

**QUARTERLY STATEMENT**

**OF THE**

of \_\_\_\_\_  
in the state of \_\_\_\_\_

**TO THE**

**Insurance Department**

**OF THE**

**STATE OF**

**FOR THE QUARTER ENDED**

**March 31, 2016**

**HEALTH**

**2016**



47155201620100101

# QUARTERLY STATEMENT

AS OF MARCH 31, 2016  
OF THE CONDITION AND AFFAIRS OF THE  
**Delta Dental Plan of Arkansas, Inc.**

NAIC Group Code 0000 , 0000 NAIC Company Code 47155 Employer's ID Number 71-0561140  
(Current Period) (Prior Period)

Organized under the Laws of Arkansas , State of Domicile or Port of Entry Arkansas

Country of Domicile United States  
Licensed as business type Life, Accident & Health [ ] Property/Casualty [ ] Hospital, Medical & Dental Service or Indemnity [ X ]  
Dental Service Corporation [ ] Vision Service Corporation [ ] Health Maintenance Organization [ ]  
Other [ ] Is HMO Federally Qualified? Yes [ ] No [ ]

Incorporated/Organized March 15, 1982 Commenced Business August 1, 1982

Statutory Home Office 1513 Country Club Road , Sherwood, AR US 72120  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1513 Country Club Road (Street and Number)  
Sherwood, AR US 72120 501-835-3400  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1513 Country Club Road , Sherwood, AR US 72120  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1513 Country Club Road Sherwood, AR US 72120 501-835-3400  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.deltadentalar.com

Statutory Statement Contact Phyllis Lynn Rogers 501-992-1616  
(Name) (Area Code) (Telephone Number) (Extension)  
progers@deltadentalar.com 501-992-1617  
(E-Mail Address) (Fax Number)

## OFFICERS

	Name	Title
1.	<u>Eddie Allen Choate</u>	<u>President and CEO</u>
2.	<u>Mel Taylor Collazo</u>	<u>Vice Chair and Secretary</u>
3.	<u>Phillip Wayne Cox</u>	<u>Treasurer</u>

## VICE-PRESIDENTS

Name	Title	Name	Title
<u>Ina Lynn Harbert</u>	<u>Senior Vice President and COO</u>	<u>Phyllis Lynn Rogers</u>	<u>Senior Vice President and CFO</u>
<u>Allen Dale Moore</u>	<u>Vice President of Information Technology</u>	<u>James Wayne Couch</u>	<u>Vice President and General Counsel</u>
<u>Ashley Lynne Riddle</u>	<u>VP of Sales &amp; Account Management</u>	<u>Robert Allen Mason</u>	<u>Vice President of Professional Relations</u>
<u>Kelly Terese Carney</u>	<u>Vice President of Human Resources</u>	<u>Ebb Weldon Johnson</u>	<u>VP, Exec Director, DDAR Foundation, Publi</u>
<u>David Edward Hawsey #</u>	<u>VP, Marketing</u>		

## DIRECTORS OR TRUSTEES

<u>James Talbert Johnston</u>	<u>Susan Jane Fletcher Smith</u>	<u>Mel Taylor Collazo</u>	<u>Ronald Paul Ownbey</u>
<u>Terri Anderson Miller</u>	<u>Troy John Dryden Bartels</u>	<u>Sarah Jean Clark</u>	<u>Granville Wayne Callahan, Sr.</u>
<u>Robbins Mark Bailey</u>	<u>Phillip Wayne Cox</u>	<u>Joseph Wood Thompson #</u>	

State of Arkansas

County of Pulaski ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>(Signature)</u> <u>Eddie Allen Choate</u>	<u>(Signature)</u> <u>Mel Taylor Collazo</u>	<u>(Signature)</u> <u>Not Required</u>
<u>(Printed Name)</u> <u>1.</u>	<u>(Printed Name)</u> <u>2.</u>	<u>(Printed Name)</u> <u>3.</u>
<u>President and CEO</u>	<u>Vice Chair and Secretary</u>	<u>Treasurer</u>
<u>(Title)</u>	<u>(Title)</u>	<u>(Title)</u>

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 2016

a. Is this an original filing? [ X ] Yes [ ] No

b. If no: 1. State the amendment number \_\_\_\_\_

2. Date filed \_\_\_\_\_

3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	27,256,193		27,256,193	25,739,450
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	34,501,645	15,075,851	19,425,794	20,426,057
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances)	8,519,571		8,519,571	8,573,054
4.2 Properties held for the production of income (less \$ 0 encumbrances)				
4.3 Properties held for sale (less \$ 0 encumbrances)				
5. Cash (\$ 5,738,655), cash equivalents (\$ 0), and short-term investments (\$ 43,898)	5,782,553		5,782,553	26,911,036
6. Contract loans (including \$ 0 premium notes)				
7. Derivatives				
8. Other invested assets	4,884,008		4,884,008	4,888,436
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets	1,409,626		1,409,626	1,410,650
12. Subtotals, cash and invested assets (Lines 1 to 11)	82,353,596	15,075,851	67,277,745	87,948,683
13. Title plants less \$ 0 charged off (for Title insurers only)				
14. Investment income due and accrued	78,253		78,253	70,655
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,392,015		3,392,015	1,122,177
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$ 0) and contracts subject to redetermination (\$ 0)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	12,009,249		12,009,249	8,160,150
17. Amounts receivable relating to uninsured plans	13,948,888		13,948,888	4,360,144
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	633,786	210,965	422,821	314,734
21. Furniture and equipment, including health care delivery assets (\$ 0)	107,061	107,061		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				2,338
24. Health care (\$ 0) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	970,648	970,648		151,406
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	113,493,496	16,364,525	97,128,971	102,130,287
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	113,493,496	16,364,525	97,128,971	102,130,287

DETAILS OF WRITE-IN LINES				
1101. Deferred Compensation - 457	1,409,626		1,409,626	1,410,650
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	1,409,626		1,409,626	1,410,650
2501. Prepaid Expenses & Deposits	675,023	675,023		
2502. Miscellaneous Receivable	295,625	295,625		151,406
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	970,648	970,648		151,406

## LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 137,605 reinsurance ceded)	6,928,597		6,928,597	9,883,110
2. Accrued medical incentive pool and bonus amounts				
3. Unpaid claims adjustment expenses	172,882		172,882	174,923
4. Aggregate health policy reserves, including the liability of \$ 0 for medical loss ratio rebate per the Public Health Service Act				
5. Aggregate life policy reserves				
6. Property/casualty unearned premium reserve				
7. Aggregate health claim reserves				
8. Premiums received in advance	4,642,661		4,642,661	2,521,567
9. General expenses due or accrued	13,854,568		13,854,568	11,233,632
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))				
10.2 Net deferred tax liability				
11. Ceded reinsurance premiums payable	1,026,591		1,026,591	963,996
12. Amounts withheld or retained for the account of others	1,910,598		1,910,598	1,781,992
13. Remittances and items not allocated				
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)				
15. Amounts due to parent, subsidiaries and affiliates	1,220,984		1,220,984	3,040,694
16. Derivatives				
17. Payable for securities				
18. Payable for securities lending				
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 0 unauthorized reinsurers, and \$ 0 certified reinsurers)				
20. Reinsurance in unauthorized and certified (\$ 0) companies	137,605		137,605	107,589
21. Net adjustments in assets and liabilities due to foreign exchange rates				
22. Liability for amounts held under uninsured plans	1,850,013		1,850,013	1,832,013
23. Aggregate write-ins for other liabilities (including \$ 0 current)				
24. Total liabilities (Lines 1 to 23)	31,744,499		31,744,499	31,539,516
25. Aggregate write-ins for special surplus funds	X X X	X X X	970,743	970,743
26. Common capital stock	X X X	X X X		
27. Preferred capital stock	X X X	X X X		
28. Gross paid in and contributed surplus	X X X	X X X		
29. Surplus notes	X X X	X X X		
30. Aggregate write-ins for other than special surplus funds	X X X	X X X		
31. Unassigned funds (surplus)	X X X	X X X	64,413,730	69,620,028
32. Less treasury stock, at cost:				
32.1 0 shares common (value included in Line 26 \$ 0)	X X X	X X X		
32.2 0 shares preferred (value included in Line 27 \$ 0)	X X X	X X X		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	65,384,473	70,590,771
34. Total liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	97,128,972	102,130,287

DETAILS OF WRITE-IN LINES				
2301.				
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page				
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)				
2501. Affordable Care Act Section 9010 Fee Assessment - 2015	X X X	X X X	920,743	920,743
2502. Surplus required by the Arkansas Insurance Department	X X X	X X X	50,000	50,000
2503.	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X	970,743	970,743
3001. Surplus required by the Arkansas Insurance Department	X X X	X X X		
3002.	X X X	X X X		
3003.	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X		

NONE

## STATEMENT OF REVENUE AND EXPENSES

	Current Year		Prior Year	Prior Year Ended
	To Date		To Date	December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	X X X	803,915	752,377	3,088,937
2. Net premium income (including \$ 0 non-health premium income)	X X X	39,983,126	37,698,091	152,948,522
3. Change in unearned premium reserves and reserve for rate credits	X X X	4,124	2,253	1,817
4. Fee-for-service (net of \$ 0 medical expenses)	X X X			
5. Risk revenue	X X X			
6. Aggregate write-ins for other health care related revenues	X X X			
7. Aggregate write-ins for other non-health revenues	X X X	117,042	129,707	470,684
8. Total revenues (Lines 2 to 7)	X X X	40,104,292	37,830,051	153,421,023
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits		24,434,437	21,666,382	92,178,530
10. Other professional services				
11. Outside referrals				
12. Emergency room and out-of-area				
13. Prescription drugs				
14. Aggregate write-ins for other hospital and medical				
15. Incentive pool, withhold adjustments and bonus amounts				
16. Subtotal (Lines 9 to 15)		24,434,437	21,666,382	92,178,530
<b>Less:</b>				
17. Net reinsurance recoveries		(7,149,827)	(6,350,293)	(28,384,276)
18. Total hospital and medical (Lines 16 minus 17)		31,584,264	28,016,675	120,562,806
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$ 395,489 cost containment expenses		2,751,511	1,905,495	9,559,022
21. General administrative expenses		7,788,254	6,927,785	22,678,394
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only)				
23. Total underwriting deductions (Lines 18 through 22)		42,124,029	36,849,955	152,800,222
24. Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	(2,019,737)	980,096	620,801
25. Net investment income earned		78,498	77,253	477,583
26. Net realized capital gains (losses) less capital gains tax of \$ 0		70,623	55,513	615,105
27. Net investment gains (losses) (Lines 25 plus 26)		149,121	132,766	1,092,688
28. Net gain or (loss) from agents' or premium balances charged off [ (amount recovered \$ 0) (amount charged off \$ 0) ]				
29. Aggregate write-ins for other income or expenses				
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	(1,870,616)	1,112,862	1,713,489
31. Federal and foreign income taxes incurred	X X X			
32. Net income (loss) (Lines 30 minus 31)	X X X	(1,870,616)	1,112,862	1,713,489

DETAILS OF WRITE-IN LINES				
0601. ....	X X X			
0602. ....	X X X			
0603. ....	X X X			
0698. Summary of remaining write-ins for Line 06 from overflow page	X X X			
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 06 above)	X X X			
0701. Miscellaneous Income	X X X	117,042	129,707	470,684
0702. ....	X X X			
0703. ....	X X X			
0798. Summary of remaining write-ins for Line 07 from overflow page	X X X			
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 07 above)	X X X	117,042	129,707	470,684
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page				
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)				
2901. ....				
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page				
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)				

## STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year	70,590,774	64,809,188	64,809,188
34. Net income or (loss) from Line 32	(1,870,616)	1,112,862	1,713,489
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	(804,656)	630,214	(1,618,760)
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax			
39. Change in nonadmitted assets	(2,501,013)	(1,579,809)	5,658,489
40. Change in unauthorized and certified reinsurance	(30,016)	25,507	28,368
41. Change in treasury stock			
42. Change in surplus notes			
43. Cumulative effect of changes in accounting principles			
44. Capital Changes:			
44.1 Paid in			
44.2 Transferred from surplus (Stock Dividend)			
44.3 Transferred to surplus			
45. Surplus adjustments:			
45.1 Paid in			
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders			
47. Aggregate write-ins for gains or (losses) in surplus			
48. Net change in capital and surplus (Lines 34 to 47)	(5,206,301)	188,774	5,781,586
49. Capital and surplus end of reporting period (Line 33 plus 48)	65,384,473	64,997,962	70,590,774

DETAILS OF WRITE-IN LINES			
4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page			
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)			

NONE

**CASH FLOW**

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance	36,195,946	37,377,941	157,947,451
2. Net investment income	46,102	138,216	748,113
3. Miscellaneous income	131,316	131,505	452,553
4. Total (Lines 1 to 3)	36,373,364	37,647,662	159,148,117
5. Benefit and loss related payments	32,275,087	27,796,639	118,820,093
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	19,725,691	17,520,183	31,239,161
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)			
10. Total (Lines 5 through 9)	52,000,778	45,316,822	150,059,254
11. Net cash from operations (Line 4 minus Line 10)	(15,627,414)	(7,669,160)	9,088,863
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	338,416	1,720,201	11,441,507
12.2 Stocks			9,924,514
12.3 Mortgage loans			
12.4 Real estate			35,241
12.5 Other invested assets	120,572	75,626	672,465
12.6 Net gains (or losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	458,988	1,795,827	22,073,727
13. Cost of investments acquired (long-term only):			
13.1 Bonds	1,836,417	1,867,600	14,763,379
13.2 Stocks	2,371,976		6,636,759
13.3 Mortgage loans			
13.4 Real estate		2,195	2,195
13.5 Other invested assets	58,072	13,126	422,466
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	4,266,465	1,882,921	21,824,799
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(3,807,477)	(87,094)	248,928
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(1,693,593)	(525,684)	1,819,910
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1,693,593)	(525,684)	1,819,910
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(21,128,484)	(8,281,938)	11,157,701
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	26,911,037	15,753,336	15,753,336
19.2 End of period (Line 18 plus Line 19.1)	5,782,553	7,471,398	26,911,037

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
20.0002			
20.0003			

## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	264,229				46,820	217,409				
2. First Quarter	267,948				47,772	220,176				
3. Second Quarter										
4. Third Quarter										
5. Current Year										
6. Current Year Member Months	803,915				142,812	661,103				
Total Member Ambulatory Encounters for Period:										
7. Physician										
8. Non-Physician										
9. Totals										
10. Hospital Patient Days Incurred										
11. Number of Inpatient Admissions										
12. Health Premiums Written (a)	31,749,231				1,837,999	29,911,232				
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	31,749,231				1,837,999	29,911,232				
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	27,435,932				1,004,851	26,431,081				
18. Amount Incurred for Provision of Health Care Services	24,434,437				1,064,882	23,369,555				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 0



## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5  Claims Incurred in Prior Years (Columns 1 + 3)	6  Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1  On Claims Incurred Prior to January 1 of Current Year	2  On Claims Incurred During the Year	3  On Claims Unpaid Dec. 31 of Prior Year	4  On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)						
2. Medicare Supplement						
3. Dental only	6,808,512	27,227,840	581,939	6,209,053	7,390,451	9,775,521
4. Vision only	105,529	396,896		137,605	105,529	107,589
5. Federal Employees Health Benefits Plan						
6. Title XVIII - Medicare						
7. Title XIX - Medicaid						
8. Other health						
9. Health subtotal (Lines 1 to 8)	6,914,041	27,624,736	581,939	6,346,658	7,495,980	9,883,110
10. Health care receivables (a)						
11. Other non-health						
12. Medical incentive pools and bonus amounts						
13. Totals (Lines 9 - 10 + 11 + 12)	6,914,041	27,624,736	581,939	6,346,658	7,495,980	9,883,110

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

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## NOTES TO FINANCIAL STATEMENTS

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### **Note 1: Summary of Significant Accounting Policies**

**A. Accounting Practices**

No Change.

**B. Use of Estimates in the Preparation of the Financial Statements**

No Change.

**C. Accounting Policy**

- (1) No Change
- (2) No Change
- (3) No Change
- (4) No Change
- (5) No Change
- (6) No Change
- (7) No Change
- (8) No Change
- (9) No Change
- (10) No Change
- (11) No Change
- (12) No Change
- (13) No Change

### **Note 2: Accounting Changes and Corrections of Errors**

- A. No Change

### **Note 3: Business Combinations and Goodwill**

- A. No Change
- B. No Change
- C. No Change
- D. No Change

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## NOTES TO FINANCIAL STATEMENTS

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### **Note 4: Discontinued Operations**

No Change

### **Note 5: Investments**

- A. No Change
- B. No Change
- C. No Change
- D. No Change
- E. No Change
- F. No Change
- G. No Change
- H. No Change
- I. Working Capital Finance Investments – N/A

### **Note 6: Joint Ventures, Partnerships and Limited Liability Companies**

No Change

### **Note 7: Investment Income**

- A. No Change
- B. No Change

### **Note 8: Derivative Instruments**

No Change

### **Note 9: Income Taxes**

- A. No Change
- B. No Change

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## NOTES TO FINANCIAL STATEMENTS

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- C. No Change
- D. No Change
- E. No Change
- F. No Change

### **Note 10: Information Concerning Parent, Subsidiaries and Affiliates**

- A. No Change
- B. No Change
- C. No Change
- D. At March 31, 2016, the Company reported \$0 due from subsidiary, Omega Administrators, Inc. (OAI), \$0 from subsidiary, Delta Dental of Arkansas Foundation, Inc. and \$1,130,568 due to the affiliate, Delta Dental of Michigan (DDMI) and \$90,415 due to the affiliate, Renaissance Life and Health Insurance Company (RLHIA), respectively. These amounts will be settled within thirty days of the report date.
- E. No Change
- F. No Change
- G. No Change
- H. No Change
- I. No Change
- J. No Change
- K. No Change
- L. No Change

### **Note 11: Debt**

No Change

### **Note 12: Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

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## NOTES TO FINANCIAL STATEMENTS

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A Nonqualified 457(b) Retirement Plan was established by the Company on April 1, 2003, "as an inducement and motivation to its key managerial and highly compensated employees and its Board of Directors." Participation in the plan is determined at the sole discretion of the Company's Board of Directors. At March 31, 2016, plan assets totaled \$1,409,626 and plan liabilities totaled \$1,409,626 resulting in gains (losses) reported in the statutory statements of activities of \$0.

- A. No Change
- B. No Change
- C. No Change
- D. No Change
- E. No Change
- F. No Change

### **Note 13: Capital and Surplus, Shareholders' Dividend Restrictions and Quasi- Reorganizations**

- (1) No Change
- (2) No Change
- (3) No Change
- (4) No Change
- (5) No Change
- (6) No Change
- (7) No Change
- (8) No Change
- (9) No Change
- (10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$3,578,556 as of March 31, 2016.
- (11) No Change
- (12) No Change
- (13) No Change

### **Note 14: Contingencies**

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## NOTES TO FINANCIAL STATEMENTS

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- A. No Change
- B. No Change
- C. No Change
- D. No Change
- E. No Change

**Note 15: Leases**

No Change

**Note 16: Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No Change

**Note 17: Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities**

No Change

**Note 18: Gain or Loss to the Reporting Entity From Uninsured Plans and From the Uninsured Portion of Partially Insured Plans**

- A. No Change
- B. The gain from operations from Administrative Services Contract (ASC) uninsured plans and the uninsured portion of partially insured plans were as follows for the years ended March 31, 2016 and 2015:

	<b>2016</b>	<b>2015</b>
Gross reimbursement for medical costs incurred	\$ 79,691,430	\$ 67,265,726
Gross administrative fees accrued	3,758,804	3,285,057
Gross expenses incurred (claims and administrative)	(83,450,234)	(70,910,782)
Total net gain or loss from operations	\$ 0	\$ 0

- C. No Change

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**NOTES TO FINANCIAL STATEMENTS**


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**Note 19: Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators**

No Change

**Note 20: Fair Value Measurements**

## A. Fair Value Measurements at Reporting Date

(1) Description	(2) Level 1	(3) Level 2	(4) Level 3	(5) Total
Assets at Fair Value				
Cash and ST Investments				
Cash	\$5,493,655	\$0		\$5,493,655
Money Markets	43,898			43,898
Certificates of deposit		245,000		245,000
Bonds				
US Treasury		14,708,655		14,708,655
US Gov't Agencies		5,050,788		5,050,788
Industrial and Misc	2,228,326	816,533		3,044,859
Mutual Funds				
Industrial and Misc	<u>\$10,808,707</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$10,808,707</u>
<b>Total Assets at Fair Value</b>	<b><u>\$18,574,586</u></b>	<b><u>\$20,820,976</u></b>	<b><u>\$ 0</u></b>	<b><u>\$39,395,562</u></b>

## B. Other Fair Value Measurements

## C. Fair Value Measurements Aggregate

(1) Type of Financial Instrument	(2) Aggregate Fair Value	(3) Admitted Assets	(4) Level 1	(4) Level 2	(4) Level 3	(5) Not Practicable
Cash and ST Inv	\$ 5,782,553	\$ 5,782,553	\$ 5,537,553	\$ 245,000		
Bonds	22,804,302	27,256,193	2,228,326	20,575,976		
Mutual Funds	<u>10,808,707</u>	<u>14,100,597</u>	<u>10,808,707</u>			
<b>Total</b>	<b><u>\$39,325,562</u></b>	<b><u>\$47,139,343</u></b>	<b><u>\$18,574,586</u></b>	<b><u>\$20,820,976</u></b>	<b><u>\$ 0</u></b>	<b><u>\$ 0</u></b>

## D. No Change

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## NOTES TO FINANCIAL STATEMENTS

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### **Note 21: Other Items**

- A. No Change
- B. No Change
- C. No Change
- D. No Change
- E. No Change
- F. No Change
- G. No Change
- H. Offsetting and Netting of Assets and Liabilities – N/A
- I. Joint and Several Liabilities – N/A
- J. Risk Sharing Provisions of the Affordable Care Act - None

### **Note 22: Events Subsequent**

No Change

### **Note 23: Reinsurance**

- A. No Change
- B. No Change
- C. No Change
- D. No Change

### **Note 24: Retrospectively Rated Contracts and Contracts Subject to Redetermination**

No Change

### **Note 25: Change in Incurred Claims and Claims Adjustment Expenses**

No Change

### **Note 26: Intercompany Pooling Arrangements**

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## NOTES TO FINANCIAL STATEMENTS

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No Change

**Note 27: Structured Settlements**

No Change

**Note 28: Health Care Receivables**

No Change

**Note 29: Participating Policies**

No Change

**Note 30: Premium Deficiency Reserves**

No Change

**Note 31: Anticipated Salvage and Subrogation**

No Change

# GENERAL INTERROGATORIES

## PART 1 – COMMON INTERROGATORIES

### GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]

If yes, complete Schedule Y, Parts 1, and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
 .....  
 .....  
 .....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....
.....	.....	.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]  
 If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. \_\_\_\_\_

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. \_\_\_\_\_

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). \_\_\_\_\_

6.4 By what department or departments?  
 .....  
 .....  
 .....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [ ] N/A [ ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

## GENERAL INTERROGATORIES

7.2 If yes, give full information

.....  
 .....  
 .....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

.....  
 .....  
 .....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	.....	.....	.....	.....

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules, and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

Yes [ X ] No [ ]

9.11 If the response to 9.1 is No, please explain:

.....  
 .....  
 .....

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

.....  
 .....  
 .....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

.....  
 .....  
 .....

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ X ] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ \_\_\_\_\_

### INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ X ]

## GENERAL INTERROGATORIES

11.2 If yes, give full and complete information relating thereto:

.....  
 .....  
 .....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 4,884,008

13. Amount of real estate and mortgages held in short-term investments: \$ \_\_\_\_\_

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ _____	\$ _____
14.22 Preferred Stock .....	\$ _____	\$ _____
14.23 Common Stock .....	\$ <u>13,736,442</u>	\$ <u>13,155,820</u>
14.24 Short-Term Investments .....	\$ _____	\$ _____
14.25 Mortgage Loans on Real Estate .....	\$ _____	\$ _____
14.26 All Other .....	\$ _____	\$ _____
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ <u>13,736,442</u>	\$ <u>13,155,820</u>
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ _____	\$ _____

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?  
 If no, attach a description with this statement. Yes  No

16. For the reporting entity's security lending program, state the amount of the following as current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ _____
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ _____
16.3 Total payable for securities lending reported on the liability page	\$ _____

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Stephens Capital Management	111 Center Street, Little Rock, AR 72201
Intrust Wealth Management	5314 S Yale Avenue, Suite 206, Tulsa, OK 74135
Regions	400 West Capitol, Little Rock, AR 72201

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No

## GENERAL INTERROGATORIES

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
123570	Stephens Capital Management	111 Center Street, Little Rock, AR 72201
110093	Regions - Luther King	400 West Capitol, Little Rock, AR 72201

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes [ X ] No [ ]

18.2 If no, list exceptions:

.....  
 .....  
 .....

## GENERAL INTERROGATORIES

### PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent ..... %
  - 1.2 A&H cost containment percent ..... %
  - 1.3 A&H expense percent excluding cost containment expenses ..... %
- 2.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ \_\_\_\_\_
- 2.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ \_\_\_\_\_

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
<b>NONE</b>								





## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		0	38-1675667				Renaissance Health Service Corporation	MI	UDP					
		0	46-1376165				Renaissance Family Foundation, Inc.	IN	NIA					
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	5800.0	Renaissance Health Service Corporati	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	420.0	Renaissance Health Service Corporati	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Indiana, Inc.	Ownership	580.0	Renaissance Health Service Corporati	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Fore Holding Corporation	Ownership	890.0	Renaissance Health Service Corporati	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental of Kentucky, Inc.	Ownership	590.0	Renaissance Health Service Corporati	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	400.0	Renaissance Health Service Corporati	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	1320.0	Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	61700	47-0397286				Renaissance Life & Health Insurance Company of America	IN	IA	Renaissance Holding Company	Ownership	10000.0	Renaissance Health Service Corporati	
		0	32-0485124				RGL Agency, LLC	IN	NIA	Renaissance Life & Health Insurance Company of Americ	Ownership	10000.0	Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	15638	13-4098096				Renaissance Health Insurance Company of New York	NY	IA	Renaissance Holding Company	Ownership	10000.0	Renaissance Health Service Corporati	
		0	46-4534401				DNS Holding Company, LLC	MI	NIA	Renaissance Holding Company	Ownership	10000.0	Renaissance Health Service Corporati	
		0	32669999				Dansk Tandforsikring Administration ApS	DK	NIA	DNS Holding Company, LLC	Ownership	7483.0	Renaissance Health Service Corporati	
		0	35804161				Global Dental Company A/S	DK	NIA	DNS Holding Company, LLC	Ownership	10000.0	Renaissance Health Service Corporati	
		0	26-2403888				Tesia Clearinghouse, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	10000.0	Renaissance Health Service Corporati	
		0	11-3774096				Renaissance Electronic Services, LLC	MI	NIA	Renaissance Holding Company	Ownership	10000.0	Renaissance Health Service Corporati	
		0	01-0862825				Maverest Dental Network, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	10000.0	Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	54305	38-1791480				Delta Dental Plan of Michigan, Inc.	MI	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	54402	31-0685339				Delta Dental Plan of Ohio, Inc.	OH	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	52634	35-1545647				Delta Dental Plan of Indiana, Inc.	IN	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporati	
		0	38-2337000				Delta Dental Fund dba Delta Dental Foundation	MI	NIA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporati	
		0	46-2667997				Red Cedar Investment Management, LLC	MI	NIA	GLM Holding Company	Board of Directors		Renaissance Health Service Corporati	
		0	47-2557772				GLM Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	7500.0	Renaissance Health Service Corporati	
		0	47-2557772				GLM Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	2500.0	Renaissance Health Service Corporati	
		0	38-3300595				Dewpoint, Inc.	MI	NIA	GLM Holding Company	Ownership	10000.0	Renaissance Health Service Corporati	
		0	38-3638865				Renaissance Systems and Services, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	10000.0	Renaissance Health Service Corporati	
		0	45-4734479				Electronic Lockbox Services, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	10000.0	Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	54526	62-0812197				Delta Dental of Tennessee	TN	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporati	
		0	20-4116122				Fore Holding Corporation	TN	NIA	Delta Dental of Tennessee	Ownership	10000.0	Renaissance Health Service Corporati	
		0	11-3662057				Premier Insurance Services, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	10000.0	Renaissance Health Service Corporati	
		0	20-3349680				Liquid Corn, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	10000.0	Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	47287	85-0224562				Delta Dental Plan of New Mexico, Inc.	NM	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	54674	61-0659432				Delta Dental of Kentucky, Inc.	KY	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporati	
		0	61-1336003				Dental Choice Agency, Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	10000.0	Renaissance Health Service Corporati	
		48127	61-1105118				Dental Choice Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	10000.0	Renaissance Health Service Corporati	
		0					Dental Choice Properties, LLC	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	10000.0	Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	54658	56-1018068				Delta Dental of North Carolina	NC	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporati	
477	Renaissance Health Service Corporation	47155	71-0561140				Delta Dental Plan of Arkansas, Inc.	AR	RE	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporati	
		0	04-3740469				Omega Administrators, Inc.	AR	DS	Delta Dental Plan of Arkansas, Inc.	Ownership	10000.0	Renaissance Health Service Corporati	
		0	26-1569324				Delta Dental of Arkansas Foundation, Inc.	AR	DS	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporati	



## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

**Explanation:**

Question 1: Not applicable

**Bar Code:**



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**OVERFLOW PAGE FOR WRITE-INS**

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**SCHEDULE A - VERIFICATION****Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	8,573,054	8,821,590
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		2,195
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		(1,566)
5. Deduct amounts received on disposals		35,241
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation	53,482	213,924
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)	8,519,572	8,573,054
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	8,519,572	8,573,054

**SCHEDULE B - VERIFICATION****Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE****SCHEDULE BA - VERIFICATION****Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	4,888,436	5,415,969
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition	58,072	422,466
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals	58,072	422,466
7. Deduct amounts received on disposals	120,572	672,465
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		700,000
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	4,884,008	4,888,436
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	4,884,008	4,888,436

**SCHEDULE D - VERIFICATION****Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	58,674,190	59,341,032
2. Cost of bonds and stocks acquired	4,208,393	21,400,138
3. Accrual of discount	9,757	31,710
4. Unrealized valuation increase (decrease)	(805,078)	(1,618,764)
5. Total gain (loss) on disposals	12,551	1,740,963
6. Deduct consideration for bonds and stocks disposed of	338,416	21,366,021
7. Deduct amortization of premium	3,566	8,110
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		846,758
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	61,757,831	58,674,190
11. Deduct total nonadmitted amounts	15,075,849	12,508,699
12. Statement value at end of current period (Line 10 minus Line 11)	46,681,982	46,165,491

## SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

S102

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a)	25,412,234	1,688,145	616,214	7,126	26,491,291			25,412,234
2. NAIC 2 (a)	605,851	203,884		(935)	808,800			605,851
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	26,018,085	1,892,029	616,214	6,191	27,300,091			26,018,085
<b>PREFERRED STOCK</b>								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	26,018,085	1,892,029	616,214	6,191	27,300,091			26,018,085

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated, short-term and cash-equivalent bonds by NAIC designation:

NAIC 1 \$ 0; NAIC 2 \$ 0; NAIC 3 \$ 0; NAIC 4 \$ 0; NAIC 5 \$ 0; NAIC 6 \$ 0

## SCHEDULE DA - PART 1

### Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	43,898	X X X	43,898	6	

## SCHEDULE DA - VERIFICATION

### Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	278,637	121,540
2. Cost of short-term investments acquired	55,612	1,722,023
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	290,349	1,564,926
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	43,900	278,637
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	43,900	278,637

## SCHEDULE DB - PART A - VERIFICATION

### Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year)		
2.	Cost Paid/(Consideration Received) on additions		
3.	Unrealized Valuation increase/(decrease)		
4.	Total gain (loss) on termination recognized	<b>NONE</b>	
5.	Considerations received/(paid) on terminations		
6.	Amortization		
7.	Adjustment to the Book/Adjusted Carrying Value of hedged item		
8.	Total foreign exchange change in Book/Adjusted Carrying Value		
9.	Book/Adjusted Carrying Value at End of Current Period (Lines 1 + 2 + 3 + 4 - 5 + 6 + 7 + 8)		
10.	Deduct nonadmitted assets		
11.	Statement value at end of current period (Line 9 minus Line 10)		

## SCHEDULE DB - PART B - VERIFICATION

### Future Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)		
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)		
3.1	Add:		
	Change in variation margin on open contracts - Highly Effective Hedges		
3.11	Section 1, Column 15, current year to date minus		
3.12	Section 1, Column 15, prior year		
	Change in variation margin on open contracts - All Other		
3.13	Section 1, Column 18, current year to date minus		
3.14	Section 1, Column 18, prior year		
3.2	Add:		
	Change in adjustment to basis of hedged item		
3.21	Section 1, Column 17, current year to date minus		
3.22	Section 1, Column 17, prior year		
	Change in amount recognized		
3.23	Section 1, Column 19, current year to date minus	<b>NONE</b>	
3.24	Section 1, Column 19, prior year		
3.3	Subtotal (Line 3.1 minus Line 3.2)		
4.1	Cumulative variation margin on terminated contracts during the year		
4.2	Less:		
4.21	Amount used to adjust basis of hedged item		
4.22	Amount recognized		
4.3	Subtotal (Line 4.1 minus Line 4.2)		
5.	Dispositions gains (losses) on contracts terminated in prior year:		
5.1	Total gain (loss) recognized for terminations in prior year		
5.2	Total gain (loss) adjusted into the hedged item(s) for terminations in prior year		
6.	Book/Adjusted carrying value at end of current period (Lines 1 + 2 + 3.3 - 4.3 - 5.1 - 5.2)		
7.	Deduct total nonadmitted amounts		
8.	Statement value at end of current period (Line 6 minus Line 7)		

### SCHEDULE DB - PART C - SECTION 1

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replicated (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions							
1	2	3	4	5	6	7	8	Derivative Instrument(s) Open			Cash Instrument(s) Held				
Number	Description	NAIC Designation or Other Description	Notional Amount	Book/Adjusted Carrying Value	Fair Value	Effective Date	Maturity Date	9	10	11	12	13	14	15	16
								Description	Book/Adjusted Carrying Value	Fair Value	CUSIP	Description	NAIC Designation or Other Description	Book/Adjusted Carrying Value	Fair Value
<b>NONE</b>															
9999999	Totals					XXX	XXX	XXX			XXX	XXX	XXX		

505



## SCHEDULE DB VERIFICATION

### Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1. Part A, Section 1, Column 14	_____
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance	_____
3. Total (Line 1 plus Line 2)	_____
4. Part D, Section 1, Column 5	_____
5. Part D, Section 1, Column 6	_____
6. Total (Line 3 minus Line 4 minus Line 5)	_____

**NONE**

Fair Value Check

7. Part A, Section 1, Column 16	_____
8. Part B, Section 1, Column 13	_____
9. Total (Line 7 plus Line 8)	_____
10. Part D, Section 1, Column 8	_____
11. Part D, Section 1, Column 9	_____
12. Total (Line 9 minus Line 10 minus Line 11)	_____

Potential Exposure Check

13. Part A, Section 1, Column 21	_____
14. Part B, Section 1, Column 20	_____
15. Part D, Section 1, Column 11	_____
16. Total (Line 13 plus Line 14 minus Line 15)	_____

## SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of cash equivalents acquired		
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	<b>NONE</b>	
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)		
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		





### SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Ident- ification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Desig- nation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
000000-00-0	CapRocq Core Real Estate Fund	Little Rock	AR	Undefined	UN	12/27/2012			58,072			
1599999	Common Stocks - Joint Venture, Partnership or Limited Liability Interests - Unaffiliated											X X X
4499999	Subtotal Unaffiliated											X X X
4699999	Totals											X X X

EO3

### SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Ident- ification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income				
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.										
000000-00-0	CapRocq Core Real Estate Fund	Little Rock	AR	Undefined	12/27/2012	02/29/2016	62,500							62,500	62,500		58,072	58,072					
1599999	Common Stocks - Joint Venture/Partnership Interests - Unaffiliated											62,500						62,500	62,500		58,072	58,072	
4499999	Total Unaffiliated											62,500						62,500	62,500		58,072	58,072	
4699999	Totals											62,500						62,500	62,500		58,072	58,072	

### SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
084670-BQ-0	Berkshire Hathaway BOND		03/17/2016	Regions Luther King		100,957	100,000.00	43	1
166764-AE-0	Chevron BOND		03/23/2016	Stephens Capital Management		125,816	125,000.00	567	1
17275R-BB-7	Cisco BOND		03/23/2016	Regions Luther King		126,176	125,000.00	167	1
22160K-AG-0	Costco BOND		03/23/2016	Regions Luther King		125,965	125,000.00	267	1
235851-AP-7	Danaher BOND		02/18/2016	Regions Luther King		102,267	100,000.00	1,053	1
30231G-AV-4	Exxon BOND		03/16/2016	Regions Luther King		110,953	110,000.00	122	1
58013M-EL-4	McDonald's Corp Bond		01/07/2016	Regions Luther King		104,246	100,000.00	524	2
58933Y-AC-9	Merck & Co BOND		02/09/2016	Regions Luther King		100,262	100,000.00	37	1
68389X-BA-2	Oracle Corp BOND		02/03/2016	Regions Luther King		102,810	100,000.00	233	1
742718-EN-5	Procter & Gamble BOND		02/09/2016	Regions Luther King		101,320	100,000.00	51	1
773903-AF-6	Rockwell BOND		02/01/2016	Regions Luther King		100,406	100,000.00	860	1
776696-AD-8	ROPER TECH BOND		03/08/2016	Regions Luther King		99,638	100,000.00	596	2
78464A-47-4	SPDR Barclays Shrt Trm Crp Bnd ETF		03/04/2016	Stephens Capital Management		297,332			1FE
92206C-87-0	Vanguard Int Term Corp Bond ETF		03/10/2016	Stephens Capital Management		238,269			1FE
3899999	Total Bonds Industrial and Miscellaneous (Unaffiliated)				X X X	1,836,417	1,285,000.00	4,520	X X X
8399997	Total Bonds Part 3				X X X	1,836,417	1,285,000.00	4,520	X X X
8399998	Summary Item from Part 5 for Bonds				X X X	X X X	X X X	X X X	X X X
8399999	Total Bonds				X X X	1,836,417	1,285,000.00	4,520	X X X
002824-10-0	ABBOTT LABS		03/04/2016	Regions Luther King	1,400.000	54,374			L
00724F-10-1	ADOBE SYSTEMS INC		02/18/2016	Regions Luther King	200.000	16,138			L
009158-10-6	AIR PRODS & CHEMS INC		03/10/2016	VARIOUS	525.000	69,811			L
00971T-10-1	AKAMAI TECHNOLOGIES		02/18/2016	Regions Luther King	300.000	16,056			L
02079K-10-7	ALPHABET INC		03/04/2016	Stephens Capital Management	100.000	70,325			L
023135-10-6	AMAZON.COM STK		03/04/2016	Regions Luther King	125.000	69,967			L
03027X-10-0	AMERICAN TOWER CORPORATION		03/04/2016	Regions Luther King	600.000	55,765			L
037833-10-0	APPLE INC		03/04/2016	Regions Luther King	500.000	50,006			L
00206R-10-2	AT&T INC		03/04/2016	Regions Luther King	1,200.000	44,924			L
060505-10-4	Bank of America		03/04/2016	Regions Luther King	4,500.000	62,100			L
127097-10-3	Cabot Oil & Gas Corp		01/01/2016	Stephens Capital Management	400.000	6,952			L
151020-10-4	CELGENE CORP		02/18/2016	Regions Luther King	200.000	20,910			L
200340-10-7	COMERICA INC		03/10/2016	Regions Luther King	1,500.000	53,318			L
217204-10-6	COPART INC		02/24/2016	Regions Luther King	700.000	25,634			L
229899-10-9	CULLEN FROST BANKERS		03/10/2016	Regions Luther King	1,150.000	62,791			L
126650-10-0	CVS HEALTH CORPORATION		02/24/2016	Regions Luther King	300.000	29,008			L
235851-10-2	Danaher Corp		03/04/2016	Stephens Capital Management	400.000	36,248			L
278865-10-0	ECOLAB		03/04/2016	Regions Luther King	550.000	57,008			L

EA4

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues 0.

### SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
26875P-10-1	EOG RES INC		03/10/2016	Regions Luther King	300.000	21,273			L
30231G-10-2	EXXON MOBIL		03/04/2016	Regions Luther King	200.000	16,506			L
34959E-10-9	Fortinet Inc		01/01/2016	Regions Luther King	800.000	25,389			L
437076-10-2	HOME DEPOT INC		03/04/2016	Stephens Capital Management	400.000	50,556			L
438516-10-6	HONEYWELL INTERNATIONAL INC		01/01/2016	Stephens Capital Management	100.000	10,504			L
471109-10-8	JARDEN CORP		03/10/2016	Regions Luther King	700.000	38,035			L
46625H-10-0	JP Morgan Chase		03/04/2016	Stephens Capital Management	1,300.000	74,808			L
494368-10-3	KIMBERLY CLARK CORP		03/10/2016	Regions Luther King	325.000	42,888			L
573284-10-6	MARTIN MARIETTA MATLS		03/10/2016	Regions Luther King	375.000	56,427			L
G5960L-10-3	MEDTRONIC PLC	R	02/24/2016	Regions Luther King	300.000	22,665			L
58605Q-10-9	MEMORIAL RESOURCE DEVELOPMENT		02/18/2016	Regions Luther King	1,200.000	13,218			L
594918-10-4	Microsoft STOCK		03/04/2016	Regions Luther King	1,900.000	98,848			L
615369-10-5	MOODY'S CORP		02/18/2016	Regions Luther King	150.000	12,914			L
654106-10-3	NIKE		03/10/2016	Regions Luther King	1,200.000	70,240			L
68389X-10-5	Oracle STOCK		03/04/2016	Regions Luther King	2,500.000	93,400			L
70450Y-10-3	PAYPAL HOLDINGS		03/04/2016	Stephens Capital Management	500.000	19,760			L
713448-10-8	PEPSICO INC		03/10/2016	Stephens Capital Management	600.000	59,992			L
714046-10-9	PERKINELMER INC		03/04/2016	Regions Luther King	1,000.000	47,880			L
717081-10-3	PFIZER		03/10/2016	Regions Luther King	2,100.000	63,518			L
723787-10-7	Pioneer Natural Resources		03/10/2016	Regions Luther King	450.000	56,757			L
774341-10-1	ROCKWALL COLLINS		02/24/2016	Regions Luther King	300.000	26,512			L
78573M-10-4	Sabre		02/18/2016	Regions Luther King	700.000	19,166			L
806857-10-8	SCHLUMBERGER LTD		03/10/2016	Stephens Capital Management	400.000	28,812			L
828730-20-0	Simmons 1st Natl Corp		03/04/2016	Regions Luther King	1,400.000	62,456			L
867914-10-3	SUNTRUST BKS INC		02/18/2016	Regions Luther King	400.000	13,552			L
883556-10-2	THERMO FISHER SCIENTIFIC STK		02/24/2016	Regions Luther King	150.000	19,448			L
887317-30-3	TIME WARNER INC		03/04/2016	Regions Luther King	700.000	47,906			L
918204-10-8	VF		03/10/2016	Stephens Capital Management	900.000	58,006			L
92826C-83-9	Visa Inc		03/10/2016	Regions Luther King	1,200.000	84,683			L
931427-10-8	Walgreens Boots Alliance		03/10/2016	Regions Luther King	700.000	55,416			L
254687-10-6	WALT DISNEY CO		02/18/2016	Regions Luther King	200.000	19,062			L
949746-10-1	WELLS FARGO STK		03/04/2016	Regions Luther King	800.000	39,543			L
966244-10-5	WHITEWAVE FOODS		03/04/2016	Regions Luther King	1,000.000	40,920			L
989701-10-7	ZIONS BANCORPORATION		03/10/2016	Regions Luther King	2,600.000	59,856			L
98978V-10-3	Zoetis		03/04/2016	Regions Luther King	2,400.000	99,726			L
9099999	Total Common Stock Industrial and Miscellaneous (Unaffiliated)				X X X	2,371,977	X X X		X X X
9799997	Total Common Stock Part 3				X X X	2,371,977	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks				X X X	X X X	X X X	X X X	X X X

E04.1

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues 0.

### SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
9799999	Total Common Stock				X X X	2,371,977	X X X		X X X
9899999	Total Preferred and Common Stock				X X X	2,371,977	X X X		X X X
9999999	Totals				X X X	4,208,394	X X X	4,520	X X X

E04.2

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues ..... 0.

### SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Desig- nation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amort- ization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
3134G8-AK-5 912828-TY-6	Federal Home Loan MTG US Treasury Note		03/29/2016 03/10/2016	Regions Luther King Stephens Capital Manage		100,000 238,416	100,000.00 240,000.00	99,650 221,700	99,650 225,847			10 358		10 358	99,660 226,205		340 12,210	340 12,210	500 1,254	12/29/2023 11/15/2022	1 1
0599999	Total - Bonds - U.S. Governments				X X X	338,416	340,000.00	321,350	325,497			368		368	325,865		12,550	12,550	1,754	X X X	X X X
8399997	Total - Bonds - Part 4				X X X	338,416	340,000.00	321,350	325,497			368		368	325,865		12,550	12,550	1,754	X X X	X X X
8399998	Summary Item from Part 5 for Bonds				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999	Total Bonds				X X X	338,416	340,000.00	321,350	325,497			368		368	325,865		12,550	12,550	1,754	X X X	X X X
9999999	Totals					338,416	X X X	321,350	325,497			368		368	325,865		12,550	12,550	1,754	X X X	X X X

EOS

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues 0.

### SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
<b>NONE</b>																							
1449999 Total						X X X	X X X	X X X	X X X					X X X								X X X	X X X

E06

(a)

Code	Description of Hedged Risk(s)
<b>NONE</b>	

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
<b>NONE</b>	

## SCHEDULE DB - PART B - SECTION 1

Future Contracts Open as of the Current Statement Date

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Item(s) Hedged, Used for Income Generation or Replicated	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk(s) (a)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date Price	13 Fair Value	14 Book/ Adjusted Carrying Value	Highly Effective Hedges			18 Cumulative Variation Margin for All Other Hedges	19 Change in Variation Margin Gain (Loss) Recognized in Current Year	20 Potential Exposure	21 Hedge Effectiveness at Inception and at Quarter-end (b)	22 Value of One (1) Point
														15 Cumulative Variation Margin	16 Deferred Variation Margin	17 Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item					
						XXX	XXX	XXX	XXX	XXX	XXX								XXX	XXX	

NONE

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
<div style="font-size: 3em; font-weight: bold;">NONE</div>			
Total Net Cash Deposits			

E07

(a)

Code	Description of Hedged Risk(s)
<div style="font-size: 3em; font-weight: bold;">NONE</div>	

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
<div style="font-size: 3em; font-weight: bold;">NONE</div>	

## SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	Book/Adjusted Carrying Value			Fair Value			11 Potential Exposure	12 Off-Balance Sheet Exposure
				5 Contracts With Book/ Adjusted Carrying Value >0	6 Contracts With Book/ Adjusted Carrying Value <0	7 Exposure net of Collateral	8 Contracts With Fair Value >0	9 Contracts With Fair Value <0	10 Exposure Net of Collateral		
NONE											
0999999 Gross Totals											
1. Offset per SSAP No. 64											
2. Net after right of offset per SSAP No. 64											

E08

## SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book / Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)	
			<b>NONE</b>						
0199999 Total Collateral Pledged by Reporting Entity								X X X	X X X

E09

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book / Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)	
			<b>NONE</b>						
0299999 Total Collateral Pledged to Reporting Entity							X X X	X X X	X X X

## SCHEDULE DL - PART 1

### SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Desig- nation/ Market Indicator	Fair Value	Book / Adjusted Carrying Value	Maturity Dates
NONE						
999999 Totals						X X X

General Interrogatories:

1. Total activity for the year to date                      Fair Value \$                      0                      Book/Adjusted Carrying Value \$                      0

2. Average balance for the year to date                      Fair Value \$                      0                      Book/Adjusted Carrying Value \$                      0

3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:

NAIC 1 \$                      0; NAIC 2 \$                      0; NAIC 3 \$                      0; NAIC 4 \$                      0; NAIC 5 \$                      0; NAIC 6 \$                      0.

## SCHEDULE DL - PART 2

### SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

1  CUSIP Identification	2  Description	3  Code	4  NAIC Desig- nation/ Market Indicator	5  Fair Value	6  Book / Adjusted Carrying Value	7  Maturity Dates
NONE						
9999999 Totals						XXX

General Interrogatories:

1. Total activity for the year	Fair Value \$	0	Book/Adjusted Carrying Value \$	0
2. Average balance for the year	Fair Value \$	0	Book/Adjusted Carrying Value \$	0



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
			<b>NONE</b>				
<div style="display: flex; justify-content: space-between;"> <span style="writing-mode: vertical-rl; transform: rotate(180deg);">E13</span> <span>8699999 Total Cash Equivalents</span> </div>							