



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2015
OF THE CONDITION AND AFFAIRS OF THE

Humana Health Plan, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 95885 Employer's ID Number 61-1013183
(Current) (Prior)

Organized under the Laws of Kentucky, State of Domicile or Port of Entry Kentucky

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No []

Incorporated/Organized 08/23/1982 Commenced Business 09/23/1983

Statutory Home Office 321 West Main Street - 12th Floor, Louisville, KY, US 40202
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 321 West Main Street - 12th Floor
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036, Louisville, KY, US 40201-7436
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 321 West Main Street - 12th Floor
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Stephenie Abel, 502-580-2050
(Name) (Area Code) (Telephone Number)
DOIINQUIRIES@humana.com, 502-580-2099
(E-mail Address) (FAX Number)

OFFICERS

President & CEO Bruce Dale Broussard Sr. VP & CFO Brian Andrew Kane
VP & Corporate Secretary Joan Olliges Lenahan VP & Appointed Actuary & Interim Chief Actuary Jonathan Albert Canine

OTHER

<u>Stephen Michael Arnhold # Vice President</u>	<u>Alan James Bailey VP & Treasurer</u>	<u>Elizabeth Diane Bierbower Pres, Group Segment</u>
<u>John Ellis Brown VP - Medicare Service Operations</u>	<u>Renee Jacqueline Buckingham VP & Div. Leader - Eastern Div.</u>	<u>John Gregory Catron VP & Chief Compliance Officer</u>
<u>Michael Lester Cotton Vice President</u>	<u>Steven James DeRaleau President, HumanaONE</u>	<u>Charles Wilbur Dow Jr. # Reg. Pres-Sr Products/Great Lakes Reg.</u>
<u>Mark Sobhi El-Tawil VP & Div. Leader - Western Div.</u>	<u>Jeffrey Carl Fernandez Seg. VP, Medicare: West</u>	<u>Brian Phillip LeClaire Sr. VP & Chief Info Officer</u>
<u>Heidi Suzanne Margulis Sr. Vice President</u>	<u>Mark Matthew Matzke # VP, Group Segment Leadership</u>	<u>Steven Edward McCulley SVP, Medicare Operations</u>
<u>Kevin Ross Meriwether VP & Div. Leader - Southeastern Div.</u>	<u>Matthew George Moore # Reg. Pres.-Sr. Prods./Central North Reg.</u>	<u>Bruno Roger Piquin President, CarePlus and Puerto Rico</u>
<u>William Mark Preston VP-Investment Management</u>	<u>Tamara Lynn Quiram Seg. VP & Pres., Small Business & Large Group</u>	<u>Richard Donald Remmers VP, Group Segment</u>
<u>George Renaudin Seg. VP, Medicare: East</u>	<u>Donald Hank Robinson Vice President - Tax</u>	<u>Joseph Christopher Ventura Assistant Corporate Secretary</u>
<u>Timothy Alan Wheatley President, Retail Segment</u>	<u>Ralph Martin Wilson Vice President</u>	<u>Cynthia Hillebrand Zipperle # VP & Chief Accounting Officer</u>

DIRECTORS OR TRUSTEES

Bruce Dale Broussard Brian Andrew Kane # James Elmer Murray

State of Kentucky SS:
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Bruce Dale Broussard
President & CEO

Joan Olliges Lenahan
VP & Corporate Secretary

Alan James Bailey
VP & Treasurer

Subscribed and sworn to before me this
10th day of November, 2015

- a. Is this an original filing? Yes [] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Michele Sizemore
Notary Public
January 3, 2019

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	859,908,771	0	859,908,771	759,001,634
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	25,029,189	0	25,029,189	27,164,548
3. Mortgage loans on real estate:				
3.1 First liens	27,600,000	0	27,600,000	27,600,000
3.2 Other than first liens	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$ 0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$ 0 encumbrances)	0	0	0	0
5. Cash (\$ (11,242,411)), cash equivalents (\$ 0) and short-term investments (\$ 22,883,974)	11,641,563	0	11,641,563	128,372,965
6. Contract loans (including \$ 0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	4,234,361	0	4,234,361	250,000
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	928,413,884	0	928,413,884	942,389,147
13. Title plants less \$ 0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	6,016,545	0	6,016,545	5,398,064
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	85,283,986	2,499,254	82,784,732	46,868,476
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums	20,975,368	17,295,029	3,680,339	8,863,827
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	11,463,707	0	11,463,707	19,227,628
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	488,762
17. Amounts receivable relating to uninsured plans	132,139,012	9,137	132,129,875	91,494,709
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	76,268,916	30,031,679	46,237,237	46,237,237
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	53,348	0	53,348	0
21. Furniture and equipment, including health care delivery assets (\$ 0)	6,838,262	6,838,262	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$ 59,720,482) and other amounts receivable	74,267,091	12,545,327	61,721,764	32,537,195
25. Aggregate write-ins for other than invested assets	157,276,308	78,332,312	78,943,996	81,559,688
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,498,996,427	147,551,000	1,351,445,427	1,275,064,733
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,498,996,427	147,551,000	1,351,445,427	1,275,064,733
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Risk Adjustment Premium Receivables	78,840,220	0	78,840,220	81,496,697
2502. Goodwill	47,847,994	47,847,994	0	0
2503. Provider Contracts	16,353,459	16,353,459	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	14,234,635	14,130,859	103,776	62,991
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	157,276,308	78,332,312	78,943,996	81,559,688

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 99,983,128 reinsurance ceded)	481,856,242	36,812,444	518,668,686	444,812,278
2. Accrued medical incentive pool and bonus amounts	1,912,807	0	1,912,807	1,288,595
3. Unpaid claims adjustment expenses	14,214,263	0	14,214,263	7,409,458
4. Aggregate health policy reserves, including the liability of \$ 8,836,284 for medical loss ratio rebate per the Public Health Service Act	24,371,383	0	24,371,383	36,552,731
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	169,083	12,917	182,000	182,000
8. Premiums received in advance	20,850,232	0	20,850,232	18,536,191
9. General expenses due or accrued	19,630,643	0	19,630,643	15,249,225
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 1,289,784 on realized gains (losses))	9,139,881	0	9,139,881	1,032,886
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	923,621	0	923,621	441,747
12. Amounts withheld or retained for the account of others	699	0	699	53
13. Remittances and items not allocated	298,581	0	298,581	4,349,349
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	107,718,022	0	107,718,022	28,959,710
16. Derivatives	0	0	0	0
17. Payable for securities	0	0	0	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 109,924,073 unauthorized reinsurers and \$ 0 certified reinsurers)	109,924,073	0	109,924,073	106,400,209
20. Reinsurance in unauthorized and certified (\$ 0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	27,908,797	0	27,908,797	19,314,481
23. Aggregate write-ins for other liabilities (including \$ 10,672,590 current)	10,672,590	0	10,672,590	4,890,105
24. Total liabilities (Lines 1 to 23)	829,590,916	36,825,362	866,416,278	689,419,018
25. Aggregate write-ins for special surplus funds	XXX	XXX	85,782,965	101,123,298
26. Common capital stock	XXX	XXX	2,248,000	2,248,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	789,261,854	789,261,854
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(392,263,670)	(306,987,437)
32. Less treasury stock, at cost:				
32.1 0 shares common (value included in Line 26 \$ 0)	XXX	XXX	0	0
32.2 0 shares preferred (value included in Line 27 \$ 0)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	485,029,149	585,645,715
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	1,351,445,427	1,275,064,733
DETAILS OF WRITE-INS				
2301. Risk Adjustment Premium Payables	8,441,740	0	8,441,740	4,890,105
2302. OPM Contract Reserves	2,230,850	0	2,230,850	0
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	10,672,590	0	10,672,590	4,890,105
2501. Special Surplus - Projected HCRL Assessment for the Upcoming Year	XXX	XXX	85,782,965	101,123,298
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	85,782,965	101,123,298
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	7,226,241	6,590,824	8,974,656
2. Net premium income (including \$0 non-health premium income)	XXX	4,364,981,213	3,645,888,768	4,952,063,856
3. Change in unearned premium reserves and reserve for rate credits	XXX	4,820,464	6,871,403	(4,917,904)
4. Fee-for-service (net of \$0 medical expenses)	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	4,369,801,677	3,652,760,171	4,947,145,952
Hospital and Medical:				
9. Hospital/medical benefits	243,661,274	3,603,974,760	2,895,689,491	3,950,128,704
10. Other professional services	0	89,597,946	70,728,490	98,831,344
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	29,048,679	133,030,486	79,610,014	120,052,548
13. Prescription drugs	0	501,915,808	380,261,753	504,323,841
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	2,783,918	179,485	417,715
16. Subtotal (Lines 9 to 15)	272,709,953	4,331,302,918	3,426,469,233	4,673,754,152
Less:				
17. Net reinsurance recoveries	0	480,138,553	304,167,405	440,637,256
18. Total hospital and medical (Lines 16 minus 17)	272,709,953	3,851,164,365	3,122,301,828	4,233,116,896
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$173,048,698 cost containment expenses	0	209,901,158	129,899,951	241,452,076
21. General administrative expenses	0	407,836,480	421,708,439	505,296,529
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only)	0	(8,819,254)	(747,304)	4,504,336
23. Total underwriting deductions (Lines 18 through 22)	272,709,953	4,460,082,749	3,673,162,914	4,984,369,837
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(90,281,072)	(20,402,743)	(37,223,885)
25. Net investment income earned	0	18,923,278	14,866,394	21,341,330
26. Net realized capital gains (losses) less capital gains tax of \$2,704,780	0	5,023,164	431,383	905,572
27. Net investment gains (losses) (Lines 25 plus 26)	0	23,946,442	15,297,777	22,246,902
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$0) (amount charged off \$0)]	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	147,265	4,647	(200,886)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	(66,187,365)	(5,100,319)	(15,177,869)
31. Federal and foreign income taxes incurred	XXX	14,761,010	33,119,593	33,906,425
32. Net income (loss) (Lines 30 minus 31)	XXX	(80,948,375)	(38,219,912)	(49,084,294)
DETAILS OF WRITE-INS				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income	0	147,265	4,647	7,222
2902. Loss on Disposal	0	0	0	(208,108)
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	147,265	4,647	(200,886)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	585,645,715	379,244,173	379,244,173
34. Net income or (loss) from Line 32	(80,948,375)	(38,219,912)	(49,084,294)
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	(2,235,832)	4,116	(2,043,183)
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	0	0	5,412,552
39. Change in nonadmitted assets	(17,432,359)	23,016,103	74,832,302
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in	0	0	183,006,477
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	(5,722,312)
48. Net change in capital & surplus (Lines 34 to 47)	(100,616,566)	(15,199,693)	206,401,542
49. Capital and surplus end of reporting period (Line 33 plus 48)	485,029,149	364,044,480	585,645,715
DETAILS OF WRITE-INS			
4701. Change in Unassigned Surplus due to Mergers of American Dental Providers of Arkansas, Inc. and CompBenefits of Alabama, Inc.	0	0	(5,722,312)
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	(5,722,312)

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	4,318,389,762	3,609,495,376	4,900,661,595
2. Net investment income	23,348,197	18,067,511	24,982,653
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	4,341,737,959	3,627,562,887	4,925,644,248
5. Benefit and loss related payments	3,779,016,506	3,026,028,680	4,142,378,171
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	638,347,234	557,075,594	807,329,902
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ 1,670,327 tax on capital gains (losses)	9,358,795	(1,067,671)	8,161,554
10. Total (Lines 5 through 9)	4,426,722,535	3,582,036,603	4,957,869,627
11. Net cash from operations (Line 4 minus Line 10)	(84,984,576)	45,526,284	(32,225,379)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	457,902,743	106,846,643	262,447,179
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	3,684	8,408	7,148
12.7 Miscellaneous proceeds	0	1,303,150	1,588,150
12.8 Total investment proceeds (Lines 12.1 to 12.7)	457,906,427	108,158,201	264,042,477
13. Cost of investments acquired (long-term only):			
13.1 Bonds	556,229,495	172,010,300	454,473,652
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	3,984,361	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	560,213,856	172,010,300	454,473,652
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(102,307,429)	(63,852,099)	(190,431,175)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	183,006,477
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	70,560,603	74,578,751	123,792,235
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	70,560,603	74,578,751	306,798,712
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(116,731,402)	56,252,936	84,142,158
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	128,372,965	44,230,807	44,230,807
19.2 End of period (Line 18 plus Line 19.1)	11,641,563	100,483,743	128,372,965

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	823,465	46,079	183,858	0	18,606	23,950	21,335	405,002	124,635	0
2. First Quarter	836,832	32,905	178,660	0	0	0	21,775	462,944	140,548	0
3. Second Quarter	844,185	30,798	181,263	0	0	0	21,550	468,912	141,662	0
4. Third Quarter	852,686	28,425	181,717	0	0	0	21,448	476,268	144,828	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	7,226,241	275,709	1,639,362	0	0	0	193,259	4,209,990	907,921	0
Total Member Ambulatory Encounters for Period:										
7. Physician	8,390,964	156,511	878,915	0	0	0	161,767	6,091,687	1,102,084	0
8. Non-Physician	4,172,024	60,360	296,434	0	0	0	66,271	2,963,886	785,073	0
9. Total	12,562,988	216,871	1,175,349	0	0	0	228,038	9,055,573	1,887,157	0
10. Hospital Patient Days Incurred	940,519	8,540	34,361	0	0	0	7,848	803,652	86,118	0
11. Number of Inpatient Admissions	118,542	1,382	6,164	0	0	0	1,067	88,650	21,279	0
12. Health Premiums Written (a)	4,940,520,227	98,260,462	554,940,569	0	0	0	106,270,141	3,373,413,865	807,635,190	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	4,945,340,691	98,283,711	555,234,431	0	0	0	106,270,141	3,375,461,613	810,090,795	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	4,264,802,549	96,496,933	436,567,123	0	153,059	177,653	107,303,538	2,975,547,354	648,556,889	0
18. Amount Incurred for Provision of Health Care Services	4,331,302,918	92,240,049	443,911,332	0	0	0	106,900,786	3,009,990,695	678,260,056	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$3,373,413,865

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	68,453,657	451,580,371	4,258,404	72,949,355	72,712,061	70,421,277
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	177,653	0	49	0	177,702	177,750
4. Vision Only	153,059	0	0	0	153,059	153,059
5. Federal Employees Health Benefits Plan	12,228,981	95,074,557	883,697	11,267,969	13,112,678	10,144,104
6. Title XVIII - Medicare	271,251,397	2,702,136,498	9,530,623	386,226,409	280,782,020	340,402,631
7. Title XIX - Medicaid	43,556,503	154,474,834	2,569,205	31,164,975	46,125,708	23,695,457
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	395,821,250	3,403,266,260	17,241,978	501,608,708	413,063,228	444,994,278
10. Healthcare receivables (a)	0	55,171,037	0	0	0	30,607,567
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	0	2,159,705	0	1,912,807	0	1,288,595
13. Totals (Lines 9-10+11+12)	395,821,250	3,350,254,928	17,241,978	503,521,515	413,063,228	415,675,306

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

STATEMENT AS OF September 30, 2015 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Commonwealth of Kentucky Department of Insurance.

The Commonwealth of Kentucky Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Kentucky for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Kentucky Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Kentucky. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Kentucky is shown below:

	State of Domicile	2015	2014
Net Loss			
1. Humana Health Plan, Inc. Kentucky basis	KY	\$ (80,948,375)	\$ (49,084,294)
2. State Prescribed Practices that increase/(decrease) NAIC SAP	KY	-	-
3. State Permitted Practices that increase/(decrease) NAIC SAP	KY	-	-
4. NAIC SAP	KY	\$ <u>(80,948,375)</u>	\$ <u>(49,084,294)</u>
Surplus			
5. Humana Health Plan, Inc. Kentucky basis	KY	\$ 485,029,149	\$ 585,645,715
6. State Prescribed Practices that increase/(decrease) NAIC SAP	KY	-	-
7. State Permitted Practices that increase/(decrease) NAIC SAP	KY	-	-
8. NAIC SAP	KY	\$ <u>485,029,149</u>	\$ <u>585,645,715</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

NOTES TO THE FINANCIAL STATEMENTS

- (5) The Company estimates the fair value of its investments in mortgage loans on real estate using a discounted cash flow method based on rating, maturity and future income when compared to the expected yield for mortgages having similar characteristics. The rating for mortgages in good standing is based on property type, location, market conditions, occupancy, debt service coverage, loan to value, caliber of tenancy, borrower and payment record. Problem mortgages are priced to reflect their monetary value to the Company, considering such things as the degree of default, whether or not the payments are still being made, interest rate, maturity and operating performance of the underlying collateral.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) The Company accounts for its investments in subsidiaries using the audited statutory equity method of accounting.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax bases of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.
- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.
- (15) Not Applicable.

2. Accounting Changes and Corrections of Errors

Not Applicable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

On April 30, 2008, the Company acquired UnitedHealth Group's Las Vegas, Nevada individual SecureHorizons Medicare Advantage HMO customer and provider contracts, or SecureHorizons, for cash consideration of approximately \$185,300,000. In accordance with SSAP No. 20, Nonadmitted Assets, the \$185,300,000 of intangible assets were recorded as nonadmitted assets. Goodwill amortization expense relating to the purchase of SecureHorizons was \$13,891,355 for the quarter ended September 30, 2015.

B. Statutory Merger

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

C. Assumption Reinsurance

Not Applicable.

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

No material change since year-end December 31, 2014.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Not Applicable.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at September 30, 2015.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at September 30, 2015:

(a) The aggregate amount of unrealized losses:

1. Less than Twelve Months	\$	(846,676)
2. Twelve Months or Longer	\$	(5,082,041)

(b) The aggregate related fair value of securities with unrealized losses:

1. Less than Twelve Months	\$	134,777,736
2. Twelve Months or Longer	\$	73,101,320

The unrealized losses at September 30, 2015 were primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

(5) Not Applicable.

E. Repurchase Agreements and/or Securities Lending Transactions

(1) The Company has no repurchase agreements or securities lending transactions.

(2) The Company has not pledged any of its assets as collateral.

(3-7) Not Applicable.

F. Real Estate

Not Applicable.

G. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

STATEMENT AS OF September 30, 2015 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

H. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	Total Gross Restricted from Current Year	Total Gross Restricted from Prior Year	Increase/ (Decrease)	Total Current Year Admitted Restricted	Percentage Gross Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-
j. On deposit with states	21,349,906	17,082,654	4,267,252	21,349,906	1.42%	1.58%
k. On deposit with other regulatory bodies	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-
o. Total Restricted Assets	\$ 21,349,906	\$ 17,082,654	\$ 4,267,252	\$ 21,349,906	1.42%	1.58%

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

I. Working Capital Finance Investments

Not Applicable.

J. Offsetting and Netting of Assets and Liabilities

Not Applicable.

K. Structured Notes

Not Applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was \$0.

8. Derivative Instruments

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

9. Income Taxes

No material change since year-end December 31, 2014.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2014 and 2013 were \$594,777,349 and \$473,599,235, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. Humana Inc. is reimbursed by the Company weekly, based upon historical pattern of amounts and timing. Each month, these estimates are adjusted to ultimately settle upon actual disbursements made on behalf of the Company. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

No dividends were paid by the Company as of September 30, 2015.

At September 30, 2015, the Company reported \$107,718,022 due to Humana Inc. Amounts due to or from parent are generally settled within 30 days.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

L. Not Applicable.

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2014.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has \$1 par value common stock with 5,000,000 shares authorized and 2,248,000 shares issued and 2,248,000 outstanding. All shares are common stock shares.

(2) The Company has no preferred stock outstanding.

STATEMENT AS OF September 30, 2015 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(3-5) Dividends are noncumulative and are paid as determined by the Board of Directors. Dividends are subject to the approval of the Commonwealth of Kentucky Department of Insurance if such dividend distribution exceeds the lesser of the Company's prior year net operating profits or 10 percent of policyholders surplus funds derived from realized net operating profits.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

No dividends were paid by the Company as of September 30, 2015.

- (6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Changes in balances of special surplus funds from the prior year is due to the estimated health insurance industry fee that will be payable on September 30, 2016.
- (10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$(2,235,832).
- (11) Not Applicable.
- (12) Not Applicable.
- (13) Not Applicable.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

Not Applicable.

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of September 30, 2015.

15. Leases

No material change since year-end December 31, 2014.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

STATEMENT AS OF September 30, 2015 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans were as follows for the quarter ended September 30, 2015:

	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 1,905,678	\$ -	\$ 1,905,678
b. Total net other income or expenses (including interest paid to or received from plans)	\$ (3,872)	\$ -	\$ (3,872)
c. Net gain or (loss) from operations	\$ 1,901,806	\$ -	\$ 1,901,806
d. Total claim payment volume	962,741,107	-	962,741,107

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

- (1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.
- (2) As of September 30, 2015, the Company has recorded a receivable from CMS of \$120,760,350 related to the cost share and reinsurance components of administered Medicare products, a receivable from HHS of \$412,591 related to the cost share and reinsurance components of administered commercial ACA products and a receivable from ASO customers of \$10,963,874. The Company has recorded receivables from the following payors whose account balance are greater than 10% of the Company's amounts receivable from uninsured accident and health plans or \$10,000:

Covenant Health	\$ 1,209,110
Humana Inc.	1,096,846

- (3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company's Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.
- (4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

A. (1) The fair value of financial assets at September 30, 2015 were as follows:

	Level 1	Level 2	Level 3	Total
a. Assets at fair value				
Bonds				
U.S. governments	\$ -	\$ -	\$ -	\$ -
Tax-exempt municipal	-	-	-	-
Residential mortgage-backed	-	-	-	-
Corporate debt securities	-	3,139,413	-	3,139,413
Total bonds	-	3,139,413	-	3,139,413
Total assets at fair value	\$ -	\$ 3,139,413	\$ -	\$ 3,139,413
b. Liabilities at fair value	\$ -	\$ -	\$ -	\$ -
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2014 and September 30, 2015.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

(3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2014 and September 30, 2015.

(4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally

NOTES TO THE FINANCIAL STATEMENTS

classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company's analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company's third party investment advisor. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended September 30, 2015.

(5) Derivative Fair Values

Not Applicable.

B. Other Fair Value Disclosures

Not Applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Bonds	\$ 3,139,413	\$ 3,139,413	\$ -	\$ 3,139,413	\$ -	\$ -

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

A. Extraordinary Items

Not Applicable.

B. Troubled Debt Restructuring: Debtors

Not Applicable.

C. Other Disclosures and Unusual Items

Not Applicable.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

NOTES TO THE FINANCIAL STATEMENTS

- (4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

Not Applicable.

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

22. Events Subsequent

The Company implemented INT 15-01, ACA Risk Corridors Collectability adopted by the Emerging Accounting Issues Working Group (E) Committee on November 6, 2015. As such, the Company non admitted all risk corridor receivables above 12.6% of the 2014 proration amount allocated within the HHS notice dated October 1, 2015. In addition, the Company non-admitted the entire 2015 receivable in the current period, consistent with INT 15-01. This transaction has been treated as a Type I subsequent event and has been recorded in the accompanying September 30, 2015 Statutory Statement. The amount of risk corridor receivables non-admitted at September 30, 2015 was \$17,295,029.

The Company is not aware of any other events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through November 12, 2015 for the Statutory Statement issued on November 12, 2015.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes () No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes () No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

STATEMENT AS OF September 30, 2015 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

The Company estimates accrued retrospective premium adjustments for its Commercial business based on experience to date, knowledge of the marketplace, and the terms of the risk corridors program with HHS.

B. The Company records accrued retrospective premium as an adjustment to earned premiums.

C. The amount of net premiums written by the Company at September 30, 2015 that are subject to retrospective rating features was \$4,122,863,527, or 94.45% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

D. Medical loss ratio rebates required pursuant to the Public Health Service Act

	Individual	Small Group Employer	Large Group Employer	Other Categories with rebates	Total
Prior Reporting Year:					
Medical loss ratio rebates incurred	\$ (363,938)	\$ (3,346,914)	\$ (1,188,920)	\$ 13,299,529	\$ 8,399,758
Medical loss ratio rebates paid	527,211	1,632,113	1,242,797	-	3,402,121
Medical loss rebates unpaid	-	40,489	291,426	13,299,529	13,631,444
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 13,631,444
Current Reporting Year-to-date:					
Medical loss ratio rebates incurred	\$ -	\$ 128,994	\$ 2,218,512	\$ (4,503,358)	\$ (2,155,851)
Medical loss ratio rebates paid	-	144,890	2,494,419	-	2,639,309
Medical loss rebates unpaid	-	24,593	15,519	8,796,171	8,836,284
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 8,836,284

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO) Yes (X) No ()

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities, and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program

Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 11,836,749
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 59,875
3. Premium adjustments payable due to ACA Risk Adjustment	\$ 4,750,394
Operations (Revenue & Expenses)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$ 983,050
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 62,919

b. Transitional ACA Reinsurance Program

Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 11,463,706
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	\$ 1,863,328
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ 106,011
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	\$ 9,474,605
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ 648,915
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	\$ 1,769,268
Operations (Revenues & Expenses)	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ 648,915
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ 9,895,575
9. ACA Reinsurance contributions – not reported as ceded premiums	\$ 7,135,493

c. Temporary ACA Risk Corridors Program

Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ 18,792,182
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ 621,127
Operations (Revenues & Expenses)	
3. Effect of ACA Risk Corridors on net premium income	\$ 12,587,394
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ (621,127)

STATEMENT AS OF September 30, 2015 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
	1	2	3	4	5	6	7	8	9	10	
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	7,616,995		5,111,054		2,505,941		(1,030,748)		A.	1,475,193	
2. Premium adjustments (payables)		(4,336,620)		(7,458,539)		3,121,919		(3,121,919)	B.		-
3. Subtotal ACA Permanent Risk Adjustment Program	7,616,995	(4,336,620)	5,111,054	(7,458,539)	2,505,941	3,121,919	(1,030,748)	(3,121,919)		1,475,193	-
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	19,227,628		20,780,730		(1,553,102)		3,296,988		C.	1,743,886	
2. Amounts recoverable for claims unpaid (contra liability)	4,997,781		-		4,997,781		(4,997,781)		D.		-
3. Amounts receivable relating to uninsured plans	-		-		-		-				-
4. Liabilities for contributions payable due to ACA Reinsurance- not reported as ceded premium		(2,339,112)		-		(2,339,112)		-			(2,339,112)
5. Ceded reinsurance premiums payable		-		-		-		-			-
6. Liability for amounts held under uninsured plans		(547,655)		-		(547,655)		-			(547,655)
7. Subtotal ACA Transitional Reinsurance Program	24,225,409	(2,886,767)	20,780,730	-	3,444,679	(2,886,767)	(1,700,793)	-		1,743,886	(2,886,767)
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	6,204,787		-		6,204,787		5,660,552		E.	11,865,339	
2. Reserve for rate credits or policy experience rating refunds		-		-		-		-			-
3. Subtotal ACA Risk Corridors Program	6,204,787	-	-	-	6,204,787	-	5,660,552	-		11,865,339	-
d. Total for ACA Risk Sharing Provisions	38,047,191	(7,223,387)	25,891,784	(7,458,539)	12,155,407	235,152	2,929,011	(3,121,919)		15,084,418	(2,886,767)

Explanation for adjustments

- A. Adjusted to CMS 2014 Final CRA amounts.
- B. Adjusted to CMS 2014 Final CRA amounts.
- C. Adjusted to CMS 2014 final reinsurance recovery amounts.
- D. Adjusted to CMS 2014 final reinsurance recovery amounts.
- E. Adjusted to final amounts filed with CMS.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2014 were \$449,226,174. As of September 30, 2015, \$430,763,285 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$17,411,235 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$1,051,654 favorable prior-year development since December 31, 2014. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The Company did not experience any material prior year claim development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

Not Applicable.

STATEMENT AS OF September 30, 2015 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

27. Structured Settlements

The Company has no structured settlements.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2015	59,256,290	59,256,290	-	-	-
6/30/2015	50,089,185	50,089,185	50,026,598	-	-
3/31/2015	46,293,602	46,293,602	46,278,466	-	-
12/31/2014	32,047,007	32,047,007	31,782,253	213,815	-
9/30/2014	30,131,551	30,131,551	29,988,164	-	40,281
6/30/2014	30,632,141	30,632,141	30,263,792	130,180	207,066
3/31/2014	30,401,818	30,401,818	29,400,975	546,383	454,460
12/31/2013	16,836,020	16,836,020	16,345,008	426,659	64,353
9/30/2013	17,943,495	17,943,495	17,916,641	-	26,854
6/30/2013	19,291,477	19,291,477	19,291,319	-	158
3/31/2013	23,419,618	23,419,618	23,315,266	-	104,352

B. Risk Sharing Receivables

No material change since year-end December 31, 2014.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

- Liability carried for premium deficiency reserves \$ 7,564,000
- Date of the most recent evaluation of this liability December 31, 2014
- Was anticipated investment income utilized in the calculation? Yes () No (X)

The Company did recognize the time value of money by discounting future losses at an annual interest rate of 0.10%.

31. Anticipated Salvage and Subrogation

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes No
- 1.2 If yes, has the report been filed with the domiciliary state? Yes No
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes No
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes No
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes No
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes No
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes No N/A
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 10/29/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/29/2015
- 6.4 By what department or departments?
Commonwealth of Kentucky Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes No N/A
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes No N/A
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes No
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes No
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes No
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
Revised based on general policy and regulatory changes
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0
13. Amount of real estate and mortgages held in short-term investments: \$0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$27,164,548 | \$25,029,189 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$27,164,548 | \$25,029,189 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$0 | \$0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
 - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
 - 16.3 Total payable for securities lending reported on the liability page\$0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 16th Floor Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Barbara J. Walsh

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107105.00	Blackrock, Inc.	55 East 52nd Street, New York, NY 10055

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

N/A

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent91.9 %
 - 1.2 A&H cost containment percent4.0 %
 - 1.3 A&H expense percent excluding cost containment expenses10.2 %
- 2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date\$.....0
- 2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date\$.....0

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
NONE								

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

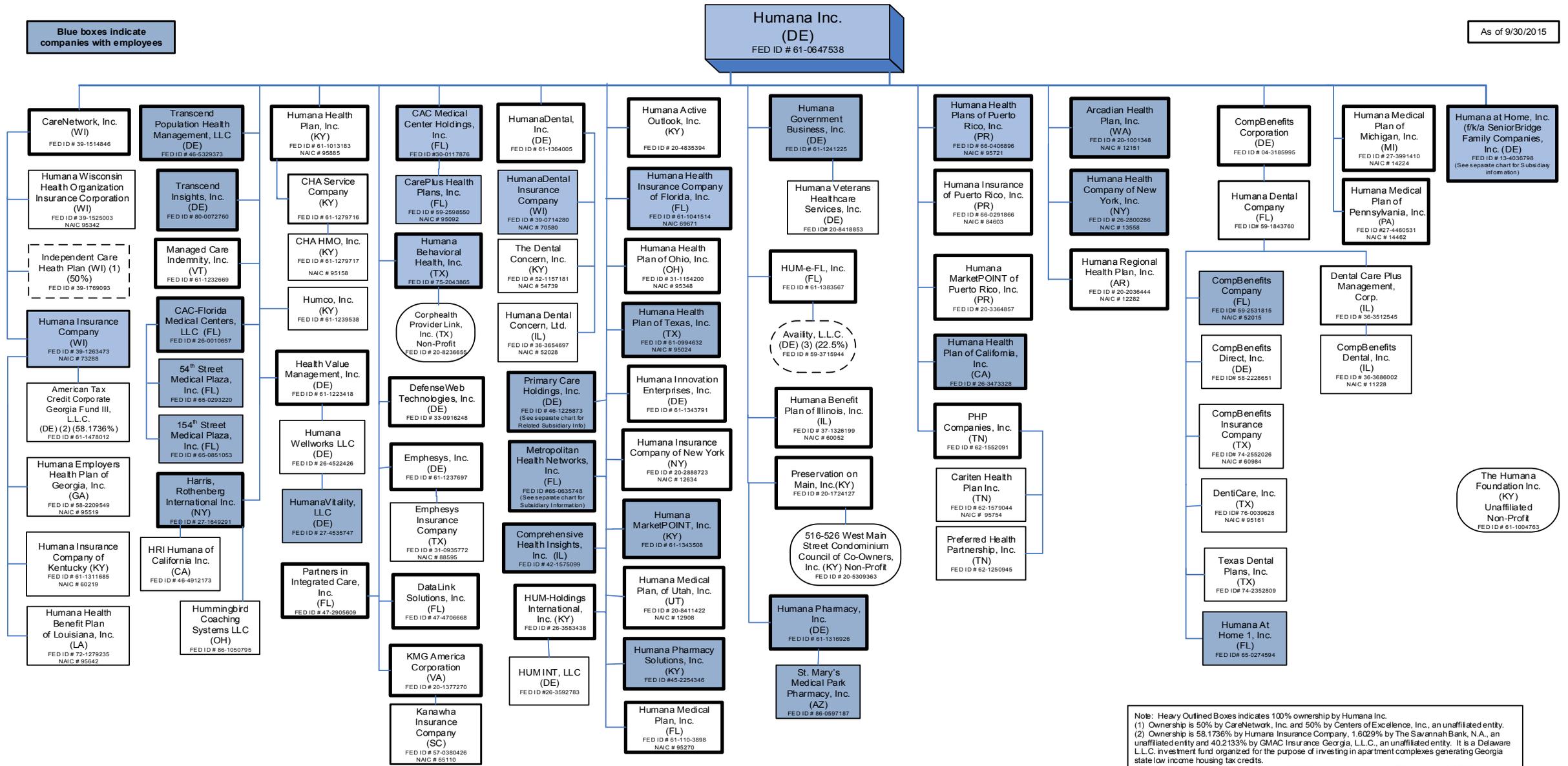
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama AL	L	0	127,612,956	0	0	0	0	127,612,956	0	
2. Alaska AK	N	0	0	0	0	0	0	0	0	
3. Arizona AZ	L	44,711,262	304,200,865	0	11,016,958	0	0	359,929,085	0	
4. Arkansas AR	L	0	209,768,750	0	0	0	0	209,768,750	0	
5. California CA	N	0	0	0	0	0	0	0	0	
6. Colorado CO	L	108,971,139	129,684,917	0	1,211,594	0	0	239,867,650	0	
7. Connecticut CT	N	0	0	0	0	0	0	0	0	
8. Delaware DE	N	0	0	0	0	0	0	0	0	
9. District of Columbia DC	N	0	0	0	0	0	0	0	0	
10. Florida FL	N	0	0	0	0	0	0	0	0	
11. Georgia GA	N	0	0	0	0	0	0	0	0	
12. Hawaii HI	N	0	0	0	0	0	0	0	0	
13. Idaho ID	L	0	21,067,619	0	0	0	0	21,067,619	0	
14. Illinois IL	L	64,467,804	466,485,751	144,507,508	64,305,727	0	0	739,766,790	0	
15. Indiana IN	L	18,467,589	163,911,730	0	0	0	0	182,379,319	0	
16. Iowa IA	N	0	0	0	0	0	0	0	0	
17. Kansas KS	L	4,239,490	159,920,321	0	22,802,033	0	0	186,961,844	0	
18. Kentucky KY	L	376,573,186	87,942,632	573,346,450	6,039,590	0	0	1,043,901,858	0	
19. Louisiana LA	N	0	0	0	0	0	0	0	0	
20. Maine ME	N	0	0	0	0	0	0	0	0	
21. Maryland MD	N	0	0	0	0	0	0	0	0	
22. Massachusetts MA	N	0	0	0	0	0	0	0	0	
23. Michigan MI	N	0	0	0	0	0	0	0	0	
24. Minnesota MN	N	0	0	0	0	0	0	0	0	
25. Mississippi MS	N	0	0	0	0	0	0	0	0	
26. Missouri MO	L	1,688,802	363,109,962	0	0	0	0	364,798,764	0	
27. Montana MT	N	0	0	0	0	0	0	0	0	
28. Nebraska NE	L	0	22,324,924	0	0	0	0	22,324,924	0	
29. Nevada NV	L	2,527,469	449,096,688	0	0	0	0	451,624,157	0	
30. New Hampshire NH	N	0	0	0	0	0	0	0	0	
31. New Jersey NJ	N	0	0	0	0	0	0	0	0	
32. New Mexico NM	L	0	32,959,905	0	0	0	0	32,959,905	0	
33. New York NY	N	0	0	0	0	0	0	0	0	
34. North Carolina NC	N	0	0	0	0	0	0	0	0	
35. North Dakota ND	N	0	0	0	0	0	0	0	0	
36. Ohio OH	L	0	0	0	0	0	0	0	0	
37. Oklahoma OK	N	0	0	0	0	0	0	0	0	
38. Oregon OR	N	0	0	0	0	0	0	0	0	
39. Pennsylvania PA	N	0	0	0	0	0	0	0	0	
40. Rhode Island RI	N	0	0	0	0	0	0	0	0	
41. South Carolina SC	L	0	325,271,294	0	0	0	0	325,271,294	0	
42. South Dakota SD	N	0	0	0	0	0	0	0	0	
43. Tennessee TN	L	31,554,290	0	0	894,239	0	0	32,448,529	0	
44. Texas TX	L	0	0	0	0	0	0	0	0	
45. Utah UT	N	0	0	0	0	0	0	0	0	
46. Vermont VT	N	0	0	0	0	0	0	0	0	
47. Virginia VA	L	0	360,529,959	89,781,232	0	0	0	450,311,191	0	
48. Washington WA	L	0	149,525,592	0	0	0	0	149,525,592	0	
49. West Virginia WV	L	0	0	0	0	0	0	0	0	
50. Wisconsin WI	N	0	0	0	0	0	0	0	0	
51. Wyoming WY	N	0	0	0	0	0	0	0	0	
52. American Samoa AS	N	0	0	0	0	0	0	0	0	
53. Guam GU	N	0	0	0	0	0	0	0	0	
54. Puerto Rico PR	N	0	0	0	0	0	0	0	0	
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0	0	0	
56. Northern Mariana Islands MP	N	0	0	0	0	0	0	0	0	
57. Canada CAN	N	0	0	0	0	0	0	0	0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0	
59. Subtotal	XXX	653,201,031	3,373,413,865	807,635,190	106,270,141	0	0	4,940,520,227	0	
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0	
61. Totals (Direct Business)	(a) 20	653,201,031	3,373,413,865	807,635,190	106,270,141	0	0	4,940,520,227	0	
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

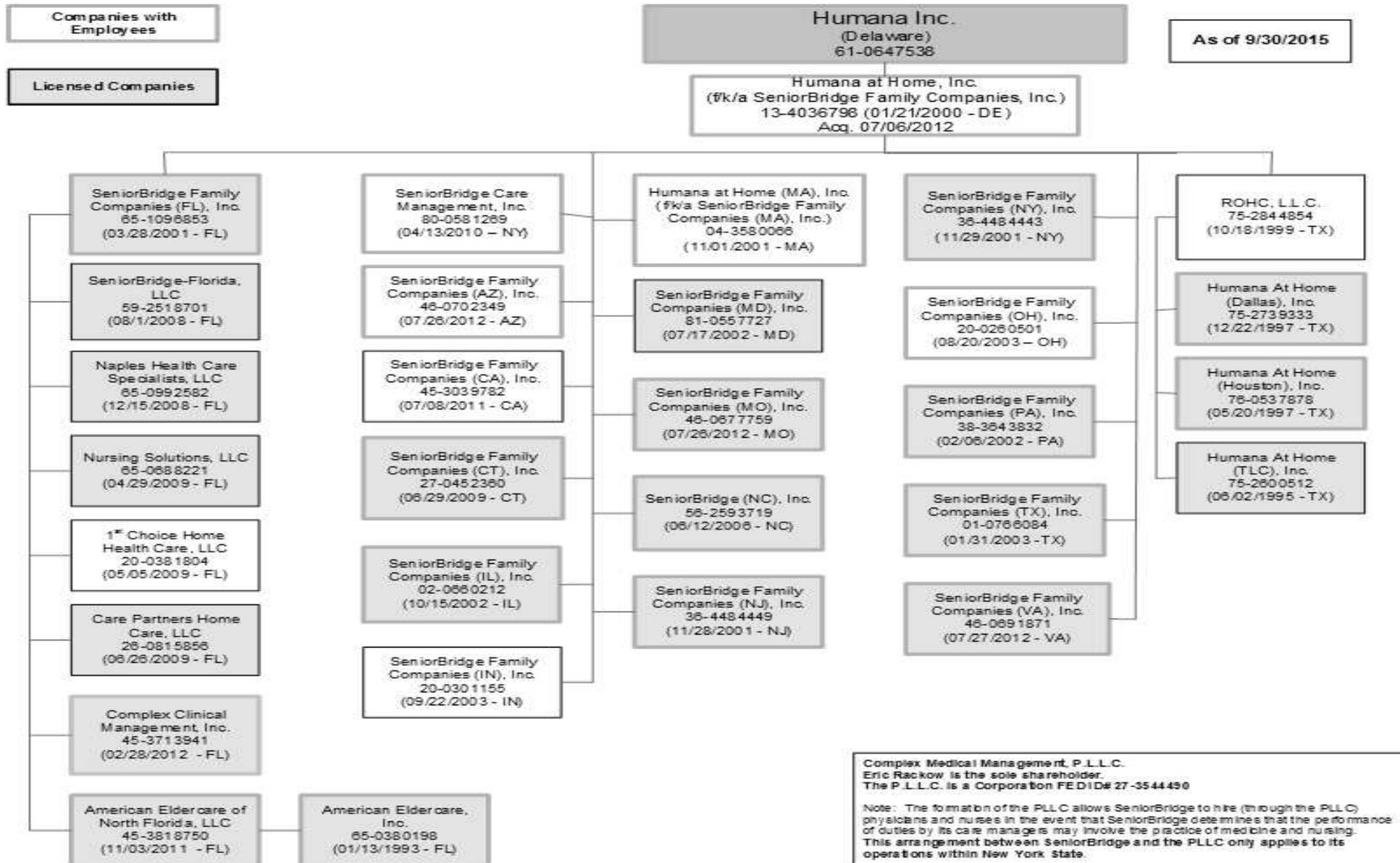
(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.



Note: Heavy Outlined Boxes indicates 100% ownership by Humana Inc.
 (1) Ownership is 50% by CareNetwork, Inc. and 50% by Centers of Excellence, Inc., an unaffiliated entity.
 (2) Ownership is 58.1736% by Humana Insurance Company, 1.6029% by The Savannah Bank, N.A., an unaffiliated entity and 40.2133% by GMAC Insurance Georgia, L.L.C., an unaffiliated entity. It is a Delaware L.L.C. investment fund organized for the purpose of investing in apartment complexes generating Georgia state low income housing tax credits.
 (3) Ownership is 22.5% by HUM-e-FL, Inc., 33.75% by Navigy, Inc., an unaffiliated entity, 33.75% by Health Care Service Corporation, an unaffiliated entity and 10% by Sellcore, Inc., an unaffiliated entity.

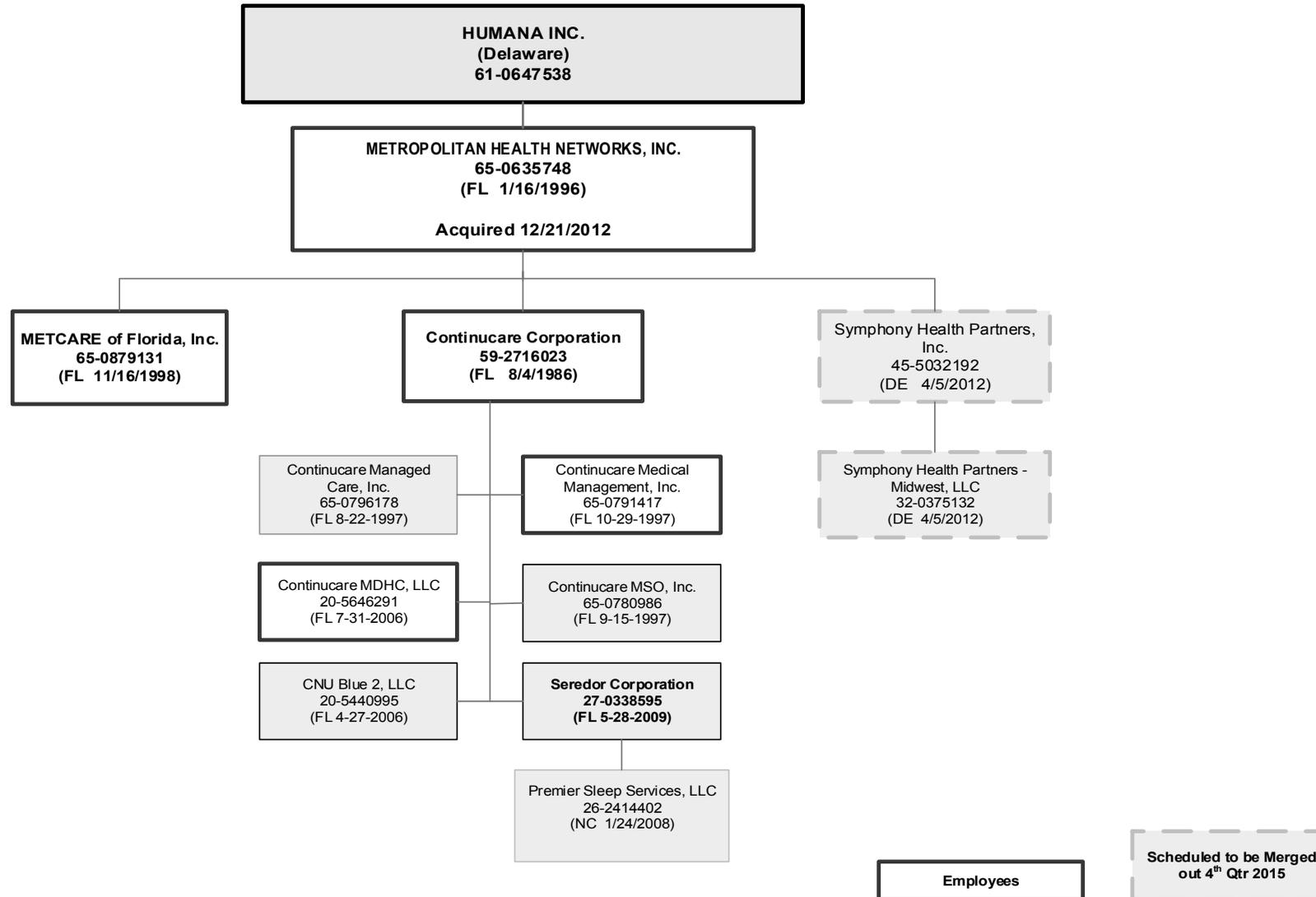
STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.



15.1

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

As of 9-30-2015



15.2

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
.0119	Humana Inc.	.00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-0381804				1st Choice Home Health Care, LLC	FL	NIA		Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-5309363				515-526W MainSt CondoCouncil of Co-Owners	KY	NIA	Preservation on Main, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	45-3818750				American Eldercare of North Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	65-0380198				American Eldercare, Inc.	FL	NIA		Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.12151	20-1001348				Arcadian Health Plan, Inc.	WA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	86-0836599				Arcadian Management Services, Inc.	DE	NIA	Arcadian Management Services, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	59-3715944				Availity, L.L.C.	DE	OTH	See Footnote 1	Board of Directors	0.000	Humana Inc.	1
.0119	Humana Inc.	.00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	CPHP Holdings, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.95158	61-1279717				CHA HMO, Inc.	KY	DS	CHA Service Company	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	61-1279716				CHA Service Company	KY	DS	Humana Health Plan, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-5440995				CNU Blue 2, LLC	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.11228	36-3686002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management Corporation	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA		Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	42-1575099				Comprehensive Health Insights, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	59-2716023				Continuicare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	65-0796178				Continuicare Managed Care, Inc.	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-5646291				Continuicare MDHC, LLC	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	65-0791417				Continuicare Medical Management, Inc.	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	65-0780986				Continuicare MSO, Inc.	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-8236655				Corphealth Provider Link, Inc.	TX	NIA	Corphealth, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	75-2043865				Humana Behavioral Health, Inc.	TX	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	33-0916248				DefenseWeb Technologies, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	36-3512545				Dental Care Plus Management Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.95161	76-0039628				DentiCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.88595	31-0935772				EmpheSys Insurance Company	TX	IA	EmpheSys, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	61-1237697				EmpheSys, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	46-4912173				HRI Humana of California Inc.	CA	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	26-3592783				HUM INT, LLC	DE	NIA	HUM-Holdings International, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana At Home (Dallas), Inc.	.00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
.0119	Humana At Home (Houston), Inc.	.00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
.0119	Humana at Home (MA), Inc.	.00000	04-3580066				Humana at Home (MA), Inc.	MA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana At Home 1, Inc.	.00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0119	Humana Inc.	00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	52028	36-3654697				Humana Dental Concern, Ltd.	IL	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95519	58-2209549				Humana Employers Health Plan of GA, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95642	72-1279235				Humana Health Benefit Plan of LA, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	69671	61-1041514				Humana Health Ins. Co. of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95885	61-1013183				Humana Health Plan, Inc.	KY	RE	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-0647538			NYSE	Humana Inc.	DE	UDP		Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95342	39-1525003				Humana Wisc. Health Org. Ins. Corp.	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-4535747				HumanaVitality, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1239538				Humco, Inc.	KY	DS	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3583438				HUM-Holdings International, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Corphealth, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 2	Other	100.000	Humana Inc.	2
0119	Humana Inc.	65110	57-0380426				Kanawha Insurance Company	SC	IA	KMG America Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-1377270				KMG America Corporation	VA	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0119	Humana Inc.	00000	20-1724127				Preservation on Main, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-1225873				Primary Care Holdings, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	56-2593719				SeniorBridge (NC), Inc.	NC	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	80-0581269				SeniorBridge Care Management, Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-0702349				SeniorBridge Family Companies (AZ), Inc.	AZ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-3039782				SeniorBridge Family Companies (CA), Inc.	CA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-0452360				SeniorBridge Family Companies (CT), Inc.	CT	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	02-0660212				SeniorBridge Family Companies (IL), Inc.	IL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	81-0557727				SeniorBridge Family Companies (MD), Inc.	MD	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-0677759				SeniorBridge Family Companies (MO), Inc.	MO	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	36-4484449				SeniorBridge Family Companies (NJ), Inc.	NJ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-0260501				SeniorBridge Family Companies (OH), Inc.	OH	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	38-3643832				SeniorBridge Family Companies (PA), Inc.	PA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	01-0766084				SeniorBridge Family Companies (TX), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-0691871				SeniorBridge Family Companies (VA), Inc.	VA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-0338595				Seredor Corporation	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	86-0597187				St. Mary's Medical Park Pharmacy, Inc.	AZ	NIA	Humana Pharmacy, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	32-0375132				Symphony Health Partners - Midwest, LLC	DE	NIA	See Footnote 3	Ownership	0.000	Humana Inc.	3
0119	Humana Inc.	00000	45-5032192				Symphony Health Partners, Inc.	DE	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	74-2352809				Texas Dental Plans, Inc.	TX	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	54739	52-1157181				The Dental Concern, Inc.	KY	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2600512				Humana at Home (TLC), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	80-0072760				Transcend Insights, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-5329373				Transcend, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2043865				Humana Behavioral Health, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0

Asterisk	Explanation
1	Availity, L.L.C., a Delaware limited liability company, was formed by affiliates of Humana Inc. and Blue Cross and Blue Shield of Florida, Inc. to develop and operate an Internet site on the World Wide Web to permit health plans to communicate and engage in electronic transactions with health care service providers initially in the State of Florida. HUM-e-FL, Inc., a subsidiary of Humana Inc., is a Member with a 22.5% ownership interest. Navigy, Inc., a subsidiary of Blue Cross and Blue Shield of Florida, Inc., is a Member with a 33.75% ownership interest, Health Care Service Corporation, a Member, has a 33.75% ownership interest, and Sellcore, Inc., a subsidiary of WellPoint and a Member, has a 10% ownership interest.
2	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers of Excellence, Inc. owns the other 50%.
3	Ownership is 80% Symphony Health Partners, Inc. and 20% Humana Inc. of Symphony Health Partners Midwest, LLC.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

- 1. This type of business is not written.

Bar Code:

- 1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Prepaid Commissions	10,689,010	10,689,010	0	0
2505. Deposits	2,946,232	2,946,232	0	0
2506. Prepaid Expenses	495,617	495,617	0	0
2507. Federal Contingency Reserves	103,776	0	103,776	62,991
2597. Summary of remaining write-ins for Line 25 from overflow page	14,234,635	14,130,859	103,776	62,991

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	27,600,000	27,600,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	27,600,000	27,600,000
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	27,600,000	27,600,000
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	27,600,000	27,600,000

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	786,166,182	599,317,862
2. Cost of bonds and stocks acquired	556,229,495	454,473,652
3. Accrual of discount	132,889	976,615
4. Unrealized valuation increase (decrease)	(2,235,832)	(2,029,390)
5. Total gain (loss) on disposals	7,724,258	1,247,891
6. Deduct consideration for bonds and stocks disposed of	457,902,743	262,447,179
7. Deduct amortization of premium	5,176,289	5,373,269
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	884,937,960	786,166,182
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	884,937,960	786,166,182

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	665,110,011	2,741,721,508	2,617,201,822	(1,023,756)	762,061,468	665,110,011	788,605,941	754,619,166
2. NAIC 2 (a)	96,051,999	17,330,113	22,739,971	(791,188)	118,970,440	96,051,999	89,850,953	129,342,512
3. NAIC 3 (a)	3,238,155	1,035,000	0	(107,301)	4,278,032	3,238,155	4,165,854	4,060,835
4. NAIC 4 (a)	200,000	0	0	(30,000)	225,107	200,000	170,000	0
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	764,600,165	2,760,086,621	2,639,941,793	(1,952,245)	885,535,047	764,600,165	882,792,748	888,022,513
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	764,600,165	2,760,086,621	2,639,941,793	(1,952,245)	885,535,047	764,600,165	882,792,748	888,022,513

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 22,883,974 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

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SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	22,883,974	xxx	22,912,148	22,834	19,171

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	27,022,909	11,064,752
2. Cost of short-term investments acquired	3,597,740,880	3,344,292,943
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	3,601,851,641	3,328,334,786
7. Deduct amortization of premium	28,174	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	22,883,974	27,022,909
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	22,883,974	27,022,909

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	101,997,968	54,997,206
2. Cost of cash equivalents acquired	2,842,411,733	3,816,984,692
3. Accrual of discount	69,477	96,537
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	3,684	7,148
6. Deduct consideration received on disposals	2,944,482,862	3,770,084,242
7. Deduct amortization of premium	0	3,373
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	101,997,968
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	101,997,968

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
36179R-LP-2	GOVERNMENT NATIONAL MORTGAGE GNMA		.08/28/2015	CREDIT SUISSE FIRST BOSTON CORP.		18,532,639	17,763,590	34,540	1
912828-HA-1	UNITED STATES TREASURY GOVERNMENT		.07/06/2015	NOMURA SECURITIES		2,243,268	2,065,000	38,205	1
912828-K5-8	UNITED STATES TREASURY GOVERNMENT		.08/20/2015	CITIGROUP GLOBAL MARKETS INC		6,723,908	6,750,000	28,499	1
912828-NK-2	UNITED STATES TREASURY GOVERNMENT		.08/11/2015	BARCLAYS CAPITAL		517,324	500,000	1,461	1
0599999. Subtotal - Bonds - U.S. Governments						28,017,139	27,078,590	102,705	XXX
041042-VA-7	ARKANSAS ST MUNI		.07/17/2015	WELLS FARGO		5,625,800	5,000,000	20,556	1FE
246381-ET-0	DELAWARE STATE MUNI		.08/03/2015	BARCLAYS CAPITAL		1,484,326	1,290,000	6,271	1FE
373384-X9-2	GEORGIA ST MUNI		.08/05/2015	WELLS FARGO		3,323,060	3,070,000	13,218	1FE
56052A-YA-8	MAINE ST MUNI		.07/16/2015	LOOP CAPITAL MARKETS		1,165,392	960,000	6,667	1FE
56052A-YE-0	MAINE ST MUNI		.09/01/2015	LOOP CAPITAL MARKETS		877,616	815,000	7,810	1FE
56052A-YK-6	MAINE ST MUNI		.07/16/2015	LOOP CAPITAL MARKETS		1,835,893	1,530,000	5,525	1FE
57583P-GD-5	MASSACHUSETTS ST FED HWY MUNI		.08/04/2015	BARCLAYS CAPITAL		1,810,124	1,505,000	10,869	1FE
605581-FQ-5	MISSISSIPPI STATE MUNI		.07/17/2015	MORGAN STANLEY		5,998,700	5,000,000	77,083	1
646066-GY-0	NEW JERSEY ST EDL FACS AUTH RE MUNI		.08/03/2015	MERRILL LYNCH		1,276,401	1,140,000	12,825	1FE
658256-V5-8	NORTH CAROLINA ST MUNI		.07/16/2015	PIPER JAFFREY		912,293	750,000	5,208	1FE
677521-PJ-9	OHIO ST MUNI		.08/04/2015	WELLS FARGO		757,649	720,000	14,200	1FE
688443-S7-6	OSSEO MINN INDPT SCH DIST NO 2		.08/03/2015	CITIGROUP GLOBAL MARKETS INC		867,602	765,000	9,563	1FE
688443-S9-2	OSSEO MINN INDPT SCH DIST NO 2		.07/17/2015	CITIGROUP GLOBAL MARKETS INC		5,898,350	5,000,000	52,778	1FE
83710R-KE-6	SOUTH CAROLINA ST MUNI		.07/17/2015	CITIGROUP GLOBAL MARKETS INC		3,114,213	2,550,000	28,688	1FE
840610-RP-6	SOUTH WASHINGTON CNTY INDPT SC		.08/03/2015	WELLS FARGO		697,256	615,000	7,773	1FE
840610-RR-2	SOUTH WASHINGTON COUNTY INDPT SC		.07/17/2015	CITIGROUP GLOBAL MARKETS INC		5,889,650	5,000,000	53,472	1FE
882723-VM-8	TEXAS ST MUNI		.07/16/2015	LOOP CAPITAL MARKETS		2,421,467	2,010,000	21,217	1FE
914378-HM-7	UNIVERSITY KY GEN RCPTS MUNI		.08/03/2015	CITIGROUP GLOBAL MARKETS INC		934,667	810,000	12,488	1FE
917542-TK-8	UTAH ST MUNI		.08/11/2015	BARCLAYS CAPITAL		930,674	810,000	4,838	1FE
917542-TZ-5	UTAH ST MUNI		.07/21/2015	GOLDMAN SACHS		2,330,179	1,900,000	6,069	1FE
928109-L8-8	VIRGINIA STATE MUNI		.07/21/2015	GOLDMAN SACHS		2,921,926	2,380,000	17,519	1FE
928172-K9-5	VIRGINIA ST PUB BLDG AUTH PUB MUNI		.09/02/2015	LOOP CAPITAL MARKETS		1,635,134	1,510,000	18,665	1FE
93978H-ME-2	WASHINGTON ST HEALTH CARE FAC MUNI		.08/07/2015	MORGAN STANLEY		1,608,463	1,435,000	26,109	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						54,316,835	46,565,000	439,411	XXX
440673-G2-1	HORRY CNTY SC SCH DIST MUNI		.07/16/2015	LOOP CAPITAL MARKETS		1,294,175	1,080,000	22,950	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						1,294,175	1,080,000	22,950	XXX
184540-6R-8	CLEAR CREEK TEX INDPT SCH DIST		.07/16/2015	BARCLAYS CAPITAL		1,005,056	835,000	12,757	1FE
184540-6S-6	CLEAR CREEK TEX INDPT SCH DIST		.07/16/2015	BARCLAYS CAPITAL		939,684	775,000	11,840	1FE
184540-6T-4	CLEAR CREEK TEX INDPT SCH DIST		.07/16/2015	BARCLAYS CAPITAL		1,139,316	950,000	14,514	1FE
239019-P4-8	DAVIS CNTY UTAH SCH DIST MUNI		.08/12/2015	HUTCH SHOCKLY ERLEY		758,706	650,000	0	1FE
239019-P7-1	DAVIS CNTY UTAH SCH DIST MUNI		.08/12/2015	HUTCH SHOCKLY ERLEY		823,779	680,000	0	1FE
239019-P8-9	DAVIS CNTY UTAH SCH DIST MUNI		.08/12/2015	HUTCH SHOCKLY ERLEY		949,104	775,000	0	1FE
259327-K9-4	DOUGLAS CNTY SCH DIST MUNI		.09/01/2015	LOOP CAPITAL MARKETS		901,306	850,000	7,461	1FE
30382A-CG-5	FAIRFAX CNTY VA MUNI		.07/16/2015	PIPER JAFFREY		921,818	750,000	11,458	1FE
3138EK-GU-3	FED NTL MTG ASSO FNMA		.07/30/2015	MERRILL LYNCH		1,862,035	1,713,201	2,570	1
3138EN-AA-7	FED NTL MTG ASSO FNMA		.08/24/2015	J.P. MORGAN		8,418,554	7,732,311	12,565	1
3138EN-Y9-4	FED NTL MTG ASSO FNMA		.07/30/2015	GOLDMAN SACHS		8,706,600	8,127,514	10,837	1
3138EP-AH-7	FED NTL MTG ASSO FNMA		.07/31/2015	J.P. MORGAN		8,449,199	7,785,038	11,678	1
3138EP-LC-6	FED NTL MTG ASSO FNMA		.07/30/2015	MERRILL LYNCH		6,783,169	6,240,984	9,361	1
3138EP-S4-7	FED NTL MTG ASSO FNMA		.08/04/2015	GOLDMAN SACHS		4,336,068	4,064,865	5,420	1
3138EP-TZ-7	FED NTL MTG ASSO FNMA		.08/25/2015	CREDIT SUISSE FIRST BOSTON CORP.		11,108,385	10,636,395	14,182	1
3138W9-KQ-2	FED NTL MTG ASSO FNMA		.07/30/2015	J.P. MORGAN		604,077	601,539	602	1
3138WE-CQ-0	FED NTL MTG ASSO FNMA		.08/24/2015	GOLDMAN SACHS		11,666,859	10,774,588	15,563	1
31416Y-VY-4	FED NTL MTG ASSO FNMA		.08/24/2015	GOLDMAN SACHS		2,302,508	2,116,033	3,439	1
31418A-VS-4	FED NTL MTG ASSO FNMA		.07/30/2015	J.P. MORGAN		8,024,631	7,990,920	7,991	1
349515-TG-1	FORT WORTH TEX WTR & SWR REV MUNI		.08/04/2015	BARCLAYS CAPITAL		1,123,320	920,000	0	1FE
349515-TH-9	FORT WORTH TEX WTR & SWR REV MUNI		.08/04/2015	BARCLAYS CAPITAL		1,930,992	1,600,000	0	1FE
373384-Y6-7	GEORGIA ST MUNI		.09/01/2015	LOOP CAPITAL MARKETS		686,241	645,000	4,927	1FE
495289-SY-7	KING COUNTY SWIIEER REV MUNI		.08/26/2015	LOOP CAPITAL MARKETS		1,402,412	1,255,000	11,225	1FE
544712-4U-3	LOS ANGELES CNTY CALIF MET TRA		.07/16/2015	CITIGROUP GLOBAL MARKETS INC		2,284,906	1,935,000	5,375	1FE
59259N-BU-6	MTA DEDICATED TAX FUEL MUNI		.07/17/2015	BARCLAYS CAPITAL		817,598	810,000	12,488	1FE
59259Y-3Q-0	METROPOLITAN TRANSN AUTH NY REV F		.08/07/2015	BARCLAYS CAPITAL		1,420,723	1,280,000	15,467	1FE
60412A-CZ-4	MINNESOTA ST FOR PREVIOUS ISSU		.08/11/2015	MERRILL LYNCH		1,216,700	1,060,000	0	1FE
60412A-DT-7	MINNESOTA ST FOR PREVIOUS ISSU		.08/05/2015	CITIGROUP GLOBAL MARKETS INC		2,150,013	1,985,000	0	1FE
60412A-DV-2	MINNESOTA ST FOR PREVIOUS ISSU		.08/25/2015	WELLS FARGO		1,603,413	1,395,000	1,744	1FE
60412A-EA-7	MINNESOTA ST FOR PREVIOUS ISSU		.09/17/2015	CITIGROUP GLOBAL MARKETS INC		2,339,192	1,915,000	8,777	1FE
60412A-EB-5	MINNESOTA ST FOR PREVIOUS ISSU		.08/11/2015	CITIGROUP GLOBAL MARKETS INC		2,275,198	1,835,000	0	1FE

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
64966L-MX-6	NEW YORK NY MUNI		.08/19/2015	MERRILL LYNCH		1,982,146	1,830,000	5,846	1FE
64966L-RC-7	NEW YORK NY MUNI		.08/19/2015	MERRILL LYNCH		1,771,604	1,635,000	5,223	1FE
658196-SH-9	NORTH CAROLINA ESATN MUN PIIR A		.08/26/2015	BARCLAYS CAPITAL		1,064,878	1,005,000	8,375	1FE
667825-UJ-0	NORTHWEST TEX INDPST SCH DIST		.08/26/2015	WELLS FARGO		1,001,730	940,000	1,567	1FE
796253-X4-9	SAN ANTONIO TEX ELEC & GAS RE MUNI		.09/01/2015	WELLS FARGO		1,918,498	1,805,000	9,526	1FE
797683-GV-5	SAN FRANCISCO CALIF CMNTY COLL		.07/16/2015	J.P. MORGAN		1,024,086	870,000	4,350	1FE
860758-RD-5	STILLWATER MINN INDPST SCH DIST		.08/03/2015	PIPER JAFFREY		730,586	630,000	0	1FE
860758-RF-0	STILLWATER MINN INDPST SCH DIST		.08/11/2015	WELLS FARGO		848,576	715,000	0	1FE
882723-0E-2	TEXAS ST MUNI		.07/31/2015	WELLS		3,028,328	3,025,000	41,646	1
927734-C3-5	VIRGINIA BEACH VA MUNI		.07/16/2015	CITIGROUP GLOBAL MARKETS INC		1,654,169	1,350,000	16,875	1
928109-M2-0	VIRGINIA ST MUNI		.07/16/2015	WELLS FARGO		2,552,290	2,085,000	14,479	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						116,528,433	106,573,388	320,128	XXX
06406H-DD-8	BANK OF NEW YORK MELLON COR		.08/10/2015	WELLS FARGO		1,179,445	1,180,000	0	1FE
09062X-AF-0	BIOGEN INC		.09/10/2015	MERRILL LYNCH		1,416,649	1,420,000	0	2FE
12189L-AY-7	BURLINGTON NORTH		.08/14/2015	VARIOUS		2,273,300	2,280,000	0	2FE
12592M-BG-4	COMM_14-LC17	CMBS	.09/15/2015	MORGAN STANLEY		8,909,670	8,526,000	14,575	1FE
12593J-BF-2	COMM_15-CORE24	CMBS	.07/24/2015	DEUTSCHE BANK		5,149,613	5,000,000	2,567	1FE
12593P-AW-2	COMM	CMBS	.08/13/2015	DEUTSCHE BANK		10,299,784	10,000,000	25,060	1FE
126191-AA-3	COMM_12-9WS7	CMBS	.08/20/2015	DEUTSCHE BANK		4,816,426	4,750,000	5,928	1FE
126281-AZ-7	CSAIL_15-C1	CMBS	.07/31/2015	CREDIT SUISSE SECURITIES (USA) LLC		6,682,305	6,500,000	2,531	1FE
14042E-3Y-4	CAPITAL ONE USA		.07/16/2015	GOLDMAN SACHS		1,683,341	1,725,000	25,161	2FE
151020-AQ-7	CELGENE CORPORATION		.08/12/2015	VARIOUS		3,468,350	3,474,000	189	2FE
235851-AQ-5	DANAHER CORPORATION		.09/10/2015	CITIGROUP GLOBAL MARKETS INC		479,314	480,000	0	1FE
25468P-DF-0	THE WALT DISNEY COMPANY		.09/14/2015	GOLDMAN SACHS		2,713,526	2,720,000	0	1FE
26442U-AA-2	DUKE ENERGY PROGRESS INC		.08/10/2015	WELLS FARGO		4,376,611	4,390,000	0	1FE
34528Q-CV-4	FORD_13-5:A1	ABS FTST	.08/20/2015	MORGAN STANLEY		14,254,885	14,195,000	5,915	1FE
34528Q-EC-4	FORD_15-5:A1	ABS FTST	.08/12/2015	BARCLAYS CAPITAL		2,998,735	3,000,000	0	1FE
35804G-AK-4	FRESENIUS US FINANCE II INC		.09/16/2015	GOLDMAN SACHS		1,035,000	1,035,000	0	3FE
375558-BF-9	GILEAD SCIENCES INC		.09/09/2015	J.P. MORGAN		771,869	775,000	0	1FE
40428H-PV-8	HSBC BANK USA		.08/04/2015	HSBC SECURITIES INC.		8,717,322	8,725,000	0	1FE
43814M-AD-9	HART_15-3 ; A4	ABS FTST	.08/12/2015	BARCLAYS CAPITAL		4,689,875	4,700,000	0	1FE
44918L-AD-4	HART_15-C: A3	ABS FTST	.09/10/2015	SG AMERICAS SECURITIES LLC		8,998,356	9,000,000	0	1FE
665772-CN-7	NORTHERN STATES POWER		.08/04/2015	MORGAN STANLEY		978,752	995,000	0	1FE
69353R-ES-3	PNC FINANCIAL SERVICES GROUP INC		.07/16/2015	J.P. MORGAN		2,298,390	2,300,000	0	1FE
709599-AU-8	PENSKE TRUCK LEASING COMPANY LP		.07/16/2015	MERRILL LYNCH		2,196,158	2,205,000	0	2FE
828807-CU-9	SIMON PROPERTY GROUP LP		.08/10/2015	CITIGROUP GLOBAL MARKETS INC		2,733,441	2,735,000	0	1FE
857477-AT-0	STATE STREET CORPORATION		.08/13/2015	GOLDMAN SACHS		2,776,275	2,780,000	0	1FE
883556-AZ-5	THERMO FISHER SCIENTIFIC INC		.09/03/2015	VARIOUS		6,292,315	6,190,000	88,315	2FE
94974B-GM-6	WELLS FARGO & CO		.07/15/2015	WELLS FARGO		2,743,463	2,745,000	0	1FE
94989N-BE-6	WFCM_15-C30	CMBS	.07/27/2015	WELLS FARGO		7,724,543	7,500,000	8,397	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						122,667,713	121,325,000	178,638	XXX
8399997. Total - Bonds - Part 3						322,824,295	302,621,978	1,063,832	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						322,824,295	302,621,978	1,063,832	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						322,824,295	XXX	1,063,832	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
36183W-5Y-4	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		61,416	61,416	65,100	0	0	(91)	0	(91)	0	61,416	0	0	0	958	01/01/2045	1
36202E-VP-1	GOVERNMENT NATIONAL MORTGAGE	GNMA	09/21/2015	MBS PAYDOWN		135,470	135,470	136,423	135,619	0	(149)	0	(149)	0	135,470	0	0	0	5,414	08/01/2038	1
912828-EE-6	UNITED STATES TREASURY	GOVERNMENT	08/15/2015	MATURITY		500,000	500,000	547,189	512,103	0	(12,103)	0	(12,103)	0	500,000	0	0	0	21,250	08/15/2015	1
912828-MM-7	UNITED STATES TREASURY	NOTE GOVERNMENT	07/03/2015	PRIOR YEAR INCOME		0	0	0	0	0	0	0	0	0	0	0	0	0	1,250	03/31/2015	1
912828-NP-1	UNITED STATES TREASURY	NOTES GOVERNMENT	07/31/2015	VARIOUS		2,065,000	2,065,000	2,148,172	2,080,746	0	(15,746)	0	(15,746)	0	2,065,000	0	0	0	36,140	07/31/2015	1
0599999. Subtotal - Bonds - U.S. Governments						2,761,886	2,761,886	2,896,884	2,728,468	0	(28,089)	0	(28,089)	0	2,761,886	0	0	0	65,012	XXX	XXX
20772J-RD-6	CONNECTICUT ST	MUNI	08/25/2015	MORGAN STANLEY CITIGROUP GLOBAL MARKETS INC		11,542,300	10,000,000	11,568,800	11,451,427	0	(95,582)	0	(95,582)	0	11,355,845	0	186,455	186,455	434,722	10/15/2027	1FE
246381-CP-0	DELAWARE STATE	MUNI	07/28/2015	INC		3,382,979	2,850,000	3,580,968	3,424,107	0	(52,753)	0	(52,753)	0	3,371,355	0	11,624	11,624	142,500	02/01/2021	1FE
419791-6F-0	HAWAII ST	MUNI	07/28/2015	WELLS FARGO		6,639,985	5,500,000	6,701,310	6,688,619	0	(148,051)	0	(148,051)	0	6,540,568	0	99,417	99,417	187,917	08/01/2023	1FE
574192-6C-9	MARYLAND ST	MUNI	08/01/2015	MATURITY		5,000,000	5,000,000	5,804,600	5,102,962	0	(102,962)	0	(102,962)	0	5,000,000	0	0	0	250,000	08/01/2015	1FE
575827-6R-6	MASSACHUSETTS ST	MUNI	07/30/2015	UBS SECURITIES		114,356	100,000	124,437	113,404	0	(2,008)	0	(2,008)	0	111,396	0	2,960	2,960	4,171	11/01/2018	1FE
575839-6D-5	MASSACHUSETTS ST FED HIWY	MUNI	09/28/2015	WELLS FARGO		1,823,217	1,505,000	1,810,124	1,810,124	0	(4,479)	0	(4,479)	0	1,805,645	0	17,572	17,572	21,739	06/15/2026	1FE
605581-FQ-5	MISSISSIPPI STATE	MUNI	07/29/2015	J.P. MORGAN U.S. BANCORP INVESTMENTS, INC		6,032,500	5,000,000	5,998,700	5,998,700	0	(2,297)	0	(2,297)	0	5,996,403	0	36,097	36,097	84,722	10/01/2026	1
647293-QA-0	NEW MEXICO ST	MUNI	09/28/2015	INVESTMENTS, INC		3,294,220	2,740,000	3,247,859	3,247,859	0	(20,286)	0	(20,286)	0	3,227,573	0	66,647	66,647	70,022	03/01/2022	1FE
928173-YU-6	VIRGINIA ST PUB SCHOOL AUTH	MUNI	07/30/2015	VARIOUS		2,336,060	2,000,000	2,457,080	2,314,249	0	(31,636)	0	(31,636)	0	2,282,613	0	53,447	53,447	100,833	08/01/2020	1FE
97705M-AH-8	WISC ST FOR ISSUES DTD PR	MUNI	07/30/2015	RBC CAPITAL MARKETS		4,501,609	3,860,000	4,476,133	4,476,133	0	(11,650)	0	(11,650)	0	4,464,483	0	37,126	37,126	18,228	05/01/2020	1FE
97705M-AJ-4	WISC ST FOR ISSUES DTD PR	MUNI	07/29/2015	J.P. MORGAN		2,561,736	2,165,000	2,547,123	2,547,123	0	(5,733)	0	(5,733)	0	2,541,389	0	20,347	20,347	9,923	05/01/2021	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						47,228,962	40,720,000	48,317,134	29,094,768	0	(477,437)	0	(477,437)	0	46,697,270	0	531,692	531,692	1,324,777	XXX	XXX
584002-RR-2	MECKLENBURG CNTY NC	MUNI	08/25/2015	CITIGROUP GLOBAL MARKETS INC		5,986,000	5,000,000	6,330,450	6,075,855	0	(97,157)	0	(97,157)	0	5,978,698	0	7,302	7,302	185,417	12/01/2021	1FE
741701-W5-1	PRINC GEORGES CNTY, MD	MUNI	08/03/2015	CITIGROUP GLOBAL MARKETS INC		2,349,740	2,000,000	2,391,080	2,387,252	0	(39,358)	0	(39,358)	0	2,347,894	0	1,846	1,846	85,000	09/01/2020	1FE
78615R-CU-5	SACRAMENTO CNTY CALIF WTR FING	MUNI	08/03/2015	MORGAN STANLEY		906,100	1,000,000	810,000	825,938	0	4,446	0	4,446	0	830,384	0	75,716	75,716	4,865	06/01/2034	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						9,241,840	8,000,000	9,531,530	9,289,045	0	(132,069)	0	(132,069)	0	9,156,976	0	84,864	84,864	275,282	XXX	XXX
30382A-CF-7	FAIRFAX CNTY VA	MUNI	08/03/2015	WELLS FARGO		6,110,450	5,000,000	6,167,000	6,153,248	0	(72,284)	0	(72,284)	0	6,080,963	0	29,487	29,487	188,889	10/01/2023	1FE
31286P-TZ-6	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		1,743	1,743	1,797	1,748	0	(5)	0	(5)	0	1,743	0	0	0	64	01/01/2017	1
3128M6-P2-8	FGOLD 30 YR	FNMA	09/01/2015	MBS PAYDOWN		39,137	39,137	39,569	39,196	0	(59)	0	(59)	0	39,137	0	0	0	1,527	06/01/2038	1
3128M8-RT-3	FGOLD 30YR GIANY	FHLMC	09/01/2015	MBS PAYDOWN		47,199	47,199	50,695	47,538	0	(339)	0	(339)	0	47,199	0	0	0	1,268	04/01/2041	1
3128M8-N7-8	FGOLD 15YR GIANT	FHLMC	09/01/2015	MBS PAYDOWN		10,721	10,721	11,375	10,838	0	(117)	0	(117)	0	10,721	0	0	0	357	12/01/2021	1
312971-BM-5	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		11,587	11,587	12,293	11,675	0	(88)	0	(88)	0	11,587	0	0	0	386	04/01/2020	1
312971-CQ-5	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		8,168	8,168	8,666	8,239	0	(71)	0	(71)	0	8,168	0	0	0	272	04/01/2020	1
312971-FQ-2	FGOLD 15YR	FHLMC	08/17/2015	VARIOUS		1,171,556	1,107,133	1,174,599	1,151,741	0	(14,939)	0	(14,939)	0	1,136,802	0	34,754	34,754	39,098	04/01/2020	1
312971-MS-0	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		18,345	18,345	19,463	18,563	0	(218)	0	(218)	0	18,345	0	0	0	610	05/01/2020	1
312972-DX-7	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		39,977	39,977	42,413	40,396	0	(420)	0	(420)	0	39,977	0	0	0	1,304	04/01/2020	1
312972-EK-4	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		9,539	9,539	10,120	9,643	0	(104)	0	(104)	0	9,539	0	0	0	317	04/01/2020	1
312972-GT-3	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		10,895	10,895	11,559	11,028	0	(133)	0	(133)	0	10,895	0	0	0	364	04/01/2020	1
312972-GZ-9	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		15,531	15,531	16,477	15,647	0	(116)	0	(116)	0	15,531	0	0	0	518	04/01/2020	1
31323K-ZO-2	FGOLD 30 YR	FHLMC	09/01/2015	MBS PAYDOWN		38,297	38,297	41,133	38,565	0	(267)	0	(267)	0	38,297	0	0	0	1,010	11/01/2041	1
31324R-NC-0	FEDERAL HOME LOAN MTGE CO	FHLMC	09/01/2015	MBS PAYDOWN		597,036	597,036	620,824	598,293	0	(1,257)	0	(1,257)	0	597,036	0	0	0	12,494	01/01/2043	1
3132M3-T6-4	FEDERAL HOME LOAN MTGE CO	FHLMC	09/01/2015	MBS PAYDOWN		14,494	14,494	14,834	14,520	0	(26)	0	(26)	0	14,494	0	0	0	373	12/01/2043	1
31336W-CP-2	FGOLD 15YR	FHLMC	09/01/2015	MBS PAYDOWN		19,452	19,452	20,637	19,657	0	(205)	0	(205)	0	19,452	0	0	0	646	10/01/2020	1
313647-HB-1	FED NTL MTG ASSO	12-67-KG	08/31/2015	VARIOUS		5,187,304	4,925,805	5,183,640	5,196,137	0	(29,450)	0	(29,450)	0	5,166,687	0	20,617	20,617	126,921	02/01/2041	1
31371K-NX-5	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		12,594	12,594	13,033	12,647	0	(54)	0	(54)	0	12,594	0	0	0	460	05/01/2017	1
3138AS-YN-6	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		75,291	75,291	79,326	75,291	0	(77)	0	(77)	0	75,291	0	0	0	720	10/01/2041	1
3138E9-EX-4	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		4,410	4,410	4,523	4,410	0	(2)	0	(2)	0	4,410	0	0	0	56	09/01/2042	1
3138EE-HB-8	FED NTL MTG ASSO	FNMA 30YR	09/01/2015	MBS PAYDOWN		159,231	159,231	169,002	159,847	0	(617)	0	(617)	0	159,231	0	0	0	3,859	06/01/2042	1
3138EK-FA-8	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		77,687	77,687	79,435	77,687	0	(86)	0	(86)	0	77,687	0	0	0	974	12/01/2042	1
3138EK-G7-4	FANNIE MAE	FNMA	09/01/2015	MBS PAYDOWN		425,845	425,845	450,531	428,068	0	(2,223)	0	(2,223)	0	425,845	0	0	0	9,883	08/01/2042	1
3138EK-GE-9	FNMA 30YR TBA	FNMA	09/01/2015	MBS PAYDOWN		44,089	44,089	45,784	44,220	0	(131)	0	(131)	0	44,089	0	0	0	1,018	12/01/2042	1
3138EK-GU-3	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		40,561	40,561	44,085	40,561	0	(3)	0	(3)	0	40,561	0	0	0	152	03/01/	

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3138EP-84-7	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		71,128	71,128	75,873	.0	.0	(4)	.0	(4)	.0	71,128	.0	.0	.0	237	07/01/2045	1
3138LS-R8-5	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		1,471	1,471	1,455	1,470	.0	.1	.0	1	.0	1,471	.0	.0	.0	32	12/01/2042	1
3138LS-SB-7	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		4,967	4,967	4,911	4,963	.0	.4	.0	4	.0	4,967	.0	.0	.0	105	12/01/2042	1
3138LV-2K-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		239,414	239,414	253,779	240,981	.0	(1,567)	.0	(1,567)	.0	239,414	.0	.0	.0	5,681	07/01/2042	1
3138LV-HB-9	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,321	2,321	2,296	2,320	.0	.1	.0	1	.0	2,321	.0	.0	.0	51	11/01/2042	1
3138LX-T4-1	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,620	2,620	2,592	2,619	.0	.1	.0	1	.0	2,620	.0	.0	.0	46	08/01/2042	1
3138M6-P7-6	FED NTL MTG ASSO FNMA 30 YR		09/01/2015	MBS PAYDOWN		222	222	220	220	.0	.0	.0	0	.0	222	.0	.0	.0	4	12/01/2042	1
3138M7-GY-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		4,341	4,341	4,295	4,335	.0	.6	.0	6	.0	4,341	.0	.0	.0	92	09/01/2042	1
3138M7-GZ-2	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		3,299	3,299	3,262	3,296	.0	.3	.0	3	.0	3,299	.0	.0	.0	66	09/01/2042	1
3138M7-W2-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		4,704	4,704	4,651	4,701	.0	.4	.0	4	.0	4,704	.0	.0	.0	96	08/01/2042	1
3138M9-3L-3	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		355	355	352	355	.0	.0	.0	0	.0	355	.0	.0	.0	7	09/01/2042	1
3138MB-AT-3	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,470	2,470	2,445	2,469	.0	.1	.0	1	.0	2,470	.0	.0	.0	44	10/01/2042	1
3138MC-2V-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,988	1,988	1,967	1,986	.0	.2	.0	2	.0	1,988	.0	.0	.0	41	10/01/2042	1
3138MC-FB-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,383	2,383	2,358	2,381	.0	.1	.0	1	.0	2,383	.0	.0	.0	43	10/01/2042	1
3138MC-T4-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		219	219	217	219	.0	.0	.0	0	.0	219	.0	.0	.0	4	01/01/2043	1
3138MD-WE-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,577	2,577	2,551	2,576	.0	.1	.0	1	.0	2,577	.0	.0	.0	46	09/01/2042	1
3138MF-NJ-2	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,697	2,697	2,669	2,696	.0	.1	.0	1	.0	2,697	.0	.0	.0	48	09/01/2042	1
3138MF-W3-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		4,902	4,902	4,851	4,896	.0	.6	.0	6	.0	4,902	.0	.0	.0	99	11/01/2042	1
3138MF-W5-2	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		4,788	4,788	4,738	4,782	.0	.5	.0	5	.0	4,788	.0	.0	.0	96	11/01/2042	1
3138MG-K9-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,775	2,775	2,746	2,770	.0	.4	.0	4	.0	2,775	.0	.0	.0	55	11/01/2042	1
3138MG-WC-6	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		909	909	899	908	.0	.1	.0	1	.0	909	.0	.0	.0	18	01/01/2043	1
3138MG-RH-0	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		169	169	167	169	.0	.0	.0	0	.0	169	.0	.0	.0	3	12/01/2042	1
3138MH-KP-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		272	272	270	272	.0	.0	.0	0	.0	272	.0	.0	.0	5	12/01/2042	1
3138MH-KQ-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		677	677	670	677	.0	.0	.0	0	.0	677	.0	.0	.0	13	12/01/2042	1
3138MH-XJ-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		198	198	195	197	.0	.0	.0	0	.0	198	.0	.0	.0	4	10/01/2042	1
3138MJ-6T-1	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,100	1,100	1,089	1,100	.0	.0	.0	0	.0	1,100	.0	.0	.0	22	11/01/2042	1
3138MJ-GD-5	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		8,092	8,092	8,008	8,085	.0	.6	.0	6	.0	8,092	.0	.0	.0	166	12/01/2042	1
3138MJ-QU-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		542	542	536	542	.0	.0	.0	0	.0	542	.0	.0	.0	11	10/01/2042	1
3138MK-B7-0	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,346	1,346	1,332	1,345	.0	.1	.0	1	.0	1,346	.0	.0	.0	29	11/01/2042	1
3138ML-5C-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		16,610	16,610	16,422	16,598	.0	.12	.0	12	.0	16,610	.0	.0	.0	316	12/01/2042	1
3138ML-MY-7	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		206	206	204	206	.0	.0	.0	0	.0	206	.0	.0	.0	4	12/01/2042	1
3138ML-TC-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		972	972	962	971	.0	.1	.0	1	.0	972	.0	.0	.0	19	12/01/2042	1
3138ML-TD-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		9,940	9,940	9,828	9,931	.0	.9	.0	9	.0	9,940	.0	.0	.0	206	12/01/2042	1
3138ML-WIN-0	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		4,016	4,016	3,970	4,013	.0	.3	.0	3	.0	4,016	.0	.0	.0	75	11/01/2042	1
3138MI-AB-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		5,241	5,241	5,186	5,238	.0	.3	.0	3	.0	5,241	.0	.0	.0	102	12/01/2042	1
3138MI-AC-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		14,826	14,826	14,659	14,815	.0	.11	.0	11	.0	14,826	.0	.0	.0	302	12/01/2042	1
3138MI-C6-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,628	2,628	2,600	2,627	.0	.1	.0	1	.0	2,628	.0	.0	.0	53	12/01/2042	1
3138MI-CE-0	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		295	295	292	295	.0	.0	.0	0	.0	295	.0	.0	.0	6	11/01/2042	1
3138MI-J3-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		447	447	443	447	.0	.0	.0	0	.0	447	.0	.0	.0	9	12/01/2042	1
3138MI-KP-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,602	1,602	1,586	1,600	.0	.2	.0	2	.0	1,602	.0	.0	.0	36	01/01/2043	1
3138MI-NW-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		8,304	8,304	8,218	8,297	.0	.7	.0	7	.0	8,304	.0	.0	.0	164	11/01/2042	1
3138MI-Y6-3	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		4,001	4,001	3,959	3,998	.0	.3	.0	3	.0	4,001	.0	.0	.0	84	11/01/2042	1
3138MN-2Y-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		202	202	199	202	.0	.0	.0	0	.0	202	.0	.0	.0	4	12/01/2042	1
3138MN-5G-1	FED NTL MTG ASSO FNMA 30YR TBA		09/01/2015	MBS PAYDOWN		3,343	3,343	3,309	3,341	.0	.3	.0	3	.0	3,343	.0	.0	.0	70	01/01/2043	1
3138MN-A3-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		6,039	6,039	5,971	6,035	.0	.4	.0	4	.0	6,039	.0	.0	.0	114	11/01/2042	1
3138MN-AS-9	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		6,125	6,125	6,056	6,122	.0	.3	.0	3	.0	6,125	.0	.0	.0	137	12/01/2042	1
3138MN-AT-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		276	276	273	276	.0	.0	.0	0	.0	276	.0	.0	.0	6	12/01/2042	1
3138MN-EN-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,885	1,885	1,866	1,884	.0	.1	.0	1	.0	1,885	.0	.0	.0	41	12/01/2042	1
3138MN-JQ-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		452	452	447	452	.0	.0	.0	0	.0	452	.0	.0	.0	9	01/01/2043	1
3138MN-QL-7	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		4,566	4,566	4,514	4,563	.0	.3	.0	3	.0	4,566	.0	.0	.0	89	12/01/2042	1
3138MP-2W-4	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		264	264	262	264	.0	.0	.0	0	.0	264	.0	.0	.0	5	01/01/2043	1
3138MP-2X-2	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		504	504	498	504	.0	.0	.0	0	.0	504	.0	.0	.0	10	12/01/2042	1
3138MP-BU-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,723	2,723	2,695	2,722	.0	.1	.0	1	.0	2,723	.0	.0	.0	49	12/01/2042	1
3138MP-DQ-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,301	2,301	2,277	2,300	.0	.2	.0	2	.0	2,301	.0	.0	.0	46	01/01/2043	1
3138MP-DV-4	FED NTL MTG ASSO		09/01/2015	MBS PAYDOWN		161,616	161,616	165,252	.0	.0	(194)	.0	(194)	.0	161,616	.0	.0	.0	2,027	01/01/2043	1
3138MQ-HG-1	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		2,689	2,689	2,661	2,688	.0	.1	.0	1	.0	2,689	.0	.0	.0	54	12/01/2042	1
3138MQ-J3-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,763	1,763	1,743	1,762	.0	.1	.0	1	.0	1,763	.0	.0	.0	38	12/01/2042	1
3138MQ-JZ-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,301	2,301	2,277	2,300	.0	.1	.0	1	.0	2,301	.0	.0	.0	42	12/01/2042	1

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3138MQ-NA-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		10,957	10,957	10,833	10,948	0	9	0	9	0	10,957	0	0	0	213	01/01/2043	1
3138MQ-TN-3	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,215	1,215	1,203	1,214	0	1	0	1	0	1,215	0	0	0	25	12/01/2042	1
3138MQ-TP-8	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		6,354	6,354	6,282	6,348	0	5	0	5	0	6,354	0	0	0	131	12/01/2042	1
3138MQ-WF-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		240	240	237	240	0	0	0	0	0	240	0	0	0	5	12/01/2042	1
3138MQ-WG-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		642	642	635	642	0	0	0	0	0	642	0	0	0	13	12/01/2042	1
3138MR-2P-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		5,288	5,288	5,228	5,278	0	10	0	10	0	5,288	0	0	0	111	12/01/2042	1
3138MR-SR-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,779	2,779	2,750	2,775	0	4	0	4	0	2,779	0	0	0	62	01/01/2043	1
3138MR-D2-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,721	2,721	2,692	2,719	0	1	0	1	0	2,721	0	0	0	60	12/01/2042	1
3138MR-DZ-1	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		557	557	551	557	0	0	0	0	0	557	0	0	0	11	12/01/2042	1
3138MR-M7-3	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		407	407	403	407	0	0	0	0	0	407	0	0	0	8	12/01/2042	1
3138MR-RX-1	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,947	1,947	1,927	1,945	0	2	0	2	0	1,947	0	0	0	39	12/01/2042	1
3138MR-TR-2	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,343	2,343	2,319	2,342	0	1	0	1	0	2,343	0	0	0	42	12/01/2042	1
3138MR-TS-0	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		3,332	3,332	3,295	3,331	0	1	0	1	0	3,332	0	0	0	59	12/01/2042	1
3138MR-UJ-8	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		2,295	2,295	2,271	2,294	0	2	0	2	0	2,295	0	0	0	42	12/01/2042	1
3138MR-XV-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,115	2,115	2,093	2,114	0	1	0	1	0	2,115	0	0	0	38	01/01/2043	1
3138MS-A2-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,659	2,659	2,629	2,658	0	1	0	1	0	2,659	0	0	0	53	12/01/2042	1
3138MS-A3-3	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		214	214	212	214	0	0	0	0	0	214	0	0	0	4	12/01/2042	1
3138MS-C8-0	FED NTL MTG ASSO		09/01/2015	MBS PAYDOWN		23,656	23,656	24,189	0	0	(25)	0	(25)	0	23,656	0	0	0	283	02/01/2043	1
3138NW-VM-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		188	188	186	188	0	0	0	0	0	188	0	0	0	4	01/01/2043	1
3138NX-FV-4	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		9,866	9,866	9,754	9,858	0	9	0	9	0	9,866	0	0	0	191	01/01/2043	1
3138NX-J5-7	FED NTL MTG ASSO		09/01/2015	MBS PAYDOWN		100,766	100,766	103,065	0	0	(101)	0	(101)	0	100,766	0	0	0	1,222	01/01/2043	1
3138NX-L7-0	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		265	265	263	265	0	0	0	0	0	265	0	0	0	5	12/01/2042	1
3138NX-MH-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,084	2,084	2,063	2,082	0	2	0	2	0	2,084	0	0	0	42	01/01/2043	1
3138NX-RK-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		3,220	3,220	3,186	3,218	0	1	0	1	0	3,220	0	0	0	64	01/01/2043	1
3138NY-AR-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		3,267	3,267	3,233	3,266	0	1	0	1	0	3,267	0	0	0	65	01/01/2043	1
3138NY-DM-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,509	2,509	2,483	2,507	0	2	0	2	0	2,509	0	0	0	51	01/01/2043	1
3138NY-FX-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		4,225	4,225	4,181	4,221	0	4	0	4	0	4,225	0	0	0	84	01/01/2043	1
3138NY-HT-5	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		363	363	359	362	0	0	0	0	0	363	0	0	0	7	01/01/2043	1
3138NY-OP-3	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		253	253	250	253	0	0	0	0	0	253	0	0	0	5	02/01/2043	1
3138NY-U9-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		541	541	534	540	0	0	0	0	0	541	0	0	0	11	01/01/2043	1
3138W0-2A-6	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		346	346	342	346	0	0	0	0	0	346	0	0	0	7	01/01/2043	1
3138W0-2X-6	FED NTL MTG ASSO FNMA 30 YR		09/01/2015	MBS PAYDOWN		4,823	4,823	4,772	4,820	0	3	0	3	0	4,823	0	0	0	97	01/01/2043	1
3138W0-FU-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,763	1,763	1,745	1,761	0	2	0	2	0	1,763	0	0	0	32	01/01/2043	1
3138W0-G6-0	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		540	540	535	540	0	0	0	0	0	540	0	0	0	11	01/01/2043	1
3138W0-SZ-3	FED NTL MTG ASSO		09/01/2015	MBS PAYDOWN		26,541	26,541	27,138	0	0	(31)	0	(31)	0	26,541	0	0	0	332	05/01/2043	1
3138W0-YR-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,877	1,877	1,858	1,876	0	1	0	1	0	1,877	0	0	0	42	01/01/2043	1
3138W1-4Q-7	FED NTL MTG ASSO 30YR		09/01/2015	MBS PAYDOWN		119,086	119,086	123,282	119,334	0	(248)	0	(248)	0	119,086	0	0	0	2,302	03/01/2043	1
3138W1-7M-3	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		711	711	704	711	0	1	0	1	0	711	0	0	0	14	04/01/2043	1
3138W1-K3-0	FED NTL MTG ASSO		09/01/2015	MBS PAYDOWN		95,796	95,796	97,951	0	0	(99)	0	(99)	0	95,796	0	0	0	1,188	02/01/2043	1
3138W1-LY-1	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,521	2,521	2,495	2,520	0	1	0	1	0	2,521	0	0	0	50	03/01/2043	1
3138W3-FS-7	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		3,775	3,775	3,736	3,773	0	2	0	2	0	3,775	0	0	0	69	02/01/2043	1
3138W4-AP-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,210	1,210	1,197	1,209	0	1	0	1	0	1,210	0	0	0	22	04/01/2043	1
3138W6-WQ-5	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		230	230	228	230	0	0	0	0	0	230	0	0	0	5	03/01/2043	1
3138W7-GB-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		5,423	5,423	5,367	5,419	0	4	0	4	0	5,423	0	0	0	112	03/01/2043	1
3138W7-GD-0	FED NTL MTG ASSO		09/01/2015	MBS PAYDOWN		176,348	176,348	180,316	0	0	(185)	0	(185)	0	176,348	0	0	0	2,245	03/01/2043	1
3138W7-GF-5	FNMA 30YR TBA		08/25/2015	VARIOUS		9,428,057	9,406,529	9,092,734	9,088,297	0	27,774	0	27,774	0	9,116,070	0	311,987	311,987	197,040	03/01/2043	1
3138W7-IP-5	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		823	823	814	822	0	1	0	1	0	823	0	0	0	17	04/01/2043	1
3138W9-GM-6	FED NTL MTG ASSO		09/01/2015	MBS PAYDOWN		44,582	44,582	45,594	0	0	(37)	0	(37)	0	44,582	0	0	0	562	08/01/2043	1
3138W9-KQ-2	FED NTL MTG ASSO FNMA		09/28/2015	VARIOUS		608,815	601,539	604,077	0	0	(31)	0	(31)	0	604,046	0	4,769	4,769	2,897	08/01/2043	1
3138WD-6X-4	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		4,701	4,701	4,851	0	0	(6)	0	(6)	0	4,701	0	0	0	40	02/01/2030	1
3138WE-AC-3	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		321,854	321,854	337,897	0	0	(913)	0	(913)	0	321,854	0	0	0	4,137	03/01/2030	1
3138WE-AJ-8	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		21,153	21,153	22,191	0	0	(61)	0	(61)	0	21,153	0	0	0	280	02/01/2030	1
3138WE-AM-1	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		48,653	48,653	51,079	0	0	(148)	0	(148)	0	48,653	0	0	0	663	02/01/2030	1
3138WE-HA-4	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		51,078	51,078	52,714	0	0	(52)	0	(52)	0	51,078	0	0	0	414	04/01/2030	1
3138WM-P4-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,882	2,882	2,852	2,881	0	1	0	1	0	2,882	0	0	0	64	03/01/2043	1
3138WM-XV-8	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		5,126	5,126	5,073	5,123	0	3	0	3	0	5,126	0	0	0	111	03/01/2043	1
3138WN-ZQ-7	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		225	225	223	225	0	0	0	0	0	225	0	0	0	4	03/01/2043	1
3138WN-7K-9	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		430	430	426	430	0	1	0	1	0	430	0	0	0	9	04/01/2043	1

E05.2

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
3138WP-JE-5	FNMA 15YR		09/01/2015	MBS PAYDOWN		162,654	162,654	169,935	163,463	0	(810)	0	(810)	0	162,654	0	0	0	2,734	04/01/2028	1
3138WQ-M3-3	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		2,623	2,623	2,595	2,622	0	1	0	1	0	2,623	0	0	0	58	04/01/2043	1
3138WQ-Q3-9	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,397	1,397	1,397	1,397	0	1	0	1	0	1,397	0	0	0	27	05/01/2043	1
3138WU-5W-9	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		39,410	39,410	40,962	39,748	0	(338)	0	(338)	0	39,410	0	0	0	895	06/01/2043	1
3138WU-AG-8	FED NTL MTG ASSO FNMA		07/31/2015	VARIOUS		1,376,380	1,282,753	1,368,938	1,372,290	0	(5,378)	0	(5,378)	0	1,366,912	0	9,467	9,467	34,199	06/01/2043	1
3138WV-H4-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		270	270	267	270	0	0	0	0	0	270	0	0	0	5	05/01/2043	1
3138WV-N4-9	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		536	536	531	536	0	1	0	1	0	536	0	0	0	11	06/01/2043	1
3138WV-PF-2	FNMA 30YR TBA FNMA		08/31/2015	VARIOUS		17,994,270	17,952,804	17,675,097	17,665,892	0	21,206	0	21,206	0	17,687,098	0	307,172	307,172	376,489	05/01/2043	1
3138WV-KV-0	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		497	497	492	497	0	0	0	0	0	497	0	0	0	10	06/01/2043	1
3138WV-WH-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		244	244	244	244	0	0	0	0	0	244	0	0	0	5	07/01/2043	1
3138XB-PR-9	FED NTL MTG ASSO CMBS		07/28/2015	VARIOUS		495,999	463,049	494,160	496,854	0	(4,177)	0	(4,177)	0	492,677	0	3,322	3,322	12,306	10/01/2043	1
3138XQ-BE-0	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		78,183	78,183	82,080	78,183	0	(279)	0	(279)	0	78,183	0	0	0	982	04/01/2029	1
3138YF-E3-4	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		8,135	8,135	8,541	8,135	0	(18)	0	(18)	0	8,135	0	0	0	102	02/01/2030	1
31396A-MX-9	FEDERAL HOME LOAN MTGE CO FHLMC		08/31/2015	VARIOUS		352,997	318,195	326,672	325,612	0	(1,455)	0	(1,455)	0	324,157	0	28,840	28,840	12,833	09/01/2035	1
31406Y-4E-7	FNMA 30YR FNMA		08/10/2015	VARIOUS		204,754	185,831	194,832	195,090	0	(2,035)	0	(2,035)	0	193,055	0	11,699	11,699	6,469	05/01/2035	1
31410F-Z2-4	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		38,638	38,638	38,082	38,557	0	80	0	80	0	38,638	0	0	0	1,279	07/01/2020	1
31415W-PB-3	FED NTL MTG ASSO FNMA 30YR		08/10/2015	VARIOUS		1,733,124	1,554,746	1,658,294	1,661,176	0	(25,456)	0	(25,456)	0	1,635,720	0	97,405	97,405	59,304	11/01/2038	1
31416W-LC-4	FED NTL MTG ASSO 30YR		07/31/2015	VARIOUS		13,805,730	12,578,094	13,102,836	13,082,845	0	(57,836)	0	(57,836)	0	13,025,009	0	780,721	780,721	375,098	07/01/2040	1
31417C-H5-7	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		97,323	97,323	99,543	97,323	0	(122)	0	(122)	0	97,323	0	0	0	1,322	07/01/2042	1
31417C-Q0-1	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		1,222	1,222	1,208	1,222	0	0	0	0	0	1,222	0	0	0	24	08/01/2042	1
31417C-QS-7	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		232	232	229	231	0	0	0	0	0	232	0	0	0	5	08/01/2042	1
31417D-4F-7	FNMA 30YR TBA FNMA		09/01/2015	MBS PAYDOWN		4,187	4,187	4,139	4,183	0	4	0	4	0	4,187	0	0	0	87	12/01/2042	1
31417E-CQ-2	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		11,065	11,065	10,940	11,055	0	10	0	10	0	11,065	0	0	0	209	12/01/2042	1
31417E-CT-6	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		5,527	5,527	5,470	5,523	0	4	0	4	0	5,527	0	0	0	113	12/01/2042	1
31417E-WF-4	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		60,428	60,428	61,977	60,428	0	(80)	0	(80)	0	60,428	0	0	0	753	02/01/2043	1
31417F-2P-2	FED NTL MTG ASSO FNMA 30YR		09/01/2015	MBS PAYDOWN		61,689	61,689	63,096	61,689	0	(58)	0	(58)	0	61,689	0	0	0	770	04/01/2043	1
31417G-LW-4	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		12,664	12,664	12,949	12,664	0	(13)	0	(13)	0	12,664	0	0	0	150	05/01/2043	1
31417G-MA-1	FED NTL MTG ASSO FNMA		09/01/2015	MBS PAYDOWN		745	745	737	745	0	0	0	0	0	745	0	0	0	15	05/01/2043	1
31418A-VS-4	FED NTL MTG ASSO FNMA		09/30/2015	VARIOUS		8,108,206	7,990,920	8,024,631	8,024,631	0	(291)	0	(291)	0	8,024,341	0	83,866	83,866	28,582	08/01/2043	1
373384-T3-4	GA ST MUNI		07/29/2015	MERRILL LYNCH U.S. BANCORP INVESTMENTS, INC		4,318,100	3,755,000	4,287,159	4,287,159	0	(8,725)	0	(8,725)	0	4,278,433	0	39,666	39,666	12,517	07/01/2019	1FE
373384-Y6-7	GEORGIA ST HARRIS CNTY MUNI		09/28/2015	INVESTMENTS, INC		684,480	645,000	686,241	686,241	0	(2,029)	0	(2,029)	0	684,213	0	268	268	7,167	02/01/2017	1FE
41422E-DD-2	HARRIS CNTY MUNI		08/04/2015	STIFEL NICOLAUS AND CO CITIGROUP GLOBAL MARKETS		3,829,714	3,265,000	3,857,924	3,732,846	0	(24,484)	0	(24,484)	0	3,708,362	0	121,352	121,352	125,158	11/01/2024	1FE
494890-A2-2	KING COUNTY WA INC		07/28/2015	VARIOUS		1,736,960	1,475,000	1,720,750	1,720,750	0	(5,956)	0	(5,956)	0	1,714,794	0	22,166	22,166	20,076	12/01/2020	1FE
542690-XQ-0	LONG ISLAND POWER AUTH MUNI		08/03/2015	FIRST TENNESSEE		1,037,500	1,000,000	1,124,530	1,036,407	0	(15,222)	0	(15,222)	0	1,021,185	0	16,315	16,315	34,028	12/01/2016	1FE
544712-4U-3	LOS ANGELES CNTY CALIF MET TRA		09/28/2015	MERRILL LYNCH		2,272,290	1,935,000	2,284,906	2,284,906	0	(13,007)	0	(13,007)	0	2,271,899	0	391	391	23,650	07/01/2020	1FE
57582N-XH-3	MASSACHUSETTS ST MUNI		07/30/2015	VARIOUS		3,792,948	3,160,000	3,859,940	3,606,123	0	(36,683)	0	(36,683)	0	3,569,440	0	223,508	223,508	167,283	08/01/2021	1FE
59164G-DG-4	METRO WASTERWTR RECLEMATION DIS		08/03/2015	MERRILL LYNCH		388,150	350,000	432,789	394,407	0	(8,051)	0	(8,051)	0	386,357	0	1,793	1,793	14,826	04/01/2018	1FE
658256-H4-7	NORTH CAROLINA ST MUNI		09/28/2015	MERRILL LYNCH		997,974	900,000	1,025,793	1,023,756	0	(27,349)	0	(27,349)	0	996,407	0	1,567	1,567	41,000	05/01/2018	1FE
68607V-P3-3	OREGON ST DEPT ADMINISTRATIVE		07/28/2015	RAYMOND JAMES		1,252,751	1,075,000	1,244,463	1,244,463	0	(4,719)	0	(4,719)	0	1,239,744	0	13,007	13,007	17,917	04/01/2020	1FE
70914P-YH-9	PENNSYLVANIA ST MUNI		08/13/2015	MERRILL LYNCH		3,025,532	2,795,000	3,025,532	3,025,532	0	(9,778)	0	(9,778)	0	3,015,754	0	9,778	9,778	24,068	08/15/2017	1FE
882723-QE-2	TEXAS ST MUNI		08/31/2015	MATURITY		3,025,000	3,025,000	3,028,328	3,028,328	0	(3,328)	0	(3,328)	0	3,025,000	0	0	0	45,002	08/31/2015	1
927734-C3-5	VIRGINIA BEACH VA MUNI		07/29/2015	GOLDMAN SACHS		1,660,679	1,350,000	1,654,169	1,654,169	0	(996)	0	(996)	0	1,653,171	0	7,505	7,505	19,125	09/15/2024	1
3199999	Subtotal - Bonds - U.S. Special Revenues					111,284,179	103,961,197	110,147,944	68,628,849	0	(351,809)	0	(351,809)	0	109,070,535	0	2,213,645	2,213,645	2,173,813	XXX	XXX
023767-AA-4	AMERICAN AIRLINES INC CORPORATE		07/31/2015	CALLED SECURITY at 100.000		17,607	17,607	17,607	17,607	0	0	0	0	0	17,607	0	0	0	924	07/31/2021	1FE
0258MO-DA-4	AMERICAN EXPRESS CO CORPORATE		09/15/2015	MATURITY		525,000	525,000	521,934	524,528	0	472	0	472	0	525,000	0	0	0	14,438	09/15/2015	1FE
037411-AS-4	APACHE CORP CORPORATE		09/01/2015	CALLED SECURITY at 107.484		349,322	325,000	325,991	325,238	0	24,084	0	24,084	0	349,322	0	0	0	18,281	01/15/2017	2FE
05946X-BV-4	BANK OF AMERICA FDG CO 2003-1		09/21/2015	CALLED SECURITY at 100.000		249	249	254	253	0	(4)	0	(4)	0	249	0	0	0	10	05/20/2033	1FM
059500-AD-0	BACM_06-3 CMBS		09/10/2015	VARIOUS		18,327	18,327	20,548	20,264	0	(1,937)	0	(1,937)	0	18,327	0	0	0	741	07/10/2044	1FM
07388R-AF-4	BEAR STEARNS CO. CMBS		09/11/2015	VARIOUS		2,149	2,149	2,378	2,294	0	(146)	0	(146)	0	2,149	0	0	0	105	02/01/2044	1FM
12593J-BF-2	COMI_15-CORE24 CMBS		09/29/2015																		

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
14309U-AA-0	CARLYLE HOLDINGS FINANCE LLC CORPORATE		07/27/2015	BARCLAYS CAPITAL		331,152	325,000	324,890	324,903	0	14	0	14	0	324,917	0	6,235	6,235	12,559	02/01/2023	1FE	
172967-EM-9	CIT GROUP INC CORPORATE		07/29/2015	STIFEL NICOLAUS AND CO		7,362,788	6,721,000	7,529,134	7,150,639	0	(84,056)	0	(84,056)	0	7,066,582	0	296,206	296,206	288,163	11/21/2017	1FE	
20173M-AE-0	GCCFC 06-G67		09/14/2015	VARIOUS		156,632	156,632	180,983	177,735	0	(21,103)	0	(21,103)	0	156,632	0	0	0	6,262	07/01/2038	1FM	
20173Q-AE-1	GCCFC 07-GC9		09/14/2015	VARIOUS		241,383	241,383	273,935	247,365	0	(5,983)	0	(5,983)	0	241,383	0	0	0	8,747	03/10/2039	1FM	
20173W-AF-5	CMLTI		09/10/2015	VARIOUS		6,557	6,557	7,447	7,322	0	(765)	0	(765)	0	6,557	0	0	0	266	12/01/2049	1FM	
22545L-AD-1	C5MC 06-C5		09/17/2015	VARIOUS		21,236	21,236	23,027	21,697	0	(462)	0	(462)	0	21,236	0	0	0	781	12/15/2039	1FM	
25468P-DF-0	THE WALT DISNEY COMPANY		09/28/2015	J.P. MORGAN		2,752,558	2,720,000	2,713,526	0	0	(3)	0	(3)	0	2,713,524	0	39,035	39,035	2,856	09/17/2025	1FE	
345397-WA-7	FUEL TRUST		07/27/2015	J.P. MORGAN		5,471,980	5,350,000	5,350,000	5,350,000	0	0	0	0	0	5,350,000	0	121,980	121,980	133,215	12/15/2022	2FE	
361448-AP-8	GATX CORPORATION		07/29/2015	WELLS FARGO		4,186,398	4,175,000	4,163,978	4,167,697	0	1,187	0	1,187	0	4,168,885	0	17,513	17,513	99,983	07/30/2018	2FE	
42217K-AY-2	HEALTH CARE REIT INC		07/29/2015	WELLS FARGO		6,855,550	6,500,000	6,480,110	6,486,881	0	1,682	0	1,682	0	6,488,563	0	366,987	366,987	224,927	04/01/2019	2FE	
428236-BQ-5	HEWLETT-PACKARD COMPANY		08/11/2015	MORGAN STANLEY		734,328	705,000	703,703	704,026	0	92	0	92	0	704,118	0	30,210	30,210	28,188	09/15/2021	2FE	
46625H-KA-7	J.P. MORGAN CHASE & CO		09/28/2015	J.P. MORGAN		989,410	1,000,000	987,860	0	0	742	0	742	0	988,602	0	808	808	15,375	01/23/2020	1FE	
46629G-AE-8	JP MORGAN COM MTG 2006-C1BC16		09/14/2015	100.000		670,282	670,282	673,614	673,339	0	(3,057)	0	(3,057)	0	670,282	0	0	0	27,144	05/01/2045	1FM	
46629P-AC-2	JPMCC 06-LDP9		09/15/2015	VARIOUS		23,726	23,726	27,152	25,996	0	(2,270)	0	(2,270)	0	23,726	0	0	0	869	05/01/2047	1FM	
46629Y-AC-3	JPMORGAN CHASE 07-CB18		09/14/2015	VARIOUS		9,732	9,732	11,113	10,595	0	(863)	0	(863)	0	9,732	0	0	0	352	06/01/2047	1FM	
46630J-AC-3	JP MORGAN CHASE		09/15/2015	VARIOUS		5,624	5,624	6,465	6,199	0	(575)	0	(575)	0	5,624	0	0	0	206	01/01/2049	1FM	
46632H-AG-6	JPMCC 07-LD12		09/15/2015	VARIOUS		1,531	1,531	1,744	1,674	0	(143)	0	(143)	0	1,531	0	0	0	60	02/01/2051	1FM	
50179A-AE-7	LB-LBS CMBS 2007-C1		09/17/2015	VARIOUS		5,801	5,801	6,431	6,209	0	(408)	0	(408)	0	5,801	0	0	0	20	02/11/2040	1FM	
50180J-AD-7	LBUBS 07-C2		09/17/2015	VARIOUS		1,890	1,890	2,059	2,004	0	(114)	0	(114)	0	1,890	0	0	0	68	02/15/2040	1FM	
60687V-AF-2	MLCFC 06-3		09/14/2015	VARIOUS		4,839	4,839	5,335	5,160	0	(321)	0	(321)	0	4,839	0	0	0	178	07/01/2046	1FM	
61750W-AS-2	MSC-99		09/15/2015	100.000		79,911	79,911	87,983	87,804	0	(7,893)	0	(7,893)	0	79,911	0	0	0	2,541	12/01/2043	1FM	
61751N-AB-8	MSC-07-HQ11		09/14/2015	VARIOUS		2,954	2,954	3,232	3,131	0	(177)	0	(177)	0	2,954	0	0	0	106	02/01/2044	1FM	
61756U-AE-1	MSC-99		09/14/2015	VARIOUS		91,788	91,788	108,705	106,452	0	(14,664)	0	(14,664)	0	91,788	0	0	0	3,712	12/01/2049	1FM	
62718Q-AA-3	MURRAY STREET INVESTMENT TRUST		07/30/2015	GOLDMAN SACHS		1,830,500	1,750,000	1,772,208	1,760,510	0	(2,762)	0	(2,762)	0	1,757,748	0	72,752	72,752	54,893	03/09/2017	1FE	
709599-AL-8	PENSKE TRUCK LEASING COMPANY LP		07/24/2015	U.S. BANCORP		1,589,192	1,566,000	1,581,753	1,578,117	0	(1,899)	0	(1,899)	0	1,576,218	0	12,974	12,974	46,523	07/17/2018	2FE	
74432N-AA-0	PRUDENTIAL COVERED TRUST		09/30/2015	INVESTMENTS, INC		4,837,000	4,837,000	4,837,000	4,837,000	0	0	0	0	0	4,837,000	0	0	0	144,965	09/30/2015	1FE	
834017-AB-1	SOFI		09/25/2015	100.000		166,507	166,507	166,485	0	0	21	0	21	0	166,507	0	0	0	882	09/27/2032	1FE	
92978N-AE-4	WBCMT 07-C33		09/17/2015	VARIOUS		78,507	78,507	86,113	85,661	0	(7,154)	0	(7,154)	0	78,507	0	0	0	2,992	02/01/2051	1FM	
92978P-AF-6	WBCMT 03		09/17/2015	VARIOUS		77,902	77,902	85,798	85,647	0	(7,745)	0	(7,745)	0	77,902	0	0	0	3,087	11/01/2048	1FM	
13643E-AG-0	CANADIAN OIL SANDS LTD		09/29/2015	CANTOR FITZGERALD		1,669,113	1,990,000	1,974,498	1,977,967	0	1,186	0	1,186	0	1,979,153	0	(310,041)	(310,041)	45,024	04/01/2022	2FE	
45326Y-AA-5	INCITEC PIVOT LTD		08/11/2015	BARCLAYS CAPITAL		2,065,375	2,050,000	2,033,600	2,046,706	0	2,048	0	2,048	0	2,048,754	0	16,621	16,621	56,261	11/29/2015	2FE	
980236-AL-7	WOODSIDE FINANCE LTD		08/20/2015	J.P. MORGAN		77,783	75,000	74,941	74,955	0	3	0	3	0	74,958	0	2,824	2,824	2,731	05/10/2021	2FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					53,789,536	52,299,134	53,473,039	38,903,575	0	(136,117)	0	(136,117)	0	52,934,839	0	854,697	854,697	1,309,714	XXX	XXX	
8399997	Total - Bonds - Part 4					224,306,403	207,742,217	224,366,531	148,644,705	0	(1,125,521)	0	(1,125,521)	0	220,621,506	0	3,684,898	3,684,898	5,148,598	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					224,306,403	207,742,217	224,366,531	148,644,705	0	(1,125,521)	0	(1,125,521)	0	220,621,506	0	3,684,898	3,684,898	5,148,598	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9999999	Totals					224,306,403	XXX	224,366,531	148,644,705	0	(1,125,521)	0	(1,125,521)	0	220,621,506	0	3,684,898	3,684,898	5,148,598	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan, Inc.

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Citibank New Castle , DE		0.000	0	0	6,192,128	11,309,586	8,221,404	.XXX.
WELLS FARGO Atlanta , GA		0.000	0	0	(22,035,954)	(20,794,575)	(22,666,398)	.XXX.
WELLS FARGO Atlanta , GA		0.000	0	0	(1,744,978)	(2,179,769)	(1,650,737)	.XXX.
JP Morgan Chase New York , NY		0.000	0	0	2,401,110	2,161,036	2,640,203	.XXX.
FIFTH THIRD Louisville, KY		0.000	0	0	1,028,011	2,277,937	700,152	.XXX.
BB&T Winston-Salem, NC		0.000	0	0	616,690	580,183	512,965	.XXX.
COMMUNITY T&B CO CERTIFICATE OF DEPOSIT		0.250	0	0	250,000	250,000	0	.XXX.
KENTUCKY BANK CERTIFICATE OF DEPOSIT		0.350	0	0	250,000	250,000	0	.XXX.
CENTRAL BANK CERTIFICATE OF DEPOSIT		0.250	0	0	250,000	250,000	0	.XXX.
COMMONWEALTH BANK & TRUST CERTIFICATE OF DEPOSIT		0.150	0	0	250,000	250,000	0	.XXX.
KENTUCKY BANK CERTIFICATE OF DEPOSIT		0.350	0	74	0	0	250,000	.XXX.
COMMUNITY T&B CO CERTIFICATE OF DEPOSIT		0.300	0	31	0	0	250,000	.XXX.
CENTRAL BANK CERTIFICATE OF DEPOSIT		0.200	0	8	0	0	250,000	.XXX.
COMMONWEALTH BANK & TRUST CERTIFICATE OF DEPOSIT		0.150	0	3	0	0	250,000	.XXX.
Interest Received During Quarter on Disposed Holdings		0.000	621	0	0	0	0	.XXX.
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	621	116	(12,542,993)	(5,645,601)	(11,242,411)	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	621	116	(12,542,993)	(5,645,601)	(11,242,411)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	621	116	(12,542,993)	(5,645,601)	(11,242,411)	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

N O N E