LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF JUNE 30, 2016

OF THE CONDITION AND AFFAIRS OF THE

Golden Rule Insurance Company

NAIC Group Code 0707 (Current) 0707 (Prior) NAIC Company Code 62286 Employer's ID Number 37-6028756

Organized under the Laws of Indiana, State of Domicile or Port of Entry Indiana

Country of Domicile United States of America

Incorporated/Organized 06/17/1959 Commenced Business 06/23/1961

Statutory Home Office 7440 Woodland Drive, Indianapolis , IN, US 46278
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 7440 Woodland Drive
(Street and Number)
Indianapolis , IN, US 46278, 317-290-8100
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 7440 Woodland Drive, Indianapolis , IN, US 46278
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 7440 Woodland Drive
(Street and Number)
Indianapolis , IN, US 46278, 317-290-8100
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address goldenrule.com

Statutory Statement Contact Jeremy Michael Schoettle, 317-715-7918
(Name) (Area Code) (Telephone Number)
jschoettle@unitedhealthone.com, 317-298-0875
(E-mail Address) (FAX Number)

OFFICERS

President, Chief Executive Officer, Chair	Patrick Francis Carr	Treasurer	Robert Worth Oberrender
Secretary	Richard Charles Sullivan	Vice President, Chief Financial Officer	Jeremy Michael Schoettle #

OTHER

Michael Lee Corne, Vice President	Nyle Brent Cottington, Vice President	Douglas Ford Crockett #, Vice President, Chief Operating Officer
James Mark Gabriel, Senior Vice President	Joy Olilani Chieko Higa #, Assistant Secretary	Michelle Marie Huntley, Assistant Secretary
James Elmer Prochnow, Vice President		

DIRECTORS OR TRUSTEES

Patrick Francis Carr	Michael Lee Corne	Douglas Ford Crockett
James Mark Gabriel	Richard Charles Sullivan	

State of Indiana
County of Marion SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Patrick Francis Carr President, Chief Executive Officer, Chair	Richard Charles Sullivan Secretary	Jeremy Michael Schoettle Vice President, Chief Financial Officer

Subscribed and sworn to before me this
_____ day of _____

a. Is this an original filing? ..... Yes [X] No [ ]
b. If no,
1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

ASSETS

		Current Statement Date			4
		1	2	3	December 31
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
1.	Bonds	466,140,653		466,140,653	480,094,970
2.	Stocks:				
	2.1 Preferred stocks			0	0
	2.2 Common stocks			0	0
3.	Mortgage loans on real estate:				
	3.1 First liens			0	0
	3.2 Other than first liens			0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less $ encumbrances)	2,784,144		2,784,144	2,883,251
	4.2 Properties held for the production of income (less $ encumbrances)			0	0
	4.3 Properties held for sale (less $ encumbrances)			0	0
5.	Cash ($ 582,208), cash equivalents ($ 0) and short-term investments ($ 36,538,740)	37,120,947		37,120,947	27,408,438
6.	Contract loans (including $ premium notes)			0	0
7.	Derivatives			0	0
8.	Other invested assets	16,355,191		16,355,191	17,287,933
9.	Receivables for securities	0		0	0
10.	Securities lending reinvested collateral assets			0	0
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	522,400,935	0	522,400,935	527,674,592
13.	Title plants less $ charged off (for Title insurers only)			0	0
14.	Investment income due and accrued	3,855,744		3,855,744	4,351,314
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	11,071,215	34,732	11,036,483	13,400,398
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ earned but unbilled premiums)			0	0
	15.3 Accrued retrospective premiums ($) and contracts subject to redetermination ($ 340,558)	340,558		340,558	316,131
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers	1,974,662		1,974,662	2,275,394
	16.2 Funds held by or deposited with reinsured companies			0	0
	16.3 Other amounts receivable under reinsurance contracts	566,374		566,374	577,329
17.	Amounts receivable relating to uninsured plans			0	0
18.1	Current federal and foreign income tax recoverable and interest thereon	10,152,598		10,152,598	19,514,722
18.2	Net deferred tax asset	22,354,047	6,686,642	15,667,405	14,430,605
19.	Guaranty funds receivable or on deposit	3,293,219		3,293,219	3,173,632
20.	Electronic data processing equipment and software			0	0
21.	Furniture and equipment, including health care delivery assets ($)			0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23.	Receivables from parent, subsidiaries and affiliates	40,834,642		40,834,642	43,029,268
24.	Health care ($ 7,943,440) and other amounts receivable	9,926,571	1,983,131	7,943,440	6,822,130
25.	Aggregate write-ins for other than invested assets	8,488,405	8,411,679	76,726	0
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	635,258,970	17,116,184	618,142,786	635,565,515
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28.	Total (Lines 26 and 27)	635,258,970	17,116,184	618,142,786	635,565,515
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	Advances and Prepaids	8,391,763	8,391,763	0	0
2502.	State Taxes Receivable	76,726	0	76,726	0
2503.	Miscellaneous Receivables	19,916	19,916	0	0
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	8,488,405	8,411,679	76,726	0

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts $ 56,215 less $ included in Line 6.3 (including $ Modco Reserve)	56,215	56,371
2.	Aggregate reserve for accident and health contracts (including $ Modco Reserve)	72,832,388	75,477,817
3.	Liability for deposit-type contracts (including $ Modco Reserve)		0
4.	Contract claims:		
	4.1 Life	802,765	632,862
	4.2 Accident and health	125,106,988	166,504,260
5.	Policyholders' dividends $ and coupons $ due and unpaid		0
6.	Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
	6.1 Dividends apportioned for payment (including $ Modco)		
	6.2 Dividends not yet apportioned (including $ Modco)		
	6.3 Coupons and similar benefits (including $ Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less $ 0 discount; including $ 20,872,592 accident and health premiums	20,872,592	17,652,742
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of $ 15,261,578 accident and health experience rating refunds of which $ 14,219,544 is for medical loss ratio rebate per the Public Health Service Act	15,261,578	13,328,242
	9.3 Other amounts payable on reinsurance, including $ assumed and $ 81,468 ceded	81,468	180,290
	9.4 Interest Maintenance Reserve	8,767,786	8,893,274
10.	Commissions to agents due or accrued-life and annuity contracts $ 108,090 , accident and health $ 4,096,600 and deposit-type contract funds $ 0	4,204,690	4,763,966
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued	3,052,955	4,047,487
13.	Transfers to Separate Accounts due or accrued (net) (including $ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes	43,761,324	27,334,179
15.1	Current federal and foreign income taxes, including $ on realized capital gains (losses)	0	
15.2	Net deferred tax liability	0	
16.	Unearned investment income		
17.	Amounts withheld or retained by company as agent or trustee	64,879	44,832
18.	Amounts held for agents' account, including $ agents' credit balances		
19.	Remittances and items not allocated	226,561	1,288,306
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money $ and interest thereon $		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve	1,974,901	2,097,471
	24.02 Reinsurance in unauthorized and certified ($) companies		0
	24.03 Funds held under reinsurance treaties with unauthorized and certified ($) reinsurers		
	24.04 Payable to parent, subsidiaries and affiliates	0	0
	24.05 Drafts outstanding	53,210,201	40,681,215
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.08 Derivatives	0	0
	24.09 Payable for securities	2,421,604	2,421,604
	24.10 Payable for securities lending		
	24.11 Capital notes $ and interest thereon $		
25.	Aggregate write-ins for liabilities	2,917,684	2,134,103
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	355,616,579	367,539,021
27.	From Separate Accounts Statement		
28.	Total liabilities (Lines 26 and 27)	355,616,579	367,539,021
29.	Common capital stock	3,262,704	3,262,704
30.	Preferred capital stock		
31.	Aggregate write-ins for other than special surplus funds	0	0
32.	Surplus notes		
33.	Gross paid in and contributed surplus	14,162,016	14,162,016
34.	Aggregate write-ins for special surplus funds	13,044,131	39,847,998
35.	Unassigned funds (surplus)	232,057,356	210,753,776
36.	Less treasury stock, at cost:		
	36.1 shares common (value included in Line 29 $)		
	36.2 shares preferred (value included in Line 30 $)		
37.	Surplus (Total Lines 31+32+33+34+35-36) (including $ in Separate Accounts Statement)	259,263,503	264,763,790
38.	Totals of Lines 29, 30 and 37	262,526,207	268,026,494
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	618,142,786	635,565,515
	DETAILS OF WRITE-INS		
2501.	Accrued Cost of Claims Savings	447,867	472,562
2502.	Association Group Escrow Account	870,784	938,554
2503.	Miscellaneous Liabilities	687,482	647,542
2598.	Summary of remaining write-ins for Line 25 from overflow page	911,551	75,445
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	2,917,684	2,134,103
3101.			
3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page	0	0
3199.	Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.	Surplus Relief Related to Reinsurance	13,044,131	13,943,725
3402.	Section 9010 ACA Subsequent Fee Year Assessment	0	25,904,273
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	13,044,131	39,847,998

SUMMARY OF OPERATIONS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts	669,894,166	751,103,553	1,501,285,262
2.	Considerations for supplementary contracts with life contingencies			
3.	Net investment income	4,912,447	5,825,313	9,480,380
4.	Amortization of Interest Maintenance Reserve (IMR)	1,267,604	1,300,362	2,717,258
5.	Separate Accounts net gain from operations excluding unrealized gains or losses			
6.	Commissions and expense allowances on reinsurance ceded	1,656,946	1,825,355	3,233,053
7.	Reserve adjustments on reinsurance ceded			
8.	Miscellaneous Income:			
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
	8.2 Charges and fees for deposit-type contracts			
	8.3 Aggregate write-ins for miscellaneous income	112,653	59,012	140,809
9.	Totals (Lines 1 to 8.3)	677,843,816	760,113,594	1,516,856,762
10.	Death benefits	253,903	160,174	451,710
11.	Matured endowments (excluding guaranteed annual pure endowments)			0
12.	Annuity benefits			0
13.	Disability benefits and benefits under accident and health contracts	447,474,284	498,708,450	1,076,426,835
14.	Coupons, guaranteed annual pure endowments and similar benefits			
15.	Surrender benefits and withdrawals for life contracts			0
16.	Group conversions			
17.	Interest and adjustments on contract or deposit-type contract funds			
18.	Payments on supplementary contracts with life contingencies			
19.	Increase in aggregate reserves for life and accident and health contracts	(4,660,144)	(9,118,680)	(12,472,031)
20.	Totals (Lines 10 to 19)	443,068,043	489,749,944	1,064,406,514
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	33,055,407	28,435,556	62,782,042
22.	Commissions and expense allowances on reinsurance assumed			
23.	General insurance expenses	60,043,472	63,578,781	127,764,892
24.	Insurance taxes, licenses and fees, excluding federal income taxes	48,123,794	59,710,586	86,269,425
25.	Increase in loading on deferred and uncollected premiums			
26.	Net transfers to or (from) Separate Accounts net of reinsurance			
27.	Aggregate write-ins for deductions	9,733	47,170	72,464
28.	Totals (Lines 20 to 27)	584,300,449	641,522,037	1,341,295,337
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	93,543,367	118,591,557	175,561,425
30.	Dividends to policyholders			0
31.	Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	93,543,367	118,591,557	175,561,425
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	39,858,139	51,215,553	68,726,657
33.	Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	53,685,228	67,376,004	106,834,768
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $ 0 (excluding taxes of $ 614,985 transferred to the IMR)	141,023	0	(2,726)
35.	Net income (Line 33 plus Line 34)	53,826,251	67,376,004	106,832,042
	CAPITAL AND SURPLUS ACCOUNT			
36.	Capital and surplus, December 31, prior year	268,026,494	313,182,627	313,182,627
37.	Net income (Line 35)	53,826,251	67,376,004	106,832,042
38.	Change in net unrealized capital gains (losses) less capital gains tax of $ 0			
39.	Change in net unrealized foreign exchange capital gain (loss)			
40.	Change in net deferred income tax	1,236,800	891,282	737,509
41.	Change in nonadmitted assets	(2,986,314)	(2,197,612)	(971,700)
42.	Change in liability for reinsurance in unauthorized and certified companies			
43.	Change in reserve on account of change in valuation basis, (increase) or decrease			0
44.	Change in asset valuation reserve	122,570	(89,855)	45,206
45.	Change in treasury stock			0
46.	Surplus (contributed to) withdrawn from Separate Accounts during period			
47.	Other changes in surplus in Separate Accounts Statement			
48.	Change in surplus notes			
49.	Cumulative effect of changes in accounting principles			
50.	Capital changes:			
	50.1 Paid in			
	50.2 Transferred from surplus (Stock Dividend)			
	50.3 Transferred to surplus			
51.	Surplus adjustment:			
	51.1 Paid in	0	0	0
	51.2 Transferred to capital (Stock Dividend)			
	51.3 Transferred from capital			
	51.4 Change in surplus as a result of reinsurance	(899,594)	(899,594)	(1,799,190)
52.	Dividends to stockholders	(56,800,000)	(100,000,000)	(150,000,000)
53.	Aggregate write-ins for gains and losses in surplus	0	0	0
54.	Net change in capital and surplus for the year (Lines 37 through 53)	(5,500,287)	(34,919,775)	(45,156,133)
55.	Capital and surplus, as of statement date (Lines 36 + 54)	262,526,207	278,262,851	268,026,494
	DETAILS OF WRITE-INS			
08.301.	Policyholder Fees	64,537	72,921	141,463
08.302.	Other Income	48,116	(13,909)	(654)
08.303.				
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399.	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	112,653	59,012	140,809
2701.	Fines and Penalties	9,733	47,170	72,464
2702.				
2703.				
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799.	Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	9,733	47,170	72,464
5301.				
5302.				
5303.				
5398.	Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399.	Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	0	0

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	679,275,726	760,779,176	1,482,991,299
2.	Net investment income	8,740,640	10,403,474	18,864,709
3.	Miscellaneous income	112,653	59,012	140,808
4.	Total (Lines 1 to 3)	688,129,019	771,241,662	1,501,996,816
5.	Benefit and loss related payments	489,320,852	533,639,705	1,077,999,581
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	127,747,837	140,325,424	293,635,028
8.	Dividends paid to policyholders	0	0	0
9.	Federal and foreign income taxes paid (recovered) net of $ 0 tax on capital gains (losses)	31,111,000	51,369,000	85,688,548
10.	Total (Lines 5 through 9)	648,179,689	725,334,129	1,457,323,157
11.	Net cash from operations (Line 4 minus Line 10)	39,949,330	45,907,533	44,673,659
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	121,603,537	131,077,967	280,761,116
	12.2 Stocks	0	0	0
	12.3 Mortgage loans	0	0	0
	12.4 Real estate	0	0	0
	12.5 Other invested assets	0	0	69,845
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
	12.7 Miscellaneous proceeds	0	0	0
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	121,603,537	131,077,967	280,830,961
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	108,721,129	60,832,695	192,992,851
	13.2 Stocks	0	0	0
	13.3 Mortgage loans	0	0	0
	13.4 Real estate	0	0	0
	13.5 Other invested assets	0	0	0
	13.6 Miscellaneous applications	0	0	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	108,721,129	60,832,695	192,992,851
14.	Net increase (or decrease) in contract loans and premium notes	0	0	0
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	12,882,408	70,245,272	87,838,110
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0	0
	16.3 Borrowed funds	0	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
	16.5 Dividends to stockholders	56,800,000	100,000,000	150,000,000
	16.6 Other cash provided (applied)	13,680,771	(2,493,768)	(20,734,488)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(43,119,229)	(102,493,768)	(170,734,488)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	9,712,509	13,659,037	(38,222,719)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	27,408,438	65,631,157	65,631,157
	19.2 End of period (Line 18 plus Line 19.1)	37,120,947	79,290,194	27,408,438

Note: Supplemental disclosures of cash flow information for non-cash transactions:

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Industrial life			0
2.	Ordinary life insurance	14,715,425	16,138,520	32,324,877
3.	Ordinary individual annuities	1,404,289	1,260,795	2,379,324
4.	Credit life (group and individual)			0
5.	Group life insurance	717,632	941,017	1,789,648
6.	Group annuities			0
7.	A & H - group	580,557,562	637,272,122	1,281,460,797
8.	A & H - credit (group and individual)			0
9.	A & H - other	88,708,965	113,212,695	218,711,487
10.	Aggregate of all other lines of business	0	0	0
11.	Subtotal	686,103,873	768,825,149	1,536,666,132
12.	Deposit-type contracts	0		0
13.	Total	686,103,873	768,825,149	1,536,666,132
	DETAILS OF WRITE-INS			
1001.				
1002.				
1003.				
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Accounting Practices

The statutory basis financial statements of the Company are presented on the basis of accounting practices prescribed and permitted by the State of Indiana Department of Insurance (the "Department").

The Department recognizes only statutory accounting practices, prescribed and permitted by the State of Indiana, for determining and reporting the financial condition and results of operations of a life, accident, and health insurer, for determining its solvency under Indiana Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed and permitted by the State of Indiana and those prescribed and permitted by the NAIC SAP, which materially affect the statutory basis net income and capital and surplus, as illustrated in the table below:

	State of Domicile	June 30, 2016	December 31, 2015
Net Income			
(1) Company state basis	Indiana	$ 53,826,251	$ 106,832,042
(2) State prescribed practices that increase/(decrease) NAIC SAP:			
Not applicable	Indiana	-	-
(3) State permitted practices that increase/(decrease) NAIC SAP:			
Not applicable	Indiana	-	-
(4) NAIC SAP (1-2-3=4)	Indiana	$ 53,826,251	$ 106,832,042
Capital and Surplus			
(5) Company state basis	Indiana	$ 262,526,207	$ 268,026,494
(6) State prescribed practices that increase/(decrease) NAIC SAP:			
Not applicable	Indiana	-	-
Not applicable	Indiana	-	-
(7) State permitted practices that increase/(decrease) NAIC SAP:			
Not applicable	Indiana	-	-
(8) NAIC SAP (5-6-7=8)	Indiana	$ 262,526,207	$ 268,026,494

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

C. Accounting Policy

(1–5) No significant change.

(6) U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets. Total combined investments in mortgage-backed securities or asset-backed securities cannot exceed more than 30% of total cash and invested assets.

(7–13) No significant change.

D. Going Concern

No significant change.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. No significant change.

4. DISCONTINUED OPERATIONS

A. Discontinued Operation Disposed of or Classified as Held for Sale

(1–5) No significant change.

B. Change in Plan of Sale of Discontinued Operations — Not applicable.

C. Nature of any Significant Continuing Involvement with Discontinued Operations after Disposal — Not applicable.

D. Equity Interest Retained in the Discontinued Operation after Disposal — Not applicable.

5. INVESTMENTS AND OTHER INVESTED ASSETS

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt Restructuring

No significant change.

C. Reverse Mortgages

No significant change.

D. Loan-Backed Securities

(1) Loan-backed securities are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.

(2) The Company did not recognize any other-than-temporary impairments (“OTTI”) on loan-backed securities as of June 30, 2016.

(3) As of June 30, 2016 the Company did not have any loan-backed securities with an OTTI to report by CUSIP.

(4) The following table illustrates the fair value, gross unrealized losses, and length of time that the loan-backed securities have been in a continuous unrealized loss position as of June 30, 2016 and December 31, 2015:

	June 30, 2016
The aggregate amount of unrealized losses:	
1. Less than 12 months	$ (5,691)
2. 12 Months or longer	(13,707)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	6,307,695
2. 12 Months or longer	5,414,387

	December 31, 2015
The aggregate amount of unrealized losses:	
1. Less than 12 months	$ (503,162)
2. 12 Months or longer	(136,920)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	89,033,994
2. 12 Months or longer	7,520,017

(5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of June 30, 2016 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions

No significant change.

F. Real Estate

No significant change.

G. Low-Income Housing Tax Credits

No significant change.

H. Restricted Assets

No significant change.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

K. Structured Notes

The Company does not have any structured notes.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. No significant change.

7. INVESTMENT INCOME

A–B. No significant change.

8. DERIVATIVE INSTRUMENTS

A–F. No significant change.

9. INCOME TAXES

The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net gain from operations before federal income taxes and net realized capital gains (losses) primarily as a result of the annual health insurer fee under Section 9010 of the Patient Protection and Affordable Care Act ("ACA"), which is non-deductible for tax purposes. The Company is required to expense 100% of the estimated annual fee on January 1, 2016. The Company's health insurer fee impact is $25,873,586 in 2016, which will be paid in September 2016.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–N. Material Related Party Transactions

No significant change.

11. DEBT

A–B. No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–I. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. ("UHS"), which provides services to the Company under the terms of a management agreement.

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–13) No significant change.

14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS

A–F. No significant change.

15. LEASES

A–B. No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) No significant change.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A. The Company did not have any transfers of receivables reported as sales as of June 30, 2016 or December 31, 2015.

B. The Company did not have any transfer and servicing of financial assets as of June 30, 2016 or December 31, 2015.

C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of June 30, 2016 or the year ended December 31, 2015.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A–C. No significant change.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service ("pricing service"), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source, prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value

(1–5) The Company does not have any financial assets that are measured and reported at fair value in the statutory basis statements of admitted assets, liabilities, and capital and surplus at June 30, 2016 and December 31, 2015.

Low-Income Housing tax-credit investments — The fair values of Level 3 investments in Low Income Housing securities are deemed held-to-maturity as there is no active market and they will not be sold. Because of this, these securities are held at amortized cost. Should any contractual breakage occur that jeopardizes the ability to receive the tax credits associated with these securities, an impairment will be recognized. As of June 30, 2016, these investments are performing in accordance with their original contract terms.

(6) The Company has no derivative assets and liabilities to disclose.

B. Fair Value Combination — Not applicable.

C. Aggregate Fair Value Hierarchy

The aggregate fair value by hierarchy of all financial instruments as of June 30, 2016 and December 31, 2015, is presented in the table below:

	June 30, 2016					
Types of Financial Investment	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practical Carrying Value
U.S. government and agency securities	$ 110,808,124	$ 108,486,715	$ 44,693,323	$ 66,114,800	$ -	$ -
State and agency municipal securities	122,121,771	118,106,649	-	122,121,771	-	-
City and county municipal securities	72,256,525	69,907,752	-	72,256,526	-	-
Corporate debt securities (includes commercial paper)	191,664,490	190,068,038	-	191,664,490	-	-
Money-market funds	16,110,238	16,110,238	16,110,238	-	-	-
Other invested assets	16,355,191	16,355,191	-	-	16,355,191	-
Total bonds and short-term investments	529,316,339	519,034,583	60,803,561	452,157,587	16,355,191	$ -

	December 31, 2015					
Types of Financial Investment	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practical Carrying Value
U.S. government and agency securities	$ 89,851,153	$ 89,436,052	$ 29,165,766	$ 60,685,387	$ -	$ -
State and agency municipal securities	117,900,079	115,835,037	-	117,900,079	-	-
City and county municipal securiteis	94,496,190	92,250,712	-	94,496,190	-	-
Corporate debt securities (includes commercial paper)	201,596,253	204,395,836	-	201,596,253	-	-
Money-market funds	8,428,388	8,428,388	8,428,388	-	-	-
Other invested assets	17,287,933	17,287,933	-	-	17,287,933	-
Total bonds and short-term investments	529,559,996	527,633,958	37,594,154	474,677,909	17,287,933	$ -

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy tables above are U.S. Treasury securities of $44,693,323 and $29,165,766 as of June 30, 2016 and December 31, 2015, respectively.

Included as Level 2 in corporate debt securities in the fair value hierarchy tables above are commercial paper investments of $1,843,033 and $1,894,755 as of June 30, 2016 and December 31, 2015, respectively. The commercial paper investments are included in short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

A–H. No significant change.

22. EVENTS SUBSEQUENT

No significant change.

23. REINSURANCE

A–G. No significant change.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

A–C. No significant change.

D. The Company does not have Medicare business subject to specific minimum loss ratio requirements as of June 30, 2016 and December 31, 2015. The Company is required to maintain a specific minimum loss ratio on the comprehensive commercial line of business. The following table discloses the minimum medical loss ratio rebate liability which is included in provision for experience rating refunds in the statutory basis statements of admitted assets, liabilities, and capital and surplus for the six months ended June 30, 2016 and the year ended December 31, 2015:

	1 Individual	2 Small Group Employer	3 Large Group Employer	4 Other Categories with Rebates	5 Total
Prior reporting year:					
(1) Medical loss ratio rebates incurred	$11,430,461	$ -	$ -	$ -	$11,430,461
(2) Medical loss ratio rebates paid	32,866,760	-	-	-	32,866,760
(3) Medical loss rebates unpaid	12,286,208	-	-	-	12,286,208
(4) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(5) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(6) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	12,286,208
Current reporting year-to-date:					
(7) Medical loss ratio rebates incurred	$ 1,933,336	$ -	$ -	$ -	$ 1,933,336
(8) Medical loss ratio rebates paid	-	-	-	-	-
(9) Medical loss rebates unpaid	14,219,544	-	-	-	14,219,544
(10) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(11) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(12) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	14,219,544

E. Risk-Sharing Provisions of the Affordable Care Act

(1) The Company has accident and health insurance premiums in 2016 subject to the risk-sharing provisions of the ACA.

The ACA imposed fees and premium stabilization provisions on health insurance issuers offering comprehensive commercial health insurance. The three premium stabilization programs are commonly referred to as the 3Rs – risk adjustment, reinsurance, and risk corridors.

Risk Adjustment – The permanent risk adjustment program, designed to mitigate the potential impact of adverse selection and provide stability for health insurance issuers, applies to all non-grandfathered not subject to transitional relief in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk adjustment program are accounted for as premium subject to redetermination and user fees are accounted for as assessments.

Reinsurance – The transitional reinsurance program was designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations. The transitional reinsurance program is effective from 2014 through 2016 and applies to all issuers of major medical commercial products and third party administrators. Contributions attributable to enrollees in ACA compliant individual plans, including program administrative costs are accounted for as ceded premium and payments received are accounted for as ceded benefit recoveries. The portion of the individual contributions earmarked for the U.S. Treasury is accounted for as an assessment. Contributions made for enrollees in fully insured plans other than ACA compliant individual plans, including program administrative costs and payments to the U.S. Treasury, are treated as assessments.

Risk Corridors – The temporary risk corridors program, designed to provide some aggregate protection against variability for issuers in the individual and small group markets during the period 2014 through 2016, applies to Qualified Health Plans in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk corridors program are accounted for as premium adjustments for retrospectively rated contracts.

(2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations.

		June 30, 2016
a.	**Permanent ACA Risk Adjustment Program**	
	Assets	
1.	Premium adjustments receivable due to ACA Risk Adjustment	$ 340,558
	Liabilities	
2.	Risk adjustment user fees payable for ACA Risk Adjustment	$ 10,654
3.	Premium adjustments payable due to ACA Risk Adjustment	$ 5,847,847
	Operations (Revenue & Expense)	
4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	$ (1,990,131)
5.	Reported in expenses as ACA risk adjustment user fees (incurred/paid)	$ 5,334
b.	**Transitional ACA Reinsurance Program**	
	Assets	
1.	Amounts recoverable for claims paid due to ACA Reinsurance	$ 1,974,662
2.	Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	$ 612,307
3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	$ -
	Liabilities	
4.	Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	$ 7,020,489
5.	Ceded reinsurance premiums payable due to ACA Reinsurance	$ 81,468
6.	Liability for amounts held under uninsured plans contributions for ACA Reinsurance	$ -
	Operations (Revenue & Expense)	
7.	Ceded reinsurance premiums due to ACA Reinsurance	$ 81,468
8.	Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments	$ 915,621
9.	ACA Reinsurance contributions - not reported as ceded premium	$ 3,522,179
c.	**Temporary ACA Risk Corridors Program**	
	Assets	
1.	Accrued retrospective premium due to ACA Risk Corridors	$ -
	Liabilities	
2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	$ -
	Operations (Revenue & Expense)	
3.	Effect of ACA Risk Corridors on net premium income (paid/received)	$ -
4.	Effect of ACA Risk Corridors on change in reserves for rate credits	$ -

The following table discloses ACA risk corridor receivable balances by risk corridor program year.

Risk Corridors Program Year:	(A) Estimated Amount to be Filed or Final Amount Filed with CMS	(B) Non-Accrued Amounts for Impairment or Other Reasons	(C) Amounts received from CMS	(D)=(A)-(B)-(C) Asset Balance (Gross of Non-admissions)	(E) Non-admitted Amount	(F)=(D)-(E) Net Admitted Asset
2014	$ -	$ -	$ -	$ -	$ -	$ -
2015	-	-	-	-	-	-
2016	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -

(3) The following table is a rollforward of prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
	1	2	3	4	Prior Year Accrued Less Payments (Col 1-3) 5	Prior Year Accrued Less Payments (Col 2-4) 6	To Prior Year Balances 7	To Prior Year Balances 8	9	Cumulative Balance from Prior Years (Col 1-3+7) 10	Cumulative Balance from Prior Years (Col 2-4+8) 11
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium Adjustment Receivable	$ 316,131	$ -	$ -	$ -	$ 316,131	$ -	$ (52,296)	$ -	A	$ 263,835	$ -
2. Premium Adjustment (Payable)	-	(3,833,288)	-	-	-	(3,833,288)	-	169,255	B	-	(3,664,033)
3. Subtotal ACA Permanent Risk Adjustment Program	316,131	(3,833,288)	-	-	316,131	(3,833,288)	(52,296)	169,255		263,835	(3,664,033)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	2,275,394	-	1,090,730	-	1,184,664	-	789,998	-	C	1,974,662	-
2. Amounts recoverable for claims unpaid (contra liability)	486,685	-	-	-	486,685	-	(486,685)	-	D	-	-
3. Amounts receivable relating to uninsured plans	-	-	-	-	-	-	-	-	E	-	-
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	-	(13,812,951)	-	(10,314,640)	-	(3,498,311)	-	-	F	-	(3,498,311)
5. Ceded reinsurance premiums payable	-	(180,290)	-	(180,290)	-	-	-	-	G	-	-
6. Liability for amounts held under uninsured plans	-	-	-	-	-	-	-	-	H	-	-
7. Subtotal ACA Transitional Reinsurance Program	2,762,079	(13,993,241)	1,090,730	(10,494,930)	1,671,349	(3,498,311)	303,313	-		1,974,662	(3,498,311)
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	-	-	-	-	-	-	-	-	I	-	-
2. Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	J	-	-
3. Subtotal ACA Risk Corridors Program	-	-	-	-	-	-	-	-		-	-
d. Total for ACA Risk Sharing Provisions	$ 3,078,210	$ (17,826,529)	$ 1,090,730	$ (10,494,930)	$ 1,987,480	$ (7,331,599)	$ 251,017	$ 169,255		$ 2,238,497	$ (7,162,344)

Explanation of Adjustments

A. The 2015 December risk adjustment factor receivable was reported based on estimated state risk transfer factors for each state and risk pool utilizing paid claims data through October 31, 2015. The adjustments as of June 30, 2016, reflect true ups based on the final CMS Summary Report on Transitional Reinsurance Payments and the Permanent Risk Adjustment Transfers for the 2015 Program Year.

B. The 2015 December risk adjustment factor payable was reported based on estimated state risk transfer factors for each state and risk pool utilizing paid claims data through October 31, 2015. The adjustments as of June 30, 2016, reflect true-ups based on the final CMS Summary Report on Transitional Reinsurance Payments and the Permanent Risk Adjustment Transfers for the 2015 Program Year.

C. The adjustment to the amounts recoverable for paid claims was driven by the inclusion of 4 months of additional paid claims run out data from December 2015 to April 2016 as well as the increase in the HHS Reinsurance Program coinsurance rate from 50% to 55.1%.

D. The adjustment to the amounts recoverable for claims unpaid reflects the HHS Reinsurance Program parameters which base the final reinsurance recovery amount only on 2015 incurred claims data paid through April 2016.

E. N/A

F. N/A

G. N/A

H. N/A

I. N/A

J. N/A

The following table discloses risk corridor receivables and payables by risk corridor program year.

		Accrued as of December 31 of the prior reporting year		Received or Paid as of the Current Period on Business Written For the Risk Corridors Program Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
		1	2	3	4	Accrued Less Payments (Col 1 - 3) 5	Accrued Less Payments (Col 2 - 4) 6	Balances 7	Balances 8		Cumulative Balance (Col 1-3+7) 9	Cumulative Balance (Col 2-4+8) 10
Risk Corridors Program Year:		Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
	Accrued Retrospective premium	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	A	$ -	$ -
2014	Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	B	-	-
	Accrued Retrospective premium	-	-	-	-	-	-	-	-	C	-	-
2015	Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	D	-	-
	Accrued Retrospective premium	-	-	-	-	-	-	-	-	E	-	-
2016	Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	F	-	-
Total for Risk Corridors		$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -		$ -	$ -

A. N/A

B. N/A

C. N/A

D. N/A

E. N/A

F. N/A

25. CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

This disclosure only relates to accident and health contracts, the reserve for life contracts and annuity life contracts are presented in a separate disclosure (see Note 31). The disclosure for loss adjustment expenses is included in Note 35.

Changes in estimates related to the prior year incurred claims are included in disability benefits and benefits under accident and health insurance contracts in the current year in the statutory basis statements of operations. The following table summarizes changes in aggregate reserves for accident and health contracts and contract claims for accident and health policies for the six months ended June 30, 2016 and the year ended December 31, 2015:

	June 30, 2016	December 31, 2015
Unpaid aggregate reserves for accident and health and contract claims for accident and health policies at January 1	$ 169,556,510	$ 170,170,958
Incurred benefits related to:		
Current year	450,858,822	1,084,133,927
Prior years	(3,556,463)	(8,555,312)
Total incurred	447,302,359	1,075,578,615
Paid claims related to:		
Current year	334,297,624	915,288,256
Prior years	154,573,933	160,904,806
Total paid	488,871,557	1,076,193,062
Unpaid aggregate reserves for accident and health and contract claims for accident and health policies	127,987,312	169,556,510
Unearned premium reserve	54,479,976	58,566,470
Active life reserves	9,624,239	10,025,807
Section 1343 ACA risk adjustment payable	5,847,847	3,833,288
Total aggregate reserves for accident and health and contract claims for accident and health policies	$ 197,939,374	$ 241,982,075

Actual claims incurred in 2016 related to prior years were lower than the contract claims and aggregate reserves for accident and health contracts at December 31, 2015 by $3,556,463. Actual claims incurred in 2015 related to prior years were lower than the contract claims and aggregate reserves for accident and health contracts at December 31, 2014 by $8,555,312. The favorable reserve development resulted primarily from the favorable settlement or outcome of certain claims and ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding medical inflation trends, changes in utilization of health care services, changes in claims submission or payment patterns, and other relevant factors.

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. No significant change.

27. STRUCTURED SETTLEMENTS

A–B. No significant change.

28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE

A. Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated or non-affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has non-admitted all pharmacy rebates receivable that do not meet the admissibility criteria of SSAP No. 84, *Certain Health Care Receivables and Receivables under Government Insured Plans,* from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria of SSAP No. 84, the transaction history is summarized as follows:

Quarter	Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Rebates Received within 90 Days of Billing	Rebates Received within 91 to 180 Days of Billing	Actual Rebates Received More than 180 Days After Billing
6/30/2016	$ 6,010,101				
3/31/2016	5,095,523	4,694,256	1,535,844		
12/31/2015	5,496,869	5,258,345	2,947,509	2,168,307	
9/30/2015	5,328,348	5,033,148	2,884,750	1,487,229	569,205
6/30/2015	5,674,384	5,344,542	2,740,941	1,888,350	667,576
3/31/2015	5,494,275	5,045,744	2,719,305	1,428,999	843,429
12/31/2014	5,511,533	5,352,969	2,554,026	2,066,994	672,215
9/30/2014	5,494,920	5,127,396	2,518,899	1,709,275	829,334
6/30/2014	4,900,735	4,617,517	2,023,647	1,767,997	790,003
3/31/2014	4,191,564	4,341,784	674,167	1,831,182	1,816,615
12/31/2013	892,349	697,715	-	481,156	213,881
9/30/2013	819,378	702,821	-	181,995	516,174

Of the amount reported as health care receivable, $7,943,440 and $6,822,130 relates to pharmacy rebates receivable as of June 30, 2016 and December 31, 2015, respectively. This increase is primarily due to increased membership along with the change in generic/name brand mix.

B. No significant change.

29. PARTICIPATING POLICIES

No significant change.

30. PREMIUM DEFICIENCY RESERVES

The Company has not recorded any premium deficiency reserves as of June 30, 2016 or December 31, 2015. The analysis of premium deficiency reserves was completed as of June 30, 2016 and December 31, 2015. The Company did consider anticipated investment income when calculating the premium deficiency reserves.

The following table summarizes the Company's premium deficiency reserves as of June 30, 2016 and December 31, 2015:

	June 30, 2016
1. Liability carried for premium deficiency reserves	$ -
2. Date of the most recent evaluation of this liability	6/30/2016
3. Was anticipated investment income utilized in this calculation?	Yes [X] No [ ]

	December 31, 2015
1. Liability carried for premium deficiency reserves	$ -
2. Date of the most recent evaluation of this liability	12/31/2015
3. Was anticipated investment income utilized in this calculation?	Yes [X] No [ ]

31. RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS

No significant change.

32. ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT-TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS

A–F. No significant change.

33. PREMIUM AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED

No significant change.

34. SEPARATE ACCOUNTS

A–C. No significant change.

35. LOSS/CLAIM ADJUSTMENT EXPENSES

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [X] No [ ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.
April 1, 2016.....OptumRx Group Holdings, Inc. , a Delaware corporation, sold 100% of its common shares (represented by 1,000,000,000 shares) of 1031387 B.C. Unlimited Liability Company, an unlimited liability company organized under the laws of the Province of British Columbia, Canada, to 1069668 B.C. Ltd., a corporation organized under the laws of the Province of British Columbia, Canada.
As a result, 1069668 B.C. LTD. became the sole shareholder of 1031387 B.C. Unlimited Liability Company.

April 4, 2016.....1069668 B.C. Ltd., a corporation organized under the laws of the Province of British Columbia, Canada, and 1031387 B.C. Unlimited Liability Company, an unlimited liability company organized under the laws of the Province of British Columbia, Canada, were amalgamated as one company under the name 1069668 B.C. Ltd. 1069668 B.C. Ltd. subsequently converted from a corporation to an unlimited liability company and changed its name to 1070715 B.C. Unlimited Liability Company.

April 28, 2016.....Optum Rally Acquisition Company, Inc., a Delaware corporation, merged with and into Rally Health, Inc., a Delaware corporation, with Rally Health, Inc. being the surviving entity in the merger. As a result of the merger, Optum Services, Inc. became the 100% owner of Rally Health, Inc.

May 1, 2016.....FrontierMEDEX Canada Holdings Ltd., a Canadian corporation, and UnitedHealthcare Global Canada Limited, a Canadian corporation, were amalgamated as one company under the name UnitedHealthcare Global Canada Limited.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2012

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2012

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 04/09/2014

6.4 By what department or departments?
Indiana

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [X] No [ ] N/A [ ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [X] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Optum Bank, Inc.	Salt Lake City, UT			YES	

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... $

13. Amount of real estate and mortgages held in short-term investments: ..... $

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ ] No [X]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$
14.22	Preferred Stock	$ 0	$
14.23	Common Stock	$ 0	$
14.24	Short-Term Investments	$ 0	$
14.25	Mortgage Loans on Real Estate	$ 0	$
14.26	All Other	$ 0	$
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$	$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ]

If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ..... $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... $ 0

16.3 Total payable for securities lending reported on the liability page. ..... $ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon	Global Liquidity Services 1 Wall St, 14th Floor, New York, NY 10286
Northern Trust	50 S. LaSalle, Chicago IL 60675

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107038	JP Morgan Investment Management, Inc	245 Park Avenue, New York, NY 10167
113972	Standish Mellon Asset Management Company	201 Washington Street Suite 2900, Boston, MA 02108-4408

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

			1 Amount
1.	Report the statement value of mortgage loans at the end of this reporting period for the following categories:		
	1.1 Long-Term Mortgages In Good Standing		
	1.11 Farm Mortgages	$	
	1.12 Residential Mortgages	$	
	1.13 Commercial Mortgages	$	
	1.14 Total Mortgages in Good Standing	$	0
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms		
	1.21 Total Mortgages in Good Standing with Restructured Terms	$	
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months		
	1.31 Farm Mortgages	$	
	1.32 Residential Mortgages	$	
	1.33 Commercial Mortgages	$	
	1.34 Total Mortgages with Interest Overdue more than Three Months	$	0
	1.4 Long-Term Mortgage Loans in Process of Foreclosure		
	1.41 Farm Mortgages	$	
	1.42 Residential Mortgages	$	
	1.43 Commercial Mortgages	$	
	1.44 Total Mortgages in Process of Foreclosure	$	0
1.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$	0
1.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter		
	1.61 Farm Mortgages	$	
	1.62 Residential Mortgages	$	
	1.63 Commercial Mortgages	$	
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	$	0
2.	Operating Percentages:		
	2.1 A&H loss percent		67.300 %
	2.2 A&H cost containment percent		1.000 %
	2.3 A&H expense percent excluding cost containment expenses		19.900 %
3.1	Do you act as a custodian for health savings accounts?		Yes [ ] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	$	
3.3	Do you act as an administrator for health savings accounts?		Yes [ ] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date	$	

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

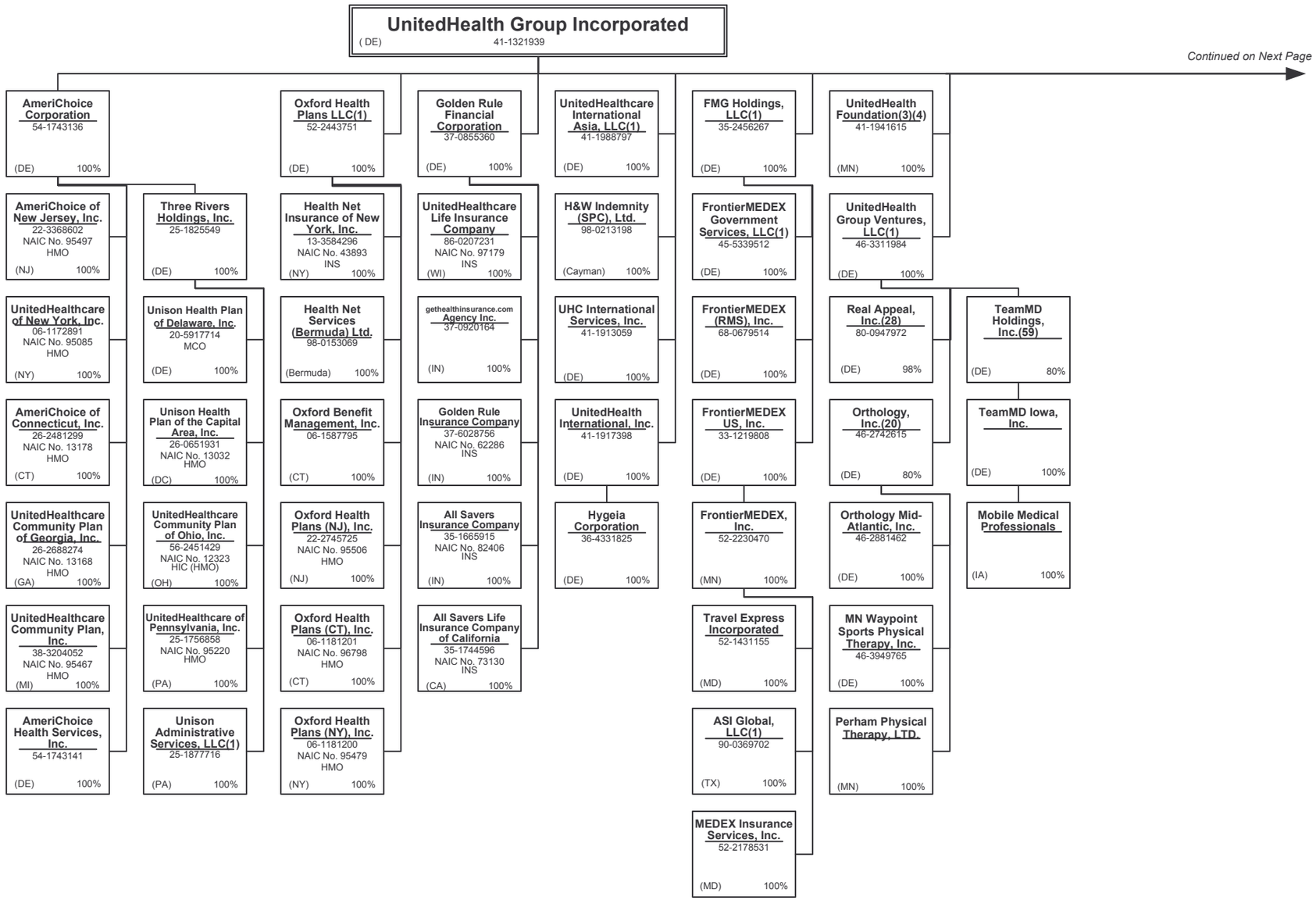
States, Etc.		1 Active Status	Direct Business Only					
			Life Contracts		4	5	6	7
			2 Life Insurance Premiums	3 Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts
1. Alabama	AL	L	182,647	9,150	7,980,342		8,172,139	
2. Alaska	AK	L	0	0	32,940		32,940	
3. Arizona	AZ	L	265,558	33,039	48,855,714		49,154,311	
4. Arkansas	AR	L	204,878	6,697	7,770,048		7,981,623	
5. California	CA	L	636,885	100,020	657,001		1,393,906	
6. Colorado	CO	L	364,705	10,059	19,620,955		19,995,719	
7. Connecticut	CT	L	321,024	44,835	10,215,811		10,581,670	
8. Delaware	DE	L	68,028	6,500	862,314		936,842	
9. District of Columbia	DC	L	20,051	0	46,653		66,704	
10. Florida	FL	L	1,211,368	299,648	120,436,635		121,947,651	
11. Georgia	GA	L	373,677	9,425	16,256,743		16,639,845	
12. Hawaii	HI	L	91,716	0	27,510		119,226	
13. Idaho	ID	L	9,360	0	21,990		31,350	
14. Illinois	IL	L	1,390,248	131,653	32,468,708		33,990,609	
15. Indiana	IN	L	589,352	124,101	29,849,326		30,562,779	
16. Iowa	IA	L	345,106	8,118	7,858,385		8,211,609	
17. Kansas	KS	L	64,609	3,358	2,975,316		3,043,283	
18. Kentucky	KY	L	401,800	2,461	2,544,787		2,949,048	
19. Louisiana	LA	L	199,279	9,552	4,587,750		4,796,581	
20. Maine	ME	L	93,506	0	13,536		107,042	
21. Maryland	MD	L	457,506	15,603	7,420,040		7,893,149	
22. Massachusetts	MA	L	84,082	19,580	21,271		124,933	
23. Michigan	MI	L	895,809	50,531	41,534,907		42,481,247	
24. Minnesota	MN	L	236,154	22,329	64,604		323,087	
25. Mississippi	MS	L	115,005	3,705	14,010,670		14,129,380	
26. Missouri	MO	L	713,905	58,516	40,490,363		41,262,784	
27. Montana	MT	L	2,502	0	13,077		15,579	
28. Nebraska	NE	L	151,382	24,243	14,958,381		15,134,006	
29. Nevada	NV	L	27,705	0	6,467,449		6,495,154	
30. New Hampshire	NH	L	98,865	8,824	25,866		133,555	
31. New Jersey	NJ	L	32,955	3,741	533,561		570,257	
32. New Mexico	NM	L	36,291	206	75,701		112,198	
33. New York	NY	N	32,909	0	28,681		61,590	
34. North Carolina	NC	L	378,038	32,697	14,952,221		15,362,956	
35. North Dakota	ND	L	40,016	0	30,143		70,159	
36. Ohio	OH	L	654,300	109,169	41,564,832		42,328,301	
37. Oklahoma	OK	L	203,305	12,838	14,296,751		14,512,894	
38. Oregon	OR	L	72,586	4,265	429,220		506,071	
39. Pennsylvania	PA	L	481,284	0	16,299,058		16,780,342	
40. Rhode Island	RI	L	25,506	3,747	2,342		31,595	
41. South Carolina	SC	L	229,753	12,110	19,990,982		20,232,845	
42. South Dakota	SD	L	97,070	0	42,712		139,782	
43. Tennessee	TN	L	607,951	35,688	16,729,912		17,373,551	
44. Texas	TX	L	1,294,949	100,262	61,495,671		62,890,882	
45. Utah	UT	L	57,350	0	75,605		132,955	
46. Vermont	VT	L	21,684	0	14,633		36,317	
47. Virginia	VA	L	533,030	27,396	14,896,101		15,456,527	
48. Washington	WA	L	124,676	3,502	140,461		268,639	
49. West Virginia	WV	L	121,901	20,450	4,518,967		4,661,318	
50. Wisconsin	WI	L	731,176	33,889	31,374,842		32,139,907	
51. Wyoming	WY	L	30,444	0	3,161,373		3,191,817	
52. American Samoa	AS	N					0	
53. Guam	GU	L					0	
54. Puerto Rico	PR	N					0	
55. U.S. Virgin Islands	VI	N					0	
56. Northern Mariana Islands	MP	N					0	
57. Canada	CAN	N					0	
58. Aggregate Other Aliens	OT	XXX	12,498	2,382	723	0	15,603	0
59. Subtotal	(a) 51		15,436,384	1,404,289	678,743,584	0	695,584,257	0
90. Reporting entity contributions for employee benefits plans		XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities		XXX					0	
92. Dividends or refunds applied to shorten endowment or premium paying period		XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions		XXX					0	
94. Aggregate or other amounts not allocable by State		XXX	0	0	0	0	0	0
95. Totals (Direct Business)		XXX	15,436,384	1,404,289	678,743,584	0	695,584,257	0
96. Plus Reinsurance Assumed		XXX					0	
97 Totals (All Business)		XXX	15,436,384	1,404,289	678,743,584	0	695,584,257	0
98. Less Reinsurance Ceded		XXX	14,719,361	1,404,289	4,590		16,128,240	
99. Totals (All Business) less Reinsurance Ceded		XXX	717,023	0	678,738,994	0	679,456,017	0
DETAILS OF WRITE-INS								
58001. Aggregate Other Alien		XXX	12,498	2,382	723		15,603	
58002.		XXX						
58003.		XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	12,498	2,382	723	0	15,603	0
9401.		XXX					0	
9402.		XXX						
9403.		XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page		XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)		XXX	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

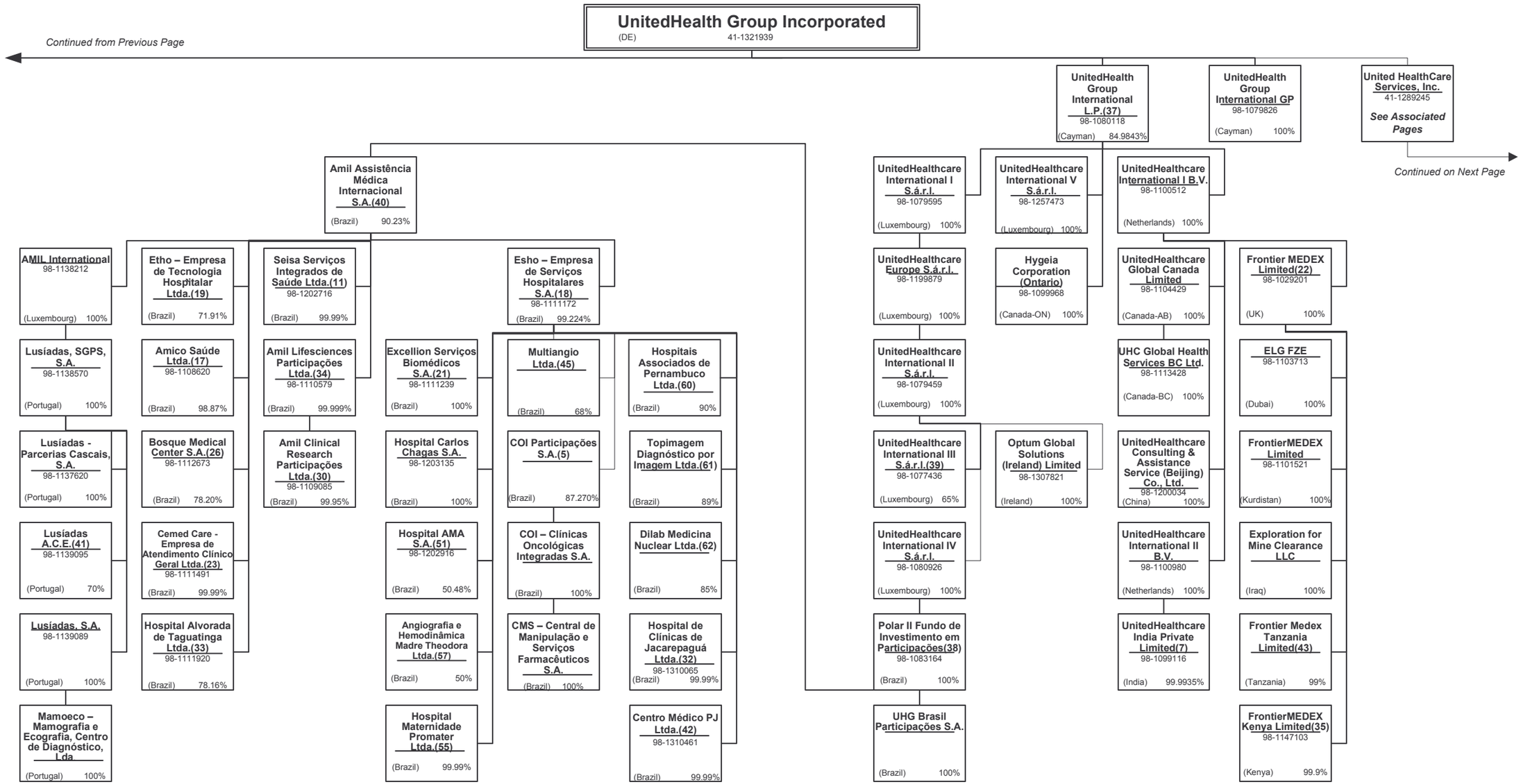
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



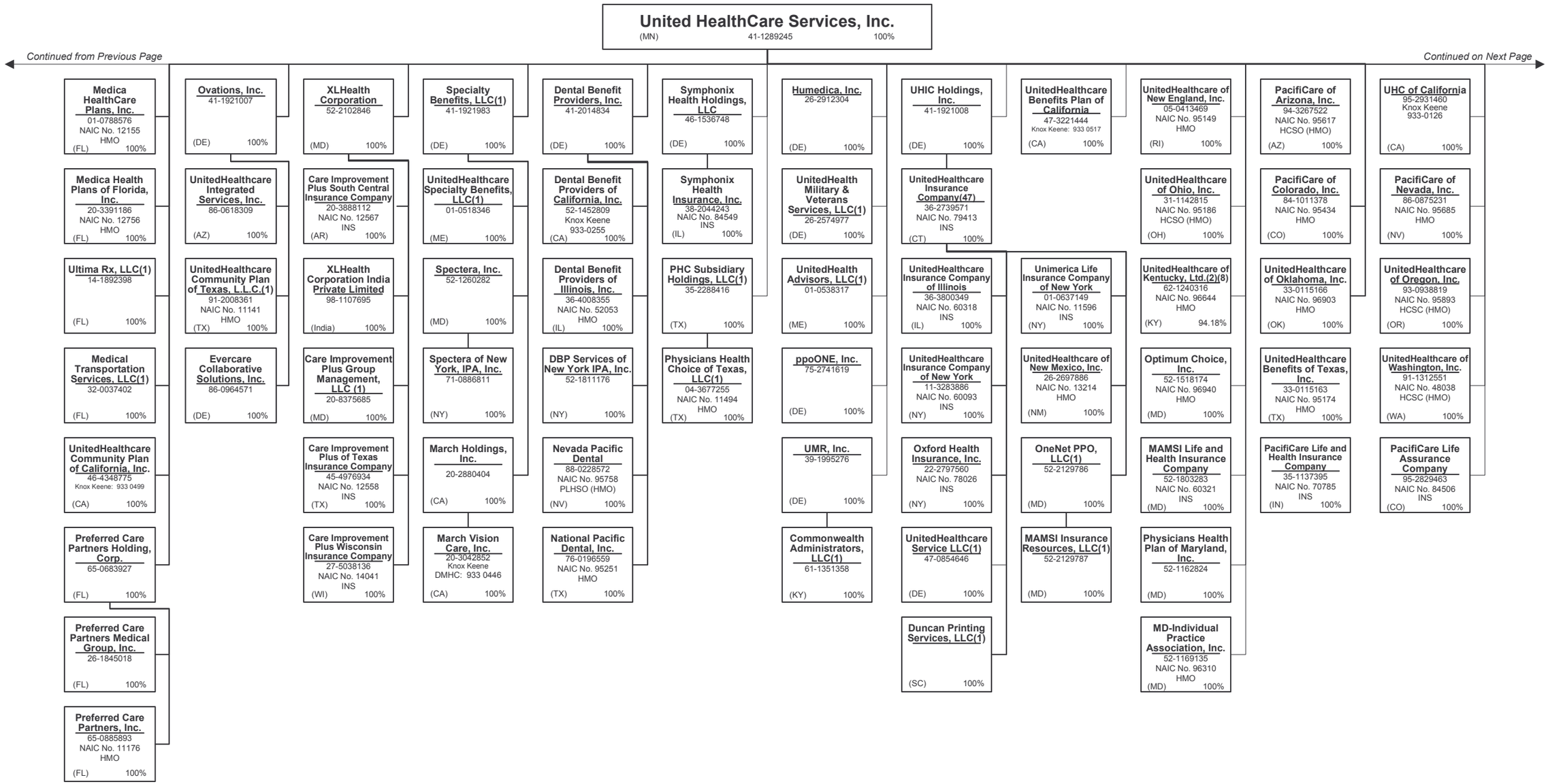
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



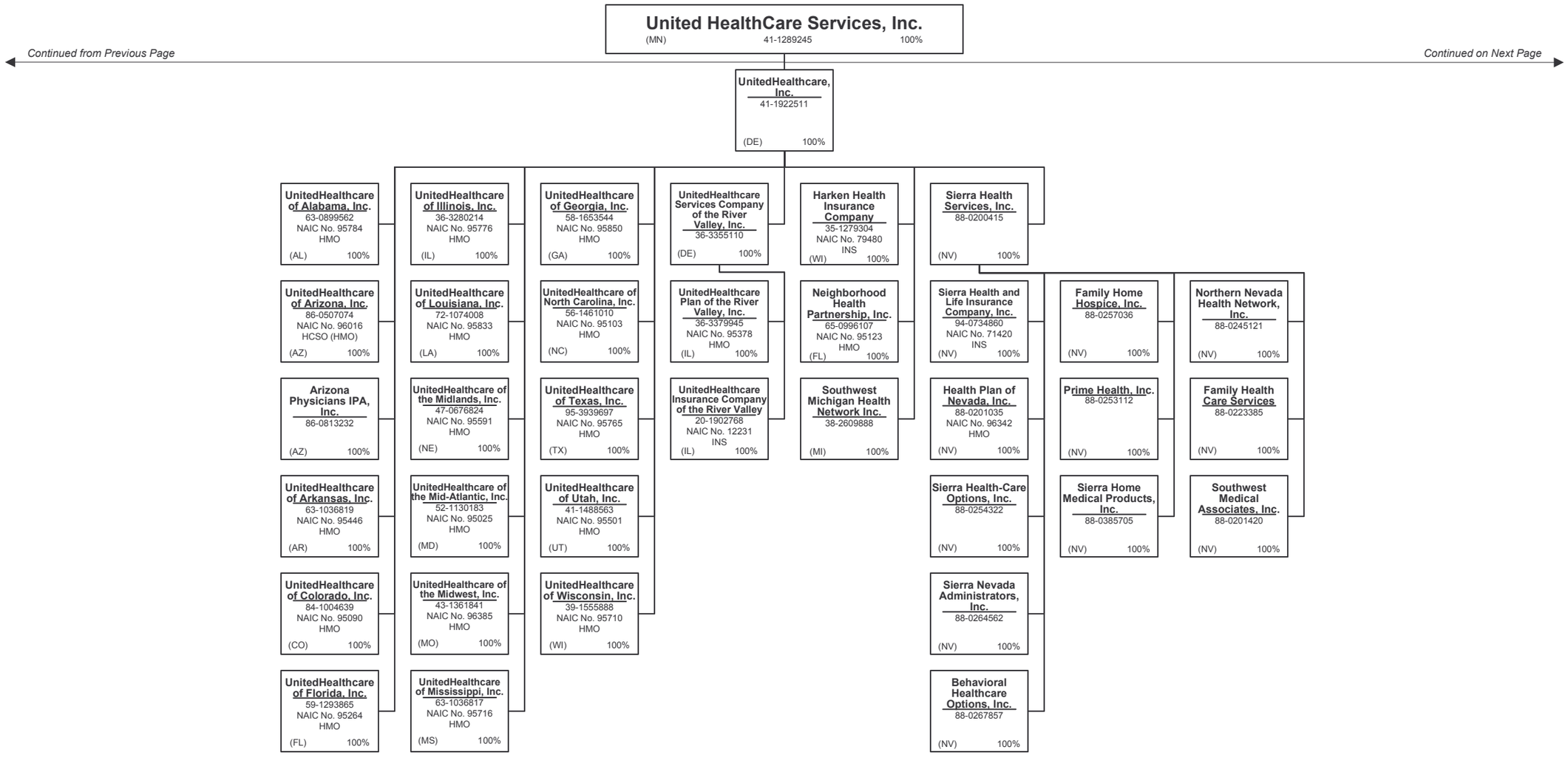
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



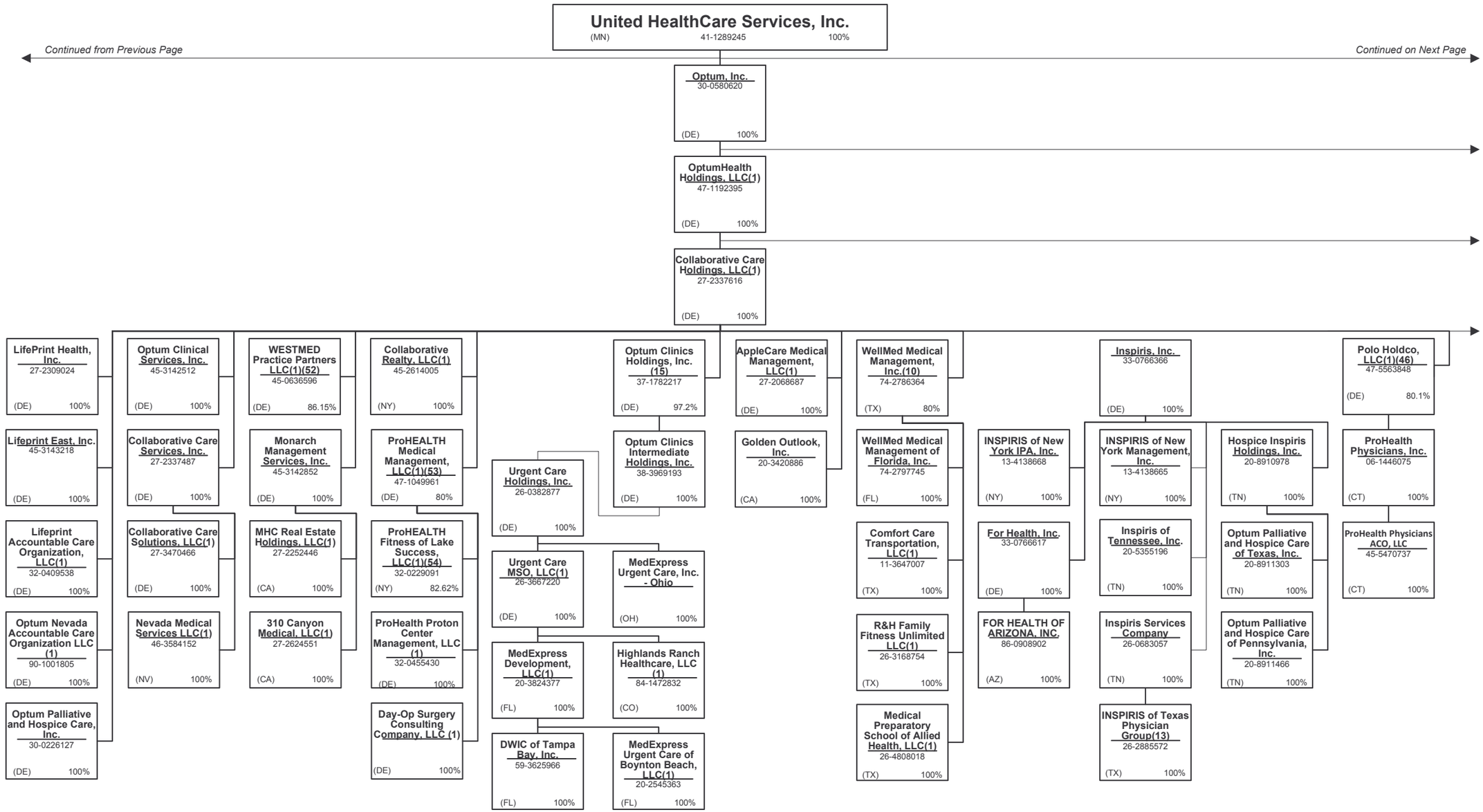
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



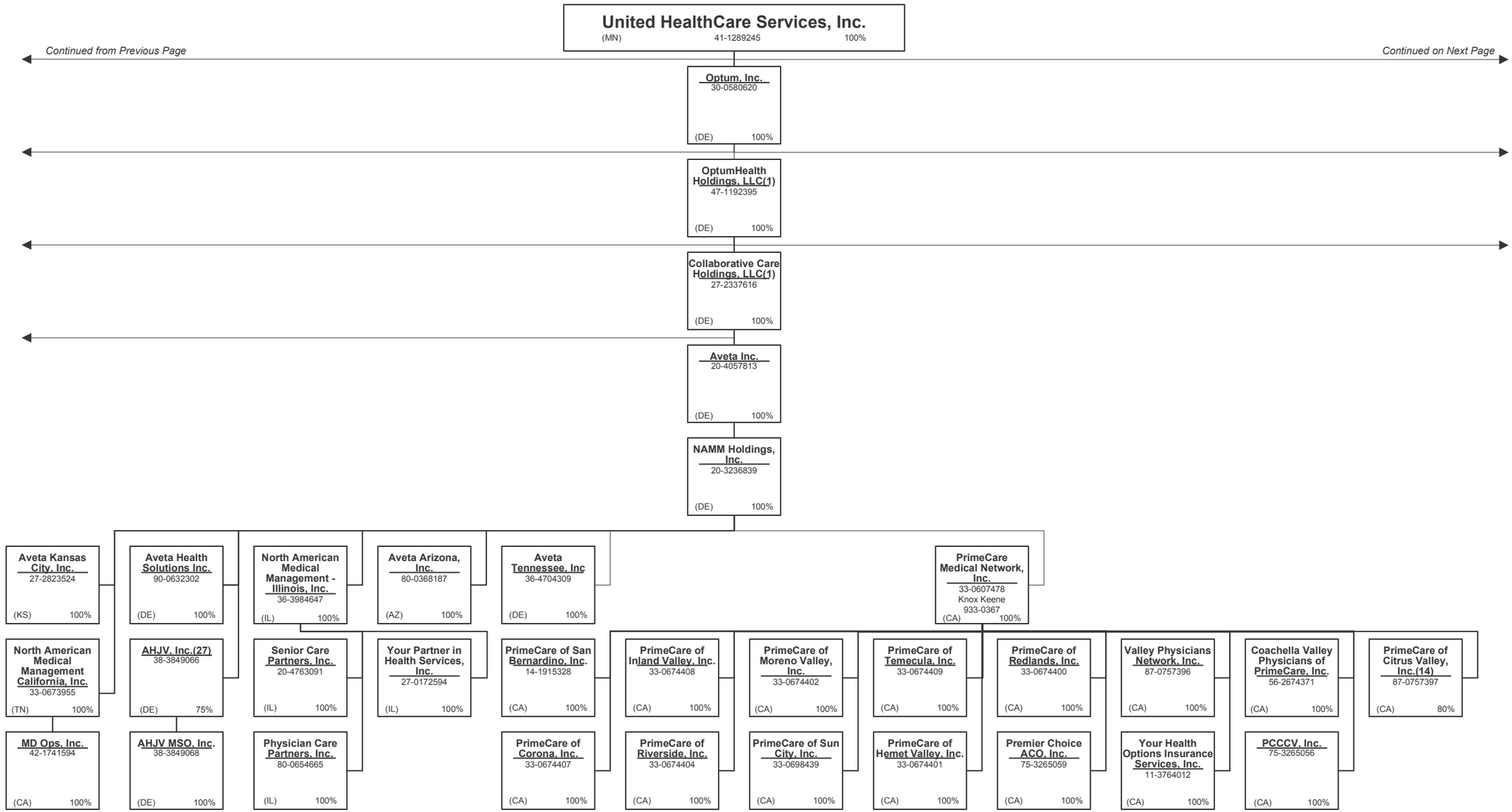
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



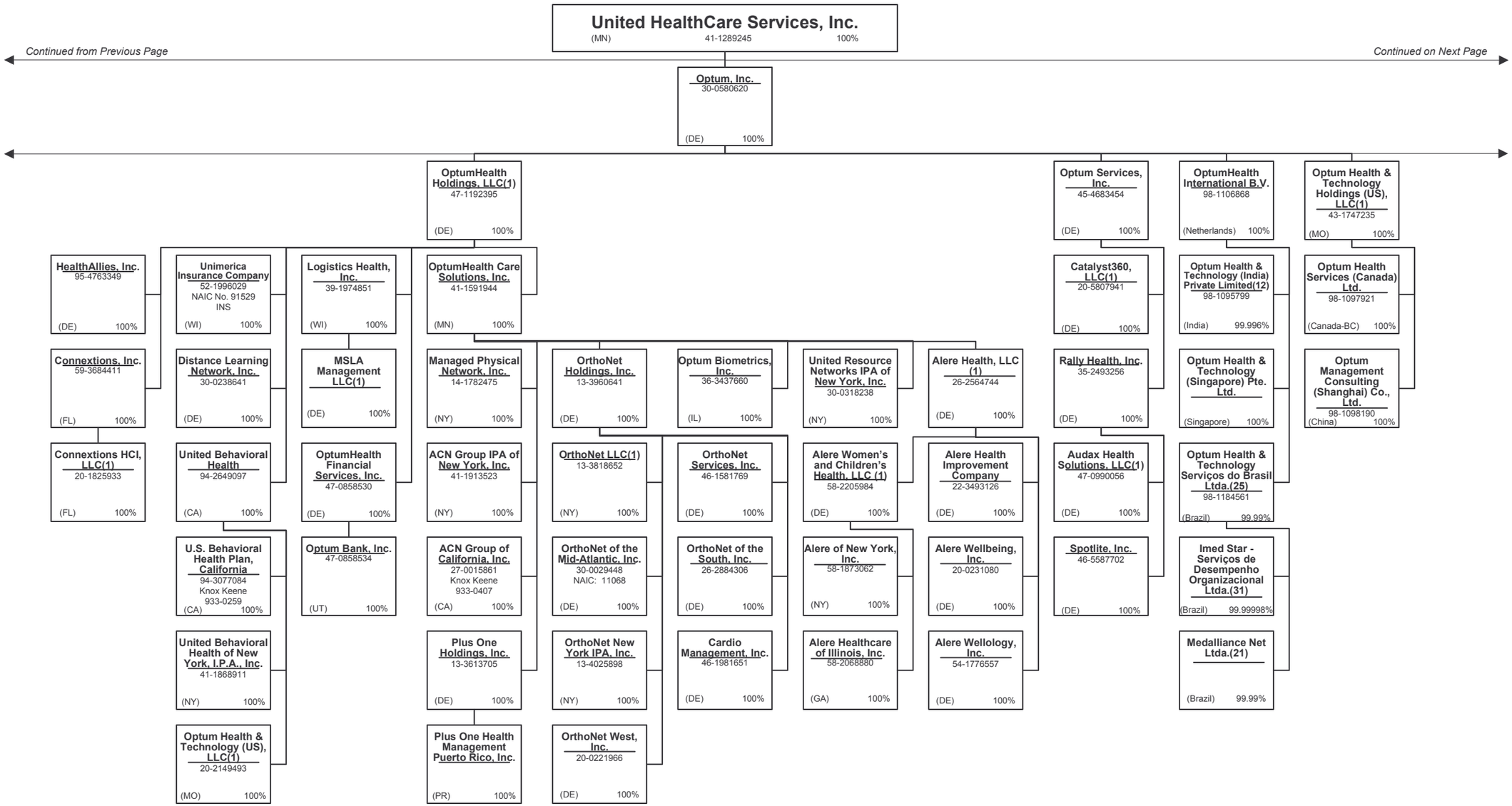
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



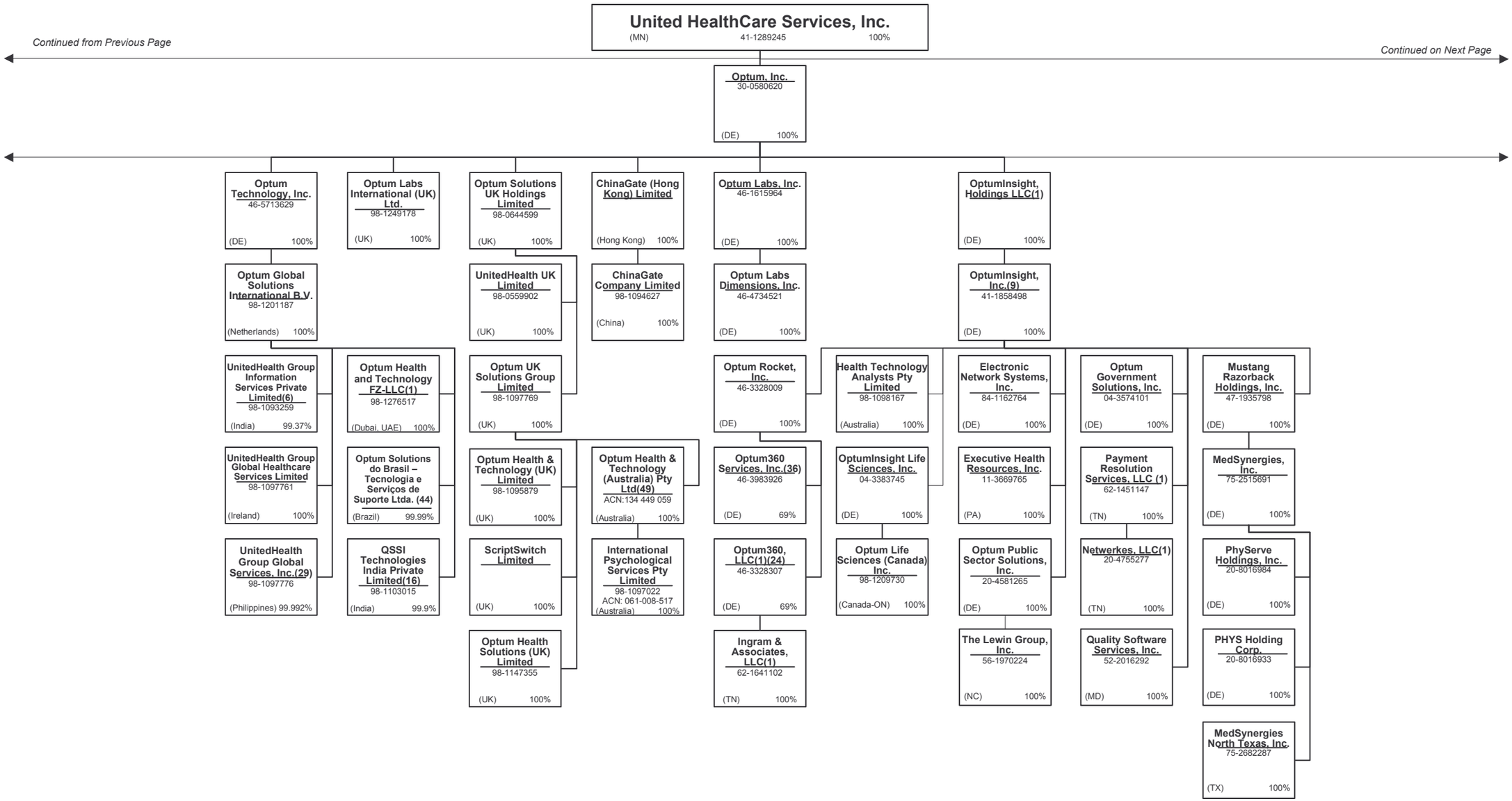
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



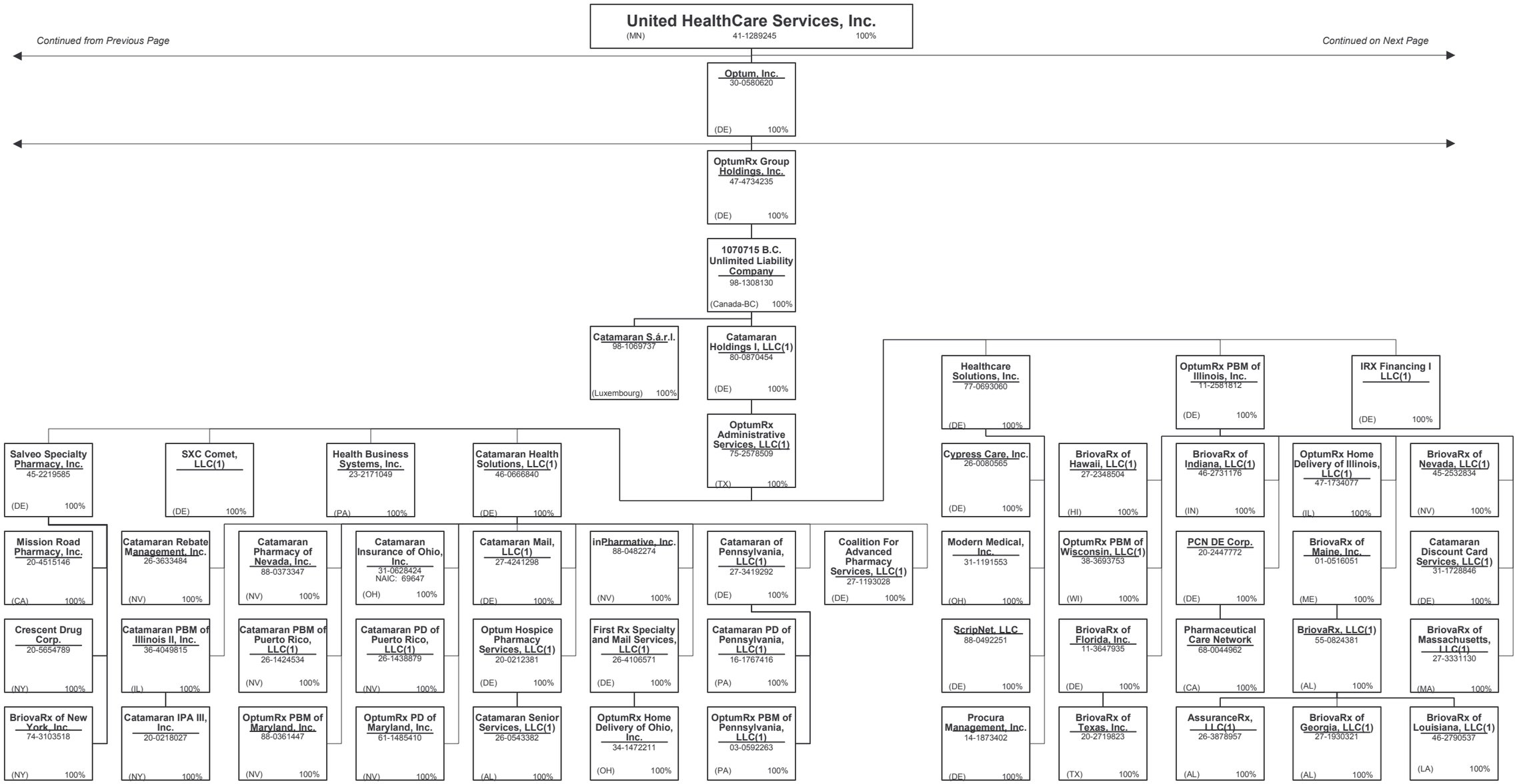
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



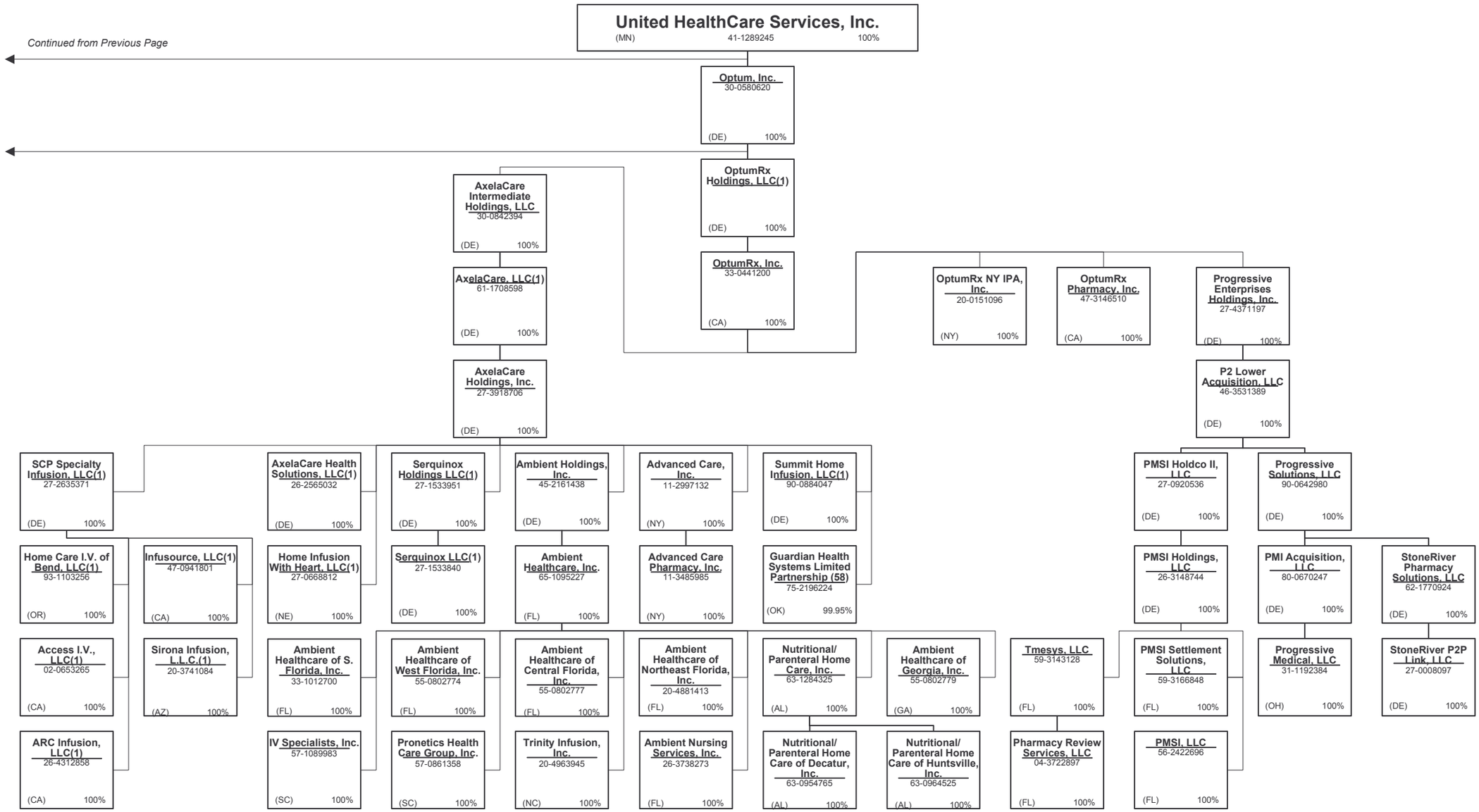
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

(1) Entity is a Limited Liability Company
(2) Entity is a Partnership
(3) Entity is a Non-Profit Corporation
(4) Control of the Foundation is based on sole membership, not the ownership of voting securities
(5) COI Participações S.A. is 87.270% owned by Esho – Empresa de Serviços Hospitalares S.A. and 12.729% owned by COIPAR Participações S.A.
(6) UnitedHealth Group Information Services Private Limited is 99.37% owned by Optum Global Solutions International B.V. The remaining 0.63 % is owned by UnitedHealth International, Inc.
(7) UnitedHealthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0065% owned by UnitedHealth International, Inc.
(8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
(9) Branch office located in Abu Dhabi, UAE.
(10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
(11) Seisa Serviços Integrados de Saúde Ltda is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.000008% owned by Cemed Care – Empresa de Atendimento Clinico Geral Ltda.
(12) Optum Health & Technology (India) Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
(13) INSPIRIS of Texas Physician Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
(14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
(15) Optum Clinics Holdings, Inc. is 97.2% owned by Collaborative Care Holdings, LLC and 2.8% is owned by external shareholders.
(16) QSSI Technologies India Private Limited is 99.9% owned by Optum Global Solutions International B.V. and 0.1% UnitedHealth International, Inc.
(17) Amico Saúde Ltda. is 98.87947% owned by Amil Assistência Médica Internacional S.A. and 1.12053% owned by Cemed Care – Empresa de Atendimento Clinico Geral Ltda.
(18) Esho – Empresa de Serviços Hospitalares S.A. is 99.224% owned by Amil Assistência Médica Internacional S.A.and 0.0340693% owned by Treasury Shares and .7409758% owned by external shareholders.
(19) Etho – Empresa de Technologia Hospitalar Ltda. 71.91% owned by Amil Assistência Médica Internacional S.A.and 28.08% owned by an external shareholder.
(20) Orthology, Inc. is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.
(21) Medalliance Net Ltda. is owned 99.999985% by Optum Health & Technology Serviços do Brasil Ltda. and 0.000015% by UHG Brasil Participações S.A.
(22) Branch offices in Iraq and Uganda.
(23) Cemed Care Empressa de Atendimento Clínico Geral Ltda. Is 99.9999995% owned by Amil Assistência Médica Internacional S.A. and 0.000005% owned by Amico Sáude Ltda.
(24) Optum 360, LLC is 69% owned by Optum Rocket, Inc; the remaining 31% is owned by external holders.
(25) Optum Health & Technology Serviços do Brasil Ltda. is 99.9964% owned by OptumHealth International B.V. and .0036% owned by OptumInsight, Inc.
(26) Bosque Medical Center S.A. is 78.2049803% owned by Amil Assistência Médica Internacional S.A.and 21.7950197% owned by Etsho – Empresa de Servicos Hospitalarea S.A.
(27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.
(28) Real Appeal, Inc. is majority-owned by UHG or one of its affiliates and the remaining 2% is owned by Real Appeal Management.
(29) UnitedHealth Group Global Services, Inc. is 99.992% owned by Optum Global Solutions International B.V., and the remaining 0.008% is held by the company's directors.
(30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and 0.05% owned by Cemed Care – Empresa de Atendimento Clinico Geral Ltda.
(31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.99998% owned by Optum Health & Technology Serviços do Brasil Ltda and 0.00002% owned by UHG Brasil Participações S.A.
(32) Hospital de Clínicas de Jacarepaguá Ltda. is 99.99% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.01% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
(33) Hospital Alvorada Taguatinga Ltda.is 78.160392% owned by Amil Assistência Médica Internacional S.A, 21.839608% by Bosque Medical Center S.A.
(34) Amil Lifesciences Participações Ltda. Is 99.999669% owned by Amil Assistência Médica Internacional S.A and 0.000331% owned by Cemed Care Empressa de Atendimento Clinico Geral Ltda.
(35) FrontierMEDEX Kenya Limited is 99.9% owned by Frontier MEDEX Limited and 0.1% owned by UnitedHealthcare International I B.V.
(36) Optum360 Services, Inc. is 69% owned by Optum Rocket, Inc. and 31% owned by two external interest holders.
(37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.8145%), Hygeia Corporation (DE) (0.2012%) and UnitedHealth Group Incorporated (84.9843%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
(38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
(39) UnitedHealthcare International III S.á.r.l. is 65% owned by UnitedHealthcare Europe S.á.r.l. and 35% owned by UnitedHealthcare International II S.á.r.l.
(40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.
(41) Lusíadas A.C.E. is 70% owned by Lusíadas, SGPS, S.A., 10% owned by Lusíadas – Parcerias Cascais, S.A., and 20% owned by Lusiadas, S.A.
(42) Centro Médico PJ Ltda. is 99.99% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.01% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
(43) Frontier Medex Tanzania Limited is 99% owned by Frontier MEDEX Limited. The remaining 1% is owned by a former officer of Frontier MEDEX Limited and is being transferred to UnitedHealthcare International I BV.
(44) Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda., is 99.999998% owned by Optum Global Solutions International B.V.and 0.00002% owned by OptumHealth International B.V.
(45) Multiangio Ltda. is 68% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 32% is owned by external shareholders.
(46) Polo Holdco, LLC is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% being owned by an outside third party.
(47) TBD.
(48) TBD
(49) Branch office located in Hong Kong.
(50) Dental Center Serviços Odontológicos Ltda. is 100% owned by Seisa Servicos Integrados de Sáude Ltda.
(51) Hospital AMA S.A. is 50.48% owned by Esho – Empresa de Serviços Hospitalares S.A. and 49.52% owned by Seisa Serviços Integrados de Saúde Ltda.
(52) WESTMED Practice Partners LLC is 86.15% owned by Collaborative Care Holdings, LLC and 13.85% owned by external shareholders.
(53) ProHEALTH Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.
(54) ProHEALTH Fitness of Lake Success, LLC is 82.62% owned by ProHEALTH Medical Management, LLC and 17.38% by an external shareholder.
(55) Hospital Maternidade Promater Ltda is 99.99% owned by Esho – Empresa de Servicos Hospitalares S.A. and 0.00006% owned by Seisa Serviços Integrados de Saúde Ltda.
(56) Hospital Geral e Maternidade Madre Maria Theodora Ltda. is 99.9999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00001% owned by Cemed Care Empressa de Atendimento Clínico Geral Ltda.
(57) Angiografia e Hemodinâmica Madre Theodora Ltda. is 50% owned by Hospital Geral e Maternidade Madre Maria Theodora Ltda. and 50% owned by 28 individual partners.
(58) Guardian Health Systems Limited Partnership is 99.95% owned by AxelaCare Holdings, Inc. with the remaining 0.05% interest as a limited partner being held by AxelaCare Health Solutions, LLC.
(59) TeamMD Holdings, Inc., a Delaware corporation, was formed as an 80% owned subsidiary of UnitedHealth Group Ventures, LLC, a Delaware limited liability company. The remaining 20% is owned by external shareholders.
(60) Hospitais Associados de Pernambuco Ltda. is 90% owned by Esho – Empresa de Serviços Hospitalares S.A. and 10% is owned by an external shareholder
(61) Topimagem Diagnóstico por Imagem Ltda.is 89% owned by Esho – Empresa de Serviços Hospitalares S.A., and the remaining 11% interest is owned by external shareholders.
(62) Dilab – Medicina Nuclear Ltda is 85% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 15% is owned by external shareholders.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	98-1308130				1070715 B.C. Unlimited Liability Company	CAN	NIA	OptumRx Group Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2624551				310 Canyon Medical, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	02-0653265				Access I.V., LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1913523				ACN Group IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0015861				ACN Group of California, Inc.	CA	IA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3485985				Advanced Care Pharmacy, Inc.	NY	NIA	Advanced Care, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-2997132				Advanced Care, Inc.	NY	NIA	AxelaCare Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3849068				AHJV MSO, Inc.	DE	NIA	AHJV, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3849066				AHJV, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	22-3493126				Alere Health Improvement Company	DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2564744				Alere Health, LLC	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	58-2068880				Alere Healthcare of Illinois, Inc.	GA	NIA	Alere Women's and Children's Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	58-1873062				Alere of New York, Inc.	NY	NIA	Alere Women's and Children's Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0231080				Alere Wellbeing, Inc.	DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1776557				Alere Wellology, Inc.	DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	58-2205984				Alere Women's and Children's Health, LLC	DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	82406	35-1665915				All Savers Insurance Company	IN	RE	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	73130	35-1744596				All Savers Life Insurance Company of California	CA	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	55-0802777				Ambient Healthcare of Central Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	55-0802779				Ambient Healthcare of Georgia, Inc.	GA	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4881413				Ambient Healthcare of Northeast Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-1012700				Ambient Healthcare of S. Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	55-0802774				Ambient Healthcare of West Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	65-1095227				Ambient Healthcare, Inc.	FL	NIA	Ambient Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-2161438				Ambient Holdings, Inc.	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3738273				Ambient Nursing Services, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743136				AmeriChoice Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743141				AmeriChoice Health Services, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13178	26-2481299				AmeriChoice of Connecticut, Inc.	CT	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95497	22-3368602				AmeriChoice of New Jersey, Inc.	NJ	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1108620				Amico Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	98.879	UnitedHealth Group Incorporated	
		00000	98-1108620				Amico Saúde Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	1.121	UnitedHealth Group Incorporated	
		00000					Amil Assistência Médica Internacional S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	90.230	UnitedHealth Group Incorporated	1
		00000	98-1109085				Amil Clinical Research Participações Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	99.950	UnitedHealth Group Incorporated	
		00000	98-1109085				Amil Clinical Research Participações Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.050	UnitedHealth Group Incorporated	
		00000	98-1138212				AMIL International	LUX	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1110579				Amil Lifesciences Participações Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1110579				Amil Lifesciences Participações Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000					Angiografia e Hemodinâmica Madre Theodora Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	50.000	UnitedHealth Group Incorporated	1
		00000	27-2068687				AppleCare Medical Management, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-4312858				ARC Infusion, LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0813232				Arizona Physicians IPA, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0369702				ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3878957				AssuranceRx, LLC	AL	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0990056				Audax Health Solutions, LLC	DE	NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0368187				Aveta Arizona, Inc.	AZ	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0632302				Aveta Health Solutions Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4057813				Aveta Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2823524				Aveta Kansas City, Inc.	KS	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4704309				Aveta Tennessee, Inc	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2565032				AxelaCare Health Solutions, LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3918706		0001507772		AxelaCare Holdings, Inc.	DE	NIA	AxelaCare, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0842394				AxelaCare Intermediate Holdings, LLC	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1708598				AxelaCare, LLC	DE	NIA	AxelaCare Intermediate Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0267857				Behavioral Healthcare Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	78.210	UnitedHealth Group Incorporated	
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	21.790	UnitedHealth Group Incorporated	
		00000	11-3647935				BriovaRx of Florida, Inc.	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1930321				BriovaRx of Georgia, LLC	GA	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2348504				BriovaRx of Hawaii, LLC	HI	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2731176				BriovaRx of Indiana, LLC	IN	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2790537				BriovaRx of Louisiana, LLC	LA	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0516051				BriovaRx of Maine, Inc.	ME	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3331130				BriovaRx of Massachusetts, LLC	MA	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-2532834				BriovaRx of Nevada, LLC	NV	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-3103518				BriovaRx of New York, Inc.	NY	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2719823				BriovaRx of Texas, Inc.	TX	NIA	BriovaRx of Florida, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	55-0824381				BriovaRx, LLC	AL	NIA	BriovaRx of Maine, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-1981651				Cardio Management, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12558	45-4976934				Care Improvement Plus of Texas Insurance Company	TX	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12567	20-3888112				Care Improvement Plus South Central Insurance Company	AR	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	14041	27-5038136				Care Improvement Plus Wisconsin Insurance Company	WI	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5807941				Catalyst360, LLC	DE	NIA	Optum Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	31-1728846				Catamaran Discount Card Services, LLC	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-0666840				Catamaran Health Solutions, LLC	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0870454				Catamaran Holdings I, LLC	DE	NIA	OptumRx Group Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	69647	31-0628424				Catamaran Insurance of Ohio, Inc.	OH	IA	OptumRx PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0218027				Catamaran IPA III, Inc.	NY	NIA	Catamaran PBM of Illinois II, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-4241298				Catamaran Mail, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3419292				Catamaran of Pennsylvania, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4049815				Catamaran PBM of Illinois II, Inc.	IL	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	26-1424534				Catamaran PBM of Puerto Rico, LLC	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	16-1767416				Catamaran PD of Pennsylvania, LLC	PA	NIA	Catamaran of Pennsylvania, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-1438879				Catamaran PD of Puerto Rico, LLC	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0373347				Catamaran Pharmacy of Nevada, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3633484				Catamaran Rebate Management, Inc.	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1069737				Catamaran S.á.r.l.	LUX	NIA	OptumRx Group Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0543382				Catamaran Senior Services, LLC	AL	NIA	Optum Hospice Pharmacy Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1111491				Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1111491				Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000	98-1310461				Centro Médico PJ Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	99.990	UnitedHealth Group Incorporated	
		00000	98-1310461				Centro Médico PJ Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.010	UnitedHealth Group Incorporated	
		00000					ChinaGate (Hong Kong) Limited	HKG	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094627				ChinaGate Company Limited	CHN	NIA	ChinaGate (Hong Kong) Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					CMS – Central de Manipulação e Serviços Farmacêuticos S.A.	BRA	NIA	COI – Clínicas Oncológicas Integradas S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-2674371				Coachella Valley Physicians of PrimeCare, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1193028				Coalition For Advanced Pharmacy Services, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					COI – Clínicas Oncológicas Integradas S.A.	BRA	NIA	COI Participações S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					COI Participações S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	87.270	UnitedHealth Group Incorporated	1
		00000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2337487				Collaborative Care Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3470466				Collaborative Care Solutions, LLC	DE	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-2614005				Collaborative Realty, LLC	NY	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3647007				Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1351358				Commonwealth Administrators, LLC	KY	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-1825933				Connextions HCI, LLC	FL	NIA	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3684411				Connextions, Inc.	FL	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5654789				Crescent Drug Corp.	NY	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0080565				Cypress Care, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Day-Op Surgery Consulting Company, LLC	DE	NIA	ProHEALTH Medical Management, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1811176				DBP Services of New York IPA, Inc.	NY	NIA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1452809				Dental Benefit Providers of California, Inc.	CA	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	52053	36-4008355				Dental Benefit Providers of Illinois, Inc.	IL	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-2014834				Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Dilab Medicina Nuclear Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	85.000	UnitedHealth Group Incorporated	1
		00000	30-0238641				Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Duncan Printing Services, LLC	SC	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3625966				DWIC of Tampa Bay, Inc.	FL	NIA	MedExpress Development, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1162764				Electronic Network Systems, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1103713				ELG FZE	ARE	NIA	Frontier MEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	98-1111172				Esho – Empresa de Serviços Hospitalares S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.225	UnitedHealth Group Incorporated	2
		00000					Etho – Empresa de Tecnologia Hospitalar Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	71.910	UnitedHealth Group Incorporated	1
		00000	86-0964571				Evercare Collaborative Solutions, Inc.	DE	NIA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1111239				Excellion Serviços Biomédicos S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3669765				Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1259260				Exploration for Mine Clearance LLC	IRQ	NIA	Frontier MEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0223385				Family Health Care Services	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0257036				Family Home Hospice, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-4106571				First Rx Specialty and Mail Services, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2456267				FMG Holdings, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0908902				FOR HEALTH OF ARIZONA, INC.	AZ	NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766617				For Health, Inc.	DE	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1029201				Frontier MEDEX Limited	GBR	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Frontier Medex Tanzania Limited	TZA	NIA	Frontier MEDEX Limited	Ownership	99.000	UnitedHealth Group Incorporated	3
		00000	68-0679514				FrontierMEDEX (RMS), Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-5339512				FrontierMEDEX Government Services, LLC	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1147103				FrontierMEDEX Kenya Limited	KEN	NIA	Frontier MEDEX Limited	Ownership	99.900	UnitedHealth Group Incorporated	
		00000	98-1147103				FrontierMEDEX Kenya Limited	KEN	NIA	UnitedHealthcare International I B.V.	Ownership	0.100	UnitedHealth Group Incorporated	
		00000	98-1101521				FrontierMEDEX Limited	IRQ	NIA	Frontier MEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-1219808				FrontierMEDEX US, Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2230470				FrontierMEDEX, Inc.	MN	NIA	FrontierMEDEX US, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-0920164				gethealthinsurance.com Agency Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-3420886				Golden Outlook, Inc.	CA	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-0855360				Golden Rule Financial Corporation	DE	UDP	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	62286	37-6028756	3057283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2196224				Guardian Health Systems Limited Partnership	OK	NIA	AxelaCare Holdings, Inc.	Ownership	99.950	UnitedHealth Group Incorporated	
		00000	75-2196224				Guardian Health Systems Limited Partnership	OK	NIA	AxelaCare Health Solutions, LLC	Ownership	0.050	UnitedHealth Group Incorporated	
		00000	98-0213198				H&W Indemnity (SPC), Ltd.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	79480	35-1279304				Harken Health Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	23-2171049				Health Business Systems, Inc.	PA	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	43893	13-3584296				Health Net Insurance of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0153069				Health Net Services (Bermuda) Ltd.	BMU	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96342	88-0201035				Health Plan of Nevada, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1098167				Health Technology Analysts Pty Limited	AUS	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	77-0693060				Healthcare Solutions, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1472832				Highlands Ranch Healthcare, LLC	CO	NIA	Urgent Care MSO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	93-1103256				Home Care I.V. of Bend, LLC	OR	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0668812				Home Infusion With Heart, LLC	NE	NIA	AxelaCare Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Hospitais Associados de Pernambuco Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	90.000	UnitedHealth Group Incorporated	1
		00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	78.160	UnitedHealth Group Incorporated	
		00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Bosque Medical Center S.A.	Ownership	21.840	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	98-1202916				Hospital AMA S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	50.480	UnitedHealth Group Incorporated	
		00000	98-1202916				Hospital AMA S.A.	BRA	NIA	Seisa Serviços Integrados de Saúde Ltda.	Ownership	49.520	UnitedHealth Group Incorporated	
		00000	98-1203135				Hospital Carlos Chagas S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1310065				Hospital de Clínicas de Jacarepaguá Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	99.990	UnitedHealth Group Incorporated	
		00000	98-1310065				Hospital de Clínicas de Jacarepaguá Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.010	UnitedHealth Group Incorporated	
		00000					Hospital Maternidade Promater Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Hospital Maternidade Promater Ltda.	BRA	NIA	Seisa Serviços Integrados de Saúde Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000	26-2912304				Humedica, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4331825				Hygeia Corporation	DE	NIA	UnitedHealth International, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1099968				Hygeia Corporation	CAN	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Imed Star – Serviços de Desempenho Organizacional Ltda.	BRA	NIA	Optum Health & Technology Serviços do Brasil Ltda.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Imed Star – Serviços de Desempenho Organizacional Ltda.	BRA	NIA	UHG Brasil Participações S.A.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000	47-0941801				Infusource, LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1641102				Ingram & Associates, LLC	TN	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0482274				inPharmative, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138668				INSPIRIS of New York IPA, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138665				INSPIRIS of New York Management, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5355196				Inspiris of Tennessee, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2885572				INSPIRIS of Texas Physician Group	TX	NIA	Inspiris Services Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0683057				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766366				Inspiris, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097022				International Psychological Services Pty Limited	AUS	NIA	Optum Health & Technology (Australia) Pty Ltd	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					IRX Financing I LLC	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	57-1089983				IV Specialists, Inc.	SC	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0409538				Lifeprint Accountable Care Organization, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3143218				Lifeprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2309024				LifePrint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1137620				Lusíadas - Parcerias Cascais, S.A.	PRT	NIA	Lusíadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusíadas A.C.E.	PRT	NIA	Lusíadas, SGPS, S.A.	Ownership	70.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusíadas A.C.E.	PRT	NIA	Lusíadas, S.A.	Ownership	20.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusíadas A.C.E.	PRT	NIA	Lusíadas - Parcerias Cascais, S.A.	Ownership	10.000	UnitedHealth Group Incorporated	
		00000	98-1139089				Lusíadas, S.A.	PRT	NIA	Lusíadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1138570				Lusíadas, SGPS, S.A.	PRT	NIA	Amil International	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Mamoeco – Mamografia e Ecografia, Centro de Diagnóstico, Lda.	PRT	NIA	Lusíadas, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2129787				MAMSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60321	52-1803283				MAMSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1782475				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2880404				March Holdings, Inc.	CA	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	20-3042852				March Vision Care, Inc.	CA	IA	March Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	42-1741594				MD Ops, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96310	52-1169135				MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Medalliance Net Ltda.	BRA	NIA	Optum Health & Technology Serviços do Brasil Ltda.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Medalliance Net Ltda.	BRA	NIA	UHG Brasil Participações S.A.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000	52-2178531				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-3824377				MedExpress Development, LLC	FL	NIA	Urgent Care MSO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2545363				MedExpress Urgent Care of Boynton Beach, LLC	FL	NIA	MedExpress Development, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					MedExpress Urgent Care, Inc. - Ohio	OH	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12756	20-3391186				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12155	01-0788576				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-4808018				Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0037402				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2682287				MedSynergies North Texas, Inc.	TX	NIA	MedSynergies, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2515691				MedSynergies, Inc.	DE	NIA	Mustang Razorback Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2252446				MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4515146				Mission Road Pharmacy, Inc.	CA	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3949765				MN Waypoint Sports Physical Therapy, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Mobile Medical Professionals, Inc.	IA	NIA	TeamMD Iowa, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	31-1191553				Modern Medical, Inc.	OH	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					MSLA Management LLC	DE	NIA	Logistics Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Multiangio Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	68.000	UnitedHealth Group Incorporated	1
		00000	47-1935798				Mustang Razorback Holdings, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-3236839				NAMM Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95251	76-0196559				National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95123	65-0996107				Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4755277				Netwerkes, LLC	TN	NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3584152				Nevada Medical Services LLC	NV	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95758	88-0228572				Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3984647				North American Medical Management - Illinois, Inc.	IL	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0673955				North American Medical Management California, Inc.	TN	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0245121				Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	63-0954765				Nutritional/Parenteral Home Care of Decatur, Inc.	AL	NIA	Nutritional/Parenteral Home Care, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	63-0964525				Nutritional/Parenteral Home Care of Huntsville, Inc.	AL	NIA	Nutritional/Parenteral Home Care, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	63-1284325				Nutritional/Parenteral Home Care, Inc.	AL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2129786				OneNet PPO, LLC	MD	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96940	52-1518174				Optimum Choice, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0858534	3202702			Optum Bank, Inc.	UT	NIA	OptumHealth Financial Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3437660				Optum Biometrics, Inc.	IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3142512				Optum Clinical Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-1782217				Optum Clinics Holdings, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	97.200	UnitedHealth Group Incorporated	1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	38-3969193				Optum Clinics Intermediate Holdings, Inc.	DE	NIA	Optum Clinics Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1307821				Optum Global Solutions (Ireland) Limited	JRL	NIA	UnitedHealthcare International II S.à.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1201187				Optum Global Solutions International B.V.	NLD	NIA	Optum Technology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	04-3574101				Optum Government Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Optum Health & Technology (Australia) Pty Ltd	AUS	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	OptumHealth International B.V.	Ownership	99.996	UnitedHealth Group Incorporated	
		00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	United Behavioral Health	Ownership	0.004	UnitedHealth Group Incorporated	
		00000					Optum Health & Technology (Singapore) Pte. Ltd.	SGP	NIA	OptumHealth International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1095879				Optum Health & Technology (UK) Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2149493				Optum Health & Technology (US), LLC	MO	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	43-1747235				Optum Health & Technology Holdings (US), LLC	MO	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1184561				Optum Health & Technology Serviços do Brasil Ltda.	BRA	NIA	OptumHealth International B.V.	Ownership	99.996	UnitedHealth Group Incorporated	
		00000	98-1184561				Optum Health & Technology Serviços do Brasil Ltda.	BRA	NIA	OptumInsight, Inc.	Ownership	0.004	UnitedHealth Group Incorporated	
		00000	98-1276517				Optum Health and Technology FZ-LLC	ARE	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097921				Optum Health Services (Canada) Ltd.	CAN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1147355				Optum Health Solutions (UK) Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0212381				Optum Hospice Pharmacy Services, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-4734521				Optum Labs Dimensions, Inc.	DE	NIA	Optum Labs, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1249178				Optum Labs International (UK) Ltd.	GBR	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-1615964				Optum Labs, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1209730				Optum Life Sciences (Canada) Inc.	CAN	NIA	OptumInsight Life Sciences, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1098190				Optum Management Consulting (Shanghai) Co., Ltd.	CHN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-1001805				Optum Nevada Accountable Care Organization LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911466				Optum Palliative and Hospice Care of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911303				Optum Palliative and Hospice Care of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0226127				Optum Palliative and Hospice Care, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4581265				Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3328009				Optum Rocket, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-4683454				Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda.	BRA	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda.	BRA	NIA	OptumHealth International B.V.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000	98-0644599				Optum Solutions UK Holdings Limited	GBR	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-5713629				Optum Technology, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097769				Optum UK Solutions Group Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0580620	3119994			Optum, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	46-3983926				Optum360 Services, Inc.	DE	NIA	Optum Rocket, Inc.	Ownership	69.000	UnitedHealth Group Incorporated	1
		00000	46-3328307				Optum360, LLC	DE	NIA	Optum Rocket, Inc.	Ownership	75.000	UnitedHealth Group Incorporated	1
		00000	41-1591944				OptumHealth Care Solutions, Inc.	MN	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0858530				OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-1192395				OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1106868				OptumHealth International B.V.	NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2578509				OptumRx Administrative Services, LLC	TX	NIA	Catamaran Holdings I, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-4734235				OptumRx Group Holdings, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-1734077				OptumRx Home Delivery of Illinois, LLC	IL	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	34-1472211				OptumRx Home Delivery of Ohio, Inc.	OH	NIA	First Rx Specialty and Mail Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-2581812				OptumRx PBM of Illinois, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0361447				OptumRx PBM of Maryland, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	03-0592263				OptumRx PBM of Pennsylvania, LLC	PA	NIA	Catamaran of Pennsylvania, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3693753				OptumRx PBM of Wisconsin, LLC	WI	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1485410				OptumRx PD of Maryland, Inc.	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-3146510				OptumRx Pharmacy, Inc.	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2881462				Orthology Mid-Atlantic, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2742615				Orthology, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	80.000	UnitedHealth Group Incorporated	1
		00000	13-3960641				OrthoNet Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-3818652				OrthoNet LLC	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4025898				OrthoNet New York IPA, Inc.	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0029448				OrthoNet of the Mid-Atlantic, Inc.	DE	IA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2884306				OrthoNet of the South, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-1581769				OrthoNet Services, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0221966				OrthoNet West, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3531389				P2 Lower Acquisition, LLC	DE	NIA	Progressive Enterprises Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	70785	35-1137395				PacifiCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	84506	95-2829463				PacifiCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95617	94-3267522				PacifiCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95434	84-1011378				PacifiCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95685	86-0875231				PacifiCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-3265056				PCCCV, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2447772				PCN DE Corp.	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Perham Physical Therapy, LTD.	MN	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	68-0044962				Pharmaceutical Care Network	CA	NIA	PCN DE Corp.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	04-3722897				Pharmacy Review Services, LLC	FL	NIA	Tmesys, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8016933				PHYS Holding Corp.	DE	NIA	MedSynergies, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8016984				PhyServe Holdings, Inc.	DE	NIA	MedSynergies, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0654665				Physician Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11494	04-3677255				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1162824				Physicians Health Plan of Maryland, Inc.	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Plus One Health Management Puerto Rico, Inc.	PR	NIA	Plus One Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0670247				PMI Acquisition, LLC	DE	NIA	Progressive Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0920536				PMSI Holdco II, LLC	DE	NIA	P2 Lower Acquisition, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3148744				PMSI Holdings, LLC	DE	NIA	PMSI Holdco II, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3166848				PMSI Settlement Solutions, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-2422696				PMSI, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1083164				Polar II Fundo de Investimento em Participações	BRA	NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-5563848				Polo Holdco, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.100	UnitedHealth Group Incorporated	1
		00000	75-2741619				ppoONE, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	65-0683927				Preferred Care Partners Holding, Corp.	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-1845018				Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11176	65-0885893				Preferred Care Partners, Inc.	FL	IA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-3265059				Premier Choice ACO, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0253112				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0607478				PrimeCare Medical Network, Inc.	CA	IA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	87-0757397				PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	80.000	UnitedHealth Group Incorporated	1
		00000	33-0674407				PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674408				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674402				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674400				PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674404				PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0698439				PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674409				PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1873402				Procura Management, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-4371197				Progressive Enterprises Holdings, Inc.	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	31-1192384				Progressive Medical, LLC	OH	NIA	PMI Acquisition, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0642980				Progressive Solutions, LLC	DE	NIA	P2 Lower Acquisition, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0229091				ProHEALTH Fitness of Lake Success, LLC	NY	NIA	ProHEALTH Medical Management, LLC	Ownership	82.620	UnitedHealth Group Incorporated	1
		00000	47-1049961				ProHEALTH Medical Management, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated	1
		00000	45-5470737				ProHealth Physicians ACO, LLC	CT	NIA	ProHealth Physicians, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	06-1446075				ProHealth Physicians, Inc.	CT	NIA	Polo Holdco, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0455430				ProHealth Proton Center Management, LLC	DE	NIA	ProHEALTH Medical Management, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	57-0861358				Pronetics Health Care Group, Inc.	SC	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1103015				QSSI Technologies India Private Limited	JND	NIA	Optum Global Solutions International B.V.	Ownership	99.900	UnitedHealth Group Incorporated	
		00000	98-1103015				QSSI Technologies India Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	0.100	UnitedHealth Group Incorporated	
		00000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2493256				Rally Health, Inc.	DE	NIA	Optum Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0947972				Real Appeal, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	98.000	UnitedHealth Group Incorporated	3
		00000	45-2219585				Salveo Specialty Pharmacy, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2635371				SCP Specialty Infusion, LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0492251				ScripNet, LLC	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000	20-4763091				Senior Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1533951				Serquinox Holdings LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1533840				Serquinox LLC	DE	NIA	Serquinox Holdings LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0200415				Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0264562				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-3741084				Sirona Infusion, L.L.C.	AZ	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-2609888				Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921983				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	71-0886811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1260282				Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-5587702				Spotlite, Inc.	DE	NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0008097				StoneRiver P2P Link, LLC	DE	NIA	StoneRiver Pharmacy Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1770924				StoneRiver Pharmacy Solutions, LLC	DE	NIA	Progressive Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0884047				Summit Home Infusion, LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					SXC Comet, LLC	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-1536748				Symphonix Health Holdings, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	84549	38-2044243				Symphonix Health Insurance, Inc.	IL	IA	Symphonix Health Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					TeamMD Holdings, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	80.000	UnitedHealth Group Incorporated	1
		00000					TeamMD Iowa, Inc.	DE	NIA	TeamMD Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-1970224				The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3143128				Tmesys, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Topimagem Diagnóstico por Imagem Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	89.000	UnitedHealth Group Incorporated	1
		00000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4963945				Trinity Infusion, Inc.	NC	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1113428				UHC Global Health Services BC Ltd.	CAN	NIA	UnitedHealthcare Global Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	95-2931460				UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UHG Brasil Participações S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921008				UHIC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1892398				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11596	01-0637149				Unimerica Life Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1877716				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5917714				Unison Health Plan of Delaware, Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13032	26-0651931				Unison Health Plan of the Capital Area, Inc.	DC	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	94-2649097				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1868911				United Behavioral Health of New York, I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1941615				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1289245	3410132			United HealthCare Services, Inc.	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0318238				United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0538317				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097761				UnitedHealth Group Global Healthcare Services Limited	JRL	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097776				UnitedHealth Group Global Services, Inc.	PHL	NIA	Optum Global Solutions International B.V.	Ownership	99.992	UnitedHealth Group Incorporated	3
		00000	41-1321939		0000731766	New York Stock Exchange	UnitedHealth Group Incorporated	DE	UIP					
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	JND	NIA	Optum Global Solutions International B.V.	Ownership	99.370	UnitedHealth Group Incorporated	
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	0.630	UnitedHealth Group Incorporated	
		00000	98-1079826				UnitedHealth Group International GP	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group International GP	Ownership	84.984	UnitedHealth Group Incorporated	4
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	FMG Holdings, LLC	Ownership	14.815	UnitedHealth Group Incorporated	4
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	Hygeia Corporation	Ownership	0.201	UnitedHealth Group Incorporated	4
		00000	46-3311984				UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2574977				UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0559902				UnitedHealth UK Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	TX	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-3221444				UnitedHealthcare Benefits Plan of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-4348775				UnitedHealthcare Community Plan of California, Inc.	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13168	26-2688274				UnitedHealthcare Community Plan of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc.	MI	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1200034				UnitedHealthcare Consulting & Assistance Service (Beijing) Co., Ltd.	CHN	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1199879				UnitedHealthcare Europe S.á.r.l.	LUX	NIA	UnitedHealthcare International I S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		00000	98-1104429				UnitedHealthcare Global Canada Limited	CAN	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealthcare International II B.V.	Ownership	99.994	UnitedHealth Group Incorporated	
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.007	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	79413	36-2739571				UnitedHealthcare Insurance Company	CT	IA	UHIC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60318	36-3800349				UnitedHealthcare Insurance Company of Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60093	11-3283886				UnitedHealthcare Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12231	20-1902768				UnitedHealthcare Insurance Company of the River Valley	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0618309				UnitedHealthcare Integrated Services, Inc.	AZ	NIA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1988797				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1079595				UnitedHealthcare International I S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100980				UnitedHealthcare International II B.V.	NLD	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1079459				UnitedHealthcare International II S.á.r.l.	LUX	NIA	UnitedHealthcare Europe S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1077436				UnitedHealthcare International III S.á.r.l.	LUX	NIA	UnitedHealthcare Europe S.á.r.l.	Ownership	65.000	UnitedHealth Group Incorporated	
		00000	98-1077436				UnitedHealthcare International III S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	35.000	UnitedHealth Group Incorporated	
		00000	98-1080926				UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1257473				UnitedHealthcare International V S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	97179	86-0207231				UnitedHealthcare Life Insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95776	36-3280214				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	United HealthCare Services, Inc.	Ownership	94.180	UnitedHealth Group Incorporated	5
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	5.820	UnitedHealth Group Incorporated	5
0707	UnitedHealth Group Incorporated	95833	72-1074008				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0854646				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0518346				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1922511				UnitedHealthcare, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0382877				Urgent Care Holdings, Inc.	DE	NIA	Optum Clinics Intermediate Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3667220				Urgent Care MSO, LLC	DE	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	87-0757396				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2797745				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2786364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated	1
		00000	45-0636596				WESTMED Practice Partners LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	86.150	UnitedHealth Group Incorporated	1
		00000	52-2102846				XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1107695				XLHealth Corporation India Private Limited	IND	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3764012				Your Health Options Insurance Services, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0172594				Your Partner in Health Services, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

Asterisk	Explanation
1	The remaining percentage is/are owned by external investor(s)/shareholder(s), party(ies) or company(ies).
2	The remaining 0.7409758% owned by external shareholders and 0.0340693% owned by Treasury Shares.
3	The remaining percentage is owned by an officer/director or officers/directors of the company.
4	The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.8145%), Hygeia Corporation (DE) (0.2012%) and UnitedHealth Group Incorporated (84.9843%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
5	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.82%.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanation:

1.

2.

3.

4.

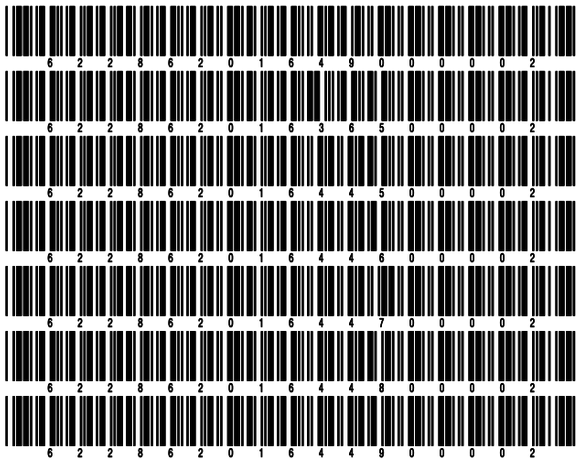
5.

6.

7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 25

		1 Current Statement Date	2 December 31 Prior Year
2504.	Fines and Penalties	75,000	75,000
2505.	Unclaimed Property Payable	836,551	445
2597.	Summary of remaining write-ins for Line 25 from overflow page	911,551	75,445

SCHEDULE A - VERIFICATION

Real Estate

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	2,883,251	3,190,599
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		0
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		0
7.	Deduct current year's other than temporary impairment recognized		0
8.	Deduct current year's depreciation	99,107	307,348
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	2,784,144	2,883,251
10.	Deduct total nonadmitted amounts		0
11.	Statement value at end of current period (Line 9 minus Line 10)	2,784,144	2,883,251

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	17,287,933	19,682,374
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		0
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		0
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals	0	69,845
8.	Deduct amortization of premium and depreciation	932,742	2,324,596
9.	Total foreign exchange change in book/adjusted carrying value		0
10.	Deduct current year's other than temporary impairment recognized		0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	16,355,191	17,287,933
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	16,355,191	17,287,933

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	480,094,971	570,602,891
2.	Cost of bonds and stocks acquired	108,721,129	192,992,851
3.	Accrual of discount	64,440	101,008
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	2,137,250	4,106,235
6.	Deduct consideration for bonds and stocks disposed of	121,603,537	280,761,116
7.	Deduct amortization of premium	3,034,160	6,946,891
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized	239,440	7
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	466,140,653	480,094,971
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	466,140,653	480,094,971

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	488,482,663	405,169,397	453,398,465	(2,113,690)	488,482,663	438,139,905	0	437,473,084
2. NAIC 2 (a)	72,077,542	957,516	9,086,318	590,749	72,077,542	64,539,489	0	73,773,957
3. NAIC 3 (a)	0				0	0		
4. NAIC 4 (a)	795,625	0	806,602	10,977	795,625	0	0	
5. NAIC 5 (a)	0				0	0		
6. NAIC 6 (a)	0				0	0		
7. Total Bonds	561,355,830	406,126,913	463,291,385	(1,511,964)	561,355,830	502,679,394	0	511,247,041
PREFERRED STOCK								
8. NAIC 1	0				0	0		
9. NAIC 2	0				0	0		
10. NAIC 3	0				0	0		
11. NAIC 4	0				0	0		
12. NAIC 5	0				0	0		
13. NAIC 6	0				0	0		
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	561,355,830	406,126,913	463,291,385	(1,511,964)	561,355,830	502,679,394	0	511,247,041

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 $ 14,385,227 ; NAIC 2 $ 3,245,477 ; NAIC 3 $ 0 ;
NAIC 4 $ 0 ; NAIC 5 $ 0 ; NAIC 6 $ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	36,538,739	XXX	36,645,363	203,276	59,774

SCHEDULE DA - VERIFICATION

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	30,251,055	67,904,072
2.	Cost of short-term investments acquired	680,416,522	1,471,470,511
3.	Accrual of discount	11,288	14,729
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	313	
6.	Deduct consideration received on disposals	674,016,759	1,508,799,819
7.	Deduct amortization of premium	123,679	338,438
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	36,538,740	30,251,055
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	36,538,740	30,251,055

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	901,014	
2.	Cost of cash equivalents acquired	3,386,230	2,878,571
3.	Accrual of discount	770	259
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	4,287,000	1,971,000
7.	Deduct amortization of premium	1,014	6,816
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	901,014
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	0	901,014

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12
		3	4								
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Designation	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment
NONE											
4699999 - Totals											

13
Percentage of Ownership
XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum-brances, Prior Year	Unrealized Valuation Increase (De-crease)	Current Year's (Depre-ciation) or (Amorti-zation)/ Accretion	Current Year's Other Than Temporary Impair-ment Recog-nized	Capital-ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum-brances on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest-ment Income
000000-00-0	US Bancorp Guar Fed LIHTC Fd 2012-5 Prv P 0.000% 12/31/23		GA	Paydown	07/02/2012	04/15/2016	466,371	0	(466,371)	0	0	(466,371)	0	466,371		0	0	0	0
3199999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							466,371	0	(466,371)	0	0	(466,371)	0	466,371	0	0	0	0	0
4499999. Total - Unaffiliated							466,371	0	(466,371)	0	0	(466,371)	0	466,371	0	0	0	0	0
4599999. Total - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0
4699999 - Totals							466,371	0	(466,371)	0	0	(466,371)	0	466,371	0	0	0	0	0

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
912828-F5-4	US Treasury Note 0.875% 10/15/17		05/31/2016	Harris Nesbitt Corp		1,000,664	1,000,000	1,124	1
912828-PC-8	US Treasury Note 2.625% 11/15/20		05/18/2016	Bank New York Mellon		317,438	300,000	86	1
912828-Q9-4	US Treasury Note 0.750% 04/30/18		05/18/2016	Harris Nesbitt Corp		698,633	700,000	271	1
912828-S2-7	US Treasury Note 1.125% 06/30/21		06/30/2016	Merrill Lynch		8,380,071	8,340,000	0	1
912828-TS-9	US Treasury Note 0.625% 09/30/17		06/14/2016	Deutsche Bank		799,531	800,000	1,038	1
912828-XJ-4	US Treasury Bond 0.625% 06/30/17		04/28/2016	Harris Nesbitt Corp		1,499,648	1,500,000	3,091	1
0599999. Subtotal - Bonds - U.S. Governments						12,695,985	12,640,000	5,610	XXX
56052A-YV-2	MAINE ST GO Non Call 5.000% 06/01/22		06/08/2016	Jefferys and Company		2,428,160	2,000,000	0	1FE
677522-KU-7	OHIO ST GO Non Call 5.000% 05/01/25		04/13/2016	Loop Capital Markets		2,563,680	2,000,000	0	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						4,991,840	4,000,000	0	XXX
64966M-AQ-2	NEW YORK NY GO Non Call 5.000% 08/01/22		06/03/2016	Merrill Lynch		2,418,700	2,000,000	3,056	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						2,418,700	2,000,000	3,056	XXX
3135G0-K3-6	FNMA Note Non Call 2.125% 04/24/26		05/06/2016	Barclays Group Inc.		4,421,812	4,395,000	3,373	1
3138MN-5P-1	FNMA Pool AQ7153 MBS 3.000% 01/01/43		05/16/2016	Merrill Lynch		1,274,321	1,236,454	1,855	1
3138WG-YP-3	FNMA Pool AS7017 MBS 3.500% 04/01/46		05/02/2016	Morgan Stanley		894,666	847,774	330	1
41422E-FP-3	HARRIS CNTY TX M Rev Bond Non Call 5.000% 11/01/25		04/14/2016	Cabrera Capital Markets		1,914,840	1,500,000	0	1FE
73358W-ZY-3	PORT AUTH OF NEW Rev Bond Non Call 5.000% 10/01/25		05/06/2016	Samuel A Ramirez & Co		4,599,511	3,650,000	0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						13,105,150	11,629,228	5,558	XXX
00287Y-AJ-8	ABBVIE INC Corp Note MW 1.750% 11/06/17		05/13/2016	Merrill Lynch		401,444	400,000	233	2FE
03066D-AB-2	AmeriCredit Auto AMCAR 2016-2 A2A ABS 1.420% 10/08/19		04/05/2016	RBC Capital Markets		287,984	288,000	0	1FE
05565E-AF-2	BMW US CAP LLC Corp Note Call MW Prv Plc 2.000% 04/11/21		04/04/2016	JP Morgan Chase		618,444	620,000	0	1FE
44891B-AB-1	Hyundai Auto Lea HALST 2016-B A2A ABS Prv Plc 1.240% 11/15/18		05/17/2016	CitiGroup		2,169,927	2,170,000	0	1FE
58768U-AE-3	MERCEDES-BENZ MA MBMOT 2016-AA A ABS Prv Plc 1.022% 05/15/20		05/17/2016	JP Morgan Chase		2,285,000	2,285,000	0	1FE
617446-H5-1	MORGAN STANLEY Corp Note Cont Call 5.550% 04/27/17		04/26/2016	Piper Jaffray		728,987	700,000	216	1FE
63940F-AA-5	Navient Student NAVSL 2016-2 A1 ABS Prv Plc 1.203% 06/25/65		04/05/2016	Barclays Group Inc.		2,175,000	2,175,000	0	1FE
64952W-BF-9	NEW YORK LIFE GL Corp Note Non Call Prv Plc 1.650% 05/15/17		05/13/2016	Barclays Group Inc.		114,887	114,000	16	1FE
64952W-BF-9	NEW YORK LIFE GL Corp Note Non Call Prv Plc 1.650% 05/15/17		05/13/2016	Mizuho Investor Sec Co		186,458	185,000	25	1FE
64952W-CD-3	NEW YORK LIFE GL Corp Note Non Call Prv Plc 1.030% 04/06/18		04/06/2016	Goldman Sachs		130,000	130,000	0	1FE
654740-AP-4	NISSAN MOTOR ACC Corp Note Non Call Prv Plc 1.430% 04/06/18		04/01/2016	Mizuho Investor Sec Co		320,000	320,000	0	1FE
65478Q-AB-4	Nissan Auto Leas NALT 2016-A A2A ABS 1.220% 08/15/18		05/17/2016	CitiGroup		542,955	543,000	0	1FE
68389X-AN-5	ORACLE CORP Corp Note MW 10BP 1.200% 10/15/17		06/29/2016	Merrill Lynch		696,478	694,000	1,735	1FE
74153W-CJ-6	PRICOA GLOB FUND Corp Note Non Call Prv Plc 1.140% 06/27/18		06/20/2016	UBS Financial Services		500,000	500,000	0	1FE
74368C-AC-8	PROTECTIVE LIFE Corp Note Non Call Prv Plc 1.211% 06/08/18		06/07/2016	UBS Financial Services		900,000	900,000	0	1FE
80285C-AD-3	Santander Drive SDART 2016-2 A2A 1.380% 07/15/19		05/03/2016	CitiGroup		121,991	122,000	0	1FE
857477-AW-3	STATE STREET COR Corp Note Non Call 2.650% 05/19/26		05/16/2016	Merrill Lynch		823,845	825,000	0	1FE
225460-AL-1	CRED SUIS NY Corp Note Non Call 1.375% 05/26/17	F	04/06/2016	CitiGroup		531,774	533,000	2,748	1FE
961214-BV-4	WESTPAC BANKING Corp Note Non Call 2.000% 08/14/17	F	05/17/2016	Market Axess		1,110,219	1,100,000	5,867	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						14,645,393	14,604,000	10,840	XXX
8399997. Total - Bonds - Part 3						47,857,068	44,873,228	25,064	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						47,857,068	44,873,228	25,064	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						47,857,068	XXX	25,064	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received DuringYear	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
36179R-BW-8	GNMA Pool MA2753 MBS 3.000% 04/20/45		04/01/2016	Paydown		30,484	30,484	31,506	31,488	0	(1,004)	0	(1,004)	0	30,484	0	0	0	305	04/20/2045	1
36179R-BW-8	GNMA Pool MA2753 MBS 3.000% 04/20/45		05/01/2016	Paydown		30,551	30,551	31,575	31,557	0	(1,006)	0	(1,006)	0	30,551	0	0	0	382	04/20/2045	1
36179R-BW-8	GNMA Pool MA2753 MBS 3.000% 04/20/45		06/01/2016	Paydown		34,060	34,060	35,201	35,181	0	(1,122)	0	(1,122)	0	34,060	0	0	0	511	04/20/2045	1
911760-GJ-9	Vendee Mortgage VA VENDE 1995-2C 3A CMO 8.793% 06/15/25		04/01/2016	Paydown		386	386	406	389	0	(3)	0	(3)	0	386	0	0	0	11	06/15/2025	1
911760-GJ-9	Vendee Mortgage VA VENDE 1995-2C 3A CMO 8.793% 06/15/25		05/01/2016	Paydown		404	404	424	407	0	(3)	0	(3)	0	404	0	0	0	15	06/15/2025	1
911760-GJ-9	Vendee Mortgage VA VENDE 1995-2C 3A CMO 8.793% 06/15/25		06/01/2016	Paydown		182	182	191	183	0	(1)	0	(1)	0	182	0	0	0	8	06/15/2025	1
912810-DW-5	US Treasury Bond 7.250% 05/15/16		05/15/2016	Maturity		300,000	300,000	314,156	300,449	0	(449)	0	(449)	0	300,000	0	0	0	10,875	05/15/2016	1
912828-L9-9	US Treasury Note 1.375% 10/31/20		05/26/2016	JP Morgan Chase		6,677,497	6,665,000	6,581,590	6,583,684	0	6,551	0	6,551	0	6,590,235	0	87,261	87,261	52,546	10/31/2020	1
0599999. Subtotal - Bonds - U.S. Governments						7,073,564	7,061,067	6,995,049	6,983,338	0	2,963	0	2,963	0	6,986,302	0	87,261	87,261	64,653	XXX	XXX
011770-2M-5	ALASKA ST GO Non Call 5.000% 08/01/24		05/05/2016	Mesirow Financial		5,012,680	4,000,000	4,960,960	4,945,688	0	(36,127)	0	(36,127)	0	4,909,562	0	103,118	103,118	155,000	08/01/2024	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						5,012,680	4,000,000	4,960,960	4,945,688	0	(36,127)	0	(36,127)	0	4,909,562	0	103,118	103,118	155,000	XXX	XXX
64966L-RG-8	NEW YORK NY GO Non Call 5.000% 08/01/21		06/03/2016	Merrill Lynch		5,915,350	5,000,000	6,052,350	5,875,322	0	(65,412)	0	(65,412)	0	5,809,910	0	105,440	105,440	213,194	08/01/2021	1FE
64966L-RJ-2	NEW YORK NY GO Non Call 5.000% 08/01/23		06/03/2016	Merrill Lynch		2,034,945	1,650,000	1,972,295	1,953,367	0	(16,032)	0	(16,032)	0	1,937,335	0	97,610	97,610	70,354	08/01/2023	1FE
940157-PZ-7	WASHINGTON MD SU GO Non Call 5.000% 06/01/16		06/01/2016	Maturity		3,840,000	3,840,000	4,538,842	3,882,878	0	(42,878)	0	(42,878)	0	3,840,000	0	0	0	96,000	06/01/2016	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						11,790,295	10,490,000	12,563,487	11,711,567	0	(124,322)	0	(124,322)	0	11,587,245	0	203,050	203,050	379,548	XXX	XXX
31283K-4V-7	FHLMC Pool G11736 MBS 5.000% 04/01/20		04/01/2016	Paydown		6,231	6,231	6,707	6,480	0	(249)	0	(249)	0	6,231	0	0	0	104	04/01/2020	1
31283K-4V-7	FHLMC Pool G11736 MBS 5.000% 04/01/20		05/01/2016	Paydown		6,412	6,412	6,902	6,668	0	(256)	0	(256)	0	6,412	0	0	0	134	04/01/2020	1
31283K-4V-7	FHLMC Pool G11736 MBS 5.000% 04/01/20		06/01/2016	Paydown		5,687	5,687	6,122	5,914	0	(227)	0	(227)	0	5,687	0	0	0	142	04/01/2020	1
31287X-GH-3	FHLMC Pool C70200 MBS 6.500% 08/01/32		04/01/2016	Paydown		20,089	20,089	20,654	20,563	0	(474)	0	(474)	0	20,089	0	0	0	435	08/01/2032	1
31287X-GH-3	FHLMC Pool C70200 MBS 6.500% 08/01/32		05/01/2016	Paydown		8,868	8,868	9,117	9,077	0	(209)	0	(209)	0	8,868	0	0	0	240	08/01/2032	1
31287X-GH-3	FHLMC Pool C70200 MBS 6.500% 08/01/32		06/01/2016	Paydown		2,263	2,263	2,327	2,316	0	(53)	0	(53)	0	2,263	0	0	0	74	08/01/2032	1
3128M1-C7-2	FHLMC Pool G11994 MBS 5.500% 05/01/21		04/01/2016	Paydown		3,079	3,079	3,045	3,056	0	22	0	22	0	3,079	0	0	0	56	05/01/2021	1
3128M1-C7-2	FHLMC Pool G11994 MBS 5.500% 05/01/21		05/01/2016	Paydown		2,233	2,233	2,208	2,217	0	16	0	16	0	2,233	0	0	0	51	05/01/2021	1
3128M1-C7-2	FHLMC Pool G11994 MBS 5.500% 05/01/21		06/01/2016	Paydown		2,856	2,856	2,825	2,836	0	21	0	21	0	2,856	0	0	0	79	05/01/2021	1
3128M1-LC-1	FHLMC Pool G12223 MBS 5.000% 04/01/21		04/01/2016	Paydown		3,821	3,821	3,693	3,748	0	73	0	73	0	3,821	0	0	0	64	04/01/2021	1
3128M1-LC-1	FHLMC Pool G12223 MBS 5.000% 04/01/21		05/01/2016	Paydown		3,190	3,190	3,083	3,129	0	61	0	61	0	3,190	0	0	0	66	04/01/2021	1
3128M1-LC-1	FHLMC Pool G12223 MBS 5.000% 04/01/21		06/01/2016	Paydown		3,318	3,318	3,206	3,254	0	64	0	64	0	3,318	0	0	0	83	04/01/2021	1
3128MJ-VZ-0	FHLMC Pool G08631 MBS 3.000% 03/01/45		04/01/2016	Paydown		14,065	14,065	14,370	14,365	0	(300)	0	(300)	0	14,065	0	0	0	141	03/01/2045	1
3128MJ-VZ-0	FHLMC Pool G08631 MBS 3.000% 03/01/45		05/01/2016	Paydown		12,151	12,151	12,415	12,411	0	(259)	0	(259)	0	12,151	0	0	0	152	03/01/2045	1
3128MJ-VZ-0	FHLMC Pool G08631 MBS 3.000% 03/01/45		06/01/2016	Paydown		16,785	16,785	17,149	17,143	0	(358)	0	(358)	0	16,785	0	0	0	252	03/01/2045	1
3128PD-YY-0	FHLMC Pool J02527 MBS 4.500% 09/01/20		04/01/2016	Paydown		3,067	3,067	2,922	2,993	0	75	0	75	0	3,067	0	0	0	46	09/01/2020	1
3128PD-YY-0	FHLMC Pool J02527 MBS 4.500% 09/01/20		05/01/2016	Paydown		1,662	1,662	1,583	1,621	0	41	0	41	0	1,662	0	0	0	31	09/01/2020	1
3128PD-YY-0	FHLMC Pool J02527 MBS 4.500% 09/01/20		06/01/2016	Paydown		3,602	3,602	3,431	3,514	0	88	0	88	0	3,602	0	0	0	81	09/01/2020	1
3128PQ-NP-2	FHLMC Pool J11298 MBS 4.000% 12/01/24		04/01/2016	Paydown		6,962	6,962	7,134	7,079	0	(117)	0	(117)	0	6,962	0	0	0	93	12/01/2024	1
3128PQ-NP-2	FHLMC Pool J11298 MBS 4.000% 12/01/24		05/01/2016	Paydown		5,127	5,127	5,254	5,214	0	(87)	0	(87)	0	5,127	0	0	0	85	12/01/2024	1
3128PQ-NP-2	FHLMC Pool J11298 MBS 4.000% 12/01/24		06/01/2016	Paydown		5,257	5,257	5,387	5,346	0	(89)	0	(89)	0	5,257	0	0	0	105	12/01/2024	1
3128PS-WT-0	FHLMC Pool J13358 MBS 5.000% 10/01/25		04/01/2016	Paydown		1,951	1,951	2,111	2,087	0	(136)	0	(136)	0	1,951	0	0	0	33	10/01/2025	1
3128PS-WT-0	FHLMC Pool J13358 MBS 5.000% 10/01/25		05/01/2016	Paydown		3,083	3,083	3,336	3,298	0	(215)	0	(215)	0	3,083	0	0	0	64	10/01/2025	1
3128PS-WT-0	FHLMC Pool J13358 MBS 5.000% 10/01/25		06/01/2016	Paydown		2,397	2,397	2,594	2,564	0	(167)	0	(167)	0	2,397	0	0	0	60	10/01/2025	1
3128PU-WF-5	FHLMC Pool J15146 MBS 4.500% 04/01/26		04/01/2016	Paydown		763	763	811	803	0	(40)	0	(40)	0	763	0	0	0	11	04/01/2026	1
3128PU-WF-5	FHLMC Pool J15146 MBS 4.500% 04/01/26		05/01/2016	Paydown		766	766	814	806	0	(40)	0	(40)	0	766	0	0	0	14	04/01/2026	1
3128PU-WF-5	FHLMC Pool J15146 MBS 4.500% 04/01/26		06/01/2016	Paydown		19,161	19,161	20,368	20,170	0	(1,009)	0	(1,009)	0	19,161	0	0	0	431	04/01/2026	1
3128PV-A4-2	FHLMC Pool J15427 MBS 4.500% 05/01/26		04/01/2016	Paydown		371	371	394	391	0	(20)	0	(20)	0	371	0	0	0	6	05/01/2026	1
3128PV-A4-2	FHLMC Pool J15427 MBS 4.500% 05/01/26		05/01/2016	Paydown		3,681	3,681	3,915	3,877	0	(196)	0	(196)	0	3,681	0	0	0	69	05/01/2026	1
3128PV-A4-2	FHLMC Pool J15427 MBS 4.500% 05/01/26		06/01/2016	Paydown		351	351	373	370	0	(19)	0	(19)	0	351	0	0	0	8	05/01/2026	1
3128Q0-FX-0	FHLMC Pool J19182 MBS 3.000% 06/01/27		04/01/2016	Paydown		13,694	13,694	14,379	14,249	0	(555)	0	(555)	0	13,694	0	0	0	137	06/01/2027	1
3128Q0-FX-0	FHLMC Pool J19182 MBS 3.000% 06/01/27		05/01/2016	Paydown		13,541	13,541	14,218	14,090	0	(549)	0	(549)	0	13,541	0	0	0	169	06/01/2027	1
3128Q0-FX-0	FHLMC Pool J19182 MBS 3.000% 06/01/27		06/01/2016	Paydown		13,572	13,572	14,251	14,122	0	(550)	0	(550)	0	13,572	0	0	0	204	06/01/2027	1
312905-DQ-2	FHLMC FHR 1050 HZ Z Accrual CMO 7.000% 04/15/21		04/01/2016	Paydown		1,384	1,384	1,386	1,381	0	3	0	3	0	1,384	0	0	0	32	04/15/2021	1
312905-DQ-2	FHLMC FHR 1050 HZ Z Accrual CMO 7.000% 04/15/21		05/01/2016	Paydown		3,213	3,213	3,218	3,205	0	8	0	8	0	3,213	0	0	0	94	04/15/2021	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received DuringYear	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
	FHLMC FHR 1050 HZ Z Accrual CMO 7.000%																				
312905-DQ-2	04/15/21		06/01/2016	Paydown		1,362	1,362	1,364	1,359	0	3	0	3	0	1,362	0	0	0	48	04/15/2021	1
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		04/01/2016	Paydown		1,189	1,189	1,240	1,237	0	(48)	0	(48)	0	1,189	0	0	0	12	11/01/2042	1
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		05/01/2016	Paydown		1,042	1,042	1,086	1,084	0	(42)	0	(42)	0	1,042	0	0	0	13	11/01/2042	1
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		06/01/2016	Paydown		911	911	950	947	0	(37)	0	(37)	0	911	0	0	0	14	11/01/2042	1
31292S-BC-3	FHLMC Pool C09035 MBS 3.000% 04/01/43		04/01/2016	Paydown		12,020	12,020	12,198	12,194	0	(174)	0	(174)	0	12,020	0	0	0	120	04/01/2043	1
31292S-BC-3	FHLMC Pool C09035 MBS 3.000% 04/01/43		05/01/2016	Paydown		11,593	11,593	11,765	11,761	0	(168)	0	(168)	0	11,593	0	0	0	145	04/01/2043	1
31292S-BC-3	FHLMC Pool C09035 MBS 3.000% 04/01/43		06/01/2016	Paydown		12,950	12,950	13,142	13,138	0	(188)	0	(188)	0	12,950	0	0	0	194	04/01/2043	1
3132GT-PY-7	FHLMC Pool Q08239 MBS 3.500% 05/01/42		04/01/2016	Paydown		4,714	4,714	4,867	4,866	0	(152)	0	(152)	0	4,714	0	0	0	55	05/01/2042	1
3132GT-PY-7	FHLMC Pool Q08239 MBS 3.500% 05/01/42		05/01/2016	Paydown		4,644	4,644	4,795	4,794	0	(150)	0	(150)	0	4,644	0	0	0	68	05/01/2042	1
3132GT-PY-7	FHLMC Pool Q08239 MBS 3.500% 05/01/42		06/01/2016	Paydown		2,721	2,721	2,810	2,809	0	(88)	0	(88)	0	2,721	0	0	0	48	05/01/2042	1
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		04/01/2016	Paydown		795	795	850	846	0	(51)	0	(51)	0	795	0	0	0	9	08/01/2042	1
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		05/01/2016	Paydown		797	797	852	849	0	(52)	0	(52)	0	797	0	0	0	12	08/01/2042	1
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		06/01/2016	Paydown		794	794	849	845	0	(51)	0	(51)	0	794	0	0	0	14	08/01/2042	1
3132HQ-M4-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		04/01/2016	Paydown		364	364	381	380	0	(16)	0	(16)	0	364	0	0	0	4	12/01/2042	1
3132HQ-M4-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		05/01/2016	Paydown		515	515	539	537	0	(23)	0	(23)	0	515	0	0	0	6	12/01/2042	1
3132HQ-M4-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		06/01/2016	Paydown		478	478	501	500	0	(21)	0	(21)	0	478	0	0	0	7	12/01/2042	1
3132JA-PW-9	FHLMC Pool Q19036 MBS 3.500% 06/01/43		04/01/2016	Paydown		5,550	5,550	5,753	5,751	0	(201)	0	(201)	0	5,550	0	0	0	65	06/01/2043	1
3132JA-PW-9	FHLMC Pool Q19036 MBS 3.500% 06/01/43		05/01/2016	Paydown		10,563	10,563	10,950	10,947	0	(383)	0	(383)	0	10,563	0	0	0	154	06/01/2043	1
3132JA-PW-9	FHLMC Pool Q19036 MBS 3.500% 06/01/43		06/01/2016	Paydown		1,532	1,532	1,588	1,588	0	(56)	0	(56)	0	1,532	0	0	0	27	06/01/2043	1
3132QL-BR-3	FHLMC Pool Q30047 MBS 3.500% 11/01/44		04/01/2016	Paydown		875	875	906	906	0	(31)	0	(31)	0	875	0	0	0	10	11/01/2044	1
3132QL-BR-3	FHLMC Pool Q30047 MBS 3.500% 11/01/44		05/01/2016	Paydown		812	812	840	840	0	(29)	0	(29)	0	812	0	0	0	12	11/01/2044	1
3132QL-BR-3	FHLMC Pool Q30047 MBS 3.500% 11/01/44		06/01/2016	Paydown		12,069	12,069	12,495	12,493	0	(425)	0	(425)	0	12,069	0	0	0	211	11/01/2044	1
3132QM-6F-3	FHLMC Pool Q31769 MBS 4.000% 03/01/45		04/01/2016	Paydown		935	935	994	993	0	(59)	0	(59)	0	935	0	0	0	12	03/01/2045	1
3132QM-6F-3	FHLMC Pool Q31769 MBS 4.000% 03/01/45		05/01/2016	Paydown		817	817	868	868	0	(51)	0	(51)	0	817	0	0	0	14	03/01/2045	1
3132QM-6F-3	FHLMC Pool Q31769 MBS 4.000% 03/01/45		06/01/2016	Paydown		843	843	896	896	0	(53)	0	(53)	0	843	0	0	0	17	03/01/2045	1
3132QM-7H-8	FHLMC Pool Q31795 MBS 4.000% 03/01/45		04/01/2016	Paydown		1,552	1,552	1,650	1,649	0	(97)	0	(97)	0	1,552	0	0	0	21	03/01/2045	1
3132QM-7H-8	FHLMC Pool Q31795 MBS 4.000% 03/01/45		05/01/2016	Paydown		1,560	1,560	1,658	1,658	0	(98)	0	(98)	0	1,560	0	0	0	26	03/01/2045	1
3132QM-7H-8	FHLMC Pool Q31795 MBS 4.000% 03/01/45		06/01/2016	Paydown		1,580	1,580	1,679	1,679	0	(99)	0	(99)	0	1,580	0	0	0	32	03/01/2045	1
3132QQ-TV-4	FHLMC Pool Q34163 MBS 3.500% 06/01/45		04/01/2016	Paydown		16,649	16,649	17,244	17,239	0	(590)	0	(590)	0	16,649	0	0	0	194	06/01/2045	1
3132QQ-TV-4	FHLMC Pool Q34163 MBS 3.500% 06/01/45		05/01/2016	Paydown		2,781	2,781	2,880	2,879	0	(99)	0	(99)	0	2,781	0	0	0	41	06/01/2045	1
3132QQ-TV-4	FHLMC Pool Q34163 MBS 3.500% 06/01/45		06/01/2016	Paydown		10,334	10,334	10,703	10,700	0	(366)	0	(366)	0	10,334	0	0	0	181	06/01/2045	1
31320R-JK-7	FHLMC Pool Q34765 MBS 4.000% 07/01/45		04/01/2016	Paydown		1,546	1,546	1,642	1,641	0	(95)	0	(95)	0	1,546	0	0	0	21	07/01/2045	1
31320R-JK-7	FHLMC Pool Q34765 MBS 4.000% 07/01/45		05/01/2016	Paydown		1,541	1,541	1,637	1,635	0	(95)	0	(95)	0	1,541	0	0	0	26	07/01/2045	1
31320R-JK-7	FHLMC Pool Q34765 MBS 4.000% 07/01/45		06/01/2016	Paydown		1,584	1,584	1,682	1,681	0	(97)	0	(97)	0	1,584	0	0	0	32	07/01/2045	1
	FNMA FNR 1989-90 E CMO PAC 8.700% 12/25/19																				
313603-JJ-2			04/01/2016	Paydown		112	112	113	112	0	0	0	0	0	112	0	0	0	3	12/25/2019	1
	FNMA FNR 1989-90 E CMO PAC 8.700% 12/25/19																				
313603-JJ-2			05/01/2016	Paydown		129	129	130	129	0	1	0	1	0	129	0	0	0	5	12/25/2019	1
	FNMA FNR 1989-90 E CMO PAC 8.700% 12/25/19																				
313603-JJ-2			06/01/2016	Paydown		120	120	121	120	0	0	0	0	0	120	0	0	0	5	12/25/2019	1
31368H-LW-1	FNMA Pool 190341 MBS 5.000% 09/01/18		04/01/2016	Paydown		10,667	10,667	11,494	10,993	0	(326)	0	(326)	0	10,667	0	0	0	178	09/01/2018	1
31368H-LW-1	FNMA Pool 190341 MBS 5.000% 09/01/18		05/01/2016	Paydown		9,996	9,996	10,770	10,301	0	(305)	0	(305)	0	9,996	0	0	0	208	09/01/2018	1
31368H-LW-1	FNMA Pool 190341 MBS 5.000% 09/01/18		06/01/2016	Paydown		11,115	11,115	11,977	11,455	0	(340)	0	(340)	0	11,115	0	0	0	278	09/01/2018	1
3136AN-GF-8	FNMA 2015-22 HT CMO 3.000% 04/25/45		04/01/2016	Paydown		21,800	21,800	22,696	22,608	0	(807)	0	(807)	0	21,800	0	0	0	218	04/25/2045	1
3136AN-GF-8	FNMA 2015-22 HT CMO 3.000% 04/25/45		05/01/2016	Paydown		19,140	19,140	19,926	19,849	0	(709)	0	(709)	0	19,140	0	0	0	239	04/25/2045	1
3136AN-GF-8	FNMA 2015-22 HT CMO 3.000% 04/25/45		06/01/2016	Paydown		20,029	20,029	20,852	20,771	0	(742)	0	(742)	0	20,029	0	0	0	300	04/25/2045	1
31371L-JY-6	FNMA Pool 255079 MBS 5.000% 02/01/19		04/01/2016	Paydown		4,913	4,913	4,829	4,871	0	43	0	43	0	4,913	0	0	0	82	02/01/2019	1
31371L-JY-6	FNMA Pool 255079 MBS 5.000% 02/01/19		05/01/2016	Paydown		4,842	4,842	4,759	4,800	0	42	0	42	0	4,842	0	0	0	101	02/01/2019	1
31371L-JY-6	FNMA Pool 255079 MBS 5.000% 02/01/19		06/01/2016	Paydown		3,890	3,890	3,824	3,857	0	34	0	34	0	3,890	0	0	0	97	02/01/2019	1
31374T-VR-7	FNMA Pool 323824 MBS 8.000% 05/01/29		04/01/2016	Paydown		123	123	124	124	0	(1)	0	(1)	0	123	0	0	0	3	05/01/2029	1
31374T-VR-7	FNMA Pool 323824 MBS 8.000% 05/01/29		05/01/2016	Paydown		32	32	33	33	0	0	0	0	0	32	0	0	0	1	05/01/2029	1
31374T-VR-7	FNMA Pool 323824 MBS 8.000% 05/01/29		06/01/2016	Paydown		28	28	28	28	0	0	0	0	0	28	0	0	0	1	05/01/2029	1
31378X-RG-3	FNMA Pool 411887 MBS 8.000% 09/01/27		04/01/2016	Paydown		11	11	11	11	0	0	0	0	0	11	0	0	0	0	09/01/2027	1
31378X-RG-3	FNMA Pool 411887 MBS 8.000% 09/01/27		05/01/2016	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	09/01/2027	1
31378X-RG-3	FNMA Pool 411887 MBS 8.000% 09/01/27		06/01/2016	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	09/01/2027	1
3137EA-DB-2	FHLMC Note Non Call 2.375% 01/13/22		05/26/2016	Goldman Sachs		1,635,693	1,570,000	1,608,699	1,604,514	0	(2,184)	0	(2,184)	0	1,602,330	0	33,364	33,364	32,523	01/13/2022	1
31380E-US-1	FNMA Pool 438093 MBS 8.000% 05/01/25		04/01/2016	Paydown		20	20	20	20	0	0	0	0	0	20	0	0	0	1	05/01/2025	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
31380E-US-1	FNMA Pool 438093 MBS 8.000% 05/01/25		05/01/2016	Paydown		20	20	20	20	0	0	0	0	0	20	0	0	0	1	05/01/2025	1
31380E-US-1	FNMA Pool 438093 MBS 8.000% 05/01/25		06/01/2016	Paydown		20	20	20	20	0	0	0	0	0	20	0	0	0	1	05/01/2025	1
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		04/01/2016	Paydown		5,372	5,372	5,646	5,633	0	(261)	0	(261)	0	5,372	0	0	0	72	12/01/2040	1
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		05/01/2016	Paydown		4,991	4,991	5,246	5,234	0	(243)	0	(243)	0	4,991	0	0	0	83	12/01/2040	1
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/01/2016	Paydown		5,237	5,237	5,505	5,492	0	(255)	0	(255)	0	5,237	0	0	0	105	12/01/2040	1
3138A6-Y2-0	FNMA Pool AH5228 MBS 3.500% 01/01/26		04/01/2016	Paydown		4,507	4,507	4,737	4,681	0	(174)	0	(174)	0	4,507	0	0	0	53	01/01/2026	1
3138A6-Y2-0	FNMA Pool AH5228 MBS 3.500% 01/01/26		05/01/2016	Paydown		6,342	6,342	6,665	6,587	0	(245)	0	(245)	0	6,342	0	0	0	93	01/01/2026	1
3138A6-Y2-0	FNMA Pool AH5228 MBS 3.500% 01/01/26		06/01/2016	Paydown		2,100	2,100	2,207	2,181	0	(81)	0	(81)	0	2,100	0	0	0	37	01/01/2026	1
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		04/01/2016	Paydown		6,785	6,785	7,143	7,126	0	(341)	0	(341)	0	6,785	0	0	0	90	03/01/2041	1
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		05/01/2016	Paydown		15,759	15,759	16,589	16,550	0	(792)	0	(792)	0	15,759	0	0	0	263	03/01/2041	1
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/01/2016	Paydown		10,865	10,865	11,437	11,411	0	(546)	0	(546)	0	10,865	0	0	0	217	03/01/2041	1
3138AM-3U-7	FNMA Pool AI8010 MBS 3.500% 08/01/26		04/01/2016	Paydown		6,715	6,715	7,079	6,995	0	(280)	0	(280)	0	6,715	0	0	0	78	08/01/2026	1
3138AM-3U-7	FNMA Pool AI8010 MBS 3.500% 08/01/26		05/01/2016	Paydown		14,930	14,930	15,740	15,553	0	(623)	0	(623)	0	14,930	0	0	0	218	08/01/2026	1
3138AM-3U-7	FNMA Pool AI8010 MBS 3.500% 08/01/26		06/01/2016	Paydown		8,259	8,259	8,707	8,604	0	(345)	0	(345)	0	8,259	0	0	0	145	08/01/2026	1
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		04/01/2016	Paydown		681	681	719	717	0	(36)	0	(36)	0	681	0	0	0	9	10/01/2041	1
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		05/01/2016	Paydown		15,031	15,031	15,864	15,825	0	(795)	0	(795)	0	15,031	0	0	0	251	10/01/2041	1
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/01/2016	Paydown		3,683	3,683	3,887	3,878	0	(195)	0	(195)	0	3,683	0	0	0	74	10/01/2041	1
3138E0-LN-7	FNMA Pool AJ7532 MBS 3.500% 01/01/27		04/01/2016	Paydown		6,821	6,821	7,244	7,158	0	(336)	0	(336)	0	6,821	0	0	0	80	01/01/2027	1
3138E0-LN-7	FNMA Pool AJ7532 MBS 3.500% 01/01/27		05/01/2016	Paydown		6,922	6,922	7,352	7,264	0	(341)	0	(341)	0	6,922	0	0	0	101	01/01/2027	1
3138E0-LN-7	FNMA Pool AJ7532 MBS 3.500% 01/01/27		06/01/2016	Paydown		7,941	7,941	8,433	8,332	0	(392)	0	(392)	0	7,941	0	0	0	139	01/01/2027	1
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		04/01/2016	Paydown		8,469	8,469	8,921	8,900	0	(430)	0	(430)	0	8,469	0	0	0	113	12/01/2041	1
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		05/01/2016	Paydown		10,125	10,125	10,665	10,639	0	(514)	0	(514)	0	10,125	0	0	0	169	12/01/2041	1
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/01/2016	Paydown		8,981	8,981	9,459	9,437	0	(456)	0	(456)	0	8,981	0	0	0	180	12/01/2041	1
3138E1-MQ-7	FNMA Pool AJ8466 MBS 4.500% 12/01/41		04/01/2016	Paydown		83,189	83,189	90,793	90,737	0	(7,548)	0	(7,548)	0	83,189	0	0	0	1,248	12/01/2041	1
3138E1-MQ-7	FNMA Pool AJ8466 MBS 4.500% 12/01/41		05/01/2016	Paydown		44,191	44,191	48,230	48,200	0	(4,009)	0	(4,009)	0	44,191	0	0	0	829	12/01/2041	1
3138E1-MQ-7	FNMA Pool AJ8466 MBS 4.500% 12/01/41		06/01/2016	Paydown		3,048	3,048	3,327	3,325	0	(277)	0	(277)	0	3,048	0	0	0	69	12/01/2041	1
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		04/01/2016	Paydown		22,427	22,427	23,930	23,835	0	(1,408)	0	(1,408)	0	22,427	0	0	0	262	07/01/2042	1
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		05/01/2016	Paydown		1,663	1,663	1,774	1,767	0	(104)	0	(104)	0	1,663	0	0	0	24	07/01/2042	1
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		06/01/2016	Paydown		8,965	8,965	9,566	9,527	0	(563)	0	(563)	0	8,965	0	0	0	157	07/01/2042	1
3138EJ-QJ-0	FNMA Pool AL2256 MBS 4.500% 01/01/42		04/01/2016	Paydown		55,539	55,539	60,251	60,229	0	(4,690)	0	(4,690)	0	55,539	0	0	0	833	01/01/2042	1
3138EJ-QJ-0	FNMA Pool AL2256 MBS 4.500% 01/01/42		05/01/2016	Paydown		51,898	51,898	56,301	56,280	0	(4,382)	0	(4,382)	0	51,898	0	0	0	973	01/01/2042	1
3138EJ-QJ-0	FNMA Pool AL2256 MBS 4.500% 01/01/42		06/01/2016	Paydown		59,983	59,983	65,072	65,047	0	(5,065)	0	(5,065)	0	59,983	0	0	0	1,350	01/01/2042	1
3138EM-Y3-9	FNMA Pool AL5229 MBS 5.000% 01/01/44		04/01/2016	Paydown		11,021	11,021	12,236	12,233	0	(1,212)	0	(1,212)	0	11,021	0	0	0	184	01/01/2044	1
3138EM-Y3-9	FNMA Pool AL5229 MBS 5.000% 01/01/44		05/01/2016	Paydown		6,361	6,361	7,061	7,060	0	(699)	0	(699)	0	6,361	0	0	0	133	01/01/2044	1
3138EM-Y3-9	FNMA Pool AL5229 MBS 5.000% 01/01/44		06/01/2016	Paydown		11,967	11,967	13,285	13,283	0	(1,316)	0	(1,316)	0	11,967	0	0	0	299	01/01/2044	1
3138EN-LE-7	FNMA Pool AL5724 MBS 4.500% 10/01/44		04/01/2016	Paydown		15,357	15,357	16,706	0	0	(1,349)	0	(1,349)	0	15,357	0	0	0	173	10/01/2044	1
3138EN-LE-7	FNMA Pool AL5724 MBS 4.500% 10/01/44		05/01/2016	Paydown		27,304	27,304	29,701	0	0	(2,398)	0	(2,398)	0	27,304	0	0	0	410	10/01/2044	1
3138EN-LE-7	FNMA Pool AL5724 MBS 4.500% 10/01/44		06/01/2016	Paydown		13,994	13,994	15,223	0	0	(1,229)	0	(1,229)	0	13,994	0	0	0	262	10/01/2044	1
3138EP-N3-4	FNMA Pool AL6709 MBS 4.000% 04/01/45		04/01/2016	Paydown		15,502	15,502	16,495	16,490	0	(988)	0	(988)	0	15,502	0	0	0	207	04/01/2045	1
3138EP-N3-4	FNMA Pool AL6709 MBS 4.000% 04/01/45		05/01/2016	Paydown		5,047	5,047	5,371	5,369	0	(322)	0	(322)	0	5,047	0	0	0	84	04/01/2045	1
3138EP-N3-4	FNMA Pool AL6709 MBS 4.000% 04/01/45		06/01/2016	Paydown		14,140	14,140	15,046	15,042	0	(902)	0	(902)	0	14,140	0	0	0	283	04/01/2045	1
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		04/01/2016	Paydown		1,908	1,908	2,036	2,028	0	(120)	0	(120)	0	1,908	0	0	0	22	07/01/2042	1
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		05/01/2016	Paydown		1,956	1,956	2,087	2,079	0	(123)	0	(123)	0	1,956	0	0	0	29	07/01/2042	1
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		06/01/2016	Paydown		21,502	21,502	22,946	22,855	0	(1,353)	0	(1,353)	0	21,502	0	0	0	376	07/01/2042	1
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		04/01/2016	Paydown		559	559	598	596	0	(37)	0	(37)	0	559	0	0	0	7	08/01/2042	1
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		05/01/2016	Paydown		7,371	7,371	7,886	7,854	0	(482)	0	(482)	0	7,371	0	0	0	108	08/01/2042	1
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		06/01/2016	Paydown		12,502	12,502	13,375	13,320	0	(818)	0	(818)	0	12,502	0	0	0	219	08/01/2042	1
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		04/01/2016	Paydown		561	561	602	600	0	(38)	0	(38)	0	561	0	0	0	7	08/01/2042	1
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		05/01/2016	Paydown		845	845	906	902	0	(57)	0	(57)	0	845	0	0	0	12	08/01/2042	1
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		06/01/2016	Paydown		842	842	903	899	0	(57)	0	(57)	0	842	0	0	0	15	08/01/2042	1
3138MN-5P-1	FNMA Pool A07153 MBS 3.000% 01/01/43		06/01/2016	Paydown		35,695	35,695	36,788	0	0	(1,093)	0	(1,093)	0	35,695	0	0	0	89	01/01/2043	1
3138MS-A4-1	FNMA Pool A09926 MBS 3.000% 12/01/42		04/01/2016	Paydown		297	297	313	312	0	(14)	0	(14)	0	297	0	0	0	3	12/01/2042	1
3138MS-A4-1	FNMA Pool A09926 MBS 3.000% 12/01/42		05/01/2016	Paydown		296	296	311	310	0	(14)	0	(14)	0	296	0	0	0	4	12/01/2042	1
3138MS-A4-1	FNMA Pool A09926 MBS 3.000% 12/01/42		06/01/2016	Paydown		308	308	324	323	0	(15)	0	(15)	0	308	0	0	0	5	12/01/2042	1
3138NW-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		04/01/2016	Paydown		275	275	288	287	0	(13)	0	(13)	0	275	0	0	0	3	12/01/2042	1
3138NW-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		05/01/2016	Paydown		143	143	150	150	0	(7)	0	(7)	0	143	0	0	0	2	12/01/2042	1
3138NW-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		06/01/2016	Paydown		175	175	184	183	0	(8)	0	(8)	0	175	0	0	0	3	12/01/2042	1
3138WJ-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		04/01/2016	Paydown		516	516	536	535	0	(19)	0	(19)	0	516	0	0	0	6	02/01/2043	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received DuringYear	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
...3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		05/01/2016	Paydown		16,455	16,455	17,090	17,060	0	(605)	0	(605)	0	16,455	0	0	0	240	02/01/2043	1
...3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/01/2016	Paydown		488	488	507	506	0	(18)	0	(18)	0	488	0	0	0	9	02/01/2043	1
...3138W7-VG-6	FNMA Pool AR9614 MBS 3.500% 03/01/43		04/01/2016	Paydown		5,768	5,768	5,987	5,982	0	(214)	0	(214)	0	5,768	0	0	0	67	03/01/2043	1
...3138W7-VG-6	FNMA Pool AR9614 MBS 3.500% 03/01/43		05/01/2016	Paydown		6,279	6,279	6,518	6,512	0	(232)	0	(232)	0	6,279	0	0	0	92	03/01/2043	1
...3138W7-VG-6	FNMA Pool AR9614 MBS 3.500% 03/01/43		06/01/2016	Paydown		116,030	116,030	120,435	120,325	0	(4,295)	0	(4,295)	0	116,030	0	0	0	2,031	03/01/2043	1
...3138WB-EL-5	FNMA Pool AS1938 MBS 5.000% 03/01/44		04/01/2016	Paydown		49,015	49,015	54,744	54,671	0	(5,656)	0	(5,656)	0	49,015	0	0	0	817	03/01/2044	1
...3138WB-EL-5	FNMA Pool AS1938 MBS 5.000% 03/01/44		05/01/2016	Paydown		2,654	2,654	2,964	2,960	0	(306)	0	(306)	0	2,654	0	0	0	55	03/01/2044	1
...3138WB-EL-5	FNMA Pool AS1938 MBS 5.000% 03/01/44		06/01/2016	Paydown		46,519	46,519	51,956	51,887	0	(5,368)	0	(5,368)	0	46,519	0	0	0	1,163	03/01/2044	1
...3138WD-6L-0	FNMA Pool AS4474 MBS 3.000% 02/01/45		04/01/2016	Paydown		44,660	44,660	45,107	45,102	0	(442)	0	(442)	0	44,660	0	0	0	447	02/01/2045	1
...3138WD-6L-0	FNMA Pool AS4474 MBS 3.000% 02/01/45		05/01/2016	Paydown		25,244	25,244	25,496	25,493	0	(250)	0	(250)	0	25,244	0	0	0	316	02/01/2045	1
...3138WD-6L-0	FNMA Pool AS4474 MBS 3.000% 02/01/45		06/01/2016	Paydown		22,981	22,981	23,210	23,208	0	(227)	0	(227)	0	22,981	0	0	0	345	02/01/2045	1
...3138WE-6G-9	FNMA Pool AS5370 MBS 3.500% 07/01/45		04/01/2016	Paydown		3,515	3,515	3,648	3,647	0	(132)	0	(132)	0	3,515	0	0	0	41	07/01/2045	1
...3138WE-6G-9	FNMA Pool AS5370 MBS 3.500% 07/01/45		05/01/2016	Paydown		3,571	3,571	3,706	3,705	0	(135)	0	(135)	0	3,571	0	0	0	52	07/01/2045	1
...3138WE-6G-9	FNMA Pool AS5370 MBS 3.500% 07/01/45		06/01/2016	Paydown		6,149	6,149	6,382	6,381	0	(232)	0	(232)	0	6,149	0	0	0	108	07/01/2045	1
...3138WF-HS-8	FNMA Pool AS5640 MBS 3.500% 08/01/45		04/01/2016	Paydown		13,779	13,779	14,446	0	0	(667)	0	(667)	0	13,779	0	0	0	40	08/01/2045	1
...3138WF-HS-8	FNMA Pool AS5640 MBS 3.500% 08/01/45		05/01/2016	Paydown		13,364	13,364	14,011	0	0	(647)	0	(647)	0	13,364	0	0	0	78	08/01/2045	1
...3138WF-HS-8	FNMA Pool AS5640 MBS 3.500% 08/01/45		06/01/2016	Paydown		9,070	9,070	9,510	0	0	(439)	0	(439)	0	9,070	0	0	0	79	08/01/2045	1
...3138WG-YP-3	FNMA Pool AS7017 MBS 3.500% 04/01/46		06/01/2016	Paydown		1,449	1,449	1,529	0	0	(80)	0	(80)	0	1,449	0	0	0	4	04/01/2046	1
...3138WM-K5-9	FNMA Pool AT0315 MBS 3.500% 04/01/43		04/01/2016	Paydown		1,062	1,062	1,098	1,096	0	(34)	0	(34)	0	1,062	0	0	0	12	04/01/2043	1
...3138WM-K5-9	FNMA Pool AT0315 MBS 3.500% 04/01/43		05/01/2016	Paydown		1,065	1,065	1,101	1,100	0	(35)	0	(35)	0	1,065	0	0	0	16	04/01/2043	1
...3138WM-K5-9	FNMA Pool AT0315 MBS 3.500% 04/01/43		06/01/2016	Paydown		1,069	1,069	1,105	1,104	0	(35)	0	(35)	0	1,069	0	0	0	19	04/01/2043	1
...3138X9-WS-4	FNMA Pool AU9656 MBS 4.500% 09/01/43		04/01/2016	Paydown		863	863	936	935	0	(73)	0	(73)	0	863	0	0	0	13	09/01/2043	1
...3138X9-WS-4	FNMA Pool AU9656 MBS 4.500% 09/01/43		05/01/2016	Paydown		866	866	940	939	0	(73)	0	(73)	0	866	0	0	0	16	09/01/2043	1
...3138X9-WS-4	FNMA Pool AU9656 MBS 4.500% 09/01/43		06/01/2016	Paydown		866	866	940	939	0	(73)	0	(73)	0	866	0	0	0	20	09/01/2043	1
...3138Y3-AW-1	FNMA Pool AX1820 MBS 3.500% 10/01/44		04/01/2016	Paydown		3,669	3,669	3,803	3,800	0	(131)	0	(131)	0	3,669	0	0	0	43	10/01/2044	1
...3138Y3-AW-1	FNMA Pool AX1820 MBS 3.500% 10/01/44		05/01/2016	Paydown		3,806	3,806	3,945	3,942	0	(136)	0	(136)	0	3,806	0	0	0	56	10/01/2044	1
...3138Y3-AW-1	FNMA Pool AX1820 MBS 3.500% 10/01/44		06/01/2016	Paydown		118,172	118,172	122,493	122,395	0	(4,223)	0	(4,223)	0	118,172	0	0	0	2,068	10/01/2044	1
...3138Y9-D7-0	FNMA Pool AX7325 MBS 3.500% 09/01/44		04/01/2016	Paydown		36,955	36,955	38,306	38,277	0	(1,322)	0	(1,322)	0	36,955	0	0	0	431	09/01/2044	1
...3138Y9-D7-0	FNMA Pool AX7325 MBS 3.500% 09/01/44		05/01/2016	Paydown		2,321	2,321	2,406	2,404	0	(83)	0	(83)	0	2,321	0	0	0	34	09/01/2044	1
...3138Y9-D7-0	FNMA Pool AX7325 MBS 3.500% 09/01/44		06/01/2016	Paydown		33,763	33,763	34,998	34,971	0	(1,208)	0	(1,208)	0	33,763	0	0	0	591	09/01/2044	1
...3138YA-FD-2	FNMA Pool AX8263 MBS 4.000% 12/01/44		04/01/2016	Paydown		1,178	1,178	1,254	1,254	0	(76)	0	(76)	0	1,178	0	0	0	16	12/01/2044	1
...3138YA-FD-2	FNMA Pool AX8263 MBS 4.000% 12/01/44		05/01/2016	Paydown		1,175	1,175	1,251	1,251	0	(76)	0	(76)	0	1,175	0	0	0	20	12/01/2044	1
...3138YA-FD-2	FNMA Pool AX8263 MBS 4.000% 12/01/44		06/01/2016	Paydown		1,178	1,178	1,255	1,255	0	(76)	0	(76)	0	1,178	0	0	0	24	12/01/2044	1
...3138YE-QK-6	FNMA Pool AY1357 MBS 3.500% 04/01/45		04/01/2016	Paydown		1,190	1,190	1,234	1,233	0	(44)	0	(44)	0	1,190	0	0	0	14	04/01/2045	1
...3138YE-QK-6	FNMA Pool AY1357 MBS 3.500% 04/01/45		05/01/2016	Paydown		1,244	1,244	1,290	1,290	0	(46)	0	(46)	0	1,244	0	0	0	18	04/01/2045	1
...3138YE-QK-6	FNMA Pool AY1357 MBS 3.500% 04/01/45		06/01/2016	Paydown		4,364	4,364	4,525	4,524	0	(160)	0	(160)	0	4,364	0	0	0	76	04/01/2045	1
...3138YK-M7-5	FNMA Pool AY5781 MBS 4.000% 05/01/45		04/01/2016	Paydown		68,046	68,046	72,533	72,455	0	(4,408)	0	(4,408)	0	68,046	0	0	0	907	05/01/2045	1
...3138YK-M7-5	FNMA Pool AY5781 MBS 4.000% 05/01/45		05/01/2016	Paydown		50,642	50,642	53,981	53,923	0	(3,281)	0	(3,281)	0	50,642	0	0	0	844	05/01/2045	1
...3138YK-M7-5	FNMA Pool AY5781 MBS 4.000% 05/01/45		06/01/2016	Paydown		2,965	2,965	3,161	3,157	0	(192)	0	(192)	0	2,965	0	0	0	59	05/01/2045	1
...3138YN-MS-3	FNMA Pool AY8468 MBS 4.000% 08/01/45		04/01/2016	Paydown		3,299	3,299	3,520	3,519	0	(219)	0	(219)	0	3,299	0	0	0	44	08/01/2045	1
...3138YN-MS-3	FNMA Pool AY8468 MBS 4.000% 08/01/45		05/01/2016	Paydown		3,311	3,311	3,532	3,531	0	(220)	0	(220)	0	3,311	0	0	0	55	08/01/2045	1
...3138YN-MS-3	FNMA Pool AY8468 MBS 4.000% 08/01/45		06/01/2016	Paydown		63,272	63,272	67,503	67,480	0	(4,208)	0	(4,208)	0	63,272	0	0	0	1,265	08/01/2045	1
...3138YV-4G-1	FNMA Pool AZ4422 MBS 4.000% 07/01/45		04/01/2016	Paydown		1,451	1,451	1,538	1,537	0	(86)	0	(86)	0	1,451	0	0	0	19	07/01/2045	1
...3138YV-4G-1	FNMA Pool AZ4422 MBS 4.000% 07/01/45		05/01/2016	Paydown		1,565	1,565	1,658	1,657	0	(92)	0	(92)	0	1,565	0	0	0	26	07/01/2045	1
...3138YV-4G-1	FNMA Pool AZ4422 MBS 4.000% 07/01/45		06/01/2016	Paydown		12,925	12,925	13,697	13,688	0	(762)	0	(762)	0	12,925	0	0	0	258	07/01/2045	1
...31402C-4M-1	FNMA Pool 725428 MBS 5.000% 03/01/19		04/01/2016	Paydown		13,193	13,193	14,023	13,531	0	(338)	0	(338)	0	13,193	0	0	0	220	03/01/2019	1
...31402C-4M-1	FNMA Pool 725428 MBS 5.000% 03/01/19		05/01/2016	Paydown		11,432	11,432	12,152	11,725	0	(293)	0	(293)	0	11,432	0	0	0	238	03/01/2019	1
...31402C-4M-1	FNMA Pool 725428 MBS 5.000% 03/01/19		06/01/2016	Paydown		12,153	12,153	12,919	12,465	0	(311)	0	(311)	0	12,153	0	0	0	304	03/01/2019	1
...31402W-LT-3	FNMA Pool 740238 MBS 6.000% 09/01/33		04/01/2016	Paydown		109	109	114	113	0	(4)	0	(4)	0	109	0	0	0	2	09/01/2033	1
...31402W-LT-3	FNMA Pool 740238 MBS 6.000% 09/01/33		05/01/2016	Paydown		110	110	114	114	0	(4)	0	(4)	0	110	0	0	0	3	09/01/2033	1
...31402W-LT-3	FNMA Pool 740238 MBS 6.000% 09/01/33		06/01/2016	Paydown		110	110	115	114	0	(4)	0	(4)	0	110	0	0	0	3	09/01/2033	1
...31403F-ZH-0	FNMA Pool 747844 MBS 5.500% 12/01/18		04/01/2016	Paydown		1,424	1,424	1,483	1,442	0	(18)	0	(18)	0	1,424	0	0	0	26	12/01/2018	1
...31403F-ZH-0	FNMA Pool 747844 MBS 5.500% 12/01/18		05/01/2016	Paydown		5,250	5,250	5,468	5,317	0	(67)	0	(67)	0	5,250	0	0	0	120	12/01/2018	1
...31403F-ZH-0	FNMA Pool 747844 MBS 5.500% 12/01/18		06/01/2016	Paydown		1,333	1,333	1,388	1,350	0	(17)	0	(17)	0	1,333	0	0	0	37	12/01/2018	1
...31404V-GC-6	FNMA Pool 779695 MBS 5.500% 07/01/19		04/01/2016	Paydown		3,286	3,286	3,256	3,266	0	20	0	20	0	3,286	0	0	0	60	07/01/2019	1
...31404V-GC-6	FNMA Pool 779695 MBS 5.500% 07/01/19		05/01/2016	Paydown		3,148	3,148	3,119	3,129	0	19	0	19	0	3,148	0	0	0	72	07/01/2019	1
...31404V-GC-6	FNMA Pool 779695 MBS 5.500% 07/01/19		06/01/2016	Paydown		3,240	3,240	3,210	3,220	0	20	0	20	0	3,240	0	0	0	89	07/01/2019	1
...31406B-A8-3	FNMA Pool 804731 MBS 6.000% 01/01/35		04/01/2016	Paydown		10,954	10,954	11,399	11,346	0	(392)	0	(392)	0	10,954	0	0	0	219	01/01/2035	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received DuringYear	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31406B-A8-3	FNMA Pool 804731 MBS 6.000% 01/01/35		05/01/2016	Paydown		1,056	1,056	1,099	1,094	0	(38)	0	(38)	0	1,056	0	0	0	26	01/01/2035	1
31406B-A8-3	FNMA Pool 804731 MBS 6.000% 01/01/35		06/01/2016	Paydown		2,657	2,657	2,765	2,752	0	(95)	0	(95)	0	2,657	0	0	0	80	01/01/2035	1
31406C-VA-3	FNMA Pool 806209 MBS 6.000% 01/01/35		04/01/2016	Paydown		803	803	836	832	0	(28)	0	(28)	0	803	0	0	0	16	01/01/2035	1
31406C-VA-3	FNMA Pool 806209 MBS 6.000% 01/01/35		05/01/2016	Paydown		14,722	14,722	15,313	15,238	0	(516)	0	(516)	0	14,722	0	0	0	368	01/01/2035	1
31406C-VA-3	FNMA Pool 806209 MBS 6.000% 01/01/35		06/01/2016	Paydown		9,044	9,044	9,407	9,361	0	(317)	0	(317)	0	9,044	0	0	0	271	01/01/2035	1
31406E-SC-9	FNMA Pool 807915 MBS 4.500% 04/01/20		04/01/2016	Paydown		3,190	3,190	3,090	3,139	0	51	0	51	0	3,190	0	0	0	48	04/01/2020	1
31406E-SC-9	FNMA Pool 807915 MBS 4.500% 04/01/20		05/01/2016	Paydown		2,909	2,909	2,817	2,862	0	46	0	46	0	2,909	0	0	0	55	04/01/2020	1
31406E-SC-9	FNMA Pool 807915 MBS 4.500% 04/01/20		06/01/2016	Paydown		3,451	3,451	3,342	3,396	0	55	0	55	0	3,451	0	0	0	78	04/01/2020	1
31406F-S8-5	FNMA Pool 808843 MBS 6.000% 01/01/35		04/01/2016	Paydown		553	553	576	573	0	(19)	0	(19)	0	553	0	0	0	11	01/01/2035	1
31406F-S8-5	FNMA Pool 808843 MBS 6.000% 01/01/35		05/01/2016	Paydown		12,611	12,611	13,120	13,055	0	(444)	0	(444)	0	12,611	0	0	0	315	01/01/2035	1
31406F-S8-5	FNMA Pool 808843 MBS 6.000% 01/01/35		06/01/2016	Paydown		519	519	540	538	0	(18)	0	(18)	0	519	0	0	0	16	01/01/2035	1
31406L-HM-3	FNMA Pool 813036 MBS 4.500% 03/01/20		04/01/2016	Paydown		2,896	2,896	2,805	2,851	0	45	0	45	0	2,896	0	0	0	43	03/01/2020	1
31406L-HM-3	FNMA Pool 813036 MBS 4.500% 03/01/20		05/01/2016	Paydown		2,867	2,867	2,776	2,822	0	45	0	45	0	2,867	0	0	0	54	03/01/2020	1
31406L-HM-3	FNMA Pool 813036 MBS 4.500% 03/01/20		06/01/2016	Paydown		2,834	2,834	2,744	2,789	0	44	0	44	0	2,834	0	0	0	64	03/01/2020	1
31406W-7B-4	FNMA Pool 822690 MBS 4.500% 04/01/20		04/01/2016	Paydown		1,815	1,815	1,733	1,775	0	40	0	40	0	1,815	0	0	0	27	04/01/2020	1
31406W-7B-4	FNMA Pool 822690 MBS 4.500% 04/01/20		05/01/2016	Paydown		1,861	1,861	1,777	1,820	0	41	0	41	0	1,861	0	0	0	35	04/01/2020	1
31406W-7B-4	FNMA Pool 822690 MBS 4.500% 04/01/20		06/01/2016	Paydown		5,463	5,463	5,217	5,343	0	120	0	120	0	5,463	0	0	0	123	04/01/2020	1
31407H-2C-9	FNMA Pool 831571 MBS 6.000% 07/01/36		04/01/2016	Paydown		3,338	3,338	3,289	3,292	0	46	0	46	0	3,338	0	0	0	67	07/01/2036	1
31407H-2C-9	FNMA Pool 831571 MBS 6.000% 07/01/36		05/01/2016	Paydown		6,758	6,758	6,659	6,665	0	93	0	93	0	6,758	0	0	0	169	07/01/2036	1
31407H-2C-9	FNMA Pool 831571 MBS 6.000% 07/01/36		06/01/2016	Paydown		166	166	164	164	0	2	0	2	0	166	0	0	0	5	07/01/2036	1
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		04/01/2016	Paydown		3,043	3,043	2,946	2,986	0	57	0	57	0	3,043	0	0	0	51	09/01/2020	1
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		05/01/2016	Paydown		2,701	2,701	2,615	2,651	0	50	0	50	0	2,701	0	0	0	56	09/01/2020	1
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		06/01/2016	Paydown		6,728	6,728	6,513	6,603	0	125	0	125	0	6,728	0	0	0	168	09/01/2020	1
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		04/01/2016	Paydown		4,308	4,308	4,165	4,227	0	81	0	81	0	4,308	0	0	0	72	10/01/2020	1
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		05/01/2016	Paydown		1,742	1,742	1,684	1,709	0	33	0	33	0	1,742	0	0	0	36	10/01/2020	1
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		06/01/2016	Paydown		4,766	4,766	4,608	4,677	0	90	0	90	0	4,766	0	0	0	119	10/01/2020	1
3140E0-J6-3	FNMA Pool AZ7484 MBS 3.500% 09/01/45		04/01/2016	Paydown		22,576	22,576	23,671	23,661	0	(1,085)	0	(1,085)	0	22,576	0	0	0	263	09/01/2045	1
3140E0-J6-3	FNMA Pool AZ7484 MBS 3.500% 09/01/45		05/01/2016	Paydown		1,734	1,734	1,818	1,817	0	(83)	0	(83)	0	1,734	0	0	0	25	09/01/2045	1
3140E0-J6-3	FNMA Pool AZ7484 MBS 3.500% 09/01/45		06/01/2016	Paydown		1,880	1,880	1,971	1,971	0	(90)	0	(90)	0	1,880	0	0	0	33	09/01/2045	1
3140E4-JU-2	FNMA Pool BA0274 MBS 3.500% 10/01/45		04/01/2016	Paydown		1,292	1,292	1,352	1,352	0	(60)	0	(60)	0	1,292	0	0	0	15	10/01/2045	1
3140E4-JU-2	FNMA Pool BA0274 MBS 3.500% 10/01/45		05/01/2016	Paydown		1,248	1,248	1,306	1,306	0	(58)	0	(58)	0	1,248	0	0	0	18	10/01/2045	1
3140E4-JU-2	FNMA Pool BA0274 MBS 3.500% 10/01/45		06/01/2016	Paydown		1,252	1,252	1,311	1,310	0	(58)	0	(58)	0	1,252	0	0	0	22	10/01/2045	1
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		04/01/2016	Paydown		9,769	9,769	10,682	10,282	0	(513)	0	(513)	0	9,769	0	0	0	179	06/01/2020	1
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		05/01/2016	Paydown		9,097	9,097	9,947	9,574	0	(477)	0	(477)	0	9,097	0	0	0	208	06/01/2020	1
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		06/01/2016	Paydown		9,093	9,093	9,942	9,570	0	(477)	0	(477)	0	9,093	0	0	0	250	06/01/2020	1
31416X-GJ-3	FNMA Pool AB2000 MBS 4.500% 01/01/41		04/01/2016	Paydown		30,183	30,183	32,927	32,907	0	(2,725)	0	(2,725)	0	30,183	0	0	0	453	01/01/2041	1
31416X-GJ-3	FNMA Pool AB2000 MBS 4.500% 01/01/41		05/01/2016	Paydown		29,707	29,707	32,408	32,388	0	(2,682)	0	(2,682)	0	29,707	0	0	0	557	01/01/2041	1
31416X-GJ-3	FNMA Pool AB2000 MBS 4.500% 01/01/41		06/01/2016	Paydown		1,352	1,352	1,475	1,474	0	(122)	0	(122)	0	1,352	0	0	0	30	01/01/2041	1
31417A-QE-2	FNMA Pool AB4052 MBS 4.000% 12/01/41		04/01/2016	Paydown		8,819	8,819	9,402	9,397	0	(578)	0	(578)	0	8,819	0	0	0	118	12/01/2041	1
31417A-QE-2	FNMA Pool AB4052 MBS 4.000% 12/01/41		05/01/2016	Paydown		8,973	8,973	9,566	9,561	0	(588)	0	(588)	0	8,973	0	0	0	150	12/01/2041	1
31417A-QE-2	FNMA Pool AB4052 MBS 4.000% 12/01/41		06/01/2016	Paydown		13,394	13,394	14,279	14,272	0	(878)	0	(878)	0	13,394	0	0	0	268	12/01/2041	1
31417G-TY-2	FNMA Pool AB9566 MBS 3.000% 06/01/43		04/01/2016	Paydown		5,753	5,753	5,895	5,892	0	(139)	0	(139)	0	5,753	0	0	0	58	06/01/2043	1
31417G-TY-2	FNMA Pool AB9566 MBS 3.000% 06/01/43		05/01/2016	Paydown		76,307	76,307	78,191	78,147	0	(1,840)	0	(1,840)	0	76,307	0	0	0	954	06/01/2043	1
31417G-TY-2	FNMA Pool AB9566 MBS 3.000% 06/01/43		06/01/2016	Paydown		21,229	21,229	21,753	21,741	0	(512)	0	(512)	0	21,229	0	0	0	318	06/01/2043	1
31418A-SU-3	FNMA Pool MA1430 MBS 3.000% 05/01/43		04/01/2016	Paydown		13,894	13,894	14,042	14,038	0	(144)	0	(144)	0	13,894	0	0	0	139	05/01/2043	1
31418A-SU-3	FNMA Pool MA1430 MBS 3.000% 05/01/43		05/01/2016	Paydown		9,656	9,656	9,759	9,757	0	(100)	0	(100)	0	9,656	0	0	0	121	05/01/2043	1
31418A-SU-3	FNMA Pool MA1430 MBS 3.000% 05/01/43		06/01/2016	Paydown		15,613	15,613	15,779	15,775	0	(162)	0	(162)	0	15,613	0	0	0	234	05/01/2043	1
452226-Q9-7	ILlinois ST Sales Tax Rev Bond Non Call 5.500% 06/15/16		06/15/2016	Maturity		1,000,000	1,000,000	1,099,570	1,006,353	0	(6,353)	0	(6,353)	0	1,000,000	0	0	0	27,500	06/15/2016	1FE
667806-GQ-0	Northwest Water Agcy Rev Bond Non Call 5.000% 05/01/16		05/01/2016	Maturity		4,000,000	4,000,000	4,406,440	4,018,948	0	(18,948)	0	(18,948)	0	4,000,000	0	0	0	100,000	05/01/2016	1FE
89602N-ZF-4	TRIBOROUGH NY BR Rev Bond Cont Call 5.000% 11/15/24		06/08/2016	Jefferys and Company		3,249,802	2,660,000	3,034,049	2,946,270	0	(16,858)	0	(16,858)	0	2,929,412	0	320,390	320,390	76,844	11/15/2024	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						12,305,686	11,650,191	12,696,412	11,978,260	0	(164,235)	0	(164,235)	0	11,951,933	0	353,754	353,754	276,687	XXX	XXX
00038A-AA-1	ABB TREASURY USA Corp Note MW Prv Plc 2.500% 06/15/16		06/15/2016	Maturity		400,000	400,000	411,092	403,115	0	(3,115)	0	(3,115)	0	400,000	0	0	0	5,000	06/15/2016	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
02006M-AC-5	ALLY AUTO RECEIV ALLYA 2013-2 A3 ABS 0.790% 01/15/18		04/15/2016	Paydown		39,935	39,935	39,963	39,943	0	(7)	0	(7)	0	39,935	0	0	0	105	01/15/2018	1FE
02006M-AC-5	ALLY AUTO RECEIV ALLYA 2013-2 A3 ABS 0.790% 01/15/18		05/15/2016	Paydown		35,898	35,898	35,923	35,905	0	(7)	0	(7)	0	35,898	0	0	0	118	01/15/2018	1FE
02006M-AC-5	ALLY AUTO RECEIV ALLYA 2013-2 A3 ABS 0.790% 01/15/18		06/15/2016	Paydown		35,770	35,770	35,795	35,776	0	(7)	0	(7)	0	35,770	0	0	0	141	01/15/2018	1FE
02006N-AC-3	ALLY AUTO RECEIV ALLYL 2014-SN1 A3 ABS 0.750% 02/21/17		04/20/2016	Paydown		64,739	64,739	64,694	64,725	0	14	0	14	0	64,739	0	0	0	162	02/21/2017	1FE
02006N-AC-3	ALLY AUTO RECEIV ALLYL 2014-SN1 A3 ABS 0.750% 02/21/17		05/20/2016	Paydown		55,209	55,209	55,171	55,197	0	12	0	12	0	55,209	0	0	0	173	02/21/2017	1FE
02006N-AC-3	ALLY AUTO RECEIV ALLYL 2014-SN1 A3 ABS 0.750% 02/21/17		06/20/2016	Paydown		2,246	2,246	2,245	2,246	0	0	0	0	0	2,246	0	0	0	8	02/21/2017	1FE
02006U-AD-5	Ally Auto Receiv ALLYL 2014-SN2 A3 ABS 1.030% 09/20/17		06/20/2016	Paydown		11,768	11,768	11,757	0	0	11	0	11	0	11,768	0	0	0	30	09/20/2017	1FE
02006W-AB-5	ALLY AUTO RECEIV ALLYA 2014-2 A2 ABS 0.680% 07/17/17		04/15/2016	Paydown		29,576	29,576	29,574	29,575	0	0	0	0	0	29,576	0	0	0	67	07/17/2017	1FE
02006W-AB-5	ALLY AUTO RECEIV ALLYA 2014-2 A2 ABS 0.680% 07/17/17		05/14/2016	Call 100.0000		22,896	22,896	22,895	22,896	0	0	0	0	0	22,896	0	0	0	64	07/17/2017	1FE
02006X-AB-3	Ally Auto Receiv ALLYL 2015-SN1 A2A ABS 0.930% 10/20/16		04/20/2016	Paydown		28,342	28,342	28,342	28,342	0	1	0	1	0	28,342	0	0	0	88	10/20/2016	1FE
02006X-AB-3	Ally Auto Receiv ALLYL 2015-SN1 A2A ABS 0.930% 10/20/16		05/20/2016	Paydown		27,147	27,147	27,146	27,146	0	1	0	1	0	27,147	0	0	0	105	10/20/2016	1FE
02006X-AB-3	Ally Auto Receiv ALLYL 2015-SN1 A2A ABS 0.930% 10/20/16		06/20/2016	Paydown		30,102	30,102	30,101	30,102	0	1	0	1	0	30,102	0	0	0	140	10/20/2016	1FE
02006Y-AB-1	ALLY AUTO RECEIV ALLYA 2015-1 A2 ABS 0.920% 02/15/18		04/15/2016	Paydown		179,834	179,834	179,832	179,834	0	1	0	1	0	179,834	0	0	0	551	02/15/2018	1FE
02006Y-AB-1	ALLY AUTO RECEIV ALLYA 2015-1 A2 ABS 0.920% 02/15/18		05/15/2016	Paydown		169,639	169,639	169,637	169,639	0	0	0	0	0	169,639	0	0	0	650	02/15/2018	1FE
02006Y-AB-1	ALLY AUTO RECEIV ALLYA 2015-1 A2 ABS 0.920% 02/15/18		06/15/2016	Paydown		170,015	170,015	170,013	170,014	0	0	0	0	0	170,015	0	0	0	782	02/15/2018	1FE
02007D-AA-8	Ally Auto Receiv ALLYA 2015-2 A2A ABS 0.980% 03/15/18		04/15/2016	Paydown		26,168	26,168	26,168	26,168	0	0	0	0	0	26,168	0	0	0	85	03/15/2018	1FE
02007D-AA-8	Ally Auto Receiv ALLYA 2015-2 A2A ABS 0.980% 03/15/18		05/15/2016	Paydown		24,364	24,364	24,364	24,364	0	0	0	0	0	24,364	0	0	0	100	03/15/2018	1FE
02007D-AA-8	Ally Auto Receiv ALLYA 2015-2 A2A ABS 0.980% 03/15/18		06/15/2016	Paydown		24,174	24,174	24,174	24,174	0	0	0	0	0	24,174	0	0	0	118	03/15/2018	1FE
03063X-AF-2	Americredit Auto Rec Tr AMCAR 2012-4 C ABS 1.930% 08/08/18		04/08/2016	Paydown		171,026	171,026	171,001	171,025	0	1	0	1	0	171,026	0	0	0	1,100	08/08/2018	1FE
03063X-AF-2	Americredit Auto Rec Tr AMCAR 2012-4 C ABS 1.930% 08/08/18		05/08/2016	Paydown		148,124	148,124	148,102	148,122	0	1	0	1	0	148,124	0	0	0	1,191	08/08/2018	1FE
03063X-AF-2	Americredit Auto Rec Tr AMCAR 2012-4 C ABS 1.930% 08/08/18		06/08/2016	Paydown		144,780	144,780	144,759	144,779	0	1	0	1	0	144,780	0	0	0	1,397	08/08/2018	1FE
03064L-AC-4	AMERICREDIT AUTO AMCAR 2014-1 A3 ABS 0.900% 01/08/19		04/08/2016	Paydown		54,524	54,524	54,386	54,387	0	138	0	138	0	54,524	0	0	0	164	01/08/2019	1FE
03064L-AC-4	AMERICREDIT AUTO AMCAR 2014-1 A3 ABS 0.900% 01/08/19		05/08/2016	Paydown		45,672	45,672	45,556	45,557	0	115	0	115	0	45,672	0	0	0	171	01/08/2019	1FE
03064L-AC-4	AMERICREDIT AUTO AMCAR 2014-1 A3 ABS 0.900% 01/08/19		06/08/2016	Paydown		46,166	46,166	46,049	46,049	0	117	0	117	0	46,166	0	0	0	208	01/08/2019	1FE
03065N-AB-1	AMERICREDIT AUTO AMCAR 2015-3 A2A ABS 1.070% 01/08/19		04/08/2016	Paydown		40,820	40,820	40,819	40,820	0	0	0	0	0	40,820	0	0	0	146	01/08/2019	1FE
03065N-AB-1	AMERICREDIT AUTO AMCAR 2015-3 A2A ABS 1.070% 01/08/19		05/08/2016	Paydown		36,027	36,027	36,026	36,026	0	0	0	0	0	36,027	0	0	0	161	01/08/2019	1FE
03065N-AB-1	AMERICREDIT AUTO AMCAR 2015-3 A2A ABS 1.070% 01/08/19		06/08/2016	Paydown		28,707	28,707	28,706	28,707	0	0	0	0	0	28,707	0	0	0	154	01/08/2019	1FE
031162-BG-4	AMGEN INC Corp Note Call MW 20BP 4.100% 06/15/21		05/11/2016	RBC Capital Markets		1,209,611	1,110,000	1,197,313	1,161,582	0	(3,414)	0	(3,414)	0	1,158,169	0	51,443	51,443	19,089	06/15/2021	2FE
037833-AK-6	APPLE INC Corp Note MW 15BP 2.400% 05/03/23		06/02/2016	Morgan Stanley		659,406	660,000	640,088	640,413	0	1,048	0	1,048	0	641,460	0	17,946	17,946	9,416	05/03/2023	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
05581Q-AB-4	BMW Vehicle Leas BMWLT 2015-2 A2A ABS 1.070% 01/22/18		05/20/2016	Paydown		4,054	4,054	4,054	4,054	0	0	0	0	0	4,054	0	0	0	18	01/22/2018	1FE
05581Q-AB-4	BMW Vehicle Leas BMWLT 2015-2 A2A ABS 1.070% 01/22/18		06/20/2016	Paydown		23,834	23,834	23,833	23,833	0	0	0	0	0	23,834	0	0	0	128	01/22/2018	1FE
09658U-AB-5	BMW VEHICLE OWNE BMWOT 2014-A A2 ABS 0.530% 04/25/17		04/25/2016	Paydown		7,868	7,868	7,867	7,868	0	0	0	0	0	7,868	0	0	0	14	04/25/2017	1FE
12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		04/01/2016	Paydown		43,472	43,472	43,471	43,463	0	8	0	8	0	43,472	0	0	0	209	02/10/2019	1FM
12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		05/01/2016	Paydown		51,024	51,024	51,023	51,014	0	10	0	10	0	51,024	0	0	0	307	02/10/2019	1FM
12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		06/01/2016	Paydown		46,591	46,591	46,590	46,582	0	9	0	9	0	46,591	0	0	0	337	02/10/2019	1FM
12592W-AB-4	CNH EQUIPMENT TR CNH 2015-A A2 ABS 0.840% 06/15/18		04/15/2016	Paydown		11,688	11,688	11,687	11,688	0	0	0	0	0	11,688	0	0	0	33	06/15/2018	1FE
12592W-AB-4	CNH EQUIPMENT TR CNH 2015-A A2 ABS 0.840% 06/15/18		05/15/2016	Paydown		9,836	9,836	9,836	9,836	0	0	0	0	0	9,836	0	0	0	34	06/15/2018	1FE
12592W-AB-4	CNH EQUIPMENT TR CNH 2015-A A2 ABS 0.840% 06/15/18		06/15/2016	Paydown		12,233	12,233	12,232	12,233	0	0	0	0	0	12,233	0	0	0	51	06/15/2018	1FE
12594B-AA-0	CNH Equipment Tr CNH 2016-A A1 ABS 0.670% 03/10/17		04/15/2016	Paydown		192,075	192,075	192,075	0	0	0	0	0	0	192,075	0	0	0	207	03/10/2017	1FE
12594B-AA-0	CNH Equipment Tr CNH 2016-A A1 ABS 0.670% 03/10/17		05/16/2016	Paydown		181,328	181,328	181,328	0	0	0	0	0	0	181,328	0	0	0	300	03/10/2017	1FE
12594B-AA-0	CNH Equipment Tr CNH 2016-A A1 ABS 0.670% 03/10/17		06/15/2016	Paydown		184,941	184,941	184,941	0	0	0	0	0	0	184,941	0	0	0	410	03/10/2017	1FE
12636F-BH-5	COMM MORTGAGE TR COMM 2015-LC23 A3 CMBS 3.521% 10/10/53		05/05/2016	Cantor Fitzgerald		2,294,312	2,145,000	2,166,399	2,166,151	0	(729)	0	(729)	0	2,165,422	0	128,890	128,890	33,357	10/10/2053	1FM
13975G-AC-2	CAPITAL AUTO REC AFIN 2014-1 A3 ABS 1.320% 06/20/18		04/20/2016	Paydown		68,498	68,498	68,503	0	0	(5)	0	(5)	0	68,498	0	0	0	226	06/20/2018	1FE
13975G-AC-2	CAPITAL AUTO REC AFIN 2014-1 A3 ABS 1.320% 06/20/18		05/20/2016	Paydown		60,995	60,995	61,000	0	0	(5)	0	(5)	0	60,995	0	0	0	268	06/20/2018	1FE
13975G-AC-2	CAPITAL AUTO REC AFIN 2014-1 A3 ABS 1.320% 06/20/18		06/20/2016	Paydown		61,804	61,804	61,809	0	0	(5)	0	(5)	0	61,804	0	0	0	340	06/20/2018	1FE
14313L-AC-0	CARMAX AUTO OWNE CARMX 2013-1 A3 ABS 0.600% 10/16/17		04/15/2016	Paydown		21,643	21,643	21,645	21,643	0	0	0	0	0	21,643	0	0	0	43	10/16/2017	1FE
14313L-AC-0	CARMAX AUTO OWNE CARMX 2013-1 A3 ABS 0.600% 10/16/17		05/15/2016	Paydown		19,709	19,709	19,711	19,709	0	0	0	0	0	19,709	0	0	0	49	10/16/2017	1FE
14313L-AC-0	CARMAX AUTO OWNE CARMX 2013-1 A3 ABS 0.600% 10/16/17		06/15/2016	Paydown		13,247	13,247	13,248	13,247	0	0	0	0	0	13,247	0	0	0	40	10/16/2017	1FE
14313T-AB-5	CARMAX AUTO OWNE CARMX 2014-3 A2 ABS 0.550% 08/15/17		04/15/2016	Paydown		26,622	26,622	26,622	26,622	0	0	0	0	0	26,622	0	0	0	49	08/15/2017	1FE
14313T-AB-5	CARMAX AUTO OWNE CARMX 2014-3 A2 ABS 0.550% 08/15/17		05/15/2016	Call 100.0000		3,525	3,525	3,525	3,525	0	0	0	0	0	3,525	0	0	0	6	08/15/2017	1FE
14313V-AB-0	CARMAX AUTO OWNE CARMX 2015-3 A2A ABS 1.100% 11/15/18		04/15/2016	Paydown		21,463	21,463	21,460	21,462	0	1	0	1	0	21,463	0	0	0	79	11/15/2018	1FE
14313V-AB-0	CARMAX AUTO OWNE CARMX 2015-3 A2A ABS 1.100% 11/15/18		05/15/2016	Paydown		20,301	20,301	20,299	20,301	0	0	0	0	0	20,301	0	0	0	93	11/15/2018	1FE
14313V-AB-0	CARMAX AUTO OWNE CARMX 2015-3 A2A ABS 1.100% 11/15/18		06/15/2016	Paydown		19,675	19,675	19,673	19,675	0	0	0	0	0	19,675	0	0	0	108	11/15/2018	1FE
14313W-AB-8	CARMAX AUTO OWNE CARMX 2015-1 A2 ABS 0.880% 03/15/18		04/15/2016	Paydown		30,640	30,640	30,637	30,639	0	1	0	1	0	30,640	0	0	0	90	03/15/2018	1FE
14313W-AB-8	CARMAX AUTO OWNE CARMX 2015-1 A2 ABS 0.880% 03/15/18		05/15/2016	Paydown		27,225	27,225	27,222	27,224	0	1	0	1	0	27,225	0	0	0	100	03/15/2018	1FE
14313W-AB-8	CARMAX AUTO OWNE CARMX 2015-1 A2 ABS 0.880% 03/15/18		06/15/2016	Paydown		26,317	26,317	26,315	26,316	0	1	0	1	0	26,317	0	0	0	116	03/15/2018	1FE
14313X-AB-6	CarMax Auto Owne CARMX 2015-4 A2A ABS 1.090% 04/15/19		05/15/2016	Paydown		20,634	20,634	20,632	20,632	0	2	0	2	0	20,634	0	0	0	94	04/15/2019	1FE
14313X-AB-6	CarMax Auto Owne CARMX 2015-4 A2A ABS 1.090% 04/15/19		06/15/2016	Paydown		24,037	24,037	24,035	24,035	0	2	0	2	0	24,037	0	0	0	131	04/15/2019	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
14912L-6A-4	CATERPILLAR FINL Corp Note Non Call 0.736% 05/20/16		05/20/2016	Maturity		600,000	600,000	600,000	600,000	0	0	0	0	0	600,000	0	0	0	1,789	05/20/2016	1FE
172967-FS-5	CITIGROUP INC Corp Note Non Call 3.953% 06/15/16		06/15/2016	Maturity		1,365,000	1,365,000	1,392,824	1,367,726	0	(2,726)	0	(2,726)	0	1,365,000	0	0	0	26,979	06/15/2016	2FE
24703B-AB-1	DELL EQUIPMENT F DEFT 2015-1 A2 ABS Prv Plc 1.010% 07/24/17		04/22/2016	Paydown		31,882	31,882	31,881	31,882	0	0	0	0	0	31,882	0	0	0	107	07/24/2017	1FE
24703B-AB-1	DELL EQUIPMENT F DEFT 2015-1 A2 ABS Prv Plc 1.010% 07/24/17		05/22/2016	Paydown		46,864	46,864	46,863	46,864	0	0	0	0	0	46,864	0	0	0	197	07/24/2017	1FE
24703B-AB-1	DELL EQUIPMENT F DEFT 2015-1 A2 ABS Prv Plc 1.010% 07/24/17		06/22/2016	Paydown		34,507	34,507	34,506	34,507	0	0	0	0	0	34,507	0	0	0	174	07/24/2017	1FE
25470D-AC-3	DISCOVERY COMMUN Corp Note MW 30BP 5.050% 06/01/20		06/15/2016	Jefferys and Company		1,149,488	1,050,000	1,168,430	1,133,064	0	(8,260)	0	(8,260)	0	1,124,804	0	24,684	24,684	29,311	06/01/2020	2FE
260543-CJ-0	DOW CHEMICAL CO Corp Note Call MW 3.500% 10/01/24		05/05/2016	Deutsche Bank		1,198,450	1,150,000	1,138,960	1,140,150	0	342	0	342	0	1,140,492	0	57,957	57,957	24,485	10/01/2024	2FE
26207Y-AA-9	Drive Auto Recei DRIVE 2016-AA A1 ABS Prv Plc 0.800% 06/17/19		04/15/2016	Paydown		452,878	452,878	452,878	0	0	0	0	0	0	452,878	0	0	0	795	06/17/2019	1FE
26207Y-AA-9	Drive Auto Recei DRIVE 2016-AA A1 ABS Prv Plc 0.800% 06/17/19		05/15/2016	Call 100.0000		144,402	144,402	144,402	0	0	0	0	0	0	144,402	0	0	0	350	06/17/2019	1FE
29379V-BH-5	ENTERPRISE PRODU Corp Note Call MW 3.700% 02/15/26		05/05/2016	Goldman Sachs		1,348,638	1,325,000	1,320,164	1,320,351	0	136	0	136	0	1,320,487	0	28,151	28,151	36,088	02/15/2026	2FE
31680G-AB-2	Fifth Third Auto FITAT 2015-1 A2A ABS 1.020% 05/15/18		06/15/2016	Paydown		11,198	11,198	11,198	11,198	0	0	0	0	0	11,198	0	0	0	57	05/15/2018	1FE
34530E-AC-1	FORD CREDIT AUTO FORDO 2013-B A3 ABS 0.570% 10/15/17		04/15/2016	Paydown		21,100	21,100	21,113	21,102	0	(2)	0	(2)	0	21,100	0	0	0	40	10/15/2017	1FE
34530E-AC-1	FORD CREDIT AUTO FORDO 2013-B A3 ABS 0.570% 10/15/17		05/15/2016	Paydown		17,978	17,978	17,989	17,980	0	(1)	0	(1)	0	17,978	0	0	0	43	10/15/2017	1FE
34530E-AC-1	FORD CREDIT AUTO FORDO 2013-B A3 ABS 0.570% 10/15/17		06/15/2016	Paydown		7,303	7,303	7,308	7,304	0	(1)	0	(1)	0	7,303	0	0	0	21	10/15/2017	1FE
34530Q-AB-6	FORD CREDIT AUTO FORDO 2015-A A2A ABS 0.810% 01/15/18		04/15/2016	Paydown		21,714	21,714	21,714	21,714	0	0	0	0	0	21,714	0	0	0	59	01/15/2018	1FE
34530Q-AB-6	FORD CREDIT AUTO FORDO 2015-A A2A ABS 0.810% 01/15/18		05/15/2016	Paydown		19,464	19,464	19,464	19,464	0	0	0	0	0	19,464	0	0	0	66	01/15/2018	1FE
34530Q-AB-6	FORD CREDIT AUTO FORDO 2015-A A2A ABS 0.810% 01/15/18		06/15/2016	Paydown		20,294	20,294	20,294	20,294	0	0	0	0	0	20,294	0	0	0	82	01/15/2018	1FE
34530V-AB-5	Ford Credit Auto FORDO 2015-B A2A ABS 0.720% 03/15/18		04/15/2016	Paydown		42,515	42,515	42,512	42,514	0	2	0	2	0	42,515	0	0	0	102	03/15/2018	1FE
34530V-AB-5	Ford Credit Auto FORDO 2015-B A2A ABS 0.720% 03/15/18		05/15/2016	Paydown		37,167	37,167	37,164	37,165	0	1	0	1	0	37,167	0	0	0	112	03/15/2018	1FE
34530V-AB-5	Ford Credit Auto FORDO 2015-B A2A ABS 0.720% 03/15/18		06/15/2016	Paydown		36,964	36,964	36,961	36,963	0	1	0	1	0	36,964	0	0	0	133	03/15/2018	1FE
34530X-AB-1	Ford Credit Auto FORDL 2015-B A2A ABS 1.040% 05/15/18		06/15/2016	Paydown		17,446	17,446	17,446	17,446	0	0	0	0	0	17,446	0	0	0	91	05/15/2018	1FE
34530Y-AB-9	Ford Credit Auto Owner Trust FORDO 2015-C A2A ABS 0.950% 08/15/18		04/15/2016	Paydown		25,585	25,585	25,585	25,585	0	0	0	0	0	25,585	0	0	0	81	08/15/2018	1FE
34530Y-AB-9	Ford Credit Auto Owner Trust FORDO 2015-C A2A ABS 0.950% 08/15/18		05/15/2016	Paydown		24,444	24,444	24,443	24,443	0	0	0	0	0	24,444	0	0	0	97	08/15/2018	1FE
34530Y-AB-9	Ford Credit Auto Owner Trust FORDO 2015-C A2A ABS 0.950% 08/15/18		06/15/2016	Paydown		23,155	23,155	23,155	23,155	0	0	0	0	0	23,155	0	0	0	110	08/15/2018	1FE
36962G-5C-4	GEN ELEC CAP CRP Corp Note Non Call 2.950% 05/09/16		05/09/2016	Maturity		300,000	300,000	308,235	302,384	0	(2,384)	0	(2,384)	0	300,000	0	0	0	4,425	05/09/2016	1FE
41283Y-AC-1	HARLEY-DAVIDSON HDMOT 2013-1 A3 ABS 0.650% 07/16/18		04/15/2016	Paydown		26,016	26,016	26,023	26,016	0	0	0	0	0	26,016	0	0	0	56	07/16/2018	1FE
41283Y-AC-1	HARLEY-DAVIDSON HDMOT 2013-1 A3 ABS 0.650% 07/16/18		05/15/2016	Paydown		24,045	24,045	24,052	24,046	0	0	0	0	0	24,045	0	0	0	65	07/16/2018	1FE
41283Y-AC-1	HARLEY-DAVIDSON HDMOT 2013-1 A3 ABS 0.650% 07/16/18		06/15/2016	Paydown		23,313	23,313	23,319	23,313	0	0	0	0	0	23,313	0	0	0	76	07/16/2018	1FE
41284A-AB-4	HARLEY-DAVIDSON HDMOT 2014-1 A2A ABS 0.490% 04/15/18		04/15/2016	Paydown		7,779	7,779	7,779	7,779	0	0	0	0	0	7,779	0	0	0	13	04/15/2018	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
41284A-AB-4	HARLEY-DAVIDSON HDMOT 2014-1 A2A ABS 0.490% 04/15/18		05/15/2016	Paydown		7,204	7,204	7,203	7,204	0	0	0	0	0	7,204	0	0	0	15	04/15/2018	1FE
41284A-AB-4	HARLEY-DAVIDSON HDMOT 2014-1 A2A ABS 0.490% 04/15/18		06/15/2016	Paydown		2,148	2,148	2,148	2,148	0	0	0	0	0	2,148	0	0	0	5	04/15/2018	1FE
41284A-AC-2	HARLEY-DAVIDSON HDMOT 2014-1 A2B ABS 0.612% 04/15/18		04/15/2016	Paydown		19,448	19,448	19,430	19,444	0	4	0	4	0	19,448	0	0	0	38	04/15/2018	1FE
41284A-AC-2	HARLEY-DAVIDSON HDMOT 2014-1 A2B ABS 0.612% 04/15/18		05/16/2016	Paydown		18,009	18,009	17,992	18,006	0	3	0	3	0	18,009	0	0	0	44	04/15/2018	1FE
41284A-AC-2	HARLEY-DAVIDSON HDMOT 2014-1 A2B ABS 0.612% 04/15/18		06/15/2016	Paydown		5,371	5,371	5,366	5,370	0	1	0	1	0	5,371	0	0	0	16	04/15/2018	1FE
437076-AZ-5	HOME DEPOT INC Corp Note Call MW 15BP 2.700% 04/01/23		05/26/2016	CitiGroup		1,078,623	1,050,000	1,058,558	1,057,626	0	(418)	0	(418)	0	1,057,208	0	21,415	21,415	18,900	04/01/2023	1FE
43814J-AB-0	Honda Auto Recei HAROT 2014-4 A2 ABS 0.580% 01/17/17		04/15/2016	Paydown		28,441	28,441	28,441	28,441	0	0	0	0	0	28,441	0	0	0	55	01/17/2017	1FE
43814J-AB-0	Honda Auto Recei HAROT 2014-4 A2 ABS 0.580% 01/17/17		05/15/2016	Paydown		8,869	8,869	8,869	8,869	0	0	0	0	0	8,869	0	0	0	21	01/17/2017	1FE
43814K-AB-7	HONDA AUTO RECEI HAROT 2015-1 A2 ABS 0.700% 06/15/17		04/15/2016	Paydown		28,822	28,822	28,821	28,822	0	1	0	1	0	28,822	0	0	0	67	06/15/2017	1FE
43814K-AB-7	HONDA AUTO RECEI HAROT 2015-1 A2 ABS 0.700% 06/15/17		05/15/2016	Paydown		27,021	27,021	27,020	27,021	0	0	0	0	0	27,021	0	0	0	79	06/15/2017	1FE
43814K-AB-7	HONDA AUTO RECEI HAROT 2015-1 A2 ABS 0.700% 06/15/17		06/15/2016	Paydown		26,296	26,296	26,294	26,295	0	0	0	0	0	26,296	0	0	0	92	06/15/2017	1FE
43814L-AB-5	Honda Auto Recei HAROT 2015-4 A2 ABS 0.820% 07/23/18		06/21/2016	Paydown		2,300	2,300	2,300	2,300	0	0	0	0	0	2,300	0	0	0	9	07/23/2018	1FE
43814M-AB-3	HONDA AUTO RECEI HAROT 2015-3 A2 ABS 0.920% 11/20/17		04/18/2016	Paydown		3,503	3,503	3,503	3,503	0	0	0	0	0	3,503	0	0	0	11	11/20/2017	1FE
43814M-AB-3	HONDA AUTO RECEI HAROT 2015-3 A2 ABS 0.920% 11/20/17		05/18/2016	Paydown		32,663	32,663	32,663	32,663	0	0	0	0	0	32,663	0	0	0	125	11/20/2017	1FE
43814M-AB-3	HONDA AUTO RECEI HAROT 2015-3 A2 ABS 0.920% 11/20/17		06/18/2016	Paydown		32,471	32,471	32,470	32,471	0	0	0	0	0	32,471	0	0	0	149	11/20/2017	1FE
44614U-AB-5	HUNTINGTON AUTO HUNT 2015-1 A2 ABS 0.760% 10/16/17		04/15/2016	Paydown		94,119	94,119	94,113	94,119	0	0	0	0	0	94,119	0	0	0	238	10/16/2017	1FE
44614U-AB-5	HUNTINGTON AUTO HUNT 2015-1 A2 ABS 0.760% 10/16/17		05/15/2016	Paydown		79,570	79,570	79,565	79,570	0	0	0	0	0	79,570	0	0	0	252	10/16/2017	1FE
44614U-AB-5	HUNTINGTON AUTO HUNT 2015-1 A2 ABS 0.760% 10/16/17		06/15/2016	Paydown		79,285	79,285	79,280	79,285	0	0	0	0	0	79,285	0	0	0	301	10/16/2017	1FE
44890R-AC-5	HYUNDAI AUTO REC HART 2014-A A3 ABS 0.790% 07/16/18		04/15/2016	Paydown		31,994	31,994	31,980	31,984	0	10	0	10	0	31,994	0	0	0	84	07/16/2018	1FE
44890R-AC-5	HYUNDAI AUTO REC HART 2014-A A3 ABS 0.790% 07/16/18		05/15/2016	Paydown		28,370	28,370	28,358	28,362	0	9	0	9	0	28,370	0	0	0	93	07/16/2018	1FE
44890R-AC-5	HYUNDAI AUTO REC HART 2014-A A3 ABS 0.790% 07/16/18		06/15/2016	Paydown		28,076	28,076	28,064	28,068	0	8	0	8	0	28,076	0	0	0	111	07/16/2018	1FE
44890V-AB-8	HYUNDAI AUTO LEA HALST 2014-B A2 ABS Prv Plc 0.610% 02/15/17		04/15/2016	Paydown		32,147	32,147	32,096	32,135	0	13	0	13	0	32,147	0	0	0	65	02/15/2017	1FE
44918L-AB-8	HYUNDAI AUTO REC HART 2015-C A2A ABS 0.990% 11/15/18		04/15/2016	Paydown		7,187	7,187	7,186	7,186	0	0	0	0	0	7,187	0	0	0	24	11/15/2018	1FE
44918L-AB-8	HYUNDAI AUTO REC HART 2015-C A2A ABS 0.990% 11/15/18		05/15/2016	Paydown		16,989	16,989	16,988	16,988	0	1	0	1	0	16,989	0	0	0	70	11/15/2018	1FE
44918L-AB-8	HYUNDAI AUTO REC HART 2015-C A2A ABS 0.990% 11/15/18		06/15/2016	Paydown		16,684	16,684	16,684	16,684	0	1	0	1	0	16,684	0	0	0	83	11/15/2018	1FE
46639N-AL-5	JPMBB COMMERCIAL JPMBB 2013-C12 A1 CMBS 1.085% 07/15/45		04/01/2016	Paydown		42,805	42,805	42,788	42,787	0	18	0	18	0	42,805	0	0	0	155	07/15/2045	1FM
46639N-AL-5	JPMBB COMMERCIAL JPMBB 2013-C12 A1 CMBS 1.085% 07/15/45		05/01/2016	Paydown		45,892	45,892	45,874	45,873	0	19	0	19	0	45,892	0	0	0	207	07/15/2045	1FM
46639N-AL-5	JPMBB COMMERCIAL JPMBB 2013-C12 A1 CMBS 1.085% 07/15/45		06/01/2016	Paydown		47,134	47,134	47,116	47,115	0	20	0	20	0	47,134	0	0	0	256	07/15/2045	1FM
477877-AB-0	JOHN DEERE OWNER TRUST JDOT 2014-B A2A ABS 0.540% 07/17/17		04/15/2016	Paydown		16,218	16,218	16,217	16,218	0	0	0	0	0	16,218	0	0	0	29	07/17/2017	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
477877-AB-0	JOHN DEERE OWNER TRUST JDOT 2014-B A2A ABS 0.540% 07/17/17		05/14/2016	Call 100.0000		16,612	16,612	16,611	16,612	0	0	0	0	0	16,612	0	0	0	37	07/17/2017	1FE
47787U-AB-9	JOHN DEERE OWNER JDOT 2015-A A2A ABS 0.870% 02/15/18		04/15/2016	Paydown		20,401	20,401	20,400	20,401	0	1	0	1	0	20,401	0	0	0	59	02/15/2018	1FE
47787U-AB-9	JOHN DEERE OWNER JDOT 2015-A A2A ABS 0.870% 02/15/18		05/15/2016	Paydown		22,509	22,509	22,508	22,509	0	1	0	1	0	22,509	0	0	0	82	02/15/2018	1FE
47787U-AB-9	JOHN DEERE OWNER JDOT 2015-A A2A ABS 0.870% 02/15/18		06/15/2016	Paydown		14,216	14,216	14,215	14,216	0	0	0	0	0	14,216	0	0	0	62	02/15/2018	1FE
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.934% 10/28/41		04/27/2016	Paydown		99,493	99,493	98,715	99,217	0	276	0	276	0	99,493	0	0	0	390	10/28/2041	1FE
494368-BM-4	KIMBERLY-CLARK Corp Note Non Call 0.675% 05/19/16		05/19/2016	Maturity		300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	822	05/19/2016	1FE
58769A-AB-2	Mercedes-Benz Au MBALT 2015-B A2A ABS 1.000% 01/16/18		05/15/2016	Paydown		9,703	9,703	9,702	9,702	0	1	0	1	0	9,703	0	0	0	40	01/16/2018	1FE
58769A-AB-2	Mercedes-Benz Au MBALT 2015-B A2A ABS 1.000% 01/16/18		06/15/2016	Paydown		21,964	21,964	21,962	21,962	0	2	0	2	0	21,964	0	0	0	110	01/16/2018	1FE
61690G-AA-9	MORGAN STANLEY B MSBAM 2014-C14 A1 CMBS 1.250% 02/15/47		04/01/2016	Paydown		26,465	26,465	26,464	26,458	0	7	0	7	0	26,465	0	0	0	110	02/15/2047	1FM
61690G-AA-9	MORGAN STANLEY B MSBAM 2014-C14 A1 CMBS 1.250% 02/15/47		05/01/2016	Paydown		29,307	29,307	29,306	29,299	0	8	0	8	0	29,307	0	0	0	153	02/15/2047	1FM
61690G-AA-9	MORGAN STANLEY B MSBAM 2014-C14 A1 CMBS 1.250% 02/15/47		06/01/2016	Paydown		26,710	26,710	26,709	26,702	0	7	0	7	0	26,710	0	0	0	167	02/15/2047	1FM
61763B-AQ-7	MORGAN STANLEY B MSBAM 2013-C13 A1 CMBS 1.116% 11/15/46		04/01/2016	Paydown		11,390	11,390	11,390	11,388	0	3	0	3	0	11,390	0	0	0	42	11/15/2046	1FM
61763B-AQ-7	MORGAN STANLEY B MSBAM 2013-C13 A1 CMBS 1.116% 11/15/46		05/01/2016	Paydown		12,938	12,938	12,938	12,935	0	3	0	3	0	12,938	0	0	0	60	11/15/2046	1FM
61763B-AQ-7	MORGAN STANLEY B MSBAM 2013-C13 A1 CMBS 1.116% 11/15/46		06/01/2016	Paydown		11,758	11,758	11,758	11,755	0	3	0	3	0	11,758	0	0	0	66	11/15/2046	1FM
61763K-AW-4	MORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		04/01/2016	Paydown		31,055	31,055	31,054	31,046	0	9	0	9	0	31,055	0	0	0	136	04/15/2047	1FM
61763K-AW-4	MORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		05/01/2016	Paydown		34,545	34,545	34,544	34,535	0	10	0	10	0	34,545	0	0	0	189	04/15/2047	1FM
61763K-AW-4	MORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		06/01/2016	Paydown		31,346	31,346	31,345	31,337	0	9	0	9	0	31,346	0	0	0	206	04/15/2047	1FM
63940F-AA-5	Navient Student NAVSL 2016-2 A1 ABS Prv Plc 1.203% 06/25/65		06/27/2016	Paydown		150,899	150,899	150,899	0	0	0	0	0	0	150,899	0	0	0	409	06/25/2065	1FE
65477U-AB-6	NISSAN AUTO RECE NAROT 2015-A A2 ABS 0.670% 09/15/17		04/15/2016	Paydown		33,055	33,055	33,054	33,055	0	1	0	1	0	33,055	0	0	0	74	09/15/2017	1FE
65477U-AB-6	NISSAN AUTO RECE NAROT 2015-A A2 ABS 0.670% 09/15/17		05/15/2016	Paydown		30,488	30,488	30,486	30,487	0	1	0	1	0	30,488	0	0	0	85	09/15/2017	1FE
65477U-AB-6	NISSAN AUTO RECE NAROT 2015-A A2 ABS 0.670% 09/15/17		06/15/2016	Paydown		30,527	30,527	30,525	30,526	0	1	0	1	0	30,527	0	0	0	102	09/15/2017	1FE
74256L-AF-6	PRINCIPAL LFE II Corp Note Non Call Prv Plc 1.037% 05/27/16		05/27/2016	Maturity		600,000	600,000	602,871	600,574	0	(574)	0	(574)	0	600,000	0	0	0	2,692	05/27/2016	1FE
80282V-AF-9	SANTANDER DRIVE SDART 2012-2 D ABS 3.870% 02/15/18		04/15/2016	Paydown		149,978	149,978	157,512	150,407	0	(428)	0	(428)	0	149,978	0	0	0	1,935	02/15/2018	1FE
80282V-AF-9	SANTANDER DRIVE SDART 2012-2 D ABS 3.870% 02/15/18		05/15/2016	Paydown		124,673	124,673	130,935	125,029	0	(356)	0	(356)	0	124,673	0	0	0	2,010	02/15/2018	1FE
80282V-AF-9	SANTANDER DRIVE SDART 2012-2 D ABS 3.870% 02/15/18		06/15/2016	Paydown		113,978	113,978	119,703	114,303	0	(326)	0	(326)	0	113,978	0	0	0	2,205	02/15/2018	1FE
80283C-AE-3	Santander Drive Auto SDART 2012-6 C ABS 1.940% 03/15/18		04/15/2016	Paydown		37,926	37,926	37,921	37,925	0	1	0	1	0	37,926	0	0	0	245	03/15/2018	1FE
80283C-AE-3	Santander Drive Auto SDART 2012-6 C ABS 1.940% 03/15/18		05/15/2016	Paydown		33,488	33,488	33,483	33,487	0	1	0	1	0	33,488	0	0	0	271	03/15/2018	1FE
80283C-AE-3	Santander Drive Auto SDART 2012-6 C ABS 1.940% 03/15/18		06/15/2016	Paydown		32,069	32,069	32,065	32,069	0	1	0	1	0	32,069	0	0	0	311	03/15/2018	1FE
80284M-AB-6	Santander Drive SDART 2015-4 A2A ABS 1.200% 12/17/18		04/15/2016	Paydown		22,962	22,962	22,961	22,962	0	0	0	0	0	22,962	0	0	0	92	12/17/2018	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
80284M-AB-6	Santander Drive SDART 2015-4 A2A ABS 1.200% 12/17/18		05/15/2016	Paydown		21,216	21,216	21,216	21,216	0	0	0	0	0	21,216	0	0	0	106	12/17/2018	1FE
80284M-AB-6	Santander Drive SDART 2015-4 A2A ABS 1.200% 12/17/18		06/15/2016	Paydown		20,662	20,662	20,662	20,662	0	0	0	0	0	20,662	0	0	0	124	12/17/2018	1FE
80284Q-AB-7	Santander Drive SDART 2015-5 A2A ABS 1.120% 12/17/18		04/15/2016	Paydown		41,480	41,480	41,478	41,478	0	1	0	1	0	41,480	0	0	0	155	12/17/2018	1FE
80284Q-AB-7	Santander Drive SDART 2015-5 A2A ABS 1.120% 12/17/18		05/15/2016	Paydown		28,287	28,287	28,286	28,286	0	1	0	1	0	28,287	0	0	0	132	12/17/2018	1FE
80284Q-AB-7	Santander Drive SDART 2015-5 A2A ABS 1.120% 12/17/18		06/15/2016	Paydown		26,579	26,579	26,578	26,578	0	1	0	1	0	26,579	0	0	0	149	12/17/2018	1FE
826418-BD-6	Sierra Pac Power Corp Note MW 30BP PPut 6.000% 05/15/16		05/15/2016	Maturity		500,000	500,000	538,955	509,515	0	(9,515)	0	(9,515)	0	500,000	0	0	0	15,000	05/15/2016	1FE
845467-AE-9	SOUTHWESTERN ENE Corp Note MW 7.500% 02/01/18		05/20/2016	RBC Capital Markets		947,625	950,000	795,625	1,046,333	0	(290)	239,440	(239,730)	0	806,602	0	141,023	141,023	58,188	02/01/2018	4FE
89237C-AC-5	TOYOTA AUTO RECE TAOT 2015-B A2B ABS 0.652% 11/15/17		04/15/2016	Paydown		28,501	28,501	28,501	28,501	0	0	0	0	0	28,501	0	0	0	59	11/15/2017	1FE
89237C-AC-5	TOYOTA AUTO RECE TAOT 2015-B A2B ABS 0.652% 11/15/17		05/15/2016	Paydown		26,087	26,087	26,087	26,087	0	0	0	0	0	26,087	0	0	0	68	11/15/2017	1FE
89237C-AC-5	TOYOTA AUTO RECE TAOT 2015-B A2B ABS 0.652% 11/15/17		06/15/2016	Paydown		26,123	26,123	26,123	26,123	0	0	0	0	0	26,123	0	0	0	82	11/15/2017	1FE
90270Y-BC-2	UBS-BARCLAYS COM UBSBB 2013-C5 A1 CMBS 0.779% 03/10/46		04/01/2016	Paydown		30,706	30,706	30,706	30,701	0	5	0	5	0	30,706	0	0	0	80	03/10/2046	1FM
90270Y-BC-2	UBS-BARCLAYS COM UBSBB 2013-C5 A1 CMBS 0.779% 03/10/46		05/01/2016	Paydown		33,112	33,112	33,112	33,107	0	5	0	5	0	33,112	0	0	0	107	03/10/2046	1FM
90270Y-BC-2	UBS-BARCLAYS COM UBSBB 2013-C5 A1 CMBS 0.779% 03/10/46		06/01/2016	Paydown		30,946	30,946	30,946	30,941	0	5	0	5	0	30,946	0	0	0	120	03/10/2046	1FM
92867L-AD-4	VOLKSWAGEN AUTO VALET 2012-2 A4 ABS 0.660% 03/20/19		04/20/2016	Paydown		40,099	40,099	40,027	40,065	0	34	0	34	0	40,099	0	0	0	88	03/20/2019	1FE
92867L-AD-4	VOLKSWAGEN AUTO VALET 2012-2 A4 ABS 0.660% 03/20/19		05/20/2016	Paydown		45,512	45,512	45,431	45,473	0	39	0	39	0	45,512	0	0	0	125	03/20/2019	1FE
92867L-AD-4	VOLKSWAGEN AUTO VALET 2012-2 A4 ABS 0.660% 03/20/19		06/20/2016	Paydown		34,282	34,282	34,220	34,253	0	29	0	29	0	34,282	0	0	0	113	03/20/2019	1FE
92867M-AC-4	Volkswagen Auto VALET 2013-1 A3 ABS 0.560% 08/21/17		04/20/2016	Paydown		30,990	30,990	30,958	30,972	0	18	0	18	0	30,990	0	0	0	58	08/21/2017	1FE
92867M-AC-4	Volkswagen Auto VALET 2013-1 A3 ABS 0.560% 08/21/17		05/20/2016	Paydown		35,576	35,576	35,539	35,555	0	21	0	21	0	35,576	0	0	0	83	08/21/2017	1FE
92867M-AC-4	Volkswagen Auto VALET 2013-1 A3 ABS 0.560% 08/21/17		06/20/2016	Paydown		26,740	26,740	26,713	26,724	0	16	0	16	0	26,740	0	0	0	75	08/21/2017	1FE
92867Q-AD-3	VOLKSWAGEN AUTO VWALT 2014-A A3 ABS 0.800% 04/20/17		04/20/2016	Paydown		52,266	52,266	52,214	52,252	0	13	0	13	0	52,266	0	0	0	139	04/20/2017	1FE
92867Q-AD-3	VOLKSWAGEN AUTO VWALT 2014-A A3 ABS 0.800% 04/20/17		05/20/2016	Paydown		65,427	65,427	65,363	65,410	0	17	0	17	0	65,427	0	0	0	218	04/20/2017	1FE
92867Q-AD-3	VOLKSWAGEN AUTO VWALT 2014-A A3 ABS 0.800% 04/20/17		06/20/2016	Paydown		55,666	55,666	55,611	55,652	0	14	0	14	0	55,666	0	0	0	223	04/20/2017	1FE
92867V-AB-6	VOLKSWAGEN AUTO VWALT 2015-A A2A ABS 0.870% 06/20/17		04/20/2016	Paydown		22,731	22,731	22,731	22,731	0	0	0	0	0	22,731	0	0	0	66	06/20/2017	1FE
92867V-AB-6	VOLKSWAGEN AUTO VWALT 2015-A A2A ABS 0.870% 06/20/17		05/20/2016	Paydown		28,818	28,818	28,818	28,818	0	0	0	0	0	28,818	0	0	0	104	06/20/2017	1FE
92867V-AB-6	VOLKSWAGEN AUTO VWALT 2015-A A2A ABS 0.870% 06/20/17		06/20/2016	Paydown		24,373	24,373	24,373	24,373	0	0	0	0	0	24,373	0	0	0	106	06/20/2017	1FE
92937F-AA-9	WF-RBS Comm Mtg WFRBS 2013-C12 A1 CMBS 0.735% 03/15/48		04/01/2016	Paydown		20,849	20,849	20,848	20,844	0	4	0	4	0	20,849	0	0	0	45	03/15/2048	1FM
92937F-AA-9	WF-RBS Comm Mtg WFRBS 2013-C12 A1 CMBS 0.735% 03/15/48		05/01/2016	Paydown		22,534	22,534	22,533	22,529	0	5	0	5	0	22,534	0	0	0	62	03/15/2048	1FM
92937F-AA-9	WF-RBS Comm Mtg WFRBS 2013-C12 A1 CMBS 0.735% 03/15/48		06/01/2016	Paydown		21,019	21,019	21,019	21,015	0	5	0	5	0	21,019	0	0	0	71	03/15/2048	1FM
931142-DC-4	WAL-MART STORES Corp Note Non Call 2.800% 04/15/16		04/15/2016	Maturity		750,000	750,000	775,778	754,711	0	(4,711)	0	(4,711)	0	750,000	0	0	0	10,500	04/15/2016	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
98160Q-AB-8	WORLD OMNI AUTO WOART 2014-B A2A ABS 0.600% 01/16/18		04/15/2016	Paydown		51,104	51,104	51,052	51,092	0	12	0	12	0	51,104	0	0	0	102	01/16/2018	1FE
98160Q-AB-8	WORLD OMNI AUTO WOART 2014-B A2A ABS 0.600% 01/16/18		05/15/2016	Paydown		45,855	45,855	45,808	45,844	0	11	0	11	0	45,855	0	0	0	115	01/16/2018	1FE
98160Q-AB-8	WORLD OMNI AUTO WOART 2014-B A2A ABS 0.600% 01/16/18		06/15/2016	Paydown		47,623	47,623	47,575	47,612	0	11	0	11	0	47,623	0	0	0	143	01/16/2018	1FE
98160Y-AB-1	World Omni Auto WOART 2015-B A2A ABS 0.960% 07/15/19		05/15/2016	Paydown		28,560	28,560	28,557	28,558	0	2	0	2	0	28,560	0	0	0	114	07/15/2019	1FE
98160Y-AB-1	World Omni Auto WOART 2015-B A2A ABS 0.960% 07/15/19		06/15/2016	Paydown		43,188	43,188	43,184	43,184	0	4	0	4	0	43,188	0	0	0	207	07/15/2019	1FE
89352H-AJ-8	TRANS-CANADA PL Corp Note Non Call 1.311% 06/30/16	A	06/30/2016	Maturity		500,000	500,000	504,260	500,962	0	(962)	0	(962)	0	500,000	0	0	0	3,294	06/30/2016	1FE
00080Q-AE-5	ABN AMRO BANK NV Corp Note Non Call Prv Plc 1.090% 06/06/16	F	06/06/2016	Maturity		1,850,000	1,850,000	1,850,000	1,850,000	0	0	0	0	0	1,850,000	0	0	0	8,921	06/06/2016	1FE
44328M-AL-8	HSBC BANK PLC Corp Note Non Call Prv Plc 3.100% 05/24/16	F	05/24/2016	Maturity		700,000	700,000	717,941	706,685	0	(6,685)	0	(6,685)	0	700,000	0	0	0	10,850	05/24/2016	1FE
65557F-AE-6	NORDEA BANK AB Corp Note Non Call Prv Plc 0.875% 05/13/16	F	05/13/2016	Maturity		750,000	750,000	751,403	750,478	0	(478)	0	(478)	0	750,000	0	0	0	3,281	05/13/2016	1FE
767201-AS-5	RIO TINTO FINANC Corp Note Call MW 3.750% 06/15/25	F	05/05/2016	JP Morgan Chase		677,605	670,000	650,664	650,906	0	593	0	593	0	651,499	0	26,105	26,105	10,120	06/15/2025	2FE
853254-AG-5	STANDARD CHART Corp Note Non Call Prv Plc 3.200% 05/12/16	F	05/12/2016	Maturity		300,000	300,000	308,169	302,299	0	(2,299)	0	(2,299)	0	300,000	0	0	0	4,800	05/12/2016	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						26,572,120	26,118,362	26,308,512	24,848,769	0	(44,411)	239,440	(283,851)	0	26,074,505	0	497,614	497,614	368,074	XXX	XXX
8399997. Total - Bonds - Part 4						62,754,345	59,319,620	63,524,420	60,467,622	0	(366,132)	239,440	(605,572)	0	61,509,547	0	1,244,797	1,244,797	1,243,962	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						62,754,345	59,319,620	63,524,420	60,467,622	0	(366,132)	239,440	(605,572)	0	61,509,547	0	1,244,797	1,244,797	1,243,962	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						62,754,345	XXX	63,524,420	60,467,622	0	(366,132)	239,440	(605,572)	0	61,509,547	0	1,244,797	1,244,797	1,243,962	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Citizens National Bank of Albion ..... Albion, Illinios					(2,416,218)	313,273	337,497	XXX
JP Morgan Chase ..... Baton Rouge, Louisiana					6,595,737	5,363,205	5,638,660	XXX
Fifth Third Bank ..... Cincinnati, Ohio					2,998,437	2,970,432	2,605,011	XXX
PNC Bank ..... Indianapolis, Indiana					(9,948,614)	(10,337,053)	(8,020,030)	XXX
Optum Bank, Inc. ..... Salt Lake City, Utah		1.010	50		21,252	20,481	21,070	XXX
Wells Fargo Bank ..... Richmond, Virginia					4,524	1,118,273	0	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	50	0	(2,744,882)	(551,389)	582,208	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	50	0	(2,744,882)	(551,389)	582,208	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	50	0	(2,744,882)	(551,389)	582,208	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due and Accrued	8 Amount Received During Year
NONE							
8699999 - Total Cash Equivalents							