



# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019  
OF THE CONDITION AND AFFAIRS OF THE

## Harmony Health Plan, Inc.

NAIC Group Code 01199 , 01199 NAIC Company Code 11229 Employer's ID Number 36-4050495  
(Current Period) (Prior Period)

Organized under the Laws of Illinois , State of Domicile or Port of Entry Illinois  
Country of Domicile United States

Licensed as business type: Life, Accident & Health [ ] Property/Casualty [ ] Hospital, Medical & Dental Service or Indemnity [ ]  
Dental Service Corporation [ ] Vision Service Corporation [ ] Health Maintenance Organization [ X ]  
Other [ ] Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 08/18/1995 Commenced Business 07/01/1996

Statutory Home Office 300 Riverside, Suite 500 , Chicago, IL, US 60606  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 8735 Henderson Road Tampa, FL, US 33634 813-206-6200  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 31391 Tampa, FL, US 33631-3391  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 8735 Henderson Road Tampa, FL, US 33634 813-206-6200  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.wellcare.com

Statutory Statement Contact Michael Wasik 813-206-2725  
(Name) (Area Code) (Telephone Number) (Extension)  
michael.wasik@wellcare.com 813-675-2899  
(E-Mail Address) (FAX Number)

### OFFICERS

Name	Title	Name	Title
<u>Richard Charles Fisher #</u>	<u>Interim President and CFO</u>	<u>Michael Troy Meyer</u>	<u>Assistant Treasurer, VP and Corporate Controller</u>
<u>Michael Warren Haber</u>	<u>Secretary and Vice President</u>	<u>Tammy Lynn Meyer</u>	<u>Assistant Secretary and Vice President</u>

### OTHER OFFICERS

<u>Goran Jankovic</u>	<u>Treasurer and Vice President</u>		
-----------------------	-------------------------------------	--	--

### DIRECTORS OR TRUSTEES

<u>Michael Troy Meyer</u>	<u>Paul Hubert Frank</u>	<u>Andrew Lynn Asher</u>	<u>Patrick Albert Burke</u>
<u>Olumide Adetokunbo Idowu</u>			

State of Florida

County of Hillsborough

ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Richard Charles Fisher  
Interim President and CFO

Michael Troy Meyer  
Assistant Treasurer, VP and Corporate Controller

Michael Warren Haber  
Secretary and Vice President

a. Is this an original filing? Yes [ X ] No [ ]

b. If no:

1. State the amendment number \_\_\_\_\_
2. Date filed \_\_\_\_\_
3. Number of pages attached \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_,

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	177,220,913		177,220,913	5,694,640
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....			0	0
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ .....42,792,598 ), cash equivalents (\$ .....90,225,755 ) and short-term investments (\$ .....2,926,475 ) .....	135,944,828		135,944,828	384,893,773
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....	0		0	0
8. Other invested assets .....	0		0	0
9. Receivables for securities .....	169,443,986		169,443,986	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	482,609,727	0	482,609,727	390,588,412
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	312,662		312,662	723,474
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	37,844,445		37,844,445	165,256,816
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....	2,880,934		2,880,934	112,756
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....	2,361,265		2,361,265	1,381,740
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	3,916,677		3,916,677	3,197,621
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	2,019,000		2,019,000	854,207
24. Health care (\$ .....21,230,715 ) and other amounts receivable .....	29,885,052	1,524,329	28,360,723	33,070,897
25. Aggregate write-ins for other-than-invested assets .....	2,445,538	2,445,538	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	564,275,300	3,969,867	560,305,433	595,185,923
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	564,275,300	3,969,867	560,305,433	595,185,923
<b>DETAILS OF WRITE-INS</b>				
1101. ....			0	0
1102. ....			0	0
1103. ....			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) .....	0	0	0	0
2501. Other non-admitted assets (prepaids) .....	2,445,538	2,445,538	0	0
2502. ....			0	0
2503. ....			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	2,445,538	2,445,538	0	0

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ ..... reinsurance ceded).....	165,433,174		165,433,174	288,736,174
2. Accrued medical incentive pool and bonus amounts .....	14,009,681		14,009,681	11,743,220
3. Unpaid claims adjustment expenses .....	1,240,020		1,240,020	2,288,422
4. Aggregate health policy reserves including the liability of \$ ..... for medical loss ratio rebate per the Public Health Service Act.....	9,367,566		9,367,566	36,333,811
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	0
7. Aggregate health claim reserves .....			0	0
8. Premiums received in advance .....			0	0
9. General expenses due or accrued .....	35,271,935		35,271,935	17,135,428
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....	7,033,346		7,033,346	1,662,785
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable .....			0	0
12. Amounts withheld or retained for the account of others .....			0	0
13. Remittances and items not allocated .....			0	0
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	0
15. Amounts due to parent, subsidiaries and affiliates .....			0	205
16. Derivatives.....			0	0
17. Payable for securities .....	118,186,163		118,186,163	0
18. Payable for securities lending .....			0	0
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers) .....			0	0
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	0
22. Liability for amounts held under uninsured plans .....	32,184,456		32,184,456	33,050,361
23. Aggregate write-ins for other liabilities (including \$ ..... current) .....	1,228,544	0	1,228,544	1,062,539
24. Total liabilities (Lines 1 to 23).....	383,954,885	0	383,954,885	392,012,945
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	13,110,000	0
26. Common capital stock .....	XXX	XXX	600,000	600,000
27. Preferred capital stock .....	XXX	XXX		0
28. Gross paid in and contributed surplus .....	XXX	XXX	128,222,710	167,560,710
29. Surplus notes .....	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	34,417,838	35,012,268
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		0
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	176,350,548	203,172,978
34. Total liabilities, capital and surplus (Lines 24 and 33) .....	XXX	XXX	560,305,433	595,185,923
<b>DETAILS OF WRITE-INS</b>				
2301. Unclaimed property payable.....	1,228,544		1,228,544	1,062,539
2302. ....			0	0
2303. ....			0	0
2398. Summary of remaining write-ins for Line 23 from overflow page .....	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above) .....	1,228,544	0	1,228,544	1,062,539
2501. Estimated ACA Industry Fee (following year).....	XXX	XXX	13,110,000	0
2502. ....	XXX	XXX		0
2503. ....	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	XXX	XXX	13,110,000	0
3001. ....	XXX	XXX		0
3002. ....	XXX	XXX		0
3003. ....	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above) .....	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	735,539	2,698,680	3,652,216
2. Net premium income (including \$ non-health premium income).....	XXX	768,050,804	1,224,284,260	1,670,966,214
3. Change in unearned premium reserves and reserve for rate credits .....	XXX	(2,413,700)	(17,880,329)	(18,426,484)
4. Fee-for-service (net of \$ medical expenses) .....	XXX		0	0
5. Risk revenue .....	XXX		0	0
6. Aggregate write-ins for other health care related revenues .....	XXX	2,765	1,187,048	1,562,999
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	765,639,869	1,207,590,979	1,654,102,729
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....		418,249,806	784,502,064	1,067,186,466
10. Other professional services .....		15,007,935	18,505,099	26,415,466
11. Outside referrals .....			0	0
12. Emergency room and out-of-area .....		32,341,354	60,620,340	84,005,147
13. Prescription drugs .....		35,888,180	112,525,647	151,228,518
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....		24,132,189	15,131,457	20,826,292
16. Subtotal (Lines 9 to 15) .....	0	525,619,464	991,284,607	1,349,661,889
<b>Less:</b>				
17. Net reinsurance recoveries .....			0	0
18. Total hospital and medical (Lines 16 minus 17) .....	0	525,619,464	991,284,607	1,349,661,889
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ 3,350,824 cost containment expenses.....		6,770,187	14,514,970	22,249,367
21. General administrative expenses.....		102,239,697	169,722,402	228,243,043
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			(39,701,280)	(45,579,968)
23. Total underwriting deductions (Lines 18 through 22) .....	0	634,629,348	1,135,820,699	1,554,574,331
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	131,010,521	71,770,280	99,528,398
25. Net investment income earned .....		6,760,575	4,721,880	7,184,605
26. Net realized capital gains (losses) less capital gains tax of \$ .....		1,049,898	0	0
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	7,810,473	4,721,880	7,184,605
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ) (amount charged off \$ )] .....			0	0
29. Aggregate write-ins for other income or expenses .....	0	0	(732,252)	(100,000)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	138,820,994	75,759,908	106,613,003
31. Federal and foreign income taxes incurred .....	XXX	29,467,612	12,645,631	17,814,402
32. Net income (loss) (Lines 30 minus 31) .....	XXX	109,353,382	63,114,277	88,798,601
<b>DETAILS OF WRITE-INS</b>				
0601. Other income.....	XXX	2,765	1,187,048	1,562,999
0602. ....	XXX		0	0
0603. ....	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above) .....	XXX	2,765	1,187,048	1,562,999
0701. ....	XXX		0	0
0702. ....	XXX		0	0
0703. ....	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above) .....	XXX	0	0	0
1401. ....			0	0
1402. ....			0	0
1403. ....			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above) .....	0	0	0	0
2901. Fines and penalties.....			(732,252)	(100,000)
2902. ....			0	0
2903. ....			0	0
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) .....	0	0	(732,252)	(100,000)

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	203,172,978	133,828,822	133,828,822
34. Net income or (loss) from Line 32 .....	109,353,382	63,114,277	88,798,601
35. Change in valuation basis of aggregate policy and claim reserves .....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	(303)	(101)	(1,709)
37. Change in net unrealized foreign exchange capital gain or (loss) .....	0	0	0
38. Change in net deferred income tax .....	719,056	(7,462,369)	(8,324,422)
39. Change in nonadmitted assets .....	(1,894,565)	(2,949,408)	(1,128,314)
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles .....	0	0	0
44. Capital Changes:			
44.1 Paid in .....	0	0	0
44.2 Transferred from surplus (Stock Dividend) .....	0	0	0
44.3 Transferred to surplus .....	0	0	0
45. Surplus adjustments:			
45.1 Paid in .....	(39,338,000)	40,000,000	40,000,000
45.2 Transferred to capital (Stock Dividend) .....	0	0	0
45.3 Transferred from capital .....	0	0	0
46. Dividends to stockholders .....	(95,662,000)	0	(50,000,000)
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital and surplus (Lines 34 to 47) .....	(26,822,430)	92,702,399	69,344,156
49. Capital and surplus end of reporting period (Line 33 plus 48)	176,350,548	226,531,221	203,172,978
<b>DETAILS OF WRITE-INS</b>			
4701. ....	0	0	0
4702. ....	0	0	0
4703. ....	0	0	0
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0	0

## CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	863,315,051	1,233,889,014	1,591,221,578
2. Net investment income .....	7,160,393	4,093,723	6,713,175
3. Miscellaneous income .....	2,765	1,187,049	1,562,999
4. Total (Lines 1 to 3) .....	870,478,209	1,239,169,786	1,599,497,752
5. Benefit and loss related payments .....	641,962,773	914,705,764	1,257,591,413
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....		0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	93,767,212	179,159,533	222,527,293
8. Dividends paid to policyholders .....		0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses).....	24,097,050	10,589,245	3,575,178
10. Total (Lines 5 through 9) .....	759,827,035	1,104,454,542	1,483,693,884
11. Net cash from operations (Line 4 minus Line 10) .....	110,651,174	134,715,244	115,803,868
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	609,568,471	0	1,425,000
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	609,568,471	0	1,425,000
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	780,033,851	1,795,998	3,459,409
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	51,257,823	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	831,291,674	1,795,998	3,459,409
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(221,723,202)	(1,795,998)	(2,034,409)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	(39,338,000)	40,000,000	40,000,000
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	95,662,000	0	50,000,000
16.6 Other cash provided (applied).....	(2,876,916)	(11,488,373)	(3,178,640)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(137,876,916)	28,511,627	(13,178,640)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(248,948,944)	161,430,873	100,590,819
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	384,893,773	284,302,954	284,302,954
19.2 End of period (Line 18 plus Line 19.1) .....	135,944,828	445,733,826	384,893,773

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year .....	308,776	.0	.0	.0	.0	.0	.0	86,667	222,109	.0
2. First Quarter .....	81,753	.0	.0	.0	.0	.0	.0	81,753	.0	.0
3. Second Quarter .....	81,521	.0	.0	.0	.0	.0	.0	81,521	.0	.0
4. Third Quarter .....	81,373							81,373		
5. Current Year	0									
6. Current Year Member Months	735,506							735,506		
Total Member Ambulatory Encounters for Period:										
7. Physician .....	736,706							736,706		
8. Non-Physician .....	344,910							344,910		
9. Total	1,081,616	0	0	0	0	0	0	1,081,616	0	0
10. Hospital Patient Days Incurred	151,882							151,873	9	
11. Number of Inpatient Admissions	24,197							24,188	9	
12. Health Premiums Written (a).....	768,080,210							764,649,967	3,418,283	11,960
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written .....	.0									
15. Health Premiums Earned .....	765,666,510							762,236,267	3,418,283	11,960
16. Property/Casualty Premiums Earned .....	.0									
17. Amount Paid for Provision of Health Care Services .....	642,667,437							561,930,834	81,221,215	(484,612)
18. Amount Incurred for Provision of Health Care Services	525,619,464							581,522,420	(55,335,180)	(567,776)

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 764,661,927



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**UNDERWRITING AND INVESTMENT EXHIBIT**  
**ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE**

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec. 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....					.0	.0
2. Medicare Supplement .....					.0	.0
3. Dental only .....					.0	.0
4. Vision only .....					.0	.0
5. Federal Employees Health Benefits Plan .....					.0	.0
6. Title XVIII - Medicare .....	79,961,302	486,809,076	18,955,775	103,940,239	98,917,077	109,459,030
7. Title XIX - Medicaid .....	80,170,158	1,089,395	41,894,921	290,292	122,065,079	178,842,033
8. Other health .....	229,026	(713,637)	221,833	130,114	450,859	435,111
9. Health subtotal (Lines 1 to 8).....	160,360,486	487,184,834	61,072,529	104,360,645	221,433,015	288,736,174
10. Health care receivables (a) .....	720,388	22,034,656			720,388	.0
11. Other non-health .....					.0	.0
12. Medical incentive pools and bonus amounts .....	7,518,749	14,346,978	4,314,501	9,695,180	11,833,250	11,743,220
13. Totals (Lines 9-10+11+12)	167,158,847	479,497,156	65,387,030	114,055,825	232,545,877	300,479,394

(a) Excludes \$ ..... loans or advances to providers not yet expensed.

**STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.**  
**NOTES TO FINANCIAL STATEMENT**

**1. Summary of Significant Accounting Policies and Going Concern**

A. Accounting Practices

The financial statements of Harmony Health Plan, Inc. (the “Company”), domiciled in the state of Illinois, are presented on the basis of accounting practices prescribed or permitted by the Insurance Department of Illinois (the “Department”).

The Department recognizes only statutory accounting practices prescribed or permitted by the state of Illinois for determining and reporting the financial condition, results of operations, and cash flows of an insurance company for determining its solvency under Illinois insurance law. The National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures manual, (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the state of Illinois.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Illinois is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
NET INCOME					
1 Company state basis (Page 4, Line 32, Columns 2&3)	xxx	xxx	xxx	\$ 109,353,382	\$ 88,798,601
2 State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:					
None	—	—	—	—	—
3 State Permitted Practices that are an increase/ (decrease) from NAIC SAP:					
None	—	—	—	—	—
4 NAIC SAP (1-2-3=4)	xxx	xxx	xxx	<u>\$ 109,353,382</u>	<u>\$ 88,798,601</u>
SURPLUS					
5 Company state basis (Page 3, Line 33, Columns 3&4)	xxx	xxx	xxx	\$ 176,350,548	\$ 203,172,978
6 State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:					
None	—	—	—	—	—
7 State Permitted Practices that are an increase/ (decrease) from NAIC SAP:					
None	—	—	—	—	—
8 NAIC SAP (5-6-7=8)	xxx	xxx	xxx	<u>\$ 176,350,548</u>	<u>\$ 203,172,978</u>

B. Uses of Estimates in the Preparation of the Financial Statements - No significant change.

C. Accounting Policy - No significant change.

D. Going Concern - None

**2. Accounting Changes and Corrections of Errors**

None

**3. Business Combinations and Goodwill**

None

**4. Discontinued Operations**

None

**5. Investments**

A. Mortgage Loans, including Mezzanine Real Estate Loans - None

B. Debt Restructuring - None

C. Reverse Mortgages - None

D. Loan-Backed Securities - None

1., 2., 3. - Not applicable.

4. All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:

1. Less than 12 Months	\$	(145,002)
2. 12 Months or Longer	\$	—

**STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.**  
**NOTES TO FINANCIAL STATEMENT**

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$	30,493,857
2. 12 Months or Longer	\$	—

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - None
- F. Repurchase Agreement Transactions Accounted for as Secured Borrowing - None
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- H. Repurchase Agreements Transactions Accounted for as a Sale - None
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - None
- J. Real Estate - None
- K. Low-Income Housing Tax Credits (LIHTC) - None
- L. Restricted Assets (Including Pledged):
  - 1. No significant change.
  - 2. - 4. None
- M. Working Capital Finance Investments - None
- N. Offsetting and Netting of Assets and Liabilities - None
- O. Structured Notes - None
- P. 5\* GI Securities - None
- Q. Short Sales - None
- R. Prepayment Penalty and Acceleration Fees - None

**6. Joint Ventures, Partnerships and Limited Liability Companies**

None

**7. Investment Income**

No significant change.

**8. Derivative Instruments**

None

**9. Income Taxes**

No significant change.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

*Dividends*

On March 28, 2019, the Company paid a \$50,000,000 in extraordinary cash dividend to the indirect parent company, The WellCare Management Group, Inc. (“WCMG”). On June 28, 2019, the Company paid \$85,000,000 in extraordinary cash dividend to WCMG. The Company's parent company, Harmony Health Systems, Inc. does not maintain an active bank account so the dividends were paid directly to WCMG.

*Comprehensive Health Management Inc. (“CHMI”)*

In 2019, the Company's agreement with CHMI was amended. The indirect cost charge for Medicare gross premium was revised from 7.2% in 2018 to 8.6% in 2019, with all changes being retroactive to January 1, 2019. The agreement was approved by the Department on August 5, 2019. The indirect charge for Medicaid gross premium remains at 11.8% for both 2019 and 2018.

During Q2 2019, the Company's 2018 management fee true-up was calculated and recorded. The true-up resulted in a \$5,790,524 increase in management fees charged to the Company based on actual cost of services provided during 2018.

**11. Debt**

- A. Debt - None
- B. Federal Home Loan Bank Agreements - None

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

None

**13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant change.

**STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.**  
**NOTES TO FINANCIAL STATEMENT**

**14. Liabilities, Contingencies and Assessments**

A. Contingent Commitments - None

B. Assessments - None

C. Gain Contingencies - None

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming From Lawsuits - None

E. Joint and Several Liabilities - None

F. All Other Contingencies - The Company's ultimate parent, WellCare, is a party to a number of legal actions and regulatory investigations. These matters do not directly involve the Company and management does not expect the matters to have an affect on the Company's financial position.

**15. Leases**

None

**16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

None

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

A. Transfers of Receivables Reported as Sales - None

B. Transfer and Servicing of Financial Assets - None

C. Wash Sales - None

**18. Gain or Loss to the Reporting Entity From Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

A. ASO Plans - None

B. ASC Plans - None

C. Medicare or Similarly Structured Cost Based Reimbursement Contract

1. None

2. No significant change.

3. None

4. None

**19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

None

**20. Fair Value Measurements**

A. Assets that are measured at fair value on a recurring basis subsequent to initial recognition

1. Fair Value Measurements Reporting Date:

**STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.**  
**NOTES TO FINANCIAL STATEMENT**

Description of each class of asset or liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
<u>Cash Equivalents</u>					
Exempt Money Market Funds	\$ —	\$ —	\$ —	\$ —	\$ —
Other Money Market Funds	90,225,755	—	—	—	90,225,755
Total Cash Equivalents	\$ 90,225,755	\$ —	\$ —	\$ —	\$ 90,225,755
<u>Perpetual Preferred Stock</u>					
Industrial & Misc	\$ —	\$ —	\$ —	\$ —	\$ —
Parent, Subsidiaries and Affiliates	—	—	—	—	—
Total Perpetual Preferred Stocks	\$ —	\$ —	\$ —	\$ —	\$ —
<u>Bonds</u>					
U.S. Government	\$ —	\$ —	\$ —	\$ —	\$ —
Industrial & Misc.	—	—	—	—	—
Exchange Traded Fund	73,871,583	—	—	—	73,871,583
Hybrid Securities	—	—	—	—	—
Parent, Subsidiaries and Affiliates	—	—	—	—	—
Total Bonds	\$ 73,871,583	\$ —	\$ —	\$ —	\$ 73,871,583
<u>Common Stock</u>					
Industrial & Misc.	\$ —	\$ —	\$ —	\$ —	\$ —
Parent, Subsidiaries and Affiliates	—	—	—	—	—
Total Common Stock	\$ —	\$ —	\$ —	\$ —	\$ —
<u>Derivatives Assets</u>					
Interest rate contracts	\$ —	\$ —	\$ —	\$ —	\$ —
Foreign exchange contracts	—	—	—	—	—
Credit contracts	—	—	—	—	—
Commodity futures contracts	—	—	—	—	—
Commodity forward contracts	—	—	—	—	—
Total Derivatives	\$ —	\$ —	\$ —	\$ —	\$ —
<u>Separate account assets</u>					
Total assets at fair value	\$ 164,097,338	\$ —	\$ —	\$ —	\$ 164,097,338
b. Liabilities at fair value					
Total liabilities at fair value	\$ —	\$ —	\$ —	\$ —	\$ —

B. None

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<u>Bonds</u>							
US Government	\$ 32,875,068	\$ 32,823,636	\$ 3,497,396	\$ 29,377,672	\$ —	\$ —	\$ —
U.S. States, territories & possessions	—	—	—	—	—	—	—
Political subdivision of states, territories & possessions	—	—	—	—	—	—	—
U.S. Special revenue & special assessment, non-guaranteed agencies & government	70,079,366	70,043,835	—	70,079,366	—	—	—
Exchange Traded Fund	73,871,583	73,871,583	73,871,583	—	—	—	—
Hybrid Securities	—	—	—	—	—	—	—
Industrial & miscellaneous	480,321	481,859	—	480,321	—	—	—
Total Bonds	177,306,338	177,220,913	77,368,979	99,937,359	—	—	—
Short Term Investments	2,928,363	2,926,475	2,928,363	—	—	—	—
Total Bonds and Short Term Investments	\$ 180,234,701	\$ 180,147,388	\$ 80,297,342	\$ 99,937,359	\$ —	\$ —	\$ —

D. None

**21. Other Items**

A. Extraordinary Items - None

B. Troubled Debt Restructuring - None

C. Other Disclosures and Unusual Items - On March 26, 2019, WellCare Health Plans, Inc. entered into an Agreement and Plan of Merger with Centene Corporation. On June 24, 2019, stockholders of both companies approved all proposals of the pending transaction. Completion of the transaction remains subject to the receipt of U.S. federal antitrust clearance and certain other required regulatory

**STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.**  
**NOTES TO FINANCIAL STATEMENT**

approvals. The transaction is expected to close in the first half of 2020. Currently, management does not know what, if any, effect the transaction will have on the Company.

*Medicaid Contract*

In Q3 2018, as a result of WellCare Health Plan Inc.'s acquisition of Meridian (inclusive of Meridian Health Plans of Illinois, Inc. ("Meridian IL")), management has an agreement with the IDHFS to terminate the Company's IL Medicaid contract on a date mutually agreeable and, upon termination, IDHFS will transfer these members onto the Meridian IL Medicaid contract. During the same quarter, management notified IDHFS that it intended to terminate the Company's Medicaid contract, effective December 31, 2018 at 11:59 PM EST such that the members will transfer to the Meridian IL Medicaid contract on January 1, 2019 ("integration date"). On the integration date, new blended premium capitation rates will be assigned to the Meridian IL contract. This transaction was completed as agreed upon with IDHFS and, accordingly, effective January 1, 2019, the Company no longer provides services to the Illinois Medicaid program.

- D. Business Interruption Insurance Recoveries - None
- E. State Transferable and Non-Transferable Tax Credits - None
- F. Subprime Mortgage Related Risk Exposure - None
- G. Retained Assets - None
- H. Insurance-Linked Securities (ILS) Contracts - None

**22. Events Subsequent**

There were no events occurring subsequent to September 30, 2019, requiring disclosure. Subsequent events have been considered through September 30, 2019, for the Statutory statement issued on November 11, 2019.

**23. Reinsurance**

No significant change.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

- A. No significant change.
- B. No significant change.
- C. No significant change.
- D. Not applicable.
- E. Risk-Sharing Provisions of the Affordable Care Act (ACA) - Not applicable.

**25. Change in Incurred Claims and Claim Adjustment Expenses**

A. The estimated cost of claims expense attributable to insured events of the prior year decreased by \$67,933,517 during 2019. This is approximately 22.6% of unpaid claims expenses of \$300,479,394 as of December 31, 2018. Excluding the prior period development related to the release of the provision for moderately adverse conditions, medical benefits expense for the period ending September 30, 2019, was affected by approximately \$49,950,194 of net favorable development related to prior years. Such amounts are net of the development relating to refunds due to government customers with minimum loss ratio provisions.

B. None

**26. Intercompany Pooling Arrangements**

None

**27. Structured Settlements**

None

**28. Health Care Receivables**

No significant change.

**29. Participating Policies**

None

**30. Premium Deficiency Reserves**

None

**31. Anticipated Salvage and Subrogation**

None

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....0001279363
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [X] No [ ] NA [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ....12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....05/23/2019
- 6.4 By what department or departments?  
Illinois Department of Insurance.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] NA [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] NA [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]
- 7.2 If yes, give full information:  
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes [ ] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
.....

9.2 Has the code of ethics for senior managers been amended? ..... Yes [X] No [ ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
Minor revisions and clarifications of existing provisions were adopted by parent company board of directors on 5/23/19, as summarized in the Code of Conduct and Business Ethics. The subsidiary board of directors approved the changes on 8/26/2019....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$ .....0

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:  
.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....\$ .....0

13. Amount of real estate and mortgages held in short-term investments: .....\$ .....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ ] No [X]

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....0	\$ .....
14.22 Preferred Stock .....	\$ .....0	\$ .....
14.23 Common Stock .....	\$ .....0	\$ .....
14.24 Short-Term Investments .....	\$ .....0	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ .....0	\$ .....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ]

If no, attach a description with this statement.

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0

16.3 Total payable for securities lending reported on the liability page .....\$ .....0

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity’s offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank.....	PHILADELPHIA, PA.....
Oppenheimer.....	NEW YORK, NY.....
Bank of America.....	CHARLOTTE, NC.....
Suntrust.....	ORLANDO, FL.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? .....

Yes  No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such: [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation
WellCare Treasury Department.....	I.....
SunTrust.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s assets?

Yes  No

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s assets?

Yes  No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
N/A.....	WellCare Treasury Department..	N/A.....	N/A.....	DS.....
N/A.....	SunTrust.....	7E1PDLW1JL6TS0BS1G03.....	State Securities Authority.....	NO.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? .....

Yes  No

18.2 If no, list exceptions:

.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or
- a. PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?.....

Yes  No

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is
- c. shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?.....

Yes  No

General Interrogatory 5 - Please see Footnote 10

## GENERAL INTERROGATORIES

### PART 2 - HEALTH

- |  |                |
|--|----------------|
| 1. Operating Percentages:  |                |
| 1.1 A&H loss percent.....  | 69.1 %         |
| 1.2 A&H cost containment percent .....   | 0.4 %          |
| 1.3 A&H expense percent excluding cost containment expenses.....   | 13.8 %         |
| 2.1 Do you act as a custodian for health savings accounts?.....  | Yes [ ] No [X] |
| 2.2 If yes, please provide the amount of custodial funds held as of the reporting date.....  | \$ _____       |
| 2.3 Do you act as an administrator for health savings accounts?.....   | Yes [ ] No [X] |
| 2.4 If yes, please provide the balance of the funds administered as of the reporting date.....   | \$ _____       |
| 3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....   | Yes [X] No [ ] |
| 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?..... | Yes [ ] No [ ] |

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>NONE</b>								

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

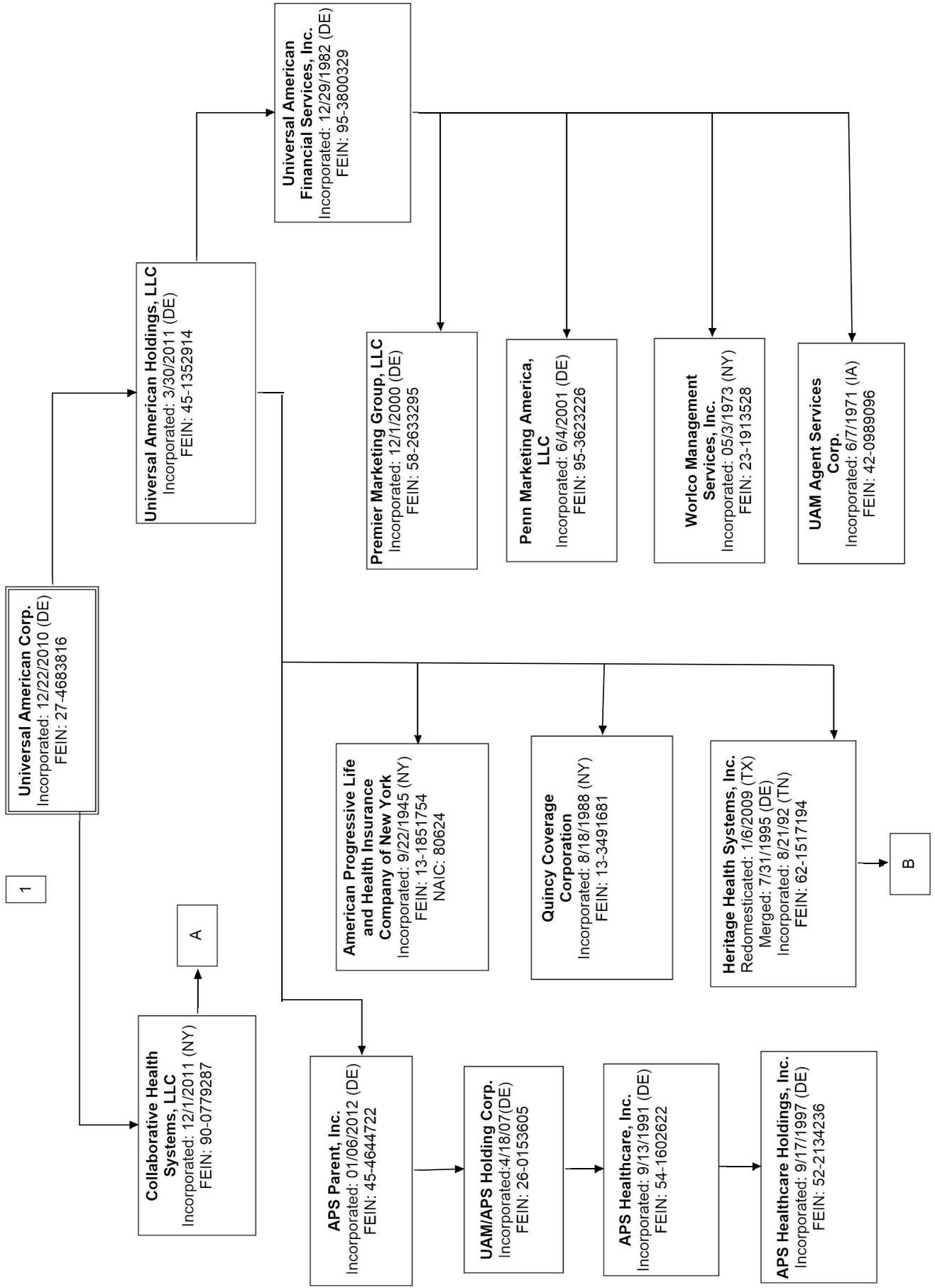
Current Year to Date - Allocated by States and Territories

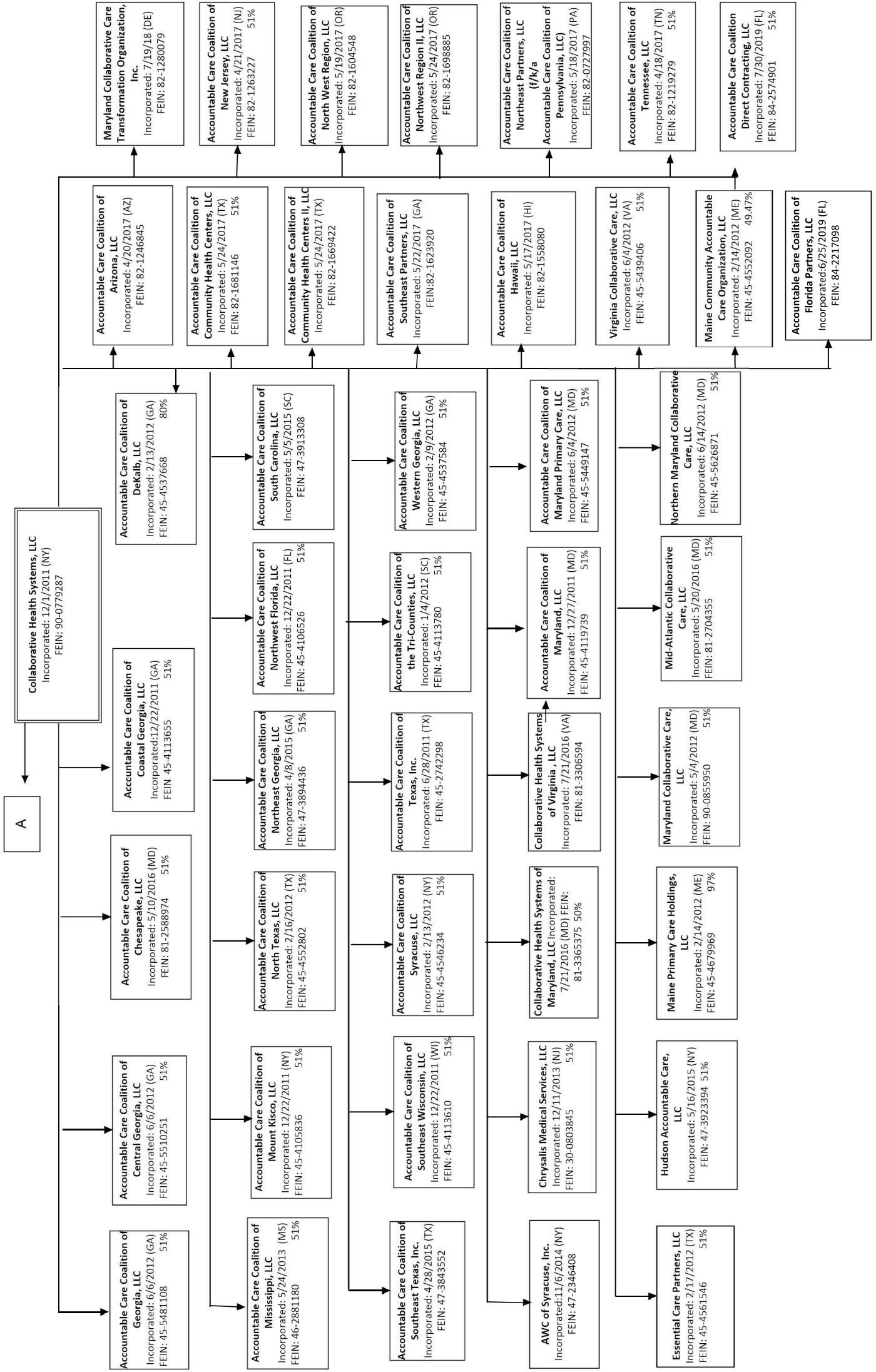
States, Etc.	1 Active Status (a)	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama	AL	L	4,216						4,216	
2. Alaska	AK	N							0	
3. Arizona	AZ	N							0	
4. Arkansas	AR	L	313	162,511,936					162,512,249	
5. California	CA	N							0	
6. Colorado	CO	N							0	
7. Connecticut	CT	N							0	
8. Delaware	DE	N							0	
9. Dist. Columbia	DC	N							0	
10. Florida	FL	N							0	
11. Georgia	GA	N							0	
12. Hawaii	HI	N							0	
13. Idaho	ID	L							0	
14. Illinois	IL	L		173,490,010	3,418,283				176,908,293	
15. Indiana	IN	L							0	
16. Iowa	IA	N							0	
17. Kansas	KS	N							0	
18. Kentucky	KY	N							0	
19. Louisiana	LA	N							0	
20. Maine	ME	N							0	
21. Maryland	MD	N							0	
22. Massachusetts	MA	N							0	
23. Michigan	MI	N							0	
24. Minnesota	MN	N							0	
25. Mississippi	MS	L	1,862	252,638,452					252,640,314	
26. Missouri	MO	L	161						161	
27. Montana	MT	L							0	
28. Nebraska	NE	N							0	
29. Nevada	NV	N							0	
30. New Hampshire	NH	N							0	
31. New Jersey	NJ	N							0	
32. New Mexico	NM	N							0	
33. New York	NY	N							0	
34. North Carolina	NC	N							0	
35. North Dakota	ND	N							0	
36. Ohio	OH	N							0	
37. Oklahoma	OK	L							0	
38. Oregon	OR	N							0	
39. Pennsylvania	PA	N							0	
40. Rhode Island	RI	N							0	
41. South Carolina	SC	L	427	84,000,304					84,000,731	
42. South Dakota	SD	N							0	
43. Tennessee	TN	L	4,535	92,009,265					92,013,800	
44. Texas	TX	N							0	
45. Utah	UT	N							0	
46. Vermont	VT	N							0	
47. Virginia	VA	L	447						447	
48. Washington	WA	N							0	
49. West Virginia	WV	N							0	
50. Wisconsin	WI	N							0	
51. Wyoming	WY	N							0	
52. American Samoa	AS	N							0	
53. Guam	GU	N							0	
54. Puerto Rico	PR	N							0	
55. U.S. Virgin Islands	VI	N							0	
56. Northern Mariana Islands	MP	N							0	
57. Canada	CAN	N							0	
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX		11,961	764,649,967	3,418,283	0	0	0	768,080,211	0
60. Reporting entity contributions for Employee Benefit Plans	XXX								0	
61. Total (Direct Business)	XXX		11,961	764,649,967	3,418,283	0	0	0	768,080,211	0
<b>DETAILS OF WRITE-INS</b>										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX		0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX		0	0	0	0	0	0	0	0

(a) Active Status Counts

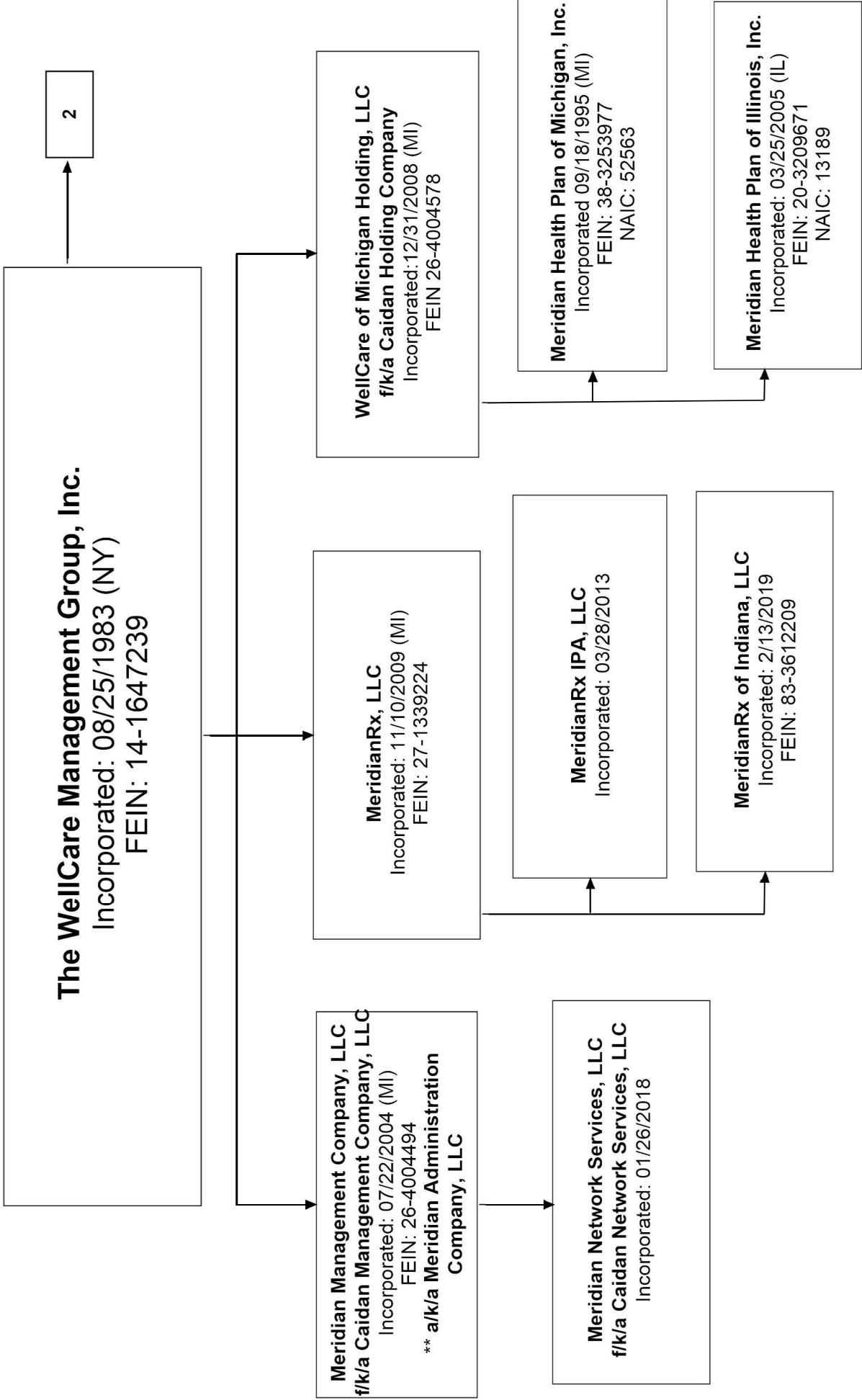
L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG .....12 R – Registered – Non-domiciled RRGs ..... 0  
 E – Eligible – Reporting entities eligible or approved to write surplus lines in the state ..... 0 Q – Qualified – Qualified or accredited reinsurer ..... 0  
 N – None of the above – Not allowed to write business in the state .....45











\*\* a/k/a Meridian Administration Company, LLC in these jurisdictions: AR, FL, IL, IN, NY, ND, OH, OR, TN, TX, VA, WA

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
01199	WellCare Health Plans Inc	95310	06-1405640				WellCare of Connecticut Inc	CT	IA	WellCare of New York, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	95081	59-2583622				WellCare of Florida Inc	FL	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	59-3547616				Comprehensive Health Management Inc	FL	NIA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	14-1647239				The WellCare Management Group, Inc	NY	UIP	WCG Health Management, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	95534	14-1676443				WellCare of New York Inc	NY	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	20-3320236				Harmony Behavioral Health Inc	FL	NIA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	11229	36-4050495				Harmony Health Plan Inc	IL	IA	Harmony Health Systems, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	22-3391045				Harmony Health Systems Inc	IL	UDP	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	36-4467676				Harmony Health Management Inc	IL	NIA	Harmony Health Systems, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	47-0937650		0001279363	NYSE	WellCare Health Plans Inc	FL	UIP	Shareholders	Ownership	0.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	04-3669698				WCG Health Management Inc	FL	UIP	WellCare Health Plans, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	10760	20-2103320				WellCare of Georgia Inc	GA	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	98-0448921				Comprehensive Reinsurance Ltd	CYM	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	10155	20-2383134				WellCare Prescription Insurance Inc	FL	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	12749	20-3562146				WellCare of Ohio Inc	OH	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	20-3262322				Harmony Behavioral Health IPA Inc	NY	NIA	Harmony Behavioral Health, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	20-4869374				WellCare Pharmacy Benefits Management In	DE	NIA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	83445	86-0269558				WellCare Health Insurance of Arizona Inc	AZ	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	64467	36-6069295				WellCare Health Insurance Company of Kentucky Inc	KY	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	10884	11-3197523				WellCare Health Insurance of New York Inc	NY	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	13020	20-8017319				WellCare Health Plans of New Jersey Inc	NJ	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	12964	20-8058761				WellCare of Texas Inc	TX	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	20-8420512				Exactus Pharmacy Solutions, Inc	DE	NIA	WellCare Pharmacy Benefits Management	Ownership	100.0	WellCare Health Plans, Inc	N	0
01199	WellCare Health Plans Inc	00000	27-0386122				Ohana Health Plans, Inc	HI	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
01199	WellCare Health Plans Inc.	00000	27-4293249				WellCare Health Plans of California, Inc.	CA	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	14404	45-3617189				WellCare of Kansas, Inc.	KS	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16533	45-5154364				WellCare Health Plans of Tennessee, Inc.	TN	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-3236788				America's 1st Choice California Holdings, LLC	FL	NIA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	20-5327501				WellCare of California, Inc.	CA	IA	America's 1st Choice California Holdings, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	11775	32-0062883				WellCare of South Carolina, Inc.	SC	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	12913	20-5862801				Missouri Care, Incorporated	MO	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	27-4212954				The WellCare Community Foundation	DE	NIA	WellCare Health Plans, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	62-1832645				Windsor Health Group, Inc.	TN	NIA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	15510	47-0971481				WellCare Health Plans of Kentucky, Inc.	KY	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	15951	47-5456872				WellCare of Nebraska, Inc.	NE	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	81-1631920				WellCare of Pennsylvania, Inc.	PA	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16117	81-3299281				WellCare of Oklahoma, Inc.	OK	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	06-1742685				One Care by Care 1st Health Plan of Arizona, Inc.	AZ	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	57-1165217				Care 1st Health Plan Arizona, Inc.	AZ	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	46-2680154				Care 1st Health Plan Administrative Services, Inc.	AZ	NIA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16329	81-5442932				WellCare of Mississippi, Inc.	MS	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	82-0664467				WellCare of Virginia, Inc.	VA	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16239	82-1301128				WellCare of Alabama, Inc.	AL	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	82-1246845				Accountable Care Coalition of Arizona, LLC	AZ	NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-5510251				Accountable Care Coalition of Central Georgia, LLC	GA	NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	81-2588974				Accountable Care Coalition of Chesapeake, LLC	MD	NIA	Collaborative Health Systems, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-4113655				Accountable Care Coalition of Coastal Georgia, LLC	GA	NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
01199	WellCare Health Plans Inc	00000	82-1681146				Accountable Care Coalition of Community Health Centers, LLC	.TX	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-1669422				Accountable Care Coalition of Community Health Centers II, LLC	.TX	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-4537668				Accountable Care Coalition of DeKalb, LLC	.GA	.NIA	Collaborative Health Systems LLC	Ownership	80.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-5481108				Accountable Care Coalition of Georgia, LLC	.GA	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-1623920				Accountable Care Coalition of Southeast Partners, LLC	.GA	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-1558080				Accountable Care Coalition of Hawaii, LLC	.HI	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-5449147				Accountable Care Coalition of Maryland Primary Care, LLC	.MD	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-4119739				Accountable Care Coalition of Maryland, LLC	.MD	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	46-2881180				Accountable Care Coalition of Mississippi, LLC	.MS	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-4105836				Accountable Care Coalition of Mount Kisco, LLC	.NY	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-1263227				Accountable Care Coalition of New Jersey, LLC	.NJ	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-4552802				Accountable Care Coalition of North Texas, LLC	.TX	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	47-3894436				Accountable Care Coalition of Northeast Georgia, LLC	.GA	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-4106526				Accountable Care Coalition of Northwest Florida, LLC	.FL	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-1604548				Accountable Care Coalition of North West Region, LLC	.OR	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-1698885				Accountable Care Coalition of North West Region II, LLC	.OR	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-0727997				Accountable Care Coalition of Northeast Partners, LLC	.PA	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	47-3913308				Accountable Care Coalition of South Carolina, LLC	.SC	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	47-3843552				Accountable Care Coalition of Southeast Texas, Inc	.TX	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-4113610				Accountable Care Coalition of Southeast Wisconsin	.WI	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-4546234				Accountable Care Coalition of Syracuse, LLC	.NY	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	82-1219279				Accountable Care Coalition of Tennessee, LLC	.TN	.NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	45-2742298				Accountable Care Coalition of Texas, Inc	.TX	.NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
01199	WellCare Health Plans Inc.	00000	45-4113780				Accountable Care Coalition of the Tri-Counties, LLC	SC	NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	80624	13-1851754				American Progressive Life & Health Insurance Company of New York	NY	IA	Universal American Holdings, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	52-2134236				APS Healthcare Holdings, Inc.	DE	NIA	APS Healthcare, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	54-1602622				APS Healthcare, Inc.	DE	NIA	UAM/APS Holding Corp.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-4644722				APS Parent, Inc.	DE	NIA	Universal American Holdings, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	30-0803845				Chrysalis Medical Services, LLC	TX	NIA	Heritage Health Systems, Inc.	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	81-3365375				Collaborative Health Systems of Maryland, Inc.	MD	NIA	Collaborative Health Systems, LLC	Ownership	50.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	81-3306594				Collaborative Health Systems of Virginia, Inc.	VA	NIA	Collaborative Health Systems, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	90-0779287				Collaborative Health Systems, LLC	NY	NIA	Universal American Corp.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	81-2602493				Empire Collaborative Care, LLC	NY	NIA	Collaborative Health Systems, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-4561546				Essential Care Partners, LLC	TX	NIA	Collaborative Health Systems, LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	62-1694548				Golden Triangle Physician Alliance	TX	NIA	Heritage Health Systems of Texas Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	76-0459857				Heritage Health Systems of Texas, Inc.	TX	NIA	Heritage Health Systems, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	62-1517194				Heritage Health Systems, Inc.	TX	NIA	Universal American Corp.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	76-0560730				Heritage Physician Networks	TX	NIA	Heritage Health Systems, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	76-0500964				HHS Texas Management, Inc.	GA	NIA	Heritage Health Systems, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	76-0500963				HHS Texas Management, LP	GA	NIA	Heritage Health Systems, Inc.	Ownership	99.1	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	47-3923394				Hudson Accountable Care, LLC	NY	NIA	Collaborative Health Systems, LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-4552092				Maine Community Accountable Care Organization, LLC	ME	NIA	Maine Primary Care Holdings, LLC	Ownership	49.5	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-4679969				Maine Primary Care Holdings, LLC	ME	NIA	Collaborative Health Systems, LLC	Ownership	97.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	90-0855950				Maryland Collaborative Care, LLC	MD	NIA	Collaborative Health Systems, LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	81-2704355				Mid-Atlantic Collaborative Care, LLC	MD	NIA	Collaborative Health Systems, LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-5626871				Northern Maryland Collaborative Care, LLC	MD	NIA	Collaborative Health Systems, LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
01199	WellCare Health Plans Inc.	00000	95-3623226				Penn Marketing America, LLC	DE	NIA	Universal American Financial Services	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	58-2633295				Premier Marketing Group, LLC	DE	NIA	Penn Marketing America, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	13-3491681				Quincy Coverage Corporation	NY	NIA	Universal American Holdings, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	10768	74-3141949				SelectCare Health Plans, Inc.	TX	IA	Heritage Health Systems, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	10096	62-1819658				SelectCare of Texas, Inc.	TX	IA	Heritage Health Systems, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	42-0989096				UAM Agent Services Corp.	IA	NIA	Universal American Financial Services	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	26-0153605				UAM/APS Holding Corp.	DE	NIA	APS Parent, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	27-4683816				Universal American Corp.	DE	NIA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	95-3800329				Universal American Financial Services	DE	NIA	Universal American Holdings, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-1352914				Universal American Holdings, LLC	DE	NIA	Universal American Corp.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	45-5439406				Virginia Collaborative Care, LLC	VA	NIA	Collaborative Health Systems LLC	Ownership	51.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	23-1913528				Worlco Management Services, Inc.	NY	NIA	Worlco Management Services	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	47-2346408				AWC of Syracuse, Inc.	NY	NIA	Collaborative Health Systems LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16253	82-3169616				WellCare Health Plans of Arizona, Inc.	AZ	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16344	82-3114517				WellCare of Maine, Inc.	ME	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	66-0888149				WellCare of Puerto Rico, Inc.	PR	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	82-4598040				WellCare Associate Assistance Fund, Inc.	FL	NIA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16343	82-4247084				WellCare Health Insurance Company of America	AR	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16342	82-5127096				WellCare National Health Insurance Company	TX	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	16547	82-5488080				WellCare of North Carolina, Inc.	NC	IA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	26-4064494				Meridian Management Company, LLC	MI	NIA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	26-4064494				Meridian Network Services, LLC	MI	NIA	Meridian Management Company, LLC	Ownership	100.0	WellCare Health Plans, Inc.	N	.0
01199	WellCare Health Plans Inc.	00000	26-4004578				WellCare of Michigan Holding Company	MI	NIA	The WellCare Management Group, Inc.	Ownership	100.0	WellCare Health Plans, Inc.	N	.0

16.4

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
01199	WellCare Health Plans Inc	00000	82-1280079				Maryland Collaborative Care Transformation Organization, Inc	DE	NIA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	13189	20-3209671				Meridian Health Plan of Illinois, Inc	IL	IA	WellCare of Michigan Holding Company	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	52563	38-3253977				Meridian Health Plan of Michigan, Inc	MI	IA	WellCare of Michigan Holding Company	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16571	83-2069308				WellCare of Washington, Inc	WA	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	27-1339224				MeridianRx, LLC	MI	NIA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	32-0408908				MeridianRX IPA, LLC	NY	NIA	MeridianRX, LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16513	83-2126269				WellCare Health Insurance of Connecticut, Inc	CT	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16532	83-2276159				WellCare Health Insurance of Tennessee, Inc	TN	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16514	83-2255514				WellCare Health Plans of Vermont, Inc	VT	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16531	83-2797833				WellCare of Arkansas, Inc	AR	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	83-2840051				WellCare of Indiana, Inc	IN	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16515	83-2914327				WellCare of New Hampshire, Inc	NH	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	83-3612209				MeridianRx of Indiana, LLC	IN	NIA	MeridianRX, LLC	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	83-3333918				WellCare Health Insurance Company of Louisiana, Inc	LA	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16516	83-3091673				WellCare Health Insurance Company of New Hampshire, Inc	NH	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16570	83-3166908				WellCare Health Insurance Company of Washington, Inc	WA	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16568	83-3310218				WellCare Health Insurance Company of Wisconsin, Inc	WI	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16548	83-3493160				WellCare Health Insurance of North Carolina, Inc	NC	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16569	83-3351254				WellCare Health Plans of Wisconsin, Inc	WI	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	16512	83-3525830				WellCare of Missouri Health Insurance Company, Inc	MO	IA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	84-2217098				Accountable Care Coalition of Florida Partners, LLC	FL	NIA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0
01199	WellCare Health Plans Inc	00000	84-2574901				Accountable Care Coalition Direct Contracting, LLC	FL	NIA	The WellCare Management Group, Inc	Ownership	100.0	WellCare Health Plans, Inc	N	.0

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

**Explanation:**

**Bar Code:**

1.



1 1 2 2 9 2 0 1 9 3 6 5 0 0 0 0 3

**OVERFLOW PAGE FOR WRITE-INS**

---

**SCHEDULE A – VERIFICATION**

**Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		0
2.2 Additional investment made after acquisition .....		0
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		0
5. Deduct amounts received on disposals .....		0
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other-than-temporary impairment recognized .....		0
8. Deduct current year's depreciation .....		0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	0	0
10. Deduct total nonadmitted amounts .....	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

**SCHEDULE B – VERIFICATION**

**Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		0
2.2 Additional investment made after acquisition .....		0
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		0
5. Unrealized valuation increase (decrease) .....		0
6. Total gain (loss) on disposals .....		0
7. Deduct amounts received on disposals .....		0
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		0
10. Deduct current year's other-than-temporary impairment recognized .....		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	0
12. Total valuation allowance .....		0
13. Subtotal (Line 11 plus Line 12) .....	0	0
14. Deduct total nonadmitted amounts .....	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

**SCHEDULE BA – VERIFICATION**

**Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		0
2.2 Additional investment made after acquisition .....		0
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		0
5. Unrealized valuation increase (decrease) .....		0
6. Total gain (loss) on disposals .....		0
7. Deduct amounts received on disposals .....		0
8. Deduct amortization of premium and depreciation .....		0
9. Total foreign exchange change in book/adjusted carrying value .....		0
10. Deduct current year's other-than-temporary impairment recognized .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	0
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

**SCHEDULE D – VERIFICATION**

**Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	5,694,639	3,654,253
2. Cost of bonds and stocks acquired .....	780,033,851	3,459,409
3. Accrual of discount .....	22,297	12,556
4. Unrealized valuation increase (decrease) .....	(43,931)	0
5. Total gain (loss) on disposals .....	1,076,285	0
6. Deduct consideration for bonds and stocks disposed of .....	609,568,471	1,425,000
7. Deduct amortization of premium .....	(6,241)	6,579
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other-than-temporary impairment recognized .....	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	177,220,913	5,694,639
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	177,220,913	5,694,639

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	219,859,960	376,648,158	391,198,330	(25,162,400)	6,012,801	219,859,960	180,147,388	6,006,000
2. NAIC 2 (a).....	0	0	0	0	0	0	0	0
3. NAIC 3 (a).....	0	0	0	0	0	0	0	0
4. NAIC 4 (a).....	0	0	0	0	0	0	0	0
5. NAIC 5 (a).....	0	0	0	0	0	0	0	0
6. NAIC 6 (a).....	0	0	0	0	0	0	0	0
7. Total Bonds	219,859,960	376,648,158	391,198,330	(25,162,400)	6,012,801	219,859,960	180,147,388	6,006,000
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0	0	0	0	0	0	0	0
9. NAIC 2.....	0	0	0	0	0	0	0	0
10. NAIC 3.....	0	0	0	0	0	0	0	0
11. NAIC 4.....	0	0	0	0	0	0	0	0
12. NAIC 5.....	0	0	0	0	0	0	0	0
13. NAIC 6.....	0	0	0	0	0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	219,859,960	376,648,158	391,198,330	(25,162,400)	6,012,801	219,859,960	180,147,388	6,006,000

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 2,926,475 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

S102

## SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	2,926,475	XXX	2,920,913	2,166	937

## SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	311,360	0
2. Cost of short-term investments acquired .....	2,609,668	311,245
3. Accrual of discount .....	5,447	115
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	0	0
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	2,926,475	311,360
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	2,926,475	311,360

Schedule DB - Part A - Verification

**NONE**

Schedule DB - Part B - Verification

**NONE**

Schedule DB - Part C - Section 1

**NONE**

Schedule DB - Part C - Section 2

**NONE**

Schedule DB - Verification

**NONE**

**SCHEDULE E – PART 2 – VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	283,028,169	189,300,605
2. Cost of cash equivalents acquired .....	1,271,705,098	1,303,583,059
3. Accrual of discount .....	0	217,608
4. Unrealized valuation increase (decrease) .....	(303)	(1,709)
5. Total gain (loss) on disposals.....	17,544	0
6. Deduct consideration received on disposals .....	1,464,524,752	1,210,071,395
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	90,225,755	283,028,169
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	90,225,755	283,028,169

Schedule A - Part 2

**NONE**

Schedule A - Part 3

**NONE**

Schedule B - Part 2

**NONE**

Schedule B - Part 3

**NONE**

Schedule BA - Part 2

**NONE**

Schedule BA - Part 3

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator <sup>(a)</sup>
<b>Bonds - U.S. Governments</b>									
36179M-5M-8	G2 MA0852 - RMBS		09/17/2019	MORGAN STANLEY & CO. LLC	XXX	16,196	15,536	27	1
36179M-X4-7	G2 MA0699 - RMBS		09/17/2019	MORGAN STANLEY & CO. LLC	XXX	118,418	113,590	199	1
36179N-BB-3	G2 MA0934 - RMBS		09/17/2019	MORGAN STANLEY & CO. LLC	XXX	54,720	52,489	92	1
36179Q-WD-9	G2 MA2444 - RMBS		09/17/2019	NOMURA SECURITIES INTERNATIONAL	XXX	1,436,988	1,398,954	2,098	1
36179Q-WE-7	G2 MA2445 - RMBS		09/17/2019	MORGAN STANLEY & CO. LLC	XXX	13,645	13,089	23	1
36179Q-YR-6	G2 MA2520 - RMBS		09/17/2019	NOMURA SECURITIES INTERNATIONAL	XXX	616,858	600,531	901	1
36179R-7J-2	G2 MA3597 - RMBS		09/17/2019	MORGAN STANLEY & CO. LLC	XXX	862,827	827,652	1,448	1
36179R-D7-1	G2 MA2826 - RMBS		09/17/2019	MORGAN STANLEY & CO. LLC	XXX	204,895	196,542	344	1
36179S-B7-1	G2 MA3662 - RMBS		09/27/2019	Morgan Stanley	XXX	3,502,025	3,404,932	5,675	1
36179S-LS-4	G2 MA3937 - RMBS		09/17/2019	MORGAN STANLEY & CO. LLC	XXX	661,032	634,084	1,110	1
36179T-7M-1	G2 MA5400 - RMBS		09/13/2019	BNP SECURITIES	XXX	2,340,929	2,212,337	5,531	1
36179T-AL-9	G2 MA4511 - RMBS		09/18/2019	MLPFS INC FIXED INCOME	XXX	4,000,516	3,818,539	8,486	1
36179T-NR-2	G2 MA4900 - RMBS		09/27/2019	CREDIT SUISSE SECURITIES (USA)	XXX	4,036,228	3,889,169	7,562	1
36179T-UB-9	G2 MA5078 - RMBS		09/16/2019	MORGAN STANLEY & CO. LLC	XXX	3,001,237	2,872,426	5,745	1
36179U-KY-7	G2 MA5711 - RMBS		09/30/2019	BARCLAYS CAPITAL INC	XXX	3,541,670	3,379,557	8,449	1
36179U-ZT-2	G2 MA6154 - RMBS		09/17/2019	Morgan Stanley	XXX	4,918,477	4,750,000	8,313	1
<b>0599999 - Bonds - U.S. Governments</b>						29,326,661	28,179,426	56,002	XXX
<b>Bonds - U.S. Special Revenue</b>									
3128MJ-5G-1	FH G08846 - RMBS		09/17/2019	JP MORGAN SECURITIES LLC	XXX	4,001,990	3,904,975	3,417	1
3128MJ-5N-6	FH G08852 - RMBS		09/20/2019	BARCLAYS CAPITAL INC FIXED INC	XXX	4,082,162	3,936,393	10,060	1
3132AD-3L-0	FH ZT1703 - RMBS		09/12/2019	CITIGROUP GLOBAL MARKETS INC	XXX	4,937,282	4,667,445	4,667	1
3132AD-3M-8	FH ZT1704 - RMBS		09/13/2019	CITIGROUP GLOBAL MARKETS INC	XXX	4,007,735	3,714,090	7,428	1
3132AD-XW-3	FH ZT1593 - RMBS		09/12/2019	JP MORGAN SECURITIES LLC	XXX	4,907,462	4,787,767	4,189	1
3132DV-KM-6	FH SD7500 - RMBS		09/20/2019	MORGAN STANLEY & CO. LLC	XXX	4,289,206	3,991,410	10,200	1
3132DV-KQ-7	FH SD7503 - RMBS		09/17/2019	Morgan Stanley	XXX	2,999,313	2,882,656	2,522	1
3138ER-CV-0	FN AL9083 - RMBS		09/30/2019	CITIGROUP GLOBAL MARKETS INC	XXX	2,015,816	1,930,454	1,689	1
3140JQ-TQ-6	FN BN7758 - RMBS		09/06/2019	JP MORGAN SECURITIES LLC	XXX	2,989,719	2,900,000	2,658	1
3140QB-S5-8	FN CA4139 - RMBS		09/17/2019	Morgan Stanley	XXX	3,466,546	3,396,493	2,547	1
3140X4-DE-3	FN FM1000 - RMBS		09/12/2019	J.P. MORGAN SECURITIES INC	XXX	3,985,802	3,905,256	4,882	1
3140X4-LV-6	FN FM1239 - RMBS		09/12/2019	JP MORGAN SECURITIES LLC	XXX	5,412,474	5,260,415	4,603	1
3140X4-NL-6	FN FM1294 - RMBS		09/19/2019	NOMURA SECURITIES INTERNATIONAL	XXX	945,747	886,075	2,707	1
3140X4-TZ-9	FN FM1467 - RMBS		09/12/2019	CITIGROUP GLOBAL MARKETS INC	XXX	3,934,820	3,850,000	4,813	1
3140X4-V3-7	FN FM1533 - RMBS		09/25/2019	WELLS FARGO SECURITIES LLC	XXX	2,998,406	2,832,443	8,183	1
3140X4-YL-4	FN FM1614 - RMBS		09/30/2019	JP MORGAN SECURITIES LLC	XXX	3,038,203	2,900,000	2,538	1
31418C-4X-9	FN MA3537 - RMBS		09/30/2019	US Bank	XXX	2,212,068	2,098,923	2,361	1
31418C-XN-9	FN MA3384 - RMBS		09/12/2019	JP MORGAN SECURITIES LLC	XXX	5,174,284	4,958,882	4,959	1
31418C-ZJ-6	FN MA3444 - RMBS		09/17/2019	US Bank	XXX	2,555,648	2,425,289	2,728	1
31418D-E5-7	FN MA3755 - RMBS		09/19/2019	PERSHING LLC	XXX	2,082,946	1,946,394	5,947	1
<b>3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>						70,037,629	67,175,360	93,099	XXX
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
59980C-AA-1	MCMLT 173 A1 - CMO/RMBS		09/09/2019	WELLS FARGO SECURITIES LLC	XXX	73,784	73,241	56	1FE
89169D-AA-9	TPMT 173 A1 - RMBS		09/27/2019	MLPFS INC FIXED INCOME	XXX	321,167	318,949	0	1FE
89173U-AA-5	TPMT 174 A1 - RMBS		09/09/2019	WELLS FARGO SECURITIES LLC	XXX	86,911	86,037	66	1FE
<b>3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						481,862	478,227	122	XXX
<b>Bonds - SVO Identified Funds</b>									
33739Q-40-8	FRST TR IV:ENHSH MAT ETF		09/30/2019	VARIOUS		50,999,779	0	0	1Z
464288-64-6	ISHARES-SHT-TRM CORP BD		08/28/2019	BTIG LLC		39,998,652	0	0	1Z
464288-67-9	ISHARES-SH TRS BD ETF		07/01/2019	VARIOUS		20,004,572	0	0	1Z
46431W-50-7	ISHARES-SHORT MAT BD		07/01/2019	BTIG LLC		25,099,568	0	0	1Z
466410-83-7	JPMORGAN-ULTRA-SHORT INC		09/30/2019	BTIG LLC		30,998,410	0	0	1Z
69344A-10-7	PGIM ULTRA SHORT BOND		08/28/2019	BTIG LLC		26,098,848	0	0	1Z
72201R-83-3	PIMCO ETF:ENH SM ACT ETF	C	08/01/2019	BTIG LLC		54,999,411	0	0	1Z
92206C-40-9	VANGUARD ST CORP BD ETF		08/28/2019	BTIG LLC		26,998,064	0	0	1Z
<b>8199999 - Bonds - SVO Identified Funds</b>						275,197,304	0	0	XXX
<b>8399997 - Subtotals - Bonds - Part 3</b>						375,043,455	95,833,013	149,223	XXX
<b>8399999 - Subtotals - Bonds</b>						375,043,455	95,833,013	149,223	XXX
<b>9999999 Totals</b>						375,043,455	XXX	149,223	XXX

E04

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/Market Indicator <sup>(a)</sup>
------------------------------	------------------	--------------	--------------------	---------------------	-----------------------------------	---------------------	----------------	---	---

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0 .

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Harmony Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Administrative Symbol/Market Indicator <sup>(a)</sup>	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.								
<b>Bonds - U.S. Governments</b>																						
912828-WS-5	UNITED STATES TREASURY		06/30/2019	Maturity @ 100.00	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,831	06/30/2019	1
<b>0599999 - Bonds - U.S. Governments</b>																						
						0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,831	XXX	XXX
<b>Bonds - SVO Identified Funds</b>																						
337390-40-8	FRST TR IV:ENHSH MAT ETF		08/29/2019	BTIG LLC	0.000	70,114,660	0	70,000,591	0	0	0	0	0	0	70,000,591	0	114,069	114,069	0	209,972	XXX	12
381430-52-9	GOLDMAN-ACCSTRSRY 0-1 YR		07/16/2019	BTIG LLC	0.000	20,074,170	0	20,003,570	0	0	0	0	0	0	20,003,570	0	70,600	70,600	0	37,131	XXX	12
464288-64-6	ISHARES-SHT-TRM CORP BD		09/30/2019	BTIG LLC	0.000	40,127,495	0	39,998,652	0	0	0	0	0	0	39,998,652	0	128,842	128,842	0	175,753	XXX	12
464288-67-9	ISHARES-SH TRS BD ETF		08/27/2019	BTIG LLC	0.000	20,066,564	0	19,999,823	0	0	0	0	0	0	19,999,823	0	66,740	66,740	0	38,024	XXX	12
46431W-50-7	ISHARES-SHORT MAT BD		09/30/2019	VARIOUS	0.000	50,126,286	0	49,992,773	0	0	0	0	0	0	49,992,773	0	133,513	133,513	0	269,832	XXX	12
466410-83-7	JPMORGAN-ULTRA-SHORT INC		08/29/2019	BTIG LLC	0.000	50,105,402	0	49,997,606	0	0	0	0	0	0	49,997,606	0	107,796	107,796	0	233,744	XXX	12
69344A-10-7	PCIM ULTRA SHORT BOND		09/30/2019	BTIG LLC	0.000	26,123,140	0	26,098,848	0	0	0	0	0	0	26,098,848	0	24,292	24,292	0	54,902	XXX	12
72201R-83-3	PIMCO ETF-ENH SM ACT ETF	C	09/30/2019	VARIOUS	0.000	80,198,863	0	80,002,647	0	0	0	0	0	0	80,002,647	0	196,216	196,216	0	224,265	XXX	12
78468R-66-3	SPDR BBS BARC 1-3MO TBL		08/27/2019	BTIG LLC	0.000	20,023,114	0	19,999,898	0	0	0	0	0	0	19,999,898	0	23,216	23,216	0	91,435	XXX	12
92206C-40-9	VANGUARD ST CORP BD ETF		09/30/2019	BTIG LLC	0.000	15,026,769	0	15,079,629	0	0	0	0	0	0	15,079,629	0	(50,860)	(50,860)	0	36,339	XXX	12
<b>8199999 - Bonds - SVO Identified Funds</b>						391,988,462	0	391,174,038	0	0	0	0	0	0	391,174,038	0	814,424	814,424	0	1,371,398	XXX	XXX
<b>8399997 - Subtotals - Bonds - Part 4</b>						391,988,462	0	391,174,038	0	0	0	0	0	0	391,174,038	0	814,424	814,424	0	1,381,229	XXX	XXX
<b>8399999 - Subtotals - Bonds</b>						391,988,462	0	391,174,038	0	0	0	0	0	0	391,174,038	0	814,424	814,424	0	1,381,229	XXX	XXX
<b>9999999 Totals</b>						391,988,462	XXX	391,174,038	0	0	0	0	0	0	391,174,038	0	814,424	814,424	0	1,381,229	XXX	XXX

E05

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0 .

Schedule DB - Part A - Section 1

**NONE**

Schedule DB - Part B - Section 1

**NONE**

Schedule DB - Part D - Section 1

**NONE**

Schedule DB - Part D - Section 2

**NONE**

Schedule DL - Part 1

**NONE**

Schedule DL - Part 2

**NONE**



