0
26. Common capital stock .....	XXX	XXX	1,000,020	1,000,020
27. Preferred capital stock .....	XXX	XXX	0	0
28. Gross paid in and contributed surplus .....	XXX	XXX	594,407,883	524,407,883
29. Surplus notes .....	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	35,369,818	(13,923,180)
32. Less treasury stock, at cost:				
32.1 .....0 shares common (value included in Line 26 \$ .....0 ) .....	XXX	XXX	0	0
32.2 .....0 shares preferred (value included in Line 27 \$ .....0 ) .....	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	695,073,878	511,484,723
34. Total liabilities, capital and surplus (Lines 24 and 33) .....	XXX	XXX	1,216,705,608	834,259,468
<b>DETAILS OF WRITE-INS</b>				
2301. Unclaimed Property .....	75,715	0	75,715	86,124
2302. Miscellaneous Liability .....	0	0	0	80,225
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) .....	75,715	0	75,715	166,349
2501. Special Surplus - Projected HCRL Assessment for the Upcoming Year .....	XXX	XXX	64,296,157	0
2502. ....	XXX	XXX		
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	XXX	XXX	64,296,157	0
3001. ....	XXX	XXX	0	0
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) .....	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	3,308,775	2,826,168	3,792,467
2. Net premium income ( including \$ .....0 non-health premium income)	XXX	3,241,654,289	2,563,104,974	3,417,678,000
3. Change in unearned premium reserves and reserve for rate credits	XXX	0	0	0
4. Fee-for-service (net of \$ .....0 medical expenses)	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	3,241,654,289	2,563,104,974	3,417,678,000
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits	115,133,683	2,351,011,885	1,811,775,740	2,414,105,018
10. Other professional services	5,683,632	116,058,876	113,024,110	151,833,001
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	3,066,293	62,613,229	69,421,987	94,242,812
13. Prescription drugs	7,881,535	160,939,712	142,362,562	173,873,139
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	52,024,246	30,847,372	39,893,699
16. Subtotal (Lines 9 to 15)	131,765,143	2,742,647,948	2,167,431,771	2,873,947,669
<b>Less:</b>				
17. Net reinsurance recoveries	0	0	0	0
18. Total hospital and medical (Lines 16 minus 17)	131,765,143	2,742,647,948	2,167,431,771	2,873,947,669
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$ .....88,622,629 cost containment expenses	0	104,098,100	75,979,799	101,885,116
21. General administrative expenses	0	262,908,636	271,240,617	365,138,130
22. Increase in reserves for life and accident and health contracts (including \$ .....0 increase in reserves for life only)	0	0	0	0
23. Total underwriting deductions (Lines 18 through 22)	131,765,143	3,109,654,684	2,514,652,187	3,340,970,915
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	131,999,605	48,452,787	76,707,085
25. Net investment income earned	0	22,400,163	11,068,414	16,864,181
26. Net realized capital gains (losses) less capital gains tax of \$ .....376,249	0	1,415,415	(32,967)	654,608
27. Net investment gains (losses) (Lines 25 plus 26)	0	23,815,578	11,035,447	17,518,789
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ .....0 ) (amount charged off \$ .....0 )]	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	84	346	94
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	155,815,267	59,488,580	94,225,968
31. Federal and foreign income taxes incurred	XXX	32,630,153	27,960,774	34,496,705
32. Net income (loss) (Lines 30 minus 31)	XXX	123,185,114	31,527,806	59,729,263
<b>DETAILS OF WRITE-INS</b>				
0601.	XXX	0	0	0
0602.	XXX	0	0	0
0603.	XXX	0	0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX	0	0	0
0702.	XXX	0	0	0
0703.	XXX	0	0	0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income	0	84	346	94
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	84	346	94

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	511,484,723	237,527,449	237,527,449
34. Net income or (loss) from Line 32.....	123,185,114	31,527,806	59,729,263
35. Change in valuation basis of aggregate policy and claim reserves.....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ ..... 0	1,443,022	(173,374)	(2,024,232)
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0	0
38. Change in net deferred income tax.....	0	0	4,156,775
39. Change in nonadmitted assets.....	(11,038,982)	(10,260,251)	(12,904,532)
40. Change in unauthorized and certified reinsurance.....	0	0	0
41. Change in treasury stock.....	0	0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in.....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in.....	70,000,000	225,000,000	225,000,000
45.2 Transferred to capital (Stock Dividend).....	0	0	0
45.3 Transferred from capital.....	0	0	0
46. Dividends to stockholders.....	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	183,589,155	246,094,181	273,957,274
49. Capital and surplus end of reporting period (Line 33 plus 48)	695,073,878	483,621,630	511,484,723
<b>DETAILS OF WRITE-INS</b>			
4701. ....	0	0	0
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	3,264,454,771	2,526,724,727	3,359,843,147
2. Net investment income .....	23,913,567	11,383,905	16,941,831
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	3,288,368,338	2,538,108,632	3,376,784,978
5. Benefit and loss related payments .....	2,627,133,951	1,991,721,603	2,676,458,396
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	326,425,265	254,261,439	466,653,876
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ .....560,640 tax on capital gains (losses) .....	29,586,725	12,548,705	26,077,135
10. Total (Lines 5 through 9) .....	2,983,145,941	2,258,531,747	3,169,189,407
11. Net cash from operations (Line 4 minus Line 10) .....	305,222,397	279,576,885	207,595,571
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	323,432,581	42,698,837	285,172,452
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	542	708	708
12.7 Miscellaneous proceeds .....	40,851	74,958,500	1,030,000
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	323,473,974	117,658,045	286,203,160
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	575,516,939	272,402,933	556,428,252
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	663,200	1,010,000	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	576,180,139	273,412,933	556,428,252
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(252,706,165)	(155,754,888)	(270,225,092)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	70,000,000	225,000,000	225,000,000
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	20,185,300	(52,491,485)	(31,611,770)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	90,185,300	172,508,515	193,388,230
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	142,701,532	296,330,512	130,758,709
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	168,236,655	37,477,946	37,477,946
19.2 End of period (Line 18 plus Line 19.1) .....	310,938,187	333,808,458	168,236,655

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year .....	323,381	0	0	0	0	0	0	323,381	0	0
2. First Quarter .....	361,410	0	0	0	0	0	0	361,410	0	0
3. Second Quarter .....	370,259	0	0	0	0	0	0	370,259	0	0
4. Third Quarter .....	380,849	0	0	0	0	0	0	380,849	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	3,308,775	0	0	0	0	0	0	3,308,775	0	0
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician .....	5,446,506	0	0	0	0	0	0	5,446,506	0	0
8. Non-Physician .....	2,889,872	0	0	0	0	0	0	2,889,872	0	0
9. Total	8,336,378	0	0	0	0	0	0	8,336,378	0	0
10. Hospital Patient Days Incurred	592,158	0	0	0	0	0	0	592,158	0	0
11. Number of Inpatient Admissions	67,441	0	0	0	0	0	0	67,441	0	0
12. Health Premiums Written (a) .....	3,241,654,289	0	0	0	0	0	0	3,241,654,289	0	0
13. Life Premiums Direct .....	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written .....	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned .....	3,241,654,289	0	0	0	0	0	0	3,241,654,289	0	0
16. Property/Casualty Premiums Earned .....	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	2,627,179,295	0	0	0	0	0	0	2,627,179,295	0	0
18. Amount Incurred for Provision of Health Care Services	2,742,647,948	0	0	0	0	0	0	2,742,647,948	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ .....3,241,654,289



## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	0	0	0	0	0	0
2. Medicare Supplement .....	0	0	0	0	0	0
3. Dental Only .....	0	0	0	0	0	0
4. Vision Only .....	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan .....	0	0	0	0	0	0
6. Title XVIII - Medicare .....	182,914,168	2,414,901,352	5,103,722	371,834,837	188,017,890	258,907,187
7. Title XIX - Medicaid .....	0	0	0	0	0	0
8. Other health .....	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8) .....	182,914,168	2,414,901,352	5,103,722	371,834,837	188,017,890	258,907,187
10. Healthcare receivables (a) .....	0	84,012,211	0	0	0	58,789,021
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	29,363,774	0	11,652,202	46,059,841	41,015,976	35,051,571
13. Totals (Lines 9-10+11+12)	212,277,942	2,330,889,141	16,755,924	417,894,678	229,033,866	235,169,737

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

**STATEMENT AS OF September 30, 2019 OF Arcadian Health Plan, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Washington Office of Insurance.

The Washington Office of Insurance (the Office) recognizes only statutory accounting practices prescribed or permitted by the State of Washington for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Washington Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Washington. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations from the Codification currently exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Washington is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
Net Income					
1. Arcadian Health Plan, Inc. Washington basis	xxx	xxx	xxx	\$ 123,185,114	\$ 59,729,263
2. State Prescribed Practices that is an increase/(decrease) NAIC SAP				-	-
3. State Permitted Practices that is an increase/(decrease) NAIC SAP				-	-
4. NAIC SAP	xxx	xxx	xxx	\$ 123,185,114	\$ 59,729,263
Surplus					
5. Arcadian Health Plan, Inc. Washington basis	xxx	xxx	xxx	\$ 695,073,878	\$ 511,484,723
6. State Prescribed Practices that is an increase/(decrease) NAIC SAP				-	-
7. State Permitted Practices that is an increase/(decrease) NAIC SAP				-	-
8. NAIC SAP	xxx	xxx	xxx	\$ 695,073,878	\$ 511,484,723

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued

STATEMENT AS OF September 30, 2019 OF Arcadian Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

over 90 days past due is nonadmitted.

- (5) Not Applicable.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax basis of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.

- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.
- (15) Not Applicable.

D. Going Concern

Management of the Company has evaluated the Company's ability to continue as a going concern under SSAP No. 1, *Accounting Policies, Risks & Uncertainties, and Other Disclosures* (SSAP No. 1). Based on this evaluation, Management has determined that there is no substantial doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Not Applicable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable.

B. Statutory Merger

Not Applicable.

C. Assumption Reinsurance

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

Not Applicable.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from industry market sources.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at September 30, 2019.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at September 30, 2019:

(a) The aggregate amount of unrealized losses:

1. Less than Twelve Months	\$	(70,743)
2. Twelve Months or Longer	\$	(49,571)

(b) The aggregate related fair value of securities with unrealized losses:

1. Less than Twelve Months	\$	30,523,013
2. Twelve Months or Longer	\$	12,280,054

(5) Unrealized losses are primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) The Company has no repurchase agreements or securities lending transactions.

(2) The Company has not pledged any of its assets as collateral.

(3-7) Not Applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable.

STATEMENT AS OF September 30, 2019 OF Arcadian Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

J. Real Estate

Not Applicable.

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	1	2	3	4	5	6	7
	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Percentage Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Percentage Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-	-
j. On deposit with states	12,924,202	11,269,390	1,654,812	-	12,924,202	1.04%	1.06%
k. On deposit with other regulatory bodies	-	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-	-
o. Total Restricted Assets	\$ 12,924,202	\$ 11,269,390	\$ 1,654,812	-	\$ 12,924,202	1.04%	1.06%

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Not Applicable.

M. Working Capital Finance Investments

Not Applicable.

N. Offsetting and Netting of Assets and Liabilities

Not Applicable.

O. Structured Notes

Not Applicable.

P. 5GI\* Securities

Not Applicable.

Q. Short Sales

NOTES TO THE FINANCIAL STATEMENTS

Not Applicable.

R. Prepayment Penalty and Acceleration Fees

No material change since year-end December 31, 2018.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was \$0.

8. Derivative Instruments

Not Applicable.

9. Income Taxes

No material change since year-end December 31, 2018.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2018 and 2017 were \$332,371,017 and \$69,404,678, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

No dividends were paid by the Company as of September 30, 2019.

The Company received a \$70,000,000 capital contribution from Humana Inc. on March 21, 2019.

At September 30, 2019, the Company reported \$5,791,848 due to Humana Inc. Amounts due to or from parent are generally settled within 90 days.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

L. Not Applicable.

M. All SCA Investments

Not Applicable.

N. Investment in Insurance SCA

Not Applicable.

O. SCA Loss Tracking

Not Applicable.

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

STATEMENT AS OF September 30, 2019 OF Arcadian Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2018.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has \$16.667 par value common stock with 60,000 shares authorized and 60,000 shares issued and 60,000 outstanding. All shares are common stock shares.

(2) The Company has no preferred stock outstanding.

(3-5) Dividends and returns of capital to shareholders are noncumulative and are paid as determined by the Board of Directors. In accordance with the Office statutes, the maximum amount which can be paid by the Company to shareholders without prior approval by the Office is the lesser of 10% of total surplus, or the greater of net operating gain for the calendar year preceding the dividend or for the 3 calendar years preceding the dividend less dividends paid for the most recent 2 of those calendar years. All ordinary dividends are limited to available and accumulated surplus funds. Based on these restrictions, the Company can pay a maximum dividend or return of capital to shareholders of approximately \$24,080,000 in 2019 without prior regulatory approval.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

No dividends or returns of capital were paid by the Company as of September 30, 2019.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) Not Applicable.

(8) Not Applicable.

(9) Changes in balances of special surplus funds from the prior year is due to the estimated health insurance industry fee that will be payable on September 30, 2020.

(10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$1,443,022.

(11) Not Applicable.

(12) Not Applicable.

(13) Not Applicable.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of September 30, 2019.

15. Leases

Not Applicable.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable.

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

(1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.

(2) As of September 30, 2019, the Company has recorded a receivable from CMS of \$1,873,342 related to the cost share and reinsurance components of administered Medicare products. The Company does not have any receivables greater than 10% of the Company's accounts receivable from uninsured accident and health plans or \$10,000.

(3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company's Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.

(4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

**STATEMENT AS OF September 30, 2019 OF Arcadian Health Plan, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

20. Fair Value Measurements

A. (1) The fair value of financial assets at September 30, 2019 were as follows:

	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds				\$	-
U.S. governments	\$ -	\$ -	\$ -	\$ -	-
Tax-exempt municipal	-	-	-	-	-
Residential mortgage-backed	-	-	-	-	-
Corporate debt securities	-	6,650,234	-	-	6,650,234
Total bonds	-	6,650,234	-	-	6,650,234
Total assets at fair value/NAV	\$ -	\$ 6,650,234	\$ -	\$ -	\$ 6,650,234
b. Liabilities at fair value	\$ -	\$ -	\$ -	\$ -	-
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	-

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2018 and September 30, 2019.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

(3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2018 and September 30, 2019.

(4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company's analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company's third party investment advisor. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended September 30, 2019.

(5) Derivative Fair Values

Not Applicable.

B. Other Fair Value Disclosures

Not Applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds and cash equivalents	\$ 1,092,540,086	\$ 1,071,797,849	\$ 311,858,864	\$ 780,681,222	\$ -	\$ -	-

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

A. Extraordinary Items

Not Applicable.

B. Troubled Debt Restructuring: Debtors

Not Applicable.

C. Other Disclosures and Unusual Items

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

(4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

Not Applicable.

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

H. Insurance Linked Securities

Not Applicable.

22. Events Subsequent

The Company is not aware of any events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through November 6, 2019 for the Statutory Statement issued on November 6, 2019.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

(1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes ( ) No ( X )

(2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes ( ) No ( X )

Section 2 – Ceded Reinsurance Report – Part A

(1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes ( ) No ( X )

NOTES TO THE FINANCIAL STATEMENTS

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes ( ) No ( X )

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes ( ) No ( X )

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

- B. The Company records accrued retrospective premium as an adjustment to earned premiums.

- C. The amount of net premiums written by the Company at September 30, 2019 that are subject to retrospective rating features was \$3,241,654,289, or 100.00% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

- D. Medical loss ratio rebates required pursuant to the Public Health Service Act

Not Applicable.

- E. Risk Sharing Provisions of the Affordable Care Act

Not Applicable.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2018 were \$260,615,574. As of September 30, 2019, \$241,823,772 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$5,133,937 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$13,657,865 favorable prior-year development since December 31, 2018. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The amounts presented in this footnote exclude any impact related to Pharmacy Rebates and other Healthcare Receivable activity. Impact of such receivables can be seen in Footnote 28. The Company did not experience any material prior year claim development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

The Company has no structured settlements.

STATEMENT AS OF September 30, 2019 OF Arcadian Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2019	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2019	81,082,048	81,082,048	-	-	-
6/30/2019	119,518,755	119,518,755	118,016,591	-	-
3/31/2019	78,040,458	78,040,458	77,296,766	-	-
12/31/2018	56,761,098	56,761,098	56,106,624	273,710	153,026
9/30/2018	68,353,073	68,353,073	68,123,613	229,460	-
6/30/2018	82,118,478	82,118,478	81,769,886	348,592	-
3/31/2018	50,657,583	50,657,583	50,657,583	-	-
12/31/2017	11,559,288	11,559,288	10,909,627	549,061	100,600
9/30/2017	12,199,738	12,199,738	12,194,916	-	4,822
6/30/2017	11,730,112	11,730,112	11,721,110	9,002	-
3/31/2017	12,317,334	12,317,334	12,317,314	-	20

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

Not Applicable.

31. Anticipated Salvage and Subrogation

Not Applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ X ] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. .... 0000049071
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
NA .....		

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 03/31/2019
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 03/15/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 03/15/2017
- 6.4 By what department or departments?  
The Department of Managed Health Care of California
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ X ] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
 Ethics Every Day was amended to update content based on needed revisions and perform general document maintenance, including new Humana brand standards.
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....0

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [  ] No [  ]
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....0  | \$ .....0   |
| 14.22 Preferred Stock .....   | \$ .....0  | \$ .....0   |
| 14.23 Common Stock .....  | \$ .....0  | \$ .....0   |
| 14.24 Short-Term Investments .....  | \$ .....0  | \$ .....0   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0  | \$ .....0   |
| 14.26 All Other .....   | \$ .....0  | \$ .....0   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0  | \$ .....0   |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....0  | \$ .....0   |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ]  
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....0
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....0

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase .....	4 Metro Tech Center, 6th Floor, Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Charline Ottley .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
BLACKROCK FINANCIAL MANAGEMENT, INC .....	U.....
W. Mark Preston .....	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? ..... Yes [  ] No [  ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? ..... Yes [  ] No [  ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed (IMA) Filed
107105 .....	BLACKROCK FINANCIAL MANAGEMENT, INC .....	549300LVXY1VJKE13M84 .....	The SEC .....	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent .....87.3 %
  - 1.2 A&H cost containment percent .....2.7 %
  - 1.3 A&H expense percent excluding cost containment expenses .....8.6 %
- 2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....0
- 2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$.....0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>NONE</b>								

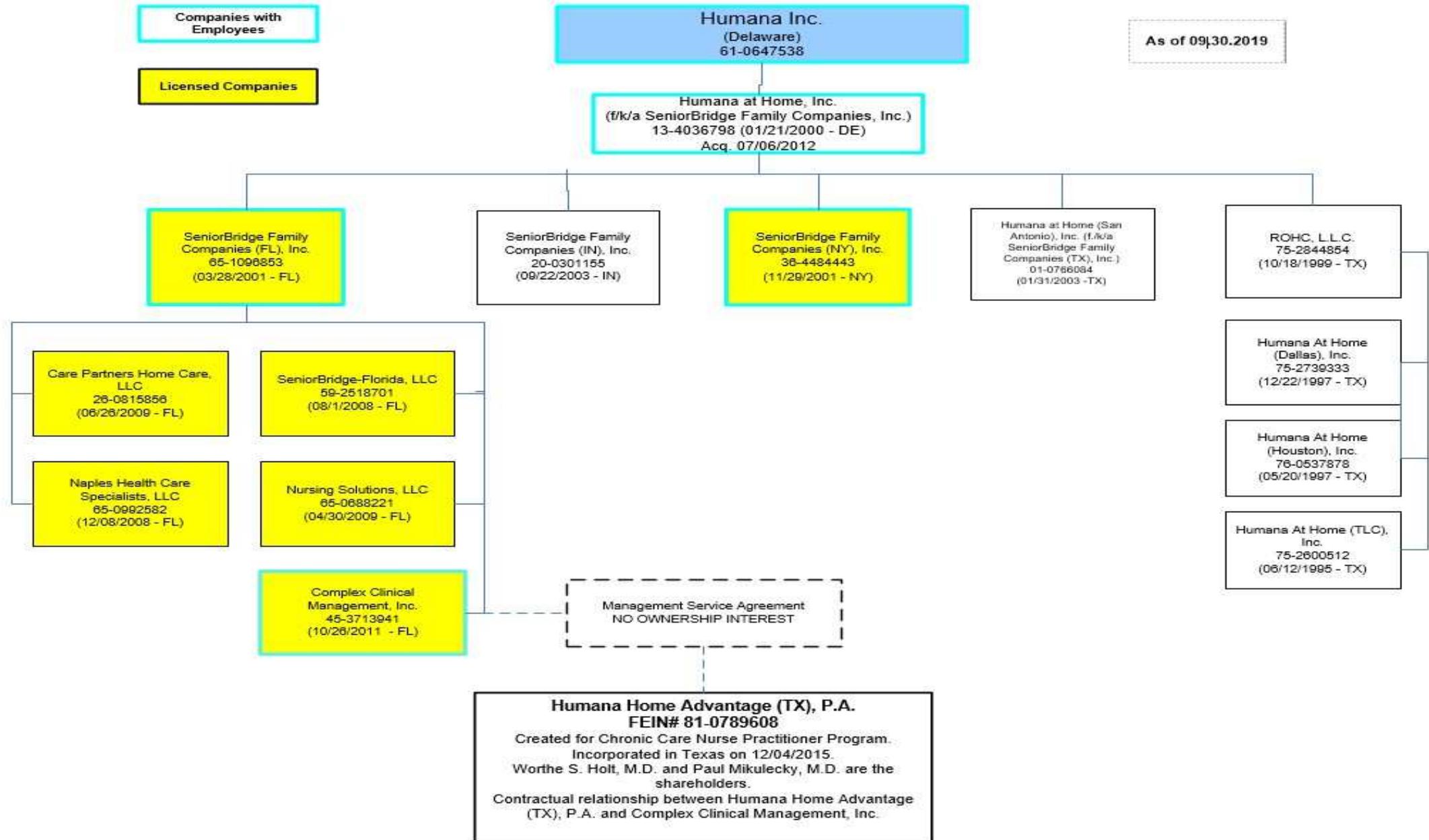
**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

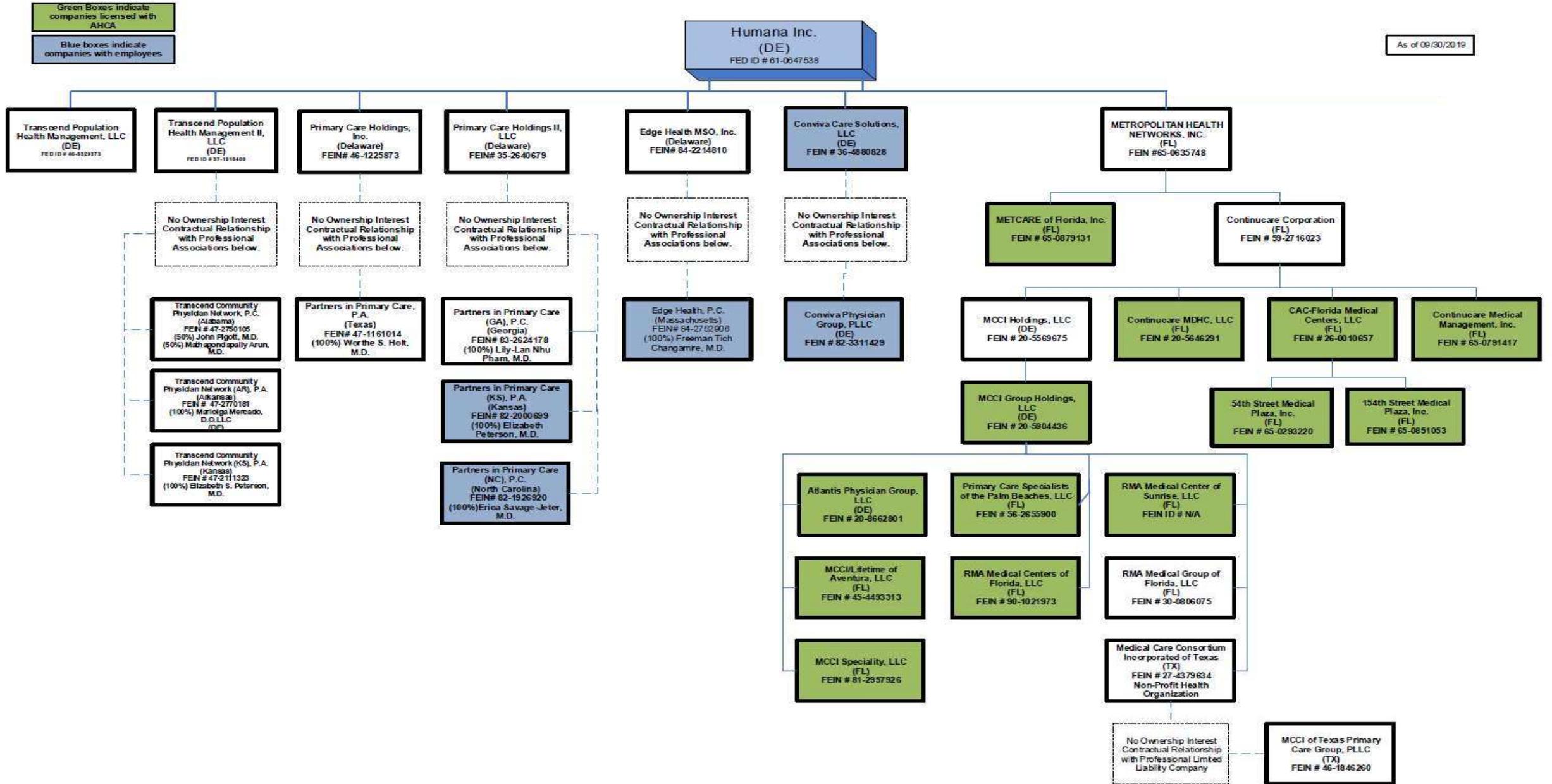
States, etc.	1 Active Status (a)	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama AL	L	0	307,551,791	0	0	0	0	307,551,791	0	
2. Alaska AK	N	0	0	0	0	0	0	0	0	
3. Arizona AZ	L	0	(35,224)	0	0	0	0	(35,224)	0	
4. Arkansas AR	L	0	275,785,867	0	0	0	0	275,785,867	0	
5. California CA	L	0	738,963,524	0	0	0	0	738,963,524	0	
6. Colorado CO	N	0	0	0	0	0	0	0	0	
7. Connecticut CT	N	0	0	0	0	0	0	0	0	
8. Delaware DE	N	0	0	0	0	0	0	0	0	
9. District of Columbia DC	N	0	0	0	0	0	0	0	0	
10. Florida FL	N	0	0	0	0	0	0	0	0	
11. Georgia GA	N	0	0	0	0	0	0	0	0	
12. Hawaii HI	N	0	0	0	0	0	0	0	0	
13. Idaho ID	L	0	22,609,947	0	0	0	0	22,609,947	0	
14. Illinois IL	N	0	0	0	0	0	0	0	0	
15. Indiana IN	L	0	506,641,046	0	0	0	0	506,641,046	0	
16. Iowa IA	N	0	0	0	0	0	0	0	0	
17. Kansas KS	N	0	0	0	0	0	0	0	0	
18. Kentucky KY	L	0	179,843,243	0	0	0	0	179,843,243	0	
19. Louisiana LA	N	0	0	0	0	0	0	0	0	
20. Maine ME	L	0	57,004,301	0	0	0	0	57,004,301	0	
21. Maryland MD	N	0	0	0	0	0	0	0	0	
22. Massachusetts MA	N	0	0	0	0	0	0	0	0	
23. Michigan MI	N	0	0	0	0	0	0	0	0	
24. Minnesota MN	N	0	0	0	0	0	0	0	0	
25. Mississippi MS	N	0	0	0	0	0	0	0	0	
26. Missouri MO	L	0	0	0	0	0	0	0	0	
27. Montana MT	N	0	0	0	0	0	0	0	0	
28. Nebraska NE	L	0	(51,673)	0	0	0	0	(51,673)	0	
29. Nevada NV	N	0	0	0	0	0	0	0	0	
30. New Hampshire NH	L	0	25,809,031	0	0	0	0	25,809,031	0	
31. New Jersey NJ	N	0	0	0	0	0	0	0	0	
32. New Mexico NM	N	0	0	0	0	0	0	0	0	
33. New York NY	N	0	0	0	0	0	0	0	0	
34. North Carolina NC	N	0	0	0	0	0	0	0	0	
35. North Dakota ND	N	0	0	0	0	0	0	0	0	
36. Ohio OH	N	0	0	0	0	0	0	0	0	
37. Oklahoma OK	N	0	0	0	0	0	0	0	0	
38. Oregon OR	N	0	0	0	0	0	0	0	0	
39. Pennsylvania PA	N	0	0	0	0	0	0	0	0	
40. Rhode Island RI	N	0	0	0	0	0	0	0	0	
41. South Carolina SC	L	0	490,969,641	0	0	0	0	490,969,641	0	
42. South Dakota SD	N	0	0	0	0	0	0	0	0	
43. Tennessee TN	N	0	0	0	0	0	0	0	0	
44. Texas TX	L	0	24	0	0	0	0	24	0	
45. Utah UT	N	0	0	0	0	0	0	0	0	
46. Vermont VT	N	0	0	0	0	0	0	0	0	
47. Virginia VA	L	0	304,815,413	0	0	0	0	304,815,413	0	
48. Washington WA	L	0	325,152,228	0	0	0	0	325,152,228	0	
49. West Virginia WV	L	0	6,595,128	0	0	0	0	6,595,128	0	
50. Wisconsin WI	N	0	0	0	0	0	0	0	0	
51. Wyoming WY	N	0	0	0	0	0	0	0	0	
52. American Samoa AS	N	0	0	0	0	0	0	0	0	
53. Guam GU	N	0	0	0	0	0	0	0	0	
54. Puerto Rico PR	N	0	0	0	0	0	0	0	0	
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0	0	0	
56. Northern Mariana Islands MP	N	0	0	0	0	0	0	0	0	
57. Canada CAN	N	0	0	0	0	0	0	0	0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0	
59. Subtotal	XXX	0	3,241,654,289	0	0	0	0	3,241,654,289	0	
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0	
61. Totals (Direct Business)	XXX	0	3,241,654,289	0	0	0	0	3,241,654,289	0	
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	

(a) Active Status Counts:  
 L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....16 R - Registered - Non-domiciled RRGs.....0  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0 Q - Qualified - Qualified or accredited reinsurer.....0  
 N - None of the above - Not allowed to write business in the state.....41





STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0119	Humana Inc.	.00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5309363				515-526 W MainSt Condo Council of Co-Owners	KY	NIA	Humana Real Estate Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12151	20-1001348				Arcadian Health Plan, Inc.	WA	RE	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8662801				Atlantis Physician Group, LLC	DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	35-2608414				CDO 1, LLC	DE	NIA	HJM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	32-0545504				CDO 2, LLC	DE	NIA	HJM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95158	61-1279717				CHA HMO, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.11228	36-3686002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management, Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	42-1575099				Humana Healthcare Research, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2716023				Continucare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5646291				Continucare MDHC, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0791417				Continucare Medical Management, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4880828				Conviva Care Solutions, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.15886	75-2043865				Humana Benefit Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-3512545				Dental Care Plus Management, Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95161	76-0039628				DentiCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.88595	31-0935772				EmpheSys Insurance Company	TX	IA	EmpheSys, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1237697				EmpheSys, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-3164234				Family Physicians of Winter Park, Inc.	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-3802918				FPG Acquisition Corp.	DE	NIA	FPG Acquisition Holdings Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-3819187				FPG Acquisition Holdings Corp.	DE	NIA	FPG Holding Company, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	32-0505460				FPG Holding Company, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-4685400				FPG Senior Services, LLC	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-4912173				Humana EAP and Work-Life Services of California, Inc.	CA	IA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-3592783				HJM Provider Holdings, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	RQHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	RQHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95519	58-2209549				Humana Employers Health Plan of GA, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95642	72-1279235				Humana Health Benefit Plan of LA, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.69671	61-1041514				Humana Health Ins. Co. of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0119	Humana Inc.	95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	95885	61-1013183				Humana Health Plan, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-0647538		0000049071	NYSE	Humana Inc.	DE	UDP	See Footnote 1	Other	0.000	See Footnote 1		.2
.0119	Humana Inc.	.00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	95342	39-1525003				Humana Wisc. Health Org. Ins. Corp.	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-4535747				Go365, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1239538				Humco, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 2	Other	50.000	Humana Inc.		.3
.0119	Humana Inc.	.00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5569675				MCCI Holdings, LLC	DE	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5904436				MCCI Group Holdings, LLC	DE	NIA	MCCI Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-4493313				MCCI/Lifetime of Aventura, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-2957926				MCCI Speciality, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-4379634				Medical Care Consortium Incorporated of Texas	TX	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-1724127				Humana Real Estate Company	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-1225873				Primary Care Holdings, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	56-2655900				Primary Care Specialist of the Palm Beaches, LLC	DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	90-1021973				RMA Medical Center of Sunrise, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	30-0806075				RMA Medical Centers of Florida, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2844854				RMA Medical Group of Florida, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-1096853				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	01-0766084				Humana At Home (San Antonio), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0119	Humana Inc.	..00000	74-2352809				Texas Dental Plans, Inc.	..TX	..NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..54739	52-1157181				The Dental Concern, Inc.	..KY	..IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	75-2600512				Humana At Home (TLC), Inc.	..TX	..NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	80-0072760				Humana Digital Health and Analytics Platform Services, Inc.	..DE	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	46-5329373				Transcend Population Health Management, LLC	..DE	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	66-0872725				Humana Management Services of Puerto Rico, Inc.	..PR	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	83-3321367				North Region Providers, LLC	..DE	..NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	35-2640679				Primary Care Holdings II, LLC	..DE	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	37-1910409				Transcend Population Health Management II, LLC	..DE	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	84-2214810				Edge Health MSO, Inc.	..DE	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	47-2905609				Partners in Integrated Care, Inc.	..FL	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0
..0119	Humana Inc.	..00000	84-3226630				Humana Benefit Plan of South Carolina, Inc.	..SC	..NIA	Humana Inc.	Ownership	100.000	Humana Inc.		..0

Asterisk	Explanation
0000001	Humana Inc., a Delaware corporation and ultimate parent company in the holding company system, is a publicly traded company on the New York Stock Exchange and ownership fluctuates daily.
0000002	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers For Independence, Inc. owns the other 50%.

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanation:

1. This type of business is not written.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage investment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment including accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)		

**NONE**

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	506,525,579	238,409,278
2. Cost of bonds and stocks acquired .....	575,516,939	556,428,252
3. Accrual of discount .....	172,481	178,054
4. Unrealized valuation increase (decrease) .....	1,443,021	(2,024,232)
5. Total gain (loss) on disposals .....	1,791,123	827,909
6. Deduct consideration for bonds and stocks disposed of .....	323,575,561	285,172,452
7. Deduct amortization of premium .....	2,077,577	2,121,230
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	142,980	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	759,938,985	506,525,579
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	759,938,985	506,525,579

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	813,534,245	2,833,523,332	2,828,850,581	1,276,805	756,072,443	813,534,245	819,483,801	594,626,828
2. NAIC 2 (a) .....	92,425,456	1,481,409,795	1,404,687,644	2,824,593	32,265,901	92,425,456	171,972,200	28,301,096
3. NAIC 3 (a) .....	65,395,248	6,347,523	10,173,413	(2,977,701)	43,797,988	65,395,248	58,591,658	40,240,389
4. NAIC 4 (a) .....	945,464	475,861	109	359	937,871	945,464	1,421,574	892,209
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds	972,300,413	4,321,756,511	4,243,711,747	1,124,056	833,074,203	972,300,413	1,051,469,233	664,060,522
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	972,300,413	4,321,756,511	4,243,711,747	1,124,056	833,074,203	972,300,413	1,051,469,233	664,060,522

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 169,583,598 ; NAIC 2 \$ 121,946,651 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

**SCHEDULE DA - PART 1**

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals					

**NONE**

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	255,976
2. Cost of short-term investments acquired .....	413,670	50,000,319
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	410,000	50,250,000
7. Deduct amortization of premium .....	3,670	6,295
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	0
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**NONE**

Schedule DB - Part B - Verification - Futures Contracts

**NONE**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**NONE**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**NONE**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	177,956,097	38,771,524
2. Cost of cash equivalents acquired .....	14,108,798,871	11,431,283,270
3. Accrual of discount .....	5,547,055	5,064,035
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	542	708
6. Deduct consideration received on disposals .....	13,980,441,995	11,297,163,440
7. Deduct amortization of premium .....	1,706	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	311,858,864	177,956,097
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	311,858,864	177,956,097

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**NONE**

Schedule A - Part 3 - Real Estate Disposed

**NONE**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**NONE**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**NONE**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**NONE**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
912828-50-9	UNITED STATES TREASURY		.08/02/2019	VARIOUS		1,173,521	1,160,000	5,114	1
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						1,173,521	1,160,000	5,114	XXX
31320M-BA-2	FH SD0033 - RMBS		.08/01/2019	MORGAN STANLEY CO		20,085,191	19,727,627	19,728	1
35563P-LH-0	SHORT 1935C MA - CMO		.09/01/2019	CITIGROUP GLOBAL MARKETS INC.		3,073,086	2,940,000	12,291	1
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						23,158,277	22,667,627	32,018	XXX
025816-CG-2	AMERICAN EXPRESS CO		.07/25/2019	RBC CAPITAL MARKETS		1,260,927	1,265,000	.0	1FE
120111-BM-0	STANDARD INDUSTRIES INC (DELAWARE)		.08/09/2019	VARIOUS		231,750	225,000	2,943	3FE
125581-GW-2	CIT GROUP INC		.08/12/2019	VARIOUS		234,949	215,000	4,825	3FE
125581-GX-0	CIT GROUP INC		.08/09/2019	VARIOUS		376,364	325,000	8,515	3FE
126307-AZ-0	CSC HOLDINGS LLC		.08/08/2019	Bank of America Securities		328,188	295,000	586	3FE
15189T-AW-7	CENTERPOINT ENERGY INC		.08/12/2019	Bank of America Securities		818,606	820,000	.0	2FE
16411Q-AB-7	CHEMERE ENERGY PARTNERS LP		.08/12/2019	JP MORGAN SECS INC. - FIXED INCOME		326,563	315,000	6,081	3FE
22822V-AN-1	CROWN CASTLE INTERNATIONAL CORP		.08/01/2019	CITIGROUP GLOBAL MARKETS INC.		988,129	990,000	.0	2FE
29336U-AF-4	ENLINK MIDSTREAM PARTNERS LP		.08/12/2019	GOLDMAN		462,675	465,000	1,786	3FE
30298L-AE-1	FRESB 19SB65 A1H - CMBS		.08/15/2019	WELLS FARGO SECURITIES		564,414	561,809	790	1FE
34528Q-HF-4	FORD F194 A - ABS		.09/17/2019	Bank of America Securities		1,509,821	1,510,000	.0	1FE
404119-BR-9	HCA INC		.08/09/2019	Bank of America Securities		234,081	215,000	385	3FE
436440-AK-7	HOLOGIC INC		.08/13/2019	JEFFERIES & COMPANY, INC.		143,150	140,000	2,042	3FE
446150-AQ-7	HUNTINGTON BANCSHARES INC		.07/30/2019	GOLDMAN		2,983,452	2,990,000	.0	2FE
46266T-AA-6	IQVIA INC		.08/09/2019	Bank of America Securities		235,125	225,000	2,906	3FE
526057-BZ-6	LENNAR CORP		.08/09/2019	Bank of America Securities		141,581	135,000	1,738	3FE
583491-AC-9	MECCANICA HOLDINGS USA INC	C.	.07/24/2019	JEFFERIES & COMPANY, INC.		2,140,320	2,100,000	4,010	3FE
59001A-BA-9	MERITAGE HOMES CORP		.08/19/2019	VARIOUS		229,120	220,000	2,231	3FE
64110L-AG-1	NETFLIX INC		.08/15/2019	VARIOUS		470,734	430,000	11,325	3FE
674599-CP-8	OCCIDENTAL PETROLEUM CORP		.08/06/2019	Bank of America Securities		429,540	430,000	.0	2FE
70450Y-AC-7	PAYPAL HOLDINGS INC		.09/19/2019	GOLDMAN		649,604	650,000	.0	2FE
776743-AH-9	ROPER TECHNOLOGIES INC		.08/19/2019	JP MORGAN SECS INC. - FIXED INCOME		469,131	470,000	.0	2FE
78355H-KN-8	RYDER SYSTEM INC		.08/01/2019	US BANCORP INVESTMENTS INC.		544,559	545,000	.0	2FE
81211K-AW-0	SEALED AIR CORP		.08/16/2019	LIQUIDNET INC		278,688	260,000	2,924	3FE
81211K-AX-8	SEALED AIR CORP		.08/19/2019	LIQUIDNET INC		278,355	255,000	6,078	3FE
824348-BJ-4	SHERWIN-WILLIAMS CO		.08/12/2019	CITIGROUP GLOBAL MARKETS INC.		1,196,004	1,200,000	.0	2FE
853496-AD-9	STANDARD INDUSTRIES INC		.08/09/2019	Bank of America Securities		235,881	235,000	868	3FE
893647-BE-6	TRANSDIGM INC		.08/13/2019	UBS SECURITIES LLC		475,861	445,000	14,061	4FE
902613-AA-6	UBS GROUP AG	C.	.08/06/2019	UBS SECURITIES LLC		1,000,000	1,000,000	.0	1FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						19,237,568	18,931,809	74,093	XXX
<b>8399997. Total - Bonds - Part 3</b>						43,569,366	42,759,436	111,226	XXX
<b>8399998. Total - Bonds - Part 5</b>						XXX	XXX	XXX	XXX
<b>8399999. Total - Bonds</b>						43,569,366	42,759,436	111,226	XXX
<b>8999997. Total - Preferred Stocks - Part 3</b>						0	XXX	0	XXX
<b>8999998. Total - Preferred Stocks - Part 5</b>						XXX	XXX	XXX	XXX
<b>8999999. Total - Preferred Stocks</b>						0	XXX	0	XXX
<b>9799997. Total - Common Stocks - Part 3</b>						0	XXX	0	XXX
<b>9799998. Total - Common Stocks - Part 5</b>						XXX	XXX	XXX	XXX
<b>9799999. Total - Common Stocks</b>						0	XXX	0	XXX
<b>9899999. Total - Preferred and Common Stocks</b>						0	XXX	0	XXX
<b>9999999 - Totals</b>						43,569,366	XXX	111,226	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0

E04

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
36179U-LJ-9	G2 MAS985 - RMBS		09/01/2019	Paydown		165,538	165,538	170,426	0	0	(4,889)	0	(4,889)	0	165,538	0	0	0	1,018	06/20/2049	1
36202E-LJ-6	G2 003929 - RMBS		09/01/2019	Paydown		206	206	206	0	0	2	0	2	0	206	0	0	0	0	12/20/2036	1
36241K-KV-9	GN 782108 - RMBS		09/01/2019	Paydown		1,060	1,060	1,051	0	0	3	0	3	0	1,060	0	0	0	39	08/15/2021	1
36290R-OT-3	GN 615266 - RMBS		09/01/2019	Paydown		4,784	4,784	4,725	0	0	44	0	44	0	4,784	0	0	0	164	06/15/2033	1
36291N-DU-2	GN 632915 - RMBS		09/01/2019	Paydown		926	926	929	0	0	(1)	0	(1)	0	926	0	0	0	34	01/15/2021	1
36291U-AS-4	GN 638217 - RMBS		09/01/2019	Paydown		550	550	542	0	0	1	0	1	0	550	0	0	0	18	12/15/2019	1
912828-LJ-7	UNITED STATES TREASURY		09/01/2019	Maturity @ 100.00		660,000	660,000	673,302	665,740	0	(5,740)	0	(5,740)	0	660,000	0	0	0	23,925	08/15/2019	1
912828-III-6	UNITED STATES TREASURY		07/31/2019	Maturity @ 100.00		500,000	500,000	500,254	500,085	0	(85)	0	(85)	0	500,000	0	0	0	8,125	07/31/2019	1
912828-XT-2	UNITED STATES TREASURY		09/13/2019	FIXED INCOME		5,075,773	5,000,000	5,061,337	0	0	(2,668)	0	(2,668)	0	5,058,668	0	17,104	17,104	29,508	05/31/2024	1
0599999	Subtotal - Bonds - U.S. Governments					6,408,840	6,333,067	6,412,771	1,173,306	0	(13,333)	0	(13,333)	0	6,391,736	0	17,104	17,104	62,839	XXX	XXX
246381-LW-5	DELAWARE ST		07/01/2019	Maturity @ 100.00		50,000	50,000	53,093	51,028	0	(1,028)	0	(1,028)	0	50,000	0	0	0	2,500	07/01/2019	1FE
373384-ST-9	GEORGIA ST		07/01/2019	Maturity @ 100.00		480,000	480,000	504,149	488,482	0	(8,482)	0	(8,482)	0	480,000	0	0	0	24,000	07/01/2019	1FE
574193-JF-6	MARYLAND ST		08/01/2019	Maturity @ 100.00		250,000	250,000	284,403	255,636	0	(5,636)	0	(5,636)	0	250,000	0	0	0	12,500	08/01/2019	1FE
60412A-CZ-4	MINNESOTA ST		08/01/2019	Maturity @ 100.00		40,000	40,000	45,913	40,890	0	(890)	0	(890)	0	40,000	0	0	0	2,000	08/01/2019	1FE
60412A-DV-2	MINNESOTA ST		08/01/2019	Maturity @ 100.00		55,000	55,000	63,217	56,243	0	(1,243)	0	(1,243)	0	55,000	0	0	0	2,750	08/01/2019	1FE
917542-TK-8	UTAH ST		07/01/2019	Maturity @ 100.00		30,000	30,000	34,469	30,586	0	(586)	0	(586)	0	30,000	0	0	0	1,500	07/01/2019	1FE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					905,000	905,000	985,244	922,866	0	(17,866)	0	(17,866)	0	905,000	0	0	0	45,250	XXX	XXX
3128MB-RT-3	FH G06498 - RMBS		09/01/2019	Paydown		4,135	4,135	4,441	4,400	0	(265)	0	(265)	0	4,135	0	0	0	108	04/01/2041	1
3128MB-DN-4	FH G12609 - RMBS		09/01/2019	Paydown		855	855	868	859	0	(4)	0	(4)	0	855	0	0	0	31	04/01/2022	1
3128MJ-3H-1	FH G08799 - RMBS		09/01/2019	Paydown		34,636	34,636	34,542	0	0	93	0	93	0	34,636	0	0	0	449	02/01/2048	1
3128MJ-3M-0	FH G08803 - RMBS		09/01/2019	Paydown		41,902	41,902	41,812	0	0	90	0	90	0	41,902	0	0	0	533	03/01/2048	1
3128MJ-4G-2	FH G08822 - RMBS		09/01/2019	Paydown		34,689	34,689	34,614	0	0	75	0	75	0	34,689	0	0	0	451	07/01/2048	1
3128MJ-6M-7	FH G08875 - RMBS		09/01/2019	Paydown		10,059	10,059	10,037	0	0	22	0	22	0	10,059	0	0	0	136	03/01/2049	1
3128MJ-6S-4	FH G08880 - RMBS		09/01/2019	Paydown		37,899	37,899	37,817	0	0	81	0	81	0	37,899	0	0	0	519	04/01/2049	1
3128MJ-Y2-0	FH G08728 - RMBS		09/01/2019	Paydown		23,654	23,654	25,210	25,210	0	(1,556)	0	(1,556)	0	23,654	0	0	0	641	10/01/2046	1
3128MJ-Y6-1	FH G08732 - RMBS		09/01/2019	Paydown		33,289	33,289	33,281	0	0	8	0	8	0	33,289	0	0	0	419	11/01/2046	1
3128MJ-Y8-7	FH G08734 - RMBS		09/01/2019	Paydown		28,415	28,415	30,412	30,297	0	(1,882)	0	(1,882)	0	28,415	0	0	0	766	11/01/2046	1
3128MJ-ZM-5	FH G08747 - RMBS		09/01/2019	Paydown		20,212	20,212	20,205	0	0	6	0	6	0	20,212	0	0	0	255	02/01/2047	1
3128MJ-ZW-3	FH G08756 - RMBS		09/01/2019	Paydown		80,966	80,966	81,061	0	0	(95)	0	(95)	0	80,966	0	0	0	1,020	04/01/2047	1
3128MM-XB-4	FH G18673 - RMBS		09/01/2019	Paydown		119,771	119,771	120,146	120,126	0	(355)	0	(355)	0	119,771	0	0	0	2,414	01/01/2037	1
3128MM-XF-5	FH G18677 - RMBS		09/01/2019	Paydown		89,133	89,133	89,397	89,384	0	(251)	0	(251)	0	89,133	0	0	0	1,799	02/01/2033	1
312942-F9-1	FH A93792 - RMBS		09/01/2019	Paydown		6,122	6,122	6,449	6,423	0	(301)	0	(301)	0	6,122	0	0	0	154	09/01/2040	1
3131XD-CW-3	FH ZK9085 - RMBS		09/01/2019	Paydown		14,200	14,200	14,512	0	0	(313)	0	(313)	0	14,200	0	0	0	69	12/01/2032	1
3132D5-3H-3	FH S88000 - RMBS		09/01/2019	Paydown		75,130	75,130	76,641	0	0	(1,511)	0	(1,511)	0	75,130	0	0	0	419	07/01/2034	1
3132DM-BA-2	FH S00033 - RMBS		09/01/2019	Paydown		204,430	204,430	208,136	0	0	(3,705)	0	(3,705)	0	204,430	0	0	0	511	12/01/2047	1
3132FC-EB-7	FH Z40130 - RMBS		09/01/2019	Paydown		148,003	148,003	146,442	0	0	1,561	0	1,561	0	148,003	0	0	0	1,856	01/01/2046	1
3132GK-ZO-2	FH Q04651 - RMBS		09/01/2019	Paydown		3,591	3,591	3,857	3,822	0	(231)	0	(231)	0	3,591	0	0	0	96	11/01/2041	1
3132JN-LY-1	FH Q21243 - RMBS		09/01/2019	Paydown		5,459	5,459	5,742	5,722	0	(264)	0	(264)	0	5,459	0	0	0	128	08/01/2043	1
3132L5-L9-2	FH V80352 - RMBS		09/01/2019	Paydown		62,902	62,902	62,338	0	0	663	0	663	0	62,902	0	0	0	781	09/01/2043	1
3132L9-IV-3	FH V84260 - RMBS		09/01/2019	Paydown		70,843	70,843	71,153	0	0	(310)	0	(310)	0	70,843	0	0	0	1,027	06/01/2048	1
3132WF-UP-2	FH Q42389 - RMBS		09/01/2019	Paydown		7,137	7,137	7,447	7,426	0	(289)	0	(289)	0	7,137	0	0	0	148	08/01/2046	1
3132WG-GL-5	FH Q42902 - RMBS		09/01/2019	Paydown		9,611	9,611	10,286	10,247	0	(636)	0	(636)	0	9,611	0	0	0	286	09/01/2046	1
3132WG-TS-6	FH Q43260 - RMBS		09/01/2019	Paydown		12,762	12,762	13,660	13,607	0	(845)	0	(845)	0	12,762	0	0	0	334	09/01/2046	1
3132WH-EE-1	FH Q43732 - RMBS		09/01/2019	Paydown		15,638	15,638	15,635	0	0	3	0	3	0	15,638	0	0	0	300	10/01/2046	1
3132WH-GW-9	FH Q43812 - RMBS		09/01/2019	Paydown		230	230	247	246	0	(15)	0	(15)	0	230	0	0	0	6	09/01/2046	1
3132XC-R3-1	FH G67706 - RMBS		09/01/2019	Paydown		99,033	99,033	101,432	0	0	(2,398)	0	(2,398)	0	99,033	0	0	0	1,467	12/01/2047	1
3132XS-LN-8	FH Q50332 - RMBS		09/01/2019	Paydown		2,092	2,092	2,157	2,155	0	(62)	0	(62)	0	2,092	0	0	0	50	08/01/2047	1
3132XT-RX-8	FH Q51401 - RMBS		09/01/2019	Paydown		28,419	28,419	29,321	29,293	0	(874)	0	(874)	0	28,419	0	0	0	710	10/01/2047	1
3132XV-UZ-4	FH Q53299 - RMBS		09/01/2019	Paydown		25,203	25,203	25,149	0	0	54	0	54	0	25,203	0	0	0	324	01/01/2048	1
3132YO-NH-9	FH G56691 - RMBS		09/01/2019	Paydown		15,044	15,044	15,012	0	0	32	0	32	0	15,044	0	0	0	200	05/01/2048	1
31335A-NJ-3	FH G60393 - RMBS		09/01/2019	Paydown		544,024	544,024	549,464	0	0	(5,440)	0	(5,440)	0	544,024	0	0	0	8,091	01/01/2046	1
31335A-ZF-8	FH G60742 - RMBS		09/01/2019	Paydown		16,257	16,257	16,254	16,254	0	4	0	4	0	16,257	0	0	0	354	10/01/2046	1
31335B-KG-0	FH G61195 - RMBS		09/01/2019	Paydown		153,973	153														

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
31379P-DW-6	FHR 45838 UP - CMO/RMBS		09/01/2019	Paydown		55,194	55,194	57,778	57,583	0	(2,389)	0	(2,389)	0	55,194	0	0	0	1,103	07/15/2045	1
31378S-ZE-6	FHR 4631A GP - CMO/RMBS		09/01/2019	Paydown		64,214	64,214	68,770	68,323	0	(4,108)	0	(4,108)	0	64,214	0	0	0	1,497	03/15/2046	1
3138AU-RL-3	FN AJ3190 - RMBS		09/01/2019	Paydown		1,659	1,659	1,748	1,725	0	(66)	0	(66)	0	1,659	0	0	0	40	10/01/2026	1
3138AV-P6-6	FN AJ4044 - RMBS		09/01/2019	Paydown		106,370	106,370	109,844	109,844	0	(3,474)	0	(3,474)	0	106,370	0	0	0	2,135	10/01/2041	1
3138AW-H2-2	FN AJ4748 - RMBS		09/01/2019	Paydown		13,648	13,648	14,394	14,339	0	(691)	0	(691)	0	13,648	0	0	0	349	12/01/2041	1
3138AX-HC-8	FN AJ5626 - RMBS		09/01/2019	Paydown		12,516	12,516	13,197	13,146	0	(630)	0	(630)	0	12,516	0	0	0	297	11/01/2041	1
3138E4-F6-3	FN AK0188 - RMBS		09/01/2019	Paydown		3,619	3,619	3,817	3,803	0	(183)	0	(183)	0	3,619	0	0	0	83	02/01/2042	1
3138ED-UL-3	FN AK8686 - RMBS		09/01/2019	Paydown		1,845	1,845	1,943	1,936	0	(92)	0	(92)	0	1,845	0	0	0	43	05/01/2042	1
3138EJ-ZR-2	FN AL2551 - RMBS		09/01/2019	Paydown		11,041	11,041	11,768	11,713	0	(671)	0	(671)	0	11,041	0	0	0	251	10/01/2042	1
3138EK-G7-4	FN AL2921 - RMBS		09/01/2019	Paydown		2,787	2,787	2,971	2,957	0	(170)	0	(170)	0	2,787	0	0	0	68	08/01/2042	1
3138EL-B3-6	FN AL3657 - RMBS		09/01/2019	Paydown		440	440	469	467	0	(27)	0	(27)	0	440	0	0	0	11	06/01/2043	1
3138EP-S4-7	FN AL6838 - RMBS		09/01/2019	Paydown		2,194	2,194	2,340	2,326	0	(133)	0	(133)	0	2,194	0	0	0	59	04/01/2043	1
3138EP-NL-4	FN AL6950 - RMBS		09/01/2019	Paydown		2,772	2,772	2,972	2,954	0	(182)	0	(182)	0	2,772	0	0	0	74	12/01/2042	1
3138EQ-HY-1	FN AL7446 - RMBS		09/01/2019	Paydown		3,819	3,819	4,073	4,052	0	(233)	0	(233)	0	3,819	0	0	0	105	09/01/2045	1
3138EQ-ZF-2	FN AL7941 - RMBS		09/01/2019	Paydown		49,648	49,648	54,116	53,818	0	(4,170)	0	(4,170)	0	49,648	0	0	0	1,321	12/01/2045	1
3138ER-SV-8	FN AL9859 - RMBS		09/01/2019	Paydown		18,887	18,887	18,846	18,846	0	41	0	41	0	18,887	0	0	0	239	03/01/2047	1
3138ER-K8-2	FN AL9318 - RMBS		09/01/2019	Paydown		76,336	76,336	82,073	81,689	0	(5,354)	0	(5,354)	0	76,336	0	0	0	1,963	10/01/2043	1
3138ER-LX-6	FN AL9341 - RMBS		09/01/2019	Paydown		2,245	2,245	2,258	2,257	0	(12)	0	(12)	0	2,245	0	0	0	39	11/01/2041	1
3138ER-RV-4	FN AL9499 - RMBS		09/01/2019	Paydown		10,359	10,359	10,556	10,556	0	(197)	0	(197)	0	10,359	0	0	0	128	01/01/2046	1
3138ER-VZ-0	FN AL9631 - RMBS		09/01/2019	Paydown		1,314	1,314	1,317	1,317	0	(3)	0	(3)	0	1,314	0	0	0	27	12/01/2046	1
3138ES-BF-4	FN AL9937 - RMBS		09/01/2019	Paydown		98,740	98,740	99,557	99,506	0	(766)	0	(766)	0	98,740	0	0	0	2,001	09/01/2030	1
3138ET-TP-1	FN AL8657 - RMBS		09/01/2019	Paydown		39,914	39,914	43,793	43,537	0	(3,624)	0	(3,624)	0	39,914	0	0	0	1,052	06/01/2046	1
3138LV-CV-3	FN A04583 - RMBS		09/01/2019	Paydown		13,456	13,456	14,278	14,278	0	(822)	0	(822)	0	13,456	0	0	0	322	06/01/2042	1
3138MO-SE-1	FN A08616 - RMBS		09/01/2019	Paydown		10,422	10,422	11,112	11,059	0	(637)	0	(637)	0	10,422	0	0	0	250	07/01/2042	1
3138W1-40-7	FN AR4430 - RMBS		09/01/2019	Paydown		45,212	45,212	46,805	46,538	0	(1,326)	0	(1,326)	0	45,212	0	0	0	891	03/01/2043	1
3138W9-GK-0	FN AS0201 - RMBS		09/01/2019	Paydown		20,328	20,328	20,471	20,466	0	(138)	0	(138)	0	20,328	0	0	0	392	08/01/2043	1
3138W9-KQ-2	FN AS0302 - RMBS		09/01/2019	Paydown		428	428	430	430	0	(2)	0	(2)	0	428	0	0	0	9	08/01/2043	1
3138WE-AJ-8	FN AS4508 - RMBS		09/01/2019	Paydown		1,250	1,250	1,311	1,296	0	(46)	0	(46)	0	1,250	0	0	0	25	02/01/2030	1
3138WG-FT-6	FN AS6477 - RMBS		09/01/2019	Paydown		28,269	28,269	28,444	28,444	0	(174)	0	(174)	0	28,269	0	0	0	419	01/01/2046	1
3138WG-SP-0	FN AS6825 - RMBS		09/01/2019	Paydown		482,662	482,662	491,260	482,662	0	(8,597)	0	(8,597)	0	482,662	0	0	0	5,324	03/01/2046	1
3138WG-SQ-8	FN AS6826 - RMBS		09/01/2019	Paydown		460,858	460,858	469,067	469,067	0	(8,209)	0	(8,209)	0	460,858	0	0	0	5,305	03/01/2046	1
3138WG-YG-3	FN AS7010 - RMBS		09/01/2019	Paydown		172,632	172,632	175,923	175,923	0	(3,291)	0	(3,291)	0	172,632	0	0	0	2,085	04/01/2046	1
3138WH-DU-3	FN AS7314 - RMBS		09/01/2019	Paydown		417,757	417,757	425,721	425,721	0	(7,964)	0	(7,964)	0	417,757	0	0	0	5,262	06/01/2046	1
3138WH-NW-8	FN AS7596 - RMBS		09/01/2019	Paydown		127,920	127,920	129,159	129,159	0	(1,239)	0	(1,239)	0	127,920	0	0	0	2,567	07/01/2046	1
3138WJ-EQ-7	FN AS8242 - RMBS		09/01/2019	Paydown		59,665	59,665	60,022	59,976	0	(311)	0	(311)	0	59,665	0	0	0	1,006	11/01/2031	1
3138WJ-L9-7	FN AS8451 - RMBS		09/01/2019	Paydown		98,271	98,271	98,159	98,159	0	111	0	111	0	98,271	0	0	0	1,236	12/01/2046	1
3138WJ-XN-3	FN AS8784 - RMBS		09/01/2019	Paydown		19,124	19,124	19,082	19,082	0	42	0	42	0	19,124	0	0	0	237	02/01/2047	1
3138WK-3E-3	FN AS9796 - RMBS		09/01/2019	Paydown		91,757	91,757	92,130	92,130	0	(373)	0	(373)	0	91,757	0	0	0	1,403	06/01/2047	1
3138WL-AM-5	FN AS9911 - RMBS		09/01/2019	Paydown		69,859	69,859	70,143	70,143	0	(284)	0	(284)	0	69,859	0	0	0	1,016	07/01/2047	1
3138YV-XL-1	FN AY3382 - RMBS		09/01/2019	Paydown		2,464	2,464	2,473	2,473	0	(8)	0	(8)	0	2,464	0	0	0	50	04/01/2045	1
3138YV-KF-3	FN AZ4793 - RMBS		09/01/2019	Paydown		46,720	46,720	47,866	47,866	0	(1,146)	0	(1,146)	0	46,720	0	0	0	225	10/01/2030	1
3140EU-LJ-6	FN B00328 - RMBS		09/01/2019	Paydown		416,374	416,374	428,117	428,117	0	(11,743)	0	(11,743)	0	416,374	0	0	0	8,427	12/01/2045	1
3140EW-3H-3	FN BC2612 - RMBS		09/01/2019	Paydown		3,886	3,886	3,881	3,873	0	13	0	13	0	3,886	0	0	0	82	10/01/2046	1
3140FD-JG-0	FN BC4762 - RMBS		09/01/2019	Paydown		1,274,106	1,274,106	1,286,648	1,286,648	0	(12,542)	0	(12,542)	0	1,274,106	0	0	0	18,739	10/01/2046	1
3140F3-C3-0	FN BC7289 - RMBS		09/01/2019	Paydown		1,735	1,735	1,732	1,729	0	6	0	6	0	1,735	0	0	0	38	10/01/2046	1
3140FB-GX-3	FN BD4485 - RMBS		09/01/2019	Paydown		24,844	24,844	26,183	26,099	0	(1,255)	0	(1,255)	0	24,844	0	0	0	586	07/01/2045	1
3140FD-E9-3	FN BD5559 - RMBS		09/01/2019	Paydown		224,123	224,123	223,720	223,720	0	403	0	403	0	224,123	0	0	0	2,958	11/01/2046	1
3140FE-2G-8	FN BD7074 - RMBS		09/01/2019	Paydown		12,135	12,135	12,112	12,112	0	24	0	24	0	12,135	0	0	0	148	03/01/2047	1
3140FE-X3-3	FN BD6997 - RMBS		09/01/2019	Paydown		419	419	449	447	0	(28)	0	(28)	0	419	0	0	0	11	10/01/2046	1
3140FG-5N-5	FN BD8952 - RMBS		09/01/2019	Paydown		14,392	14,392	14,488	14,341	0	51	0	51	0	14,392	0	0	0	291	11/01/2046	1
3140FG-6S-3	FN BD8980 - RMBS		09/01/2019	Paydown		16,113	16,113	16,151	16,056	0	57	0	57	0	16,113	0	0	0	319	11/01/2046	1
3140FG-7G-8	FN BD8994 - RMBS		09/01/2019	Paydown		1,089	1,089	1,086	1,086	0	3	0	3	0	1,089	0	0	0	22	11/01/2046	1
3140FK-S3-5																					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
3140J7-K4-6	FN BM3014 - RMBS		09/01/2019	Paydown		84,680	84,680	87,617	87,513	0	(2,833)	0	(2,833)	0	84,680	0	0	0	1,970	02/01/2045	1
3140J7-RW-7	FN BM3200 - RMBS		09/01/2019	Paydown		26,889	26,889	26,784	26,787	0	103	0	103	0	26,889	0	0	0	540	07/01/2043	1
3140J7-U6-0	FN BM3304 - RMBS		09/01/2019	Paydown		8,850	8,850	9,140	9,140	0	(290)	0	(290)	0	8,850	0	0	0	166	12/01/2047	1
3140J7-UA-1	FN BM3276 - RMBS		09/01/2019	Paydown		91,266	91,266	93,662	93,535	0	(2,269)	0	(2,269)	0	91,266	0	0	0	2,149	12/01/2032	1
3140J7-Z2-4	FN BM3460 - RMBS		09/01/2019	Paydown		52,458	52,458	53,876	53,802	0	(1,343)	0	(1,343)	0	52,458	0	0	0	1,222	01/01/2033	1
3140J8-Z0-1	FN BM3450 - RMBS		09/01/2019	Paydown		296,718	296,718	305,874	305,874	0	(9,157)	0	(9,157)	0	296,718	0	0	0	4,536	02/01/2048	1
3140J8-JM-6	FN BM3867 - RMBS		09/01/2019	Paydown		341,777	341,777	352,003	352,003	0	(10,227)	0	(10,227)	0	341,777	0	0	0	6,864	02/01/2046	1
3140J8-MN-0	FN BM3964 - RMBS		09/01/2019	Paydown		87,149	87,149	88,007	88,007	0	(858)	0	(858)	0	87,149	0	0	0	1,302	07/01/2046	1
3140J9-FS-5	FN BM4676 - RMBS		09/01/2019	Paydown		37,382	37,382	38,247	38,248	0	(866)	0	(866)	0	37,382	0	0	0	1,023	10/01/2048	1
3140J9-GD-7	FN BM4695 - RMBS		09/01/2019	Paydown		82,989	82,989	81,990	81,990	0	998	0	998	0	82,989	0	0	0	1,045	02/01/2047	1
3140J9-GY-1	FN BM4714 - RMBS		09/01/2019	Paydown		12,648	12,648	13,024	13,024	0	(376)	0	(376)	0	12,648	0	0	0	257	01/01/2046	1
3140J9-KF-7	FN BM4793 - RMBS		09/01/2019	Paydown		866,451	866,451	892,376	892,376	0	(25,926)	0	(25,926)	0	866,451	0	0	0	16,923	03/01/2046	1
3140J9-NE-8	FN BM4856 - RMBS		09/01/2019	Paydown		655,354	655,354	675,885	675,885	0	(20,531)	0	(20,531)	0	655,354	0	0	0	11,110	04/01/2047	1
3140J9-YD-7	FN BMS207 - RMBS		09/01/2019	Paydown		605,099	605,099	598,008	598,008	0	7,091	0	7,091	0	605,099	0	0	0	7,767	02/01/2047	1
3140JA-CG-1	FN BMS470 - RMBS		09/01/2019	Paydown		134,367	134,367	135,113	135,113	0	(745)	0	(745)	0	134,367	0	0	0	1,929	03/01/2048	1
3140JA-CH-9	FN BMS471 - RMBS		09/01/2019	Paydown		609,359	609,359	620,141	620,141	0	(10,781)	0	(10,781)	0	609,359	0	0	0	8,865	06/01/2045	1
3140JA-EU-8	FN BMS546 - RMBS		09/01/2019	Paydown		86,161	86,161	87,238	87,238	0	(1,077)	0	(1,077)	0	86,161	0	0	0	1,254	05/01/2044	1
3140JA-GD-4	FN BMS595 - RMBS		09/01/2019	Paydown		36,233	36,233	36,629	36,629	0	(396)	0	(396)	0	36,233	0	0	0	542	08/01/2044	1
3140JA-GE-2	FN BMS596 - RMBS		09/01/2019	Paydown		266,758	266,758	269,780	269,780	0	(3,022)	0	(3,022)	0	266,758	0	0	0	3,933	06/01/2047	1
3140J7-SR-4	FN CA0855 - RMBS		09/01/2019	Paydown		186,746	186,746	191,123	191,123	0	(4,377)	0	(4,377)	0	186,746	0	0	0	2,656	12/01/2047	1
3140J8-K4-6	FN CA1214 - RMBS		09/01/2019	Paydown		603,393	603,393	632,432	632,432	0	(29,038)	0	(29,038)	0	603,393	0	0	0	12,005	02/01/2048	1
3140J9-3M-3	FN CA2603 - RMBS		09/01/2019	Paydown		27,898	27,898	27,829	27,829	0	69	0	69	0	27,898	0	0	0	387	10/01/2048	1
3140JA-G8-7	FN CA2922 - RMBS		09/01/2019	Paydown		22,317	22,317	22,317	22,317	0	50	0	50	0	22,317	0	0	0	323	12/01/2048	1
3140JA-NJ-5	FN CA3092 - RMBS		09/01/2019	Paydown		552,592	552,592	577,243	577,243	0	(24,651)	0	(24,651)	0	552,592	0	0	0	10,747	02/01/2049	1
31417A-JK-6	FN AB3865 - RMBS		09/01/2019	Paydown		1,814	1,814	1,935	1,926	0	(112)	0	(112)	0	1,814	0	0	0	42	11/01/2041	1
31417C-JL-0	FN AB5666 - RMBS		09/01/2019	Paydown		3,828	3,828	3,959	3,954	0	(126)	0	(126)	0	3,828	0	0	0	84	07/01/2042	1
31417D-F7-3	FN AB6489 - RMBS		09/01/2019	Paydown		41,313	41,313	41,074	41,081	0	232	0	232	0	41,313	0	0	0	833	10/01/2042	1
31417E-CS-8	FN AB7280 - RMBS		09/01/2019	Paydown		5,554	5,554	5,521	5,522	0	31	0	31	0	5,554	0	0	0	115	12/01/2042	1
31417G-SW-2	FN AB9860 - RMBS		09/01/2019	Paydown		1,083	1,083	1,077	1,077	0	6	0	6	0	1,083	0	0	0	21	07/01/2043	1
31418C-2M-5	FN MA3479 - RMBS		09/01/2019	Paydown		25,935	25,935	25,871	25,871	0	64	0	64	0	25,935	0	0	0	333	09/01/2048	1
31418C-CH-5	FN MA2771 - RMBS		09/01/2019	Paydown		30,866	30,866	30,848	30,848	0	18	0	18	0	30,866	0	0	0	388	10/01/2046	1
31418C-DL-5	FN MA2806 - RMBS		09/01/2019	Paydown		70,851	70,851	70,810	70,810	0	42	0	42	0	70,851	0	0	0	887	11/01/2046	1
31418C-SF-2	FN MA3217 - RMBS		09/01/2019	Paydown		13,490	13,490	13,477	13,478	0	12	0	12	0	13,490	0	0	0	225	12/01/2032	1
31418C-UB-8	FN MA3277 - RMBS		09/01/2019	Paydown		155,542	155,542	159,758	159,673	0	(4,132)	0	(4,132)	0	155,542	0	0	0	4,230	02/01/2048	1
31418C-ZV-9	FN MA3455 - RMBS		09/01/2019	Paydown		206,791	206,791	206,282	206,282	0	509	0	509	0	206,791	0	0	0	2,640	08/01/2048	1
35563P-GG-8	SCRT 183SC MA - CMO		09/01/2019	Paydown		136,795	136,795	136,053	136,057	0	738	0	738	0	136,795	0	0	0	3,165	08/27/2057	1
35563P-JF-7	FH 191SC MA - CMO		09/01/2019	Paydown		160,950	160,950	161,874	161,874	0	(924)	0	(924)	0	160,950	0	0	0	2,826	07/25/2058	1
35563P-KG-3	SCRT 192SC MA - CMO		09/01/2019	Paydown		46,364	46,364	47,074	47,074	0	(710)	0	(710)	0	46,364	0	0	0	545	08/26/2058	1
35563P-LH-0	SCRT 193SC MA - CMO		09/01/2019	Paydown		64,663	64,663	67,590	67,590	0	(2,927)	0	(2,927)	0	64,663	0	0	0	282	10/25/2058	1
59261A-UZ-8	METROPOLITAN TRANSN AUTH N Y REV		08/15/2019	Maturity @ 100.00		820,000	820,000	849,979	832,067	0	(12,067)	0	(12,067)	0	820,000	0	0	0	24,600	08/15/2019	1FE
60637B-RG-7	MISSOURI ST HS DEV COMMN SINGLE FAMILY		09/03/2019	Call @ 100.00		5,000	5,000	4,913	4,915	0	1	0	1	0	4,916	0	84	84	97	11/01/2048	1FE
646066-GZ-7	NEW JERSEY ST EDL FACS AUTH REV		07/01/2019	Maturity @ 100.00		40,000	40,000	45,963	40,782	0	(782)	0	(782)	0	40,000	0	0	0	2,000	07/01/2019	1FE
717817-TR-1	PHILADELPHIA PA ARPT REV		07/01/2019	Maturity @ 100.00		160,000	160,000	167,942	162,618	0	(2,618)	0	(2,618)	0	160,000	0	0	0	8,000	07/01/2019	1FE
71781X-DP-5	PHILADELPHIA PA AUTH FOR INDL DEV REVS		08/15/2019	WELLS FARGO, N.A./SIG		59,092	59,092	57,187	56,503	0	(404)	0	(404)	0	56,099	0	2,993	2,993	2,000	05/01/2031	2FE
71781X-DR-1	PHILADELPHIA PA AUTH FOR INDL DEV REVS		08/15/2019	WELLS FARGO, N.A./SIG		70,328	60,000	68,026	67,266	0	(449)	0	(449)	0	66,817	0	3,512	3,512	2,400	05/01/2033	2FE
71781X-DS-9	PHILADELPHIA PA AUTH FOR INDL DEV REVS		08/15/2019	JP MORGAN SECS INC, -		35,044	30,000	33,878	33,512	0	(217)	0	(217)	0	33,295	0	1,749	1,749	1,200	05/01/2034	2FE
71781X-DU-4	PHILADELPHIA PA AUTH FOR INDL DEV REVS		08/15/2019	JP MORGAN SECS INC, -		35,020	30,000	33,637	33,295	0	(202)	0	(202)	0	33,092	0	1,928	1,928	1,200	05/01/2036	2FE
71781X-DV-2	PHILADELPHIA PA AUTH FOR INDL DEV REVS		08/15/2019	JP MORGAN SECS INC, -		46,566	40,000	44,743	44,297	0	(264)	0	(264)	0	44,033	0	2,533	2,533	1,600	05/01/2037	2FE
31999999	Subtotal - Bonds - U.S. Special Revenues					15,172,087	15,136,037	15,472,819	3,325,747	0	(278,678)	0	(278,678)	0	15,159,289	0	12,798	12,798	269,490	XXX	XXX
00130H-BT-1	AES CORP		08/30/2019	Call @ 101.62		118,901	117,000	117,713	114,368	3,310	(99)	0	3,211	0	117,578	0	1,323	1,323	3,140	05/15/2023	3FE
00192M-AB-5	ARIFL 17A A2 - ABS		09/15/2019	Paydown</																	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)	
11042T-AA-1	BRITISH AIRWAYS PASS THRU TRUST 2018-1AA	C	09/20/2019	Paydown		5,948	5,948	5,948	5,948	.0	.0	.0	.0	.0	5,948	.0	.0	.0	.0	.0	.03/20/2033	1FE
12543P-AK-9	CIHL 0621 A10 - CMO/RMBS		09/01/2019	Paydown		569	675	567	567	22	(19)	.0	2	.0	569	.0	.0	.0	.0	.0	.02/25/2037	4FM
233293-AP-4	DPL INC		07/29/2019	MORGAN STANLEY CO		2,937,403	3,000,000	2,995,440	.0	.0	101	.0	101	.0	2,995,541	.0	(58,138)	(58,138)	.0	37,565	.04/15/2029	3FE
29373F-AB-0	EFF 182 A2 - ABS		09/20/2019	Paydown		184,540	184,540	184,512	184,514	.0	.0	26	.0	.0	184,540	.0	.0	.0	.0	3,859	.02/20/2024	1FE
30298L-AE-1	FRESB 19SB65 A1H - CMBS		09/01/2019	Paydown		309	309	311	.0	.0	(1)	.0	(1)	.0	309	.0	.0	.0	.0	.1	.05/25/2039	1FE
319963-BN-3	FIRST DATA CORP		07/31/2019	Call @ 102.69		1,879,190	1,830,000	1,864,281	1,797,975	63,813	(5,671)	.0	58,142	.0	1,856,117	.0	23,073	23,073	.0	95,084	.08/15/2023	3FE
32008D-AA-4	FIRST DATA CORP		07/31/2019	Call @ 102.50		2,255,000	2,200,000	2,211,000	2,117,500	93,115	(1,083)	.0	92,031	.0	2,209,531	.0	45,469	45,469	.0	115,500	.01/15/2024	3FE
404121-AE-5	HCA INCHCAYUSUS		07/05/2019	VARIOUS		1,642,980	1,500,000	1,587,188	1,537,500	34,563	(10,755)	.0	23,808	.0	1,561,308	.0	(61,308)	(61,308)	.0	213,969	.03/15/2022	2FE
40537X-AA-3	HLA 131 A1 - CDO		07/15/2019	Paydown		764,696	764,696	764,696	764,696	.0	.0	.0	.0	.0	764,696	.0	.0	.0	.0	21,778	.04/15/2025	1FE
49327M-2P-8	KEYBANK NA		08/22/2019	Maturity @ 100.00		260,000	260,000	259,917	259,980	.0	20	.0	20	.0	260,000	.0	.0	.0	.0	4,160	.08/22/2019	1FE
67106M-AN-3	OFSSB 7R AR - CDO		07/18/2019	Paydown		691,035	690,206	690,206	.0	.0	829	.0	829	.0	691,035	.0	.0	.0	.0	12,473	.10/19/2026	1FE
748767-AF-7	QUINTILES TRANSNATIONAL CORP		08/23/2019	Call @ 102.44		3,006,555	2,935,000	3,001,038	.0	.0	(6,393)	.0	(6,393)	.0	2,994,644	.0	11,911	11,911	.0	112,478	.05/15/2023	3FE
96328D-BF-0	WHLB 181 A2 - ABS		09/20/2019	Paydown		63,384	63,384	63,379	63,379	.0	5	.0	5	.0	63,384	.0	.0	.0	.0	1,297	.04/20/2027	1FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					14,321,032	14,063,108	14,256,305	6,923,376	194,823	(22,605)	0	172,218	0	14,215,723	0	(37,671)	(37,671)	0	635,586	XXX	XXX
8399997	Total - Bonds - Part 4					36,806,959	36,437,212	37,127,139	12,345,295	194,823	(332,481)	0	(137,659)	0	36,671,747	0	(7,768)	(7,768)	0	1,013,164	XXX	XXX
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					36,806,959	36,437,212	37,127,139	12,345,295	194,823	(332,481)	0	(137,659)	0	36,671,747	0	(7,768)	(7,768)	0	1,013,164	XXX	XXX
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999	Totals					36,806,959	XXX	37,127,139	12,345,295	194,823	(332,481)	0	(137,659)	0	36,671,747	0	(7,768)	(7,768)	0	1,013,164	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**NONE**



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Arcadian Health Plan Inc.

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
	UNITED STATES TREASURY					12,087,295	0	2,420
0199999	Subtotal - Bonds - U.S. Governments - Issuer Obligations			0.000	10/22/2019	12,087,295	0	2,420
0599999	Total - U.S. Government Bonds					12,087,295	0	2,420
1099999	Total - All Other Government Bonds					0	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999	Total - U.S. Political Subdivisions Bonds					0	0	0
	FEDERAL HOME LOAN BANKS		09/25/2019	0.000	10/04/2019	17,297,188	0	5,622
	FEDERAL HOME LOAN BANKS		09/20/2019	0.000	10/18/2019	24,976,937	0	14,912
	FEDERAL HOME LOAN BANKS		09/25/2019	0.000	10/22/2019	7,991,225	0	2,505
2599999	Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations					50,265,350	0	23,039
3199999	Total - U.S. Special Revenues Bonds					50,265,350	0	23,039
	Apple Inc.		09/26/2019	0.000	10/21/2019	11,637,702	0	3,074
	AutoZone, Inc.		09/26/2019	0.000	10/04/2019	4,999,125	0	1,458
	Berkshire Hathaway Energy Company		09/23/2019	0.000	10/07/2019	6,072,823	0	2,903
	Cummins Inc.		09/26/2019	0.000	10/29/2019	9,984,444	0	2,778
	John Deere Canada LLC		09/26/2019	0.000	10/24/2019	9,987,478	0	2,722
	Duke Energy Corporation		09/26/2019	0.000	10/11/2019	19,988,167	0	5,917
	EXXON MOBIL CORP		09/24/2019	0.000	10/23/2019	29,963,700	0	11,550
	The Hershey Company		09/27/2019	0.000	10/24/2019	5,692,899	0	1,235
	The Kroger Co.		09/30/2019	0.000	10/07/2019	24,991,042	0	1,493
	Novartis Finance Corporation		09/25/2019	0.000	10/28/2019	14,978,063	0	4,875
	PPL Capital Funding, Inc.		09/24/2019	0.000	10/21/2019	19,475,408	0	8,607
	Rogers Communications Inc.		09/27/2019	0.000	10/17/2019	21,428,836	0	5,291
	Simon Property Group, L.P.		09/23/2019	0.000	10/11/2019	24,986,667	0	10,667
	Union Pacific Corporation		09/23/2019	0.000	10/07/2019	24,991,250	0	11,667
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					229,177,604	0	74,236
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					229,177,604	0	74,236
4899999	Total - Hybrid Securities					0	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999	Subtotal - SVO Identified Funds					0	0	0
6599999	Subtotal - Bank Loans					0	0	0
7799999	Total - Issuer Obligations					291,530,249	0	99,695
7899999	Total - Residential Mortgage-Backed Securities					0	0	0
7999999	Total - Commercial Mortgage-Backed Securities					0	0	0
8099999	Total - Other Loan-Backed and Structured Securities					0	0	0
8199999	Total - SVO Identified Funds					0	0	0
8299999	Total - Bank Loans					0	0	0
8399999	Total Bonds					291,530,249	0	99,695
261908-10-7	DREYFUS TRS OBS CM INST	SD	09/09/2019	1.760		1	1	1
31846V-54-2	FIRST AMER: TRS OBS Z	SD	09/04/2019	1.790		5	0	0
31846V-88-0	FIRST AMER: TRS OBS A	SD	09/04/2019	1.230		35,589	41	281
4812C2-23-9	JPMORGAN:US TRS+MM CAP		09/27/2019	1.760		20,293,000	42,637	0
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					20,328,595	42,678	282
990220-47-7	FBS CITIZENS NA CASH SWEEP	SD	07/31/2019	0.000		21	0	21
8699999	Subtotal - All Other Money Market Mutual Funds					21	0	21
8899999	Total Cash Equivalents					311,858,864	42,678	99,998