



**QUARTERLY STATEMENT**  
**AS OF MARCH 31, 2019**  
**OF THE CONDITION AND AFFAIRS OF THE**  
**QCA Health Plan, Inc.**

NAIC Group Code 4807 , 4807 NAIC Company Code 95448 Employer's ID Number 71-0794605  
(Current Period) (Prior Period)

Organized under the Laws of Arkansas , State of Domicile or Port of Entry AR

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health[ ] Property/Casualty[ ] Hospital, Medical & Dental Service or Indemnity[ ]  
 Dental Service Corporation[ ] Vision Service Corporation[ ] Health Maintenance Organization[X]  
 Other[ ] Is HMO Federally Qualified? Yes[ ] No[X] N/A[ ]

Incorporated/Organized 04/08/1996 Commenced Business 07/31/1996

Statutory Home Office 12615 Chenal Parkway, Suite 300 , Little Rock, AR, 72211  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 12615 Chenal Parkway, Suite 300  
(Street and Number)

Little Rock, AR, 72211 (501)228-7111  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 12615 Chenal Parkway, Suite 300 , Little Rock, AR, 72211  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 12615 Chenal Parkway, Suite 300  
(Street and Number)

Little Rock, AR, 72211 (501)228-7111  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.qualchoice.com

Statutory Statement Contact Greg Moore (501)219-5156  
(Name) (Area Code)(Telephone Number)(Extension)  
gregory.moore@qualchoice.com (501)707-6728  
(E-Mail Address) (Fax Number)

**OFFICERS**

Name	Title
Randall Alvin Crow	President
Gregory Porter Moore	CFO
Charles William Hanson	Secretary

**OTHERS**

Win Hammerly M.D., Vice President - Medical Affairs

**DIRECTORS OR TRUSTEES**

Mark Fred Bjornson	Steven Charles Schramm
Philip Linwood Foster	Charles William Hanson
Jeremy Stephen Dressen	

State of Arkansas  
 County of Pulaski ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Randall Alvin Crow _____ (Printed Name) 1. President _____ (Title)	_____ (Signature) Gregory Porter Moore _____ (Printed Name) 2. CFO _____ (Title)	_____ (Signature) Charles Hanson _____ (Printed Name) 3. Secretary _____ (Title)
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Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 2019

- a. Is this an original filing? \_\_\_\_\_  
 b. If no, 1. State the amendment number \_\_\_\_\_  
 2. Date filed \_\_\_\_\_  
 3. Number of pages attached \_\_\_\_\_

Yes[X] No[ ]

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\_\_\_\_\_  
 (Notary Public Signature)

**DIRECTORS OR TRUSTEES (continued)**

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	622,255		622,255	42,093,398
2. Stocks:				
2.1 Preferred stocks .....	1,500,000		1,500,000	1,500,000
2.2 Common stocks .....				
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances) .....				
4.2 Properties held for the production of income (less \$.....0 encumbrances) .....				
4.3 Properties held for sale (less \$.....0 encumbrances) .....				
5. Cash (\$.....80,401,042), cash equivalents (\$.....19,339) and short-term investments (\$.....0) .....	80,420,380		80,420,380	47,994,958
6. Contract loans (including \$.....0 premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....				
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				2
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	82,542,635		82,542,635	91,588,358
13. Title plants less \$.....0 charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	5,499		5,499	263,797
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,547,225		1,547,225	844,090
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) .....	32,223		32,223	32,223
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....				33,933
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....	490,958		490,958	308,447
18.1 Current federal and foreign income tax recoverable and interest thereon .....				1,813,593
18.2 Net deferred tax asset .....	1,057,915	403,181	654,734	841,445
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$.....0) .....	38,722	38,722		
22. Net adjustments in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....				300
24. Health care (\$.....1,719,433) and other amounts receivable .....	3,443,791	1,724,358	1,719,433	1,708,231
25. Aggregate write-ins for other-than-invested assets .....	16,863,029		16,863,029	15,253,231
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	106,021,997	2,166,261	103,855,736	112,687,648
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. TOTAL (Lines 26 and 27) .....	106,021,997	2,166,261	103,855,736	112,687,648
<b>DETAILS OF WRITE-INS</b>				
1101. rounding .....				2
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....				2
2501. CSR Cost Sharing Receivable .....	16,863,029		16,863,029	15,253,231
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	16,863,029		16,863,029	15,253,231

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....0 reinsurance ceded) .....	21,041,522	2,342,794	23,384,316	27,147,176
2. Accrued medical incentive pool and bonus amounts .....				
3. Unpaid claims adjustment expenses .....	513,322		513,322	563,661
4. Aggregate health policy reserves, including the liability of \$.....237,772 for medical loss ratio rebate per the Public Health Service Act .....	7,831,825		7,831,825	6,368,820
5. Aggregate life policy reserves .....				
6. Property/casualty unearned premium reserve .....				
7. Aggregate health claim reserves .....				
8. Premiums received in advance .....	3,619,228		3,619,228	4,150,898
9. General expenses due or accrued .....	3,163,174		3,163,174	3,130,489
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)) .....				5,441,138
10.2 Net deferred tax liability .....				
11. Ceded reinsurance premiums payable .....				
12. Amounts withheld or retained for the account of others .....				
13. Remittances and items not allocated .....				
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current) .....				
15. Amounts due to parent, subsidiaries and affiliates .....	884,594		884,594	1,435,710
16. Derivatives .....				
17. Payable for securities .....				
18. Payable for securities lending .....				
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers) .....				
20. Reinsurance in unauthorized and certified (\$.....0) companies .....				
21. Net adjustments in assets and liabilities due to foreign exchange rates .....				
22. Liability for amounts held under uninsured plans .....	2,754,169		2,754,169	4,477,566
23. Aggregate write-ins for other liabilities (including \$.....0 current) .....				
24. Total liabilities (Lines 1 to 23) .....	39,807,834	2,342,794	42,150,628	52,715,458
25. Aggregate write-ins for special surplus funds .....	X X X	X X X		
26. Common capital stock .....	X X X	X X X	2,454	2,454
27. Preferred capital stock .....	X X X	X X X	25,500,046	25,500,046
28. Gross paid in and contributed surplus .....	X X X	X X X	29,750,000	29,750,000
29. Surplus notes .....	X X X	X X X	5,000,000	5,000,000
30. Aggregate write-ins for other-than-special surplus funds .....	X X X	X X X		
31. Unassigned funds (surplus) .....	X X X	X X X	1,452,968	(279,950)
32. Less treasury stock, at cost:				
32.1 .....0 shares common (value included in Line 26 \$.....0) .....	X X X	X X X	360	360
32.2 .....0 shares preferred (value included in Line 27 \$.....0) .....	X X X	X X X		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	X X X	X X X	61,705,108	59,972,190
34. Total Liabilities, capital and surplus (Lines 24 and 33) .....	X X X	X X X	103,855,736	112,687,648
<b>DETAILS OF WRITE-INS</b>				
2301. ....				
2302. ....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....				
2399. TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above) .....				
2501. ACA Section 9010 Assesment .....	X X X	X X X		
2502. ....	X X X	X X X		
2503. ....	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	X X X	X X X		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	X X X	X X X		
3001. ....	X X X	X X X		
3002. ....	X X X	X X X		
3003. ....	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	X X X	X X X		
3099. TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above) .....	X X X	X X X		

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	X X X	140,420	136,603	563,061
2. Net premium income (including \$.....0 non-health premium income) .....	X X X	53,489,097	50,035,516	206,395,796
3. Change in unearned premium reserves and reserves for rate credits .....	X X X			
4. Fee-for-service (net of \$.....237,772 medical expenses) .....	X X X			
5. Risk revenue .....	X X X			
6. Aggregate write-ins for other health care related revenues .....	X X X			
7. Aggregate write-ins for other non-health revenues .....	X X X			
8. Total revenues (Lines 2 to 7) .....	X X X	53,489,097	50,035,516	206,395,796
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	3,437,591	30,127,877	27,306,386	110,562,636
10. Other professional services .....				
11. Outside referrals .....				
12. Emergency room and out-of-area .....	428,781	3,757,937	3,737,709	13,123,052
13. Prescription drugs .....		8,412,785	7,424,620	30,926,698
14. Aggregate write-ins for other hospital and medical .....				
15. Incentive pool, withhold adjustments and bonus amounts .....				
16. Subtotal (Lines 9 to 15) .....	3,866,372	42,298,599	38,468,715	154,612,386
<b>Less:</b>				
17. Net reinsurance recoveries .....		17,854	677,931	1,135,841
18. Total hospital and medical (Lines 16 minus 17) .....	3,866,372	42,280,745	37,790,784	153,476,545
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$.....789,768 cost containment expenses .....		1,356,831	2,765,162	6,266,411
21. General administrative expenses .....		5,548,305	7,606,788	25,624,378
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only) .....				
23. Total underwriting deductions (Lines 18 through 22) .....	3,866,372	49,185,881	48,162,734	185,367,334
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	X X X	4,303,216	1,872,782	21,028,462
25. Net investment income earned .....		374,075	244,304	1,380,247
26. Net realized capital gains (losses) less capital gains tax of \$.....0 .....		(185,220)	(44,841)	(253,126)
27. Net investment gains or (losses) (Lines 25 plus 26) .....		188,855	199,463	1,127,121
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)] .....				
29. Aggregate write-ins for other income or expenses .....				
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	X X X	4,492,071	2,072,245	22,155,583
31. Federal and foreign income taxes incurred .....	X X X	(1,845,399)		5,441,151
32. Net income (loss) (Lines 30 minus 31) .....	X X X	6,337,470	2,072,245	16,714,432
<b>DETAILS OF WRITE-INS</b>				
0601. ....	X X X			
0602. ....	X X X			
0603. ....	X X X			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	X X X			
0699. TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above) .....	X X X			
0701. ....	X X X			
0702. ....	X X X			
0703. ....	X X X			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	X X X			
0799. TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above) .....	X X X			
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....				
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above) .....				
2901. ....				
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....				
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) .....				

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year .....	59,972,190	43,573,017	43,573,017
34. Net income or (loss) from Line 32 .....	6,337,470	2,072,245	16,714,432
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0			
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....	(4,497,979)		(2,647,663)
39. Change in nonadmitted assets .....	(106,573)	3,109,365	2,332,402
40. Change in unauthorized and certified reinsurance .....			
41. Change in treasury stock .....			
42. Change in surplus notes .....			
43. Cumulative effect of changes in accounting principles .....			
44. Capital Changes:			
44.1 Paid in .....			
44.2 Transferred from surplus (Stock Dividend) .....			
44.3 Transferred to surplus .....			
45. Surplus adjustments:			
45.1 Paid in .....			
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			
47. Aggregate write-ins for gains or (losses) in surplus .....			2
48. Net change in capital and surplus (Lines 34 to 47) .....	1,732,918	5,181,610	16,399,173
49. Capital and surplus end of reporting period (Line 33 plus 48) .....	61,705,108	48,754,627	59,972,190
<b>DETAILS OF WRITE-INS</b>			
4701. Rounding .....			2
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....			
4799. TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above) .....			2

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	53,718,459	54,382,387	214,805,644
2. Net investment income .....	634,610	234,623	1,373,824
3. Miscellaneous income .....	15,446	(629)	
4. TOTAL (Lines 1 to 3) .....	54,368,515	54,616,381	216,179,468
5. Benefit and loss related payments .....	46,009,672	37,391,703	144,280,438
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	8,828,698	2,030,473	32,429,603
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses) .....	6,243,402	702,413	2,516,019
10. TOTAL (Lines 5 through 9) .....	61,081,772	40,124,589	179,226,060
11. Net cash from operations (Line 4 minus Line 10) .....	(6,713,257)	14,491,792	36,953,408
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	43,863,802	3,656,724	19,208,121
12.2 Stocks .....			
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....			5,404
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7 Miscellaneous proceeds .....			
12.8 TOTAL investment proceeds (Lines 12.1 to 12.7) .....	43,863,802	3,656,724	19,213,525
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	2,580,116	3,676,926	19,403,157
13.2 Stocks .....			
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			
13.6 Miscellaneous applications .....			
13.7 TOTAL investments acquired (Lines 13.1 to 13.6) .....	2,580,116	3,676,926	19,403,157
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	41,283,686	(20,202)	(189,632)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	(2,145,007)	(1,923,684)	(14,777,644)
17. Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6) .....	(2,145,007)	(1,923,684)	(14,777,644)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	32,425,422	12,547,906	21,986,132
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	47,994,958	26,008,826	26,008,826
19.2 End of period (Line 18 plus Line 19.1) .....	80,420,380	38,556,732	47,994,958

**Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:**

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## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year .....	46,455	19,402	26,473				580			
2. First Quarter .....	47,728	20,119	27,007				602			
3. Second Quarter .....										
4. Third Quarter .....										
5. Current Year .....	47,728	20,119	27,007				602			
6. Current Year Member Months .....	140,420	57,955	80,672				1,793			
Total Member Ambulatory Encounters for Period:										
7. Physician .....	89,631	39,908	48,579				1,144			
8. Non-Physician .....	141,826	35,827	103,682				2,317			
9. Total .....	231,457	75,735	152,261				3,461			
10. Hospital Patient Days Incurred .....	2,842	1,679	1,148				15			
11. Number of Inpatient Admissions .....	621	336	280				5			
12. Health Premiums Written (a) .....	53,258,440	24,776,777	27,689,231				792,432			
13. Life Premiums Direct .....										
14. Property/Casualty Premiums Written .....										
15. Health Premiums Earned .....	53,258,440	24,776,777	27,689,231				792,432			
16. Property/Casualty Premiums Earned .....										
17. Amount Paid for Provision of Health Care Services .....	45,994,226	24,340,009	21,122,195				532,022			
18. Amount Incurred for Provision of Health Care Services .....	42,298,599	15,993,313	25,604,415				700,871			

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

**CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)****Aging Analysis of Unpaid Claims**

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid .....						
0299999 Aggregate Accounts Not Individually Listed - Uncovered .....	100,407	33,269	17,339	9,396	29,868	190,279
0399999 Aggregate Accounts Not Individually Listed - Covered .....	779,585	258,312	134,626	72,950	231,904	1,477,377
0499999 Subtotals .....	879,992	291,581	151,965	82,346	261,772	1,667,656
0599999 Unreported claims and other claim reserves .....						21,716,661
0699999 Total Amounts Withheld .....						
0799999 Total Claims Unpaid .....						23,384,317
0899999 Accrued Medical Incentive Pool And Bonus Amounts .....						

## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital & medical) .....	16,891,465	30,169,010	4,222,524	18,592,374	21,113,989	26,862,364
2. Medicare Supplement .....						
3. Dental only .....						
4. Vision only .....						
5. Federal Employees Health Benefits Plan .....	249,854	481,516	45,405	524,013	295,259	284,812
6. Title XVIII - Medicare .....						
7. Title XIX - Medicaid .....						
8. Other health .....						
9. Health subtotal (Lines 1 to 8) .....	17,141,319	30,650,526	4,267,929	19,116,387	21,409,248	27,147,176
10. Healthcare receivables (a) .....	1,621,741	175,879	1,724,358	1,719,433	3,346,099	3,493,169
11. Other non-health .....						
12. Medical incentive pools and bonus amounts .....						
13. Totals (Lines 9 - 10 + 11 + 12) .....	15,519,578	30,474,647	2,543,571	17,396,954	18,063,149	23,654,007

(a) Excludes \$.....0 loans or advances to providers not yet expensed.

# Notes to Financial Statement

## QCA HEALTH PLAN, INC.

### Notes to Financial Statements - Statutory Basis

**(1) Summary of Significant Accounting Policies**

The following is a summary of the significant accounting policies used in the preparation of the accompanying financial statements. Such policies are in conformity with the Annual Statement Instructions and the Accounting Practices and Procedures Manual of the National Association of Insurance Commissioners (“NAIC”) and the accounting practices as prescribed or permitted by the Arkansas Insurance Department and are not intended to be a presentation in conformity with accounting principles generally accepted in the United States of America.

	SSAP#	F/S Page	F/S Line#	3/31/2019	12/31/2018
<b>Net Income (Loss)</b>					
(1) QCA Health Plan, Inc. state basis (Page 4, Line 32, Columns 2 & 3)				\$6,337,470	\$16,714,432
(2) State prescribed practices that increase/(decrease) NAIC SAP					
(3) State permitted practices that increase/(decrease) NAIC SAP					
(4) NAIC SAP (1 - 2 - 3 = 4)				\$6,337,470	\$16,714,432
<b>Surplus</b>					
(5) QCA Health Plan, Inc. state basis (Page 3, Line 33, Columns 3 & 4)				\$61,705,108	\$59,972,190
(6) State prescribed practices that increase/(decrease) NAIC SAP					
(7) State permitted practices that increase/(decrease) NAIC SAP					
(8) NAIC SAP (5 - 6 - 7 = 8)				\$61,705,108	\$59,972,190

**Cash and Cash Equivalents and Short Term Investments:** The Company considers all cash accounts and all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

**Premiums Receivable:** The Company uses the allowance method of accounting for uncollectible receivables. Premiums receivable represent medical premium revenue that has been billed and recognized as revenue, but has not been collected.

**Investment Securities:** Bonds and other debt instruments for which the Company intends to resell in the near future are classified as available for sale and are stated at cost adjusted for amortization of premiums and accretion of discount.

- a) Short-term investments are stated at amortized cost;
- b) Bonds not backed by other loans are stated at amortized cost using the interest method.
- c) The Company does not have common stock;
- d) The Company has invested in the preferred stock of the affiliated entity, QualChoice Life and Health Preferred Stock.
- e) The commercial mortgage-backed and other loan-backed securities are stated at amortized cost using the effective interest method.
- f) The Company does not have derivatives.
- g) The Company does not anticipate investment income as a factor in the premium deficiency calculation.

**Medical Claims Payable:** Reported claims expected to be paid after the balance sheet date for services provided to members prior to the balance sheet date are recorded as liabilities. Claims for services provided to members during the financial reporting period which are unreported at the balance sheet date are estimated based on the Company’s claims experience and recorded as liabilities. The amounts recorded are based upon estimates of the ultimate net cost of such services provided. These reserves are subject to continuous review by management and changes in estimates are reflected in earnings currently.

**Income Taxes:** Income taxes are provided for the tax effects of transactions reported in the financial statements and consist of taxes currently due.

**Revenue:** Medical premium revenue is recognized in the month in which members are entitled to receive health care services. Medical premiums collected in advance are recorded as unearned premium revenue.

**Cost of Benefits Provided:** Cost of benefits provided includes the costs of all medical services delivered to enrolled members of the Company and for whom the Company has recorded medical premium revenue during the reporting period. These costs include payments for specific medical services paid to physicians, hospitals, and other health care providers on a fee-for-service basis. Costs of benefits include claims paid, claims in process and pending, estimates of unreported claims and charges, and processing costs of those estimates at the end of the fiscal year for which the Company will be responsible.

**Premium Tax:** The state in which the Company does business requires the remittance of premium taxes based upon a percentage of billed premiums.

**Advertising Costs:** Advertising and promotions related expenses are charged to operations when incurred.

## Notes to Financial Statement

**Non-Admitted Assets:** Certain assets (principally pharmaceutical rebate receivables, property plant and equipment, goodwill and deferred tax assets not expected to be realized within a 12 month period) designated as "non-admitted" are not included in the financial statements.

**Accounting Estimates:** The preparation of financial statements in conformity with the accounting practices described above requires management to make estimates and assumptions that affect the reported amounts in the financial statements and accompanying notes. The accounting practices also require disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

**Going Concern:** There are no conditions or events that raise substantial doubt about the entity's ability to continue as a going concern.

(2) **Accounting Changes and Corrections of Errors**

None

(3) **Business Combinations and Goodwill**

None

(4) **Discontinued Operations**

None

(5) **Investments**

A) The Company has no real estate loans, restructured debt, or reverse mortgages.

D) The Company is relying on Bank of New York Mellon as a source used to determine the currently estimated cash flows, including new prepayment assumptions. The Company has no mortgage-backed or other loan-backed securities with a recognized other-than-temporary impairment.

E) The Company has no Dollar repurchase agreements and/or securities lending transactions.

F) The Company has no repurchase agreements transactions accounted for as secured borrowing.

G) The Company has no reverse repurchase agreements transactions accounted for as secured borrowing.

H) The Company has no repurchase agreements transactions accounted for as a sale.

I) The Company has no reverse repurchase agreements transactions accounted for as a sale.

M) The Company has no working capital finance investments.

N) The Company has no offset or net Assets and Liabilities.

(6) **Joint Ventures, Partnerships and Limited Liability Companies**

None

(7) **Investment Income**

No Significant changes

(8) **Derivative Instruments**

None

(9) **Income Taxes**

No significant changes

(10) **Information Concerning Parent, Subsidiaries and Affiliates**

No significant changes

(11) **Debt**

As of March 31, 2019, the Company has no outstanding Federal Home Loan Bank agreements

(12) **Retirement Plans, Deferred Compensation and Other Postretirement Benefit and Compensated Absences and Other Postretirement Benefit Plans**

The Company has an employee 401(k) plan covering all full-time employees of the Company who have completed three months of employment and choose to participate. The benefit plan has not changed since the year ended 12/31/18

(13) **Capital and Surplus, Shareholders' Dividend Restrictions and Quasi- Reorganizations**

No significant changes

(14) **Contingencies**

No significant changes

(15) **Leases**

None

(16) **Information About Financial Instruments With Off Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

None

(17) **Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

The Company did not participate in any transfer of receivables, financial assets, or wash sales.

(18) **Gain or Loss To The Reporting Entity From Uninsured A&H Plans and The Uninsured Portion of Partially Insured Plans**

## Notes to Financial Statement

No significant changes.

**(19) Direct Premium Written/Produced By Managing General Agents/Third Party Administrators**

None

**(20) Fair Value Measurements**

No significant changes

**(21) Other Items List**

No significant changes

**(22) Events Subsequent**

On April 1, 2019, the company was sold to Centene Corporation. Before the close of the sale, the entity repaid the surplus notes and interest owed to QualChoice Health Plan Services in the amount of \$5,000,000 and 1,298,836 respectively. Also an extraordinary dividend was paid to QualChoice Holdings, in the amount of \$30,184,706.

**(23) Reinsurance**

No significant changes

**(24) Retrospectively Rated Contracts & Contracts Subject To Redetermination**

E. Risk-Sharing Provisions of the Affordable Care Act

(1) The Company has accident and health insurance premiums in 2019 subject to the risk-sharing provisions of the ACA. For 2019, only the risk adjustment provision remains. The ACA imposes fees and premium stabilization provisions on health insurance issuers offering comprehensive commercial health insurance.

(2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations.

<b>A. Permanent ACA Risk Adjustment Program</b>		<b>Amount</b>
Assets		
1.	Premium Adjustment Receivable due to ACA Risk Adjustment	
Liabilities		
2.	Risk Adjustment User Fees Payable for ACA Risk Adjustment	\$10,800
3.	Premium Adjustments Payable due to ACA Risk Adjustment	\$7,430,096
Operations (Revenue & Expense)		
4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$(1,515,676)
5.	Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	\$10,800

<b>B. Transitional ACA Reinsurance Program</b>		<b>Amount</b>
Assets		
1.	Amounts recoverable for claims paid due to ACA Reinsurance	
2.	Amounts recoverable for claims unpaid due to ACA Reinsurance	
Liabilities		
3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	
4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	
5.	Ceded reinsurance premiums payable due to ACA Reinsurance	
6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	
Operations (Revenue & Expense)		
7.	Ceded reinsurance premiums due to ACA Reinsurance	
8.	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$17,854
9.	ACA Reinsurance contributions – not reported as ceded premium	

<b>C. Temporary ACA Risk Corridors Program</b>		<b>Amount</b>
Assets		
1.	Accrued retrospective premium due to ACA Risk Corridor	
Liabilities		
2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	
Operations (Revenue & Expense)		
3.	Effect of ACA Risk Corridors on net premium income (paid/received)	
4.	Effect of ACA Risk Corridors on change in reserves for rate credits	

(3) The following table is a rollforward of the prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balance s		Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
	1	2	3	4	5	6	7	8	Ref	9	10
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	\$32,223	\$	\$	\$	\$32,223	\$	\$	\$	A	\$32,223	\$

## Notes to Financial Statement

2. Premium adjustments (payable)		\$(5,914,420)		\$		\$(5,914,420)		\$	B		\$(5,914,420)
3. Subtotal ACA Permanent Risk Adjustment Program	\$32,223	\$(5,914,420)	\$	\$	\$32,223	\$(5,914,420)	\$	\$		\$32,223	\$(5,914,420)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	\$33,933	\$	\$51,787	\$	\$(17,854)	\$	\$17,854	\$	C	\$	\$
2. Amounts recoverable for claims unpaid (contra liability)									D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium									F		
5. Ceded reinsurance premiums payable									G		
6. Liability for amounts held under uninsured plans									H		
7. Subtotal ACA Transitional Reinsurance Program	\$33,933	\$	\$51,787	\$	\$(17,854)	\$	\$17,854	\$		\$	\$
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium									I		
2. Reserve for rate credits or policy experience rating refunds									J		
3. Subtotal ACA Risk Corridors Program											
d. Total for ACA Risk-Sharing Provisions	\$66,156	\$(5,914,420)	\$51,787	\$	\$14,369	\$(5,914,420)	\$17,854	\$		\$32,223	\$(5,914,420)

Explanations of adjustments

A. Adjustments made for amounts received for prior years

B. Adjustments made for amounts received for prior years

C-J.

## (4) Roll Forward of ACA Risk Corridor Asset and Liability Balances

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
	1	2	3	4	5	6	7	8	9	10	
a. 2014											
1. Accrued Retrospective Premium									A		
2. Reserve for rate credits policy experience rating refunds									B		
b. 2015											
1. Accrued Retrospective Premium									C		
2. Reserve for rate credits policy experience rating refunds									D		
c. 2016											
1. Accrued Retrospective Premium									E		
2. Reserve for rate credits or policy experience rating refunds									F		
d. Total for Risk Corridor											

Explanations of adjustments

A-F.

## (5) ACA Risk Corridor Receivable:

Risk Corridors Program Year	1 Estimated amount to be filed or final amounts filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset balance (gross of nonadmission) (1-2-3)	5 Nonadmitted amounts	6 Net admitted assets
a. 2014	\$4,181,163	\$3,480,918	\$700,245	\$	\$	\$
b. 2015	\$476,593	\$476,593	\$	\$	\$	\$
c. 2016	\$5,894,851	\$5,894,851	\$	\$	\$	\$
d. Total	\$10,552,607	\$9,852,362	\$700,245	\$	\$	\$

## (25) Change In Incurred Claims and Claim Adjustment Expenses

Reserves as of March 31, 2019 were \$23,384,316. As of March 31, 2019, \$17,141,320 has been paid for incurred claims and claim adjustment expenses attributable to insured events incurred prior of January 1, 2019. Reserves remaining for

## Notes to Financial Statement

prior years are now \$4,267,929 as a result of re-estimation of unpaid claims and claim adjustment expenses. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

(26) **Intercompany Pooling Arrangements**

None

(27) **Structured Settlements**

None

(28) **Health Care Receivables**

No significant changes

(29) **Participating Policies**

None

(30) **Premium Deficiency Reserves**

No significant changes

(31) **Anticipated Salvage and Subrogation**

None

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes[ ] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes[ ] No[ ] N/A[X]
  
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[ ] No[X]
- 2.2 If yes, date of change: .....
  
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes[X] No[ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes[ ] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes[ ] No[X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
  
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes[ ] No[X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes[X] No[ ] N/A[ ]  
If yes, attach an explanation.
  
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2017 .....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2014 .....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 04/16/2016 .....
- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes[ ] No[ ] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[ ] No[ ] N/A[X]
  
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[ ] No[X]
- 7.2 If yes, give full information
  
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[ ] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[ ] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	..... No .....	..... No .....	..... No .....	..... No .....

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes[X] No[ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes[ ] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes[ ] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes[ ] No[X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ ..... 0

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes[ ] No[X]
- 11.2 If yes, give full and complete information relating thereto:
  
- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ ..... 0
- 13. Amount of real estate and mortgages held in short-term investments: \$ ..... 0
  
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes[X] No[ ]
- 14.2 If yes, please complete the following:

## GENERAL INTERROGATORIES (Continued)

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....		
14.22 Preferred Stock .....	1,500,000	1,500,000
14.23 Common Stock .....		
14.24 Short-Term Investments .....		
14.25 Mortgages Loans on Real Estate .....		
14.26 All Other .....		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	1,500,000	1,500,000
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....		

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No   
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No  N/A   
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- |  |    |   |
|--|----|---|
| 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2                   | \$ | 0 |
| 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 | \$ | 0 |
| 16.3 Total payable for securities lending reported on the liability page                                       | \$ | 0 |

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes  No
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon .....	200 Park Avenue, New York, NY .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No   
 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
Catholic Health Initiatives - Treasury Department .....	A .....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes  No   
 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes  No
- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
N/A .....	Catholic Health Initiatives- Treasury Department .....	47-0617373 .....		

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes  No   
 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - Issuer or obligor is current on all contracted interest and principal payments.
  - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes  No

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- The security was purchased prior to January 1, 2018 .
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes  No

## **General Interrogatories Part 1 Attachments**

The Management Service Agreement is made and is effective as of July 1, 2014 by the QualChoice Health Plan Services, Inc. a Colorado for-profit corporation and QCA Health Plan, Inc.(QCA), an Arkansas for-profit corporation. QualChoice Health Plan Services has agreed to provide a full range of administrative, management, and technology services to QCA under QCA's direction as set forth in the contract agreement. In consideration of QualChoice Health Plan Services' performance of services in connection with QCA's business, for each month (or part thereof) beginning on the effective date and continuing through the term hereof, QCA shall pay to QualChoice Health Plan Services a service fee as set forth in the Management Service Agreement. This Management Agreement terminated at the time of acquisition by Centene Corporation on April 1, 2019.

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent	..... 80.522%
1.2 A&H cost containment percent	..... 1.477%
1.3 A&H expense percent excluding cost containment expenses	..... 11.433%
2.1 Do you act as a custodian for health savings accounts?	Yes[ ] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[ ] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes[ ] No[X]
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes[ ] No[X]

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>Life and Annuity - Affiliates</b>								
38636 .....	13-3031176 .....	01/01/2017 .....	PARTNER REINS CO OF THE US .....	NY .....	SSL/A/G .....			
38636 .....	13-3031176 .....	01/01/2017 .....	PARTNER REINS CO OF THE US .....	NY .....	SSL/A/I .....			

# SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

## Current Year to Date - Allocated by States and Territories

1	State, Etc.	Active Status (a)	Direct Business Only							
			2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1.	Alabama (AL)	N								
2.	Alaska (AK)	N								
3.	Arizona (AZ)	N								
4.	Arkansas (AR)	L	52,466,008			792,432			53,258,440	
5.	California (CA)	N								
6.	Colorado (CO)	N								
7.	Connecticut (CT)	N								
8.	Delaware (DE)	N								
9.	District of Columbia (DC)	N								
10.	Florida (FL)	N								
11.	Georgia (GA)	N								
12.	Hawaii (HI)	N								
13.	Idaho (ID)	N								
14.	Illinois (IL)	N								
15.	Indiana (IN)	N								
16.	Iowa (IA)	N								
17.	Kansas (KS)	N								
18.	Kentucky (KY)	N								
19.	Louisiana (LA)	N								
20.	Maine (ME)	N								
21.	Maryland (MD)	N								
22.	Massachusetts (MA)	N								
23.	Michigan (MI)	N								
24.	Minnesota (MN)	N								
25.	Mississippi (MS)	N								
26.	Missouri (MO)	N								
27.	Montana (MT)	N								
28.	Nebraska (NE)	N								
29.	Nevada (NV)	N								
30.	New Hampshire (NH)	N								
31.	New Jersey (NJ)	N								
32.	New Mexico (NM)	N								
33.	New York (NY)	N								
34.	North Carolina (NC)	N								
35.	North Dakota (ND)	N								
36.	Ohio (OH)	N								
37.	Oklahoma (OK)	N								
38.	Oregon (OR)	N								
39.	Pennsylvania (PA)	N								
40.	Rhode Island (RI)	N								
41.	South Carolina (SC)	N								
42.	South Dakota (SD)	N								
43.	Tennessee (TN)	N								
44.	Texas (TX)	N								
45.	Utah (UT)	N								
46.	Vermont (VT)	N								
47.	Virginia (VA)	N								
48.	Washington (WA)	N								
49.	West Virginia (WV)	N								
50.	Wisconsin (WI)	N								
51.	Wyoming (WY)	N								
52.	American Samoa (AS)	N								
53.	Guam (GU)	N								
54.	Puerto Rico (PR)	N								
55.	U.S. Virgin Islands (VI)	N								
56.	Northern Mariana Islands (MP)	N								
57.	Canada (CAN)	N								
58.	Aggregate other alien (OT)	X X X								
59.	Subtotal	X X X	52,466,008			792,432			53,258,440	
60.	Reporting entity contributions for Employee Benefit Plans	X X X								
61.	<b>Total (Direct Business)</b>	<b>X X X</b>	<b>52,466,008</b>			<b>792,432</b>			<b>53,258,440</b>	
<b>DETAILS OF WRITE-INS</b>										
58001.		X X X								
58002.		X X X								
58003.		X X X								
58998.	Summary of remaining write-ins for Line 58 from overflow page	X X X								
58999.	<b>TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)</b>	<b>X X X</b>								

(a) Active Status Counts:

- L Licensed or Chartered - Licensed insurance carrier or domiciled RRG
- E Eligible - Reporting entities eligible or approved to write surplus lines in the state
- N None of the above - Not allowed to write business in the state

1

- R Registered - Non-domiciled RRGs
- Q Qualified - Qualified or accredited reinsurer

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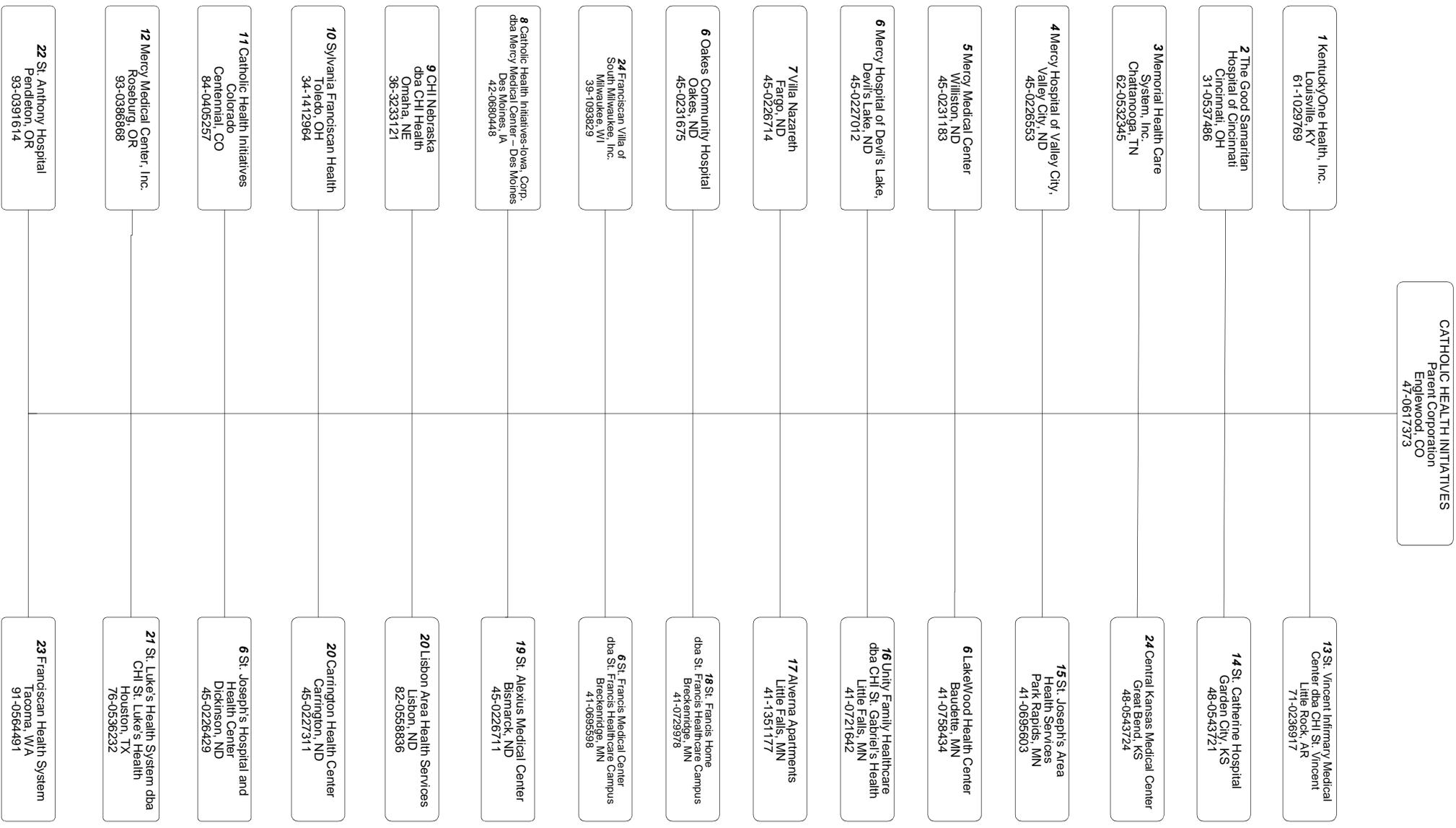
# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER

## MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

**CATHOLIC HEALTH INITIATIVES ORGANIZATION CHART**

**Wholly-owned or Controlled Hospitals / LTC Entities**



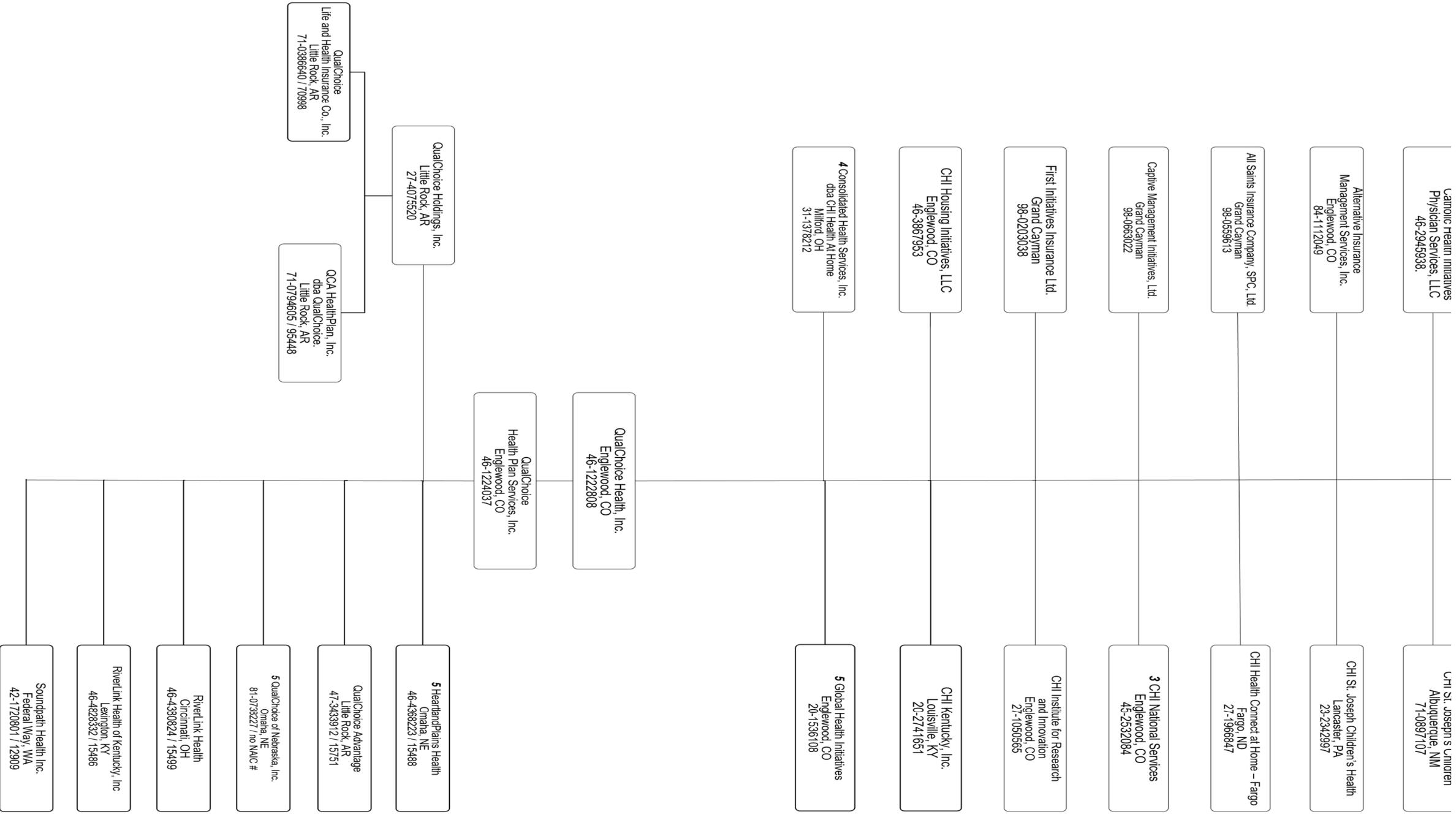
# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER

## MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

- 1 13 hospitals, 8 foundations, 6 physician groups & health service providers, ACO, 4 JV health service providers
- 2 hospital, school of nursing, foundation, JV rehab hospital, 6 JV health service providers; this entity is managed by JOA with CHI 50% & Bethesda Hospital, Inc. 50%.3 3 hospitals, foundation, 7 100% health service providers, 3 JV health service providers 4 single hospital, foundation, 1 assisted living JV
- 5 single hospital, foundation, medical retail store
- 6 single hospital, foundation
- 7 provides housing & services for developmentally disabled, 1 housing JV
- 8 4 hospitals, school of health sciences, clinics, 3 ACOs, foundation, JV rehab hospital, 3 100% & 4 JV health service providers; this entity is managed by JOA with CHI 50% & Trinity Health Corporation 50%.9 16 hospitals, 3 physician groups, clinics, 2 ACOs, 10 foundations, JV ortho hospital, 11 100% health service providers, 17 JV health service providers 10 8 hospitals, 1 JV rehab hospital, 15 LTC facilities, 3 foundations, medical group, 9 100% health service providers, 1 JV health service provider
- 11 9 hospitals, 1 JV ortho hospital, 1 JV LTACH hospital, 1 LTC facility, foundation, 9 100% health service providers, 21 JV health service providers; this entity is managed by JOA with CHI 65% & Adventist Health System 35%.
- 12 single hospital, 3 physician groups, foundation, clinic. JV health service provider
- 13 4 hospitals, ACO, foundation, 2 physician groups, clinics, 3 100% health service providers, 11 JV health service providers 14 2 hospitals, foundation, 1 JV health service provider
- 15 single hospital, 1 JV health service provider
- 16 single hospital, 1 JV medical office building
- 17 HUD housing
- 18 1 LTC facility, 1 senior housing facility
- 19 3 hospitals, ACO, 1 100% health service provider, 9 JV health service providers
- 20 single hospital
- 21 10 hospitals, 1 JV teaching hospital, ACO, 2 foundations, clinics, 3 physician groups, PHO, 17 100% health service providers, 10 JV health service providers 22 single hospital, foundation, 1 100% health service provider
- 23 9 hospitals, 1 JV rehab hospital, 2 foundations, 2 physician groups, ACO, 3 100% health service providers, 15 JV health service providers 24 Inactive

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART



**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER**  
**MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

- 1 CHI owns/controls multiple health-care related organizations including: hospitals, physician groups and other health service providers, foundations, educational organizations, among others.
- 2 This entity is a holding company which owns 100% 1 non-hospital/LTC subsidiary.
- 3 This entity controls 3 100% subsidiaries & is participant in 1 50/50 JV providing home nursing.
- 4 This entity owns 3 100% subsidiaries & is participant in 10 JVs providing home nursing, DME & transportation.5 Inactive

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
4807	Catholic Health Initiatives	95448	71-0794605				QCA Health Plan, Inc.	AR	RE	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	70998	71-0386640				QualChoice Life and Health Insurance Company, Inc.	AR	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	12909	42-1720801				Soundpath Health, Inc.	WA	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15488	46-4368223				Heartland Plains Health	NE	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15499	46-4380824				RiverLink Health	OH	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15486	46-4828332				RiverLink Health of Kentucky, Inc.	KY	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	15751	47-3433912				QualChoice Advantage, Inc.	AR	IA	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-4075520				QualChoice Holdings, Inc.	AR	UDP	QualChoice Health Plan Services	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-1224037				QualChoice Health Plan Services, Inc.	CO	UIP	QualChoice Health, Inc.	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-1222808				QualChoice Health, Inc.	CO	UIP	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	31-1378212				Consolidated Health Services, Inc. dba CHI Health At Home	OH	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-3867953				CHI Housing Initiatives, LLC	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	98-0203038				First Initiatives Insurance, Ltd.	CYM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	98-0663022				Captive Management Initiatives, Ltd.	CYM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	98-0559613				All Saints Insurance Company, SPC, Ltd.	CYM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	84-1112049				Alternative Insurance Management Services, Inc.	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	46-2945938				Catholic Health Initiatives Physician Services, LLC	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	23-2487967				Franciscan Services, Inc.	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	20-1536108				Global Health Initiatives	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	20-2741651				CHI Kentucky, Inc.	KY	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-1050565				CHI Institute for Research and Innovation	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	45-2532084				CHI National Services	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-1966847				CHI Health Connect at Home - Fargo	ND	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	23-2342997				CHI St. Joseph Children's Health	PA	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	71-0897107				CHI St. Joseph's Children	NM	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	27-0930004				CHI National Foundation	CO	NIA	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	
4807	Catholic Health Initiatives	00000	47-0617373				Catholic Health Initiatives	CO	UIP	Catholic Health Initiatives	Ownership	100.0	Catholic Health Initiatives	N	

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Asterisk	Explanation
0000001	

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**RESPONSE**

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



95448201936500001

2019

Document Code: 365

**NONE**

**SCHEDULE A - VERIFICATION****Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other-than-temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION****Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest points .....		
9. Total foreign exchange change in book value/recorded investment .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION****Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		5,404
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		5,404
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**SCHEDULE D - VERIFICATION****Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	43,593,398	43,702,663
2. Cost of bonds and stocks acquired .....	2,580,117	19,403,156
3. Accrual of discount .....	9,147	38,696
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(185,219)	(253,126)
6. Deduct consideration for bonds and stocks disposed of .....	43,863,802	19,214,853
7. Deduct amortization of premium .....	11,386	89,877
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		6,739
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) .....	2,122,255	43,593,398
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	2,122,255	43,593,398

**SCHEDULE D - PART 1B**  
**Showing the Acquisitions, Dispositions and Non-Trading Activity**  
**During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation**

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	36,988,889	3,353,618	39,723,506	3,254	622,255			36,988,889
2. NAIC 2 (a) .....	5,738,935	74,937	5,810,516	(3,356)				5,738,935
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds .....	42,727,824	3,428,555	45,534,022	(102)	622,255			42,727,824
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	1,500,000				1,500,000			1,500,000
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....	1,500,000				1,500,000			1,500,000
15. Total Bonds & Preferred Stock .....	44,227,824	3,428,555	45,534,022	(102)	2,122,255			44,227,824

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

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**SI03 Schedule DA Part 1 ..... NONE**

**SI03 Schedule DA Verification ..... NONE**

**SI04 Schedule DB - Part A Verification ..... NONE**

**SI04 Schedule DB - Part B Verification ..... NONE**

**SI05 Schedule DB Part C Section 1 ..... NONE**

**SI06 Schedule DB Part C Section 2 ..... NONE**

**SI07 Schedule DB - Verification ..... NONE**

**SCHEDULE E - PART 2 - VERIFICATION****(Cash Equivalents)**

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year .....	654,576	10,450
2.	Cost of cash equivalents acquired .....	848,551	1,266,930
3.	Accrual of discount .....	2,137	452
4.	Unrealized valuation increase (decrease) .....		
5.	Total gain (loss) on disposals .....		
6.	Deduct consideration received on disposals .....	1,485,925	623,256
7.	Deduct amortization of premium .....		
8.	Total foreign exchange change in book/adjusted carrying value .....		
9.	Deduct current year's other-than-temporary impairment recognized .....		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) .....	19,339	654,576
11.	Deduct total nonadmitted amounts .....		
12.	Statement value at end of current period (Line 10 minus Line 11) .....	19,339	654,576

**E01 Schedule A Part 2 ..... NONE**

**E01 Schedule A Part 3 ..... NONE**

**E02 Schedule B Part 2 ..... NONE**

**E02 Schedule B Part 3 ..... NONE**

**E03 Schedule BA Part 2 ..... NONE**

**E03 Schedule BA Part 3 ..... NONE**

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
<b>Bonds - U.S. Governments</b>									
9128285M8	UNITED STATES TREASURY NOTE/BOND		01/31/2019	MORGAN STANLEY & CO	X X X	364,711	350,000	2,326	1
9128285V8	UNITED STATES TREASURY NOTE/BOND		02/06/2019	MORGAN STANLEY & CO	X X X	1,050,123	1,050,000	1,668	1
0599999	Subtotal - Bonds - U.S. Governments				X X X	1,414,834	1,400,000	3,994	X X X
<b>Bonds - U.S. Special Revenue, Special Assessment</b>									
3135G0U92	FEDERAL NATIONAL MORTGAGE ASSOCIATION		01/09/2019	NOMURA SECURITIES/FI	X X X	334,759	335,000		1
3199999	Subtotal - Bonds - U.S. Special Revenue, Special Assessment				X X X	334,759	335,000		X X X
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
24422EUQ0	JOHN DEERE CAPITAL CORP		01/04/2019	mitsubishi ufj secs	X X X	99,904	100,000		1FE
36256XAD4	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		01/08/2019	RBC CAPITAL MARKETS	X X X	209,977	210,000		1FE
38141GGS7	GOLDMAN SACHS GROUP INC/THE		01/09/2019	GOLDMAN SACHS & CO	X X X	125,868	120,000	3,201	1FE
38141GXE9	GOLDMAN SACHS GROUP INC/THE		02/13/2019	GOLDMAN SACHS & CO	X X X	109,866	110,000		1FE
571748BF8	MARSH & MCLENNAN COS INC		01/08/2019	CITIGROUP GLOBAL MKT	X X X	49,973	50,000		1FE
80285HAD2	SANTANDER DRIVE AUTO RECEIVABLES TRUST 2		02/12/2019	WELLS FARGO SECS LLC	X X X	160,000	160,000		1FE
907818FA1	UNION PACIFIC CORP		02/11/2019	BARCLAYS CAPITAL FIX	X X X	74,937	75,000		2FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	830,525	825,000	3,201	X X X
8399997	Subtotal - Bonds - Part 3				X X X	2,580,118	2,560,000	7,195	X X X
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
8399999	Subtotal - Bonds				X X X	2,580,118	2,560,000	7,195	X X X
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
8999999	Subtotal - Preferred Stocks				X X X	X X X	X X X	X X X	X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
9799999	Subtotal - Common Stocks				X X X	X X X	X X X	X X X	X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	X X X	X X X	X X X	X X X
9999999	Total - Bonds, Preferred and Common Stocks				X X X	2,580,118	X X X	7,195	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0.

QE04

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of  
During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
<b>Bonds - U.S. Governments</b>																					
912828G4	UNITED STATES TREASURY NOTE/BOND		02/06/2019	JPM SECURITIES-FIXED	X X X	470,380	475,000	474,406	474,859	20			20		474,879		(4,500)	(4,500)	1,665	09/15/2019	1
912828J8	UNITED STATES TREASURY NOTE/BOND		03/01/2019	NOMURA SECURITIES/FI	X X X	823,095	835,000	834,511	834,743	28			28		834,772		(11,677)	(11,677)	7,923	07/15/2020	1
912828Z2	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	1,655,063	1,680,000	1,675,179	1,677,050	277			277		1,677,328		(22,265)	(22,265)	10,500	10/15/2020	1
9128283F5	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	303,655	315,000	311,235	311,595	59			59		311,655		(8,000)	(8,000)	2,134	11/15/2027	1
9128283G3	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	414,389	420,000	417,703	418,526	133			133		418,659		(4,270)	(4,270)	2,213	11/15/2020	1
9128283Q1	UNITED STATES TREASURY NOTE/BOND		03/01/2019	CITADEL SECURITEIS L	X X X	826,520	835,000	828,183	830,220	393			393		830,613		(4,094)	(4,094)	10,564	01/15/2021	1
9128284A5	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	416,378	415,000	411,417	411,906	120			120		412,025		4,353	4,353	5,565	02/28/2023	1
9128284B3	UNITED STATES TREASURY NOTE/BOND		03/01/2019	NOMURA SECURITIES/FI	X X X	1,455,209	1,460,000	1,455,254	1,456,385	273			273		1,456,658		(1,449)	(1,449)	16,284	03/15/2021	1
9128284G2	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BARCLAYS CAPITAL FIX	X X X	1,868,628	1,875,000	1,861,238	1,864,280	776			776		1,865,056		3,572	3,572	17,127	04/15/2021	1
9128284T4	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BARCLAYS CAPITAL FIX	X X X	315,726	315,000	314,877	314,899	7			7		314,906		820	820	1,795	06/15/2021	1
9128284V9	UNITED STATES TREASURY NOTE/BOND		03/01/2019	NOMURA SECURITIES/FI	X X X	384,245	380,000	376,127	376,222	59			59		376,281		7,964	7,964	5,976	08/15/2028	1
9128284X5	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	741,546	735,000	732,301	732,444	89			89		732,533		9,013	9,013	10,326	08/31/2023	1
9128284Y3	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	1,256,079	1,255,000	1,250,833	1,251,440	362			362		1,251,802		4,277	4,277	16,830	08/31/2020	1
9128285A4	UNITED STATES TREASURY NOTE/BOND		03/01/2019	SG AMERICAS SECURITI	X X X	427,391	425,000	422,294	422,502	154			154		422,656		4,734	4,734	5,489	09/15/2021	1
9128285M8	UNITED STATES TREASURY NOTE/BOND		03/01/2019	ABN AMRO SECURITIES	X X X	361,293	350,000	364,711		(114)		(114)		364,597		(3,304)	(3,304)	3,293	11/15/2028	1	
9128285V8	UNITED STATES TREASURY NOTE/BOND		03/01/2019	CITIGROUP GLOBAL MKT	X X X	1,049,385	1,050,000	1,050,123		(2)		(2)		1,050,121		(737)	(737)	3,481	01/15/2022	1	
912828L32	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	373,424	380,000	376,610	378,807	121			121		378,928		(5,504)	(5,504)	2,669	08/31/2020	1
912828M80	UNITED STATES TREASURY NOTE/BOND		03/01/2019	CITIGROUP GLOBAL MKT	X X X	828,859	845,000	837,950	839,383	235			235		839,619		(10,759)	(10,759)	4,364	11/30/2022	1
912828T67	UNITED STATES TREASURY NOTE/BOND		03/01/2019	ABN AMRO SECURITIES	X X X	309,600	320,000	319,788	319,878	7			7		319,885		(10,285)	(10,285)	1,370	10/31/2021	1
912828U32	UNITED STATES TREASURY NOTE/BOND		02/06/2019	ABN AMRO SECURITIES	X X X	471,466	477,000	471,112	475,234	207			207		475,440		(3,974)	(3,974)	1,107	11/15/2019	1
912828U65	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BARCLAYS CAPITAL FIX	X X X	1,381,359	1,410,000	1,392,100	1,399,210	615			615		1,399,825		(18,465)	(18,465)	6,372	11/30/2021	1
912828U73	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BANC/AMERICA SECUR.L	X X X	1,223,953	1,235,000	1,229,356	1,233,160	328			328		1,233,487		(9,534)	(9,534)	3,685	12/15/2019	1
912828V23	UNITED STATES TREASURY NOTE/BOND		03/01/2019	JPM SECURITIES-FIXED	X X X	212,103	215,000	207,341	207,558	238			238		207,796		4,306	4,306	842	12/31/2023	1
912828V31	UNITED STATES TREASURY NOTE/BOND		03/01/2019	GOLDMAN SACHS & CO	X X X	410,899	415,000	413,946	414,624	61			61		414,686		(3,787)	(3,787)	3,610	01/15/2020	1
912828V72	UNITED STATES TREASURY NOTE/BOND		03/01/2019	ABN AMRO SECURITIES	X X X	402,617	410,000	409,648	409,779	12			12		409,791		(7,174)	(7,174)	4,523	01/31/2022	1
912828W55	UNITED STATES TREASURY NOTE/BOND		03/01/2019	HSBC SECURITIES (USA	X X X	103,056	105,000	104,360	104,587	22			22		104,609		(1,553)	(1,553)	1,006	02/28/2022	1
912828W89	UNITED STATES TREASURY NOTE/BOND		03/01/2019	CITIGROUP GLOBAL MKT	X X X	201,188	205,000	205,160	205,106	(5)			(5)		205,101		(3,913)	(3,913)	1,637	03/31/2022	1
912828X21	UNITED STATES TREASURY NOTE/BOND		03/01/2019	GOLDMAN SACHS & CO	X X X	306,488	310,000	310,339	310,149	(20)			(20)		310,129		(3,641)	(3,641)	1,788	04/15/2020	1
912828XY1	UNITED STATES TREASURY NOTE/BOND		03/01/2019	BANC/AMERICA SECUR.L	X X X	519,533	520,000	519,208	519,395	68			68		519,464		69	69	2,262	06/30/2020	1
912828Y20	UNITED STATES TREASURY NOTE/BOND		03/01/2019	NOMURA SECURITIES/FI	X X X	210,492	210,000	209,081	209,207	52			52		209,259		1,234	1,234	3,487	07/15/2021	1
912828Y46	UNITED STATES TREASURY NOTE/BOND		03/01/2019	CITIGROUP GLOBAL MKT	X X X	1,050,779	1,050,000	1,049,836	1,049,871	15			15		1,049,886		894	894	16,218	07/31/2020	1

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## SCHEDULE D - PART 4

### Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/ Market Indicator (a)	
02007PAD5	ALLY AUTO RECEIVABLES TRUST																					
02007YAC8	2017-1 ALLY AUTO RECEIVABLES TRUST		03/06/2019	BANC/AMERICA SECUR.L	X X X	232,430	235,000	234,950	234,986				2		234,988		(2,558)	(2,558)	1,078	11/15/2021	1FE	
023135BC9	2017-5 AMAZON.COM INC		03/01/2019	BANC/AMERICA SECUR.L	X X X	208,548	210,000	209,984	209,992				1		209,993		(1,445)	(1,445)	963	03/15/2022	1FE	
025537AJ0	AMERICAN ELECTRIC POWER CO INC		03/01/2019	MORGAN STANLEY & CO	X X X	78,933	80,000	76,999	77,151			48			77,199		1,734	1,734	1,351	08/22/2027	1FE	
0258M0DX4	MILLENNIUM ADVISORS		03/01/2019	MILLENNIUM ADVISORS	X X X	61,901	65,000	64,890	64,900			2			64,902		(3,001)	(3,001)	647	11/13/2027	2FE	
02665WBT7	AMERICAN EXPRESS CREDIT CORP		03/05/2019	MILLENNIUM ADVISORS	X X X	114,412	115,000	115,484	115,167			(18)			115,149		(736)	(736)	1,437	09/14/2020	1FE	
02665WCP4	AMERICAN HONDA FINANCE CORP		03/01/2019	MILLENNIUM ADVISORS	X X X	83,904	85,000	84,914	84,955			5			84,960		(1,056)	(1,056)	1,036	07/20/2020	1FE	
026874DH7	AMERICAN HONDA FINANCE CORP		03/04/2019	MORGAN STANLEY & CO	X X X	171,802	170,000	169,918	169,919			5			169,924		1,878	1,878	1,371	12/10/2021	1FE	
03027XAK6	AMERICAN INTERNATIONAL GROUP INC		03/01/2019	MILLENNIUM ADVISORS	X X X	39,288	40,000	41,692	41,462			(32)			41,430		(2,142)	(2,142)	667	04/01/2026	2FE	
03066FAE1	AMERICAN TOWER CORP		03/01/2019	CITIGROUP GLOBAL MKT	X X X	62,120	65,000	63,781	63,963			20			63,983		(1,863)	(1,863)	853	10/15/2026	2FE	
03066HAD9	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST		03/06/2019	HSBC SECURITIES (USA)	X X X	104,200	105,000	104,997	104,999						104,999		(799)	(799)	476	07/18/2022	1FE	
03073EAM7	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST		03/06/2019	BMOCM/BONDS	X X X	145,136	145,000	144,973	144,981			2			144,983		152	152	989	12/19/2022	1FE	
03076CAF3	AMERISOURCEBERGEN CORP		03/01/2019	MILLENNIUM ADVISORS	X X X	58,259	60,000	60,371	60,300			(8)			60,292		(2,033)	(2,033)	997	03/01/2025	2FE	
03116ZBG4	AMERIPRISE FINANCIAL INC		03/01/2019	GOLDMAN SACHS & CO	X X X	51,575	50,000	52,566	51,883			(63)			51,820		(245)	(245)	778	10/15/2023	1FE	
035242AJ5	AMGEN INC		03/01/2019	GOLDMAN SACHS & CO	X X X	35,711	35,000	37,244	35,945			(72)			35,873		(162)	(162)	319	06/15/2021	2FE	
035242AJ5	ANHEUSER-BUSCH INBEV FINANCE INC		01/22/2019	NON-BROKER TRADE, BO	X X X	80,320	81,000	81,646	81,429			(23)			81,406		(1,086)	(1,086)	1,133	02/01/2021	2FE	
035242AJ5	ANHEUSER-BUSCH INBEV FINANCE INC		01/22/2019	NON-BROKER TRADE, BO	X X X	126,091	130,000	135,151	134,416			(65)			134,351		(8,261)	(8,261)	2,504	02/01/2026	2FE	
037833AR1	APPLE INC		03/01/2019	BANC/AMERICA SECUR.L	X X X	150,383	150,000	154,640	152,401			(174)			152,227		(1,844)	(1,844)	1,413	05/06/2021	1FE	
037833BY5	APPLE INC		03/01/2019	GOLDMAN SACHS & CO	X X X	60,103	60,000	60,542	60,445			(10)			60,435		(332)	(332)	1,040	02/23/2026	1FE	
053332AV4	AUTOZONE INC		03/01/2019	MORGAN STANLEY & CO	X X X	64,239	65,000	64,997	65,000						65,000		(781)	(781)	636	06/01/2027	2FE	
05531FAV5	BB&T CORP		03/01/2019	DEUTSCHE BANC/ALEX B	X X X	44,146	45,000	45,306	45,159			(12)			45,147		(1,000)	(1,000)	295	05/10/2021	1FE	
05531FBB8	BB&T CORP		03/01/2019	GOLDMAN SACHS & CO	X X X	102,907	105,000	104,914	104,927			2			104,929		(2,022)	(2,022)	1,072	10/26/2024	1FE	
05586CAD6	BMW VEHICLE LEASE TRUST 2018-1		03/07/2019	CREDIT SUISSE FIRST	X X X	126,172	125,000	124,999	124,999						124,999		1,173	1,173	945	03/21/2022	1FE	
06051GEC9	BANK OF AMERICA CORP		03/05/2019	MILLENNIUM ADVISORS	X X X	160,259	155,000	173,300	161,605			(780)			160,825		(566)	(566)	5,958	07/01/2020	1FE	
06051GFF1	BANK OF AMERICA CORP		03/01/2019	MARKETAXESS CORP	X X X	87,432	85,000	84,976	84,978			2			84,980		2,452	2,452	1,454	04/01/2024	1FE	
06051GFW4	BANK OF AMERICA CORP		03/04/2019	GOLDMAN SACHS & CO	X X X	144,063	145,000	142,458	142,910			154			143,064		999	999	1,448	04/19/2021	1FE	
06051GGZ6	BANK OF AMERICA CORP		03/01/2019	MITSUBISHI UFJ SECS	X X X	98,365	100,000	100,000	100,000						100,000		(1,635)	(1,635)	2,076	01/23/2026	1FE	
084664BZ3	BERKSHIRE HATHAWAY FINANCE CORP		03/01/2019	MILLENNIUM ADVISORS	X X X	70,242	70,000	72,220	70,802			(76)			70,726		(484)	(484)	789	10/15/2020	1FE	
084670BR8	BERKSHIRE HATHAWAY INC		03/01/2019	GOLDMAN SACHS & CO	X X X	79,785	80,000	80,875	80,636			(26)			80,610		(825)	(825)	1,039	03/15/2023	1FE	
09659QAD9	BMW VEHICLE OWNER TRUST 2018-A		03/06/2019	GOLDMAN SACHS & CO	X X X	178,995	180,000	179,998	179,999						179,999		(1,005)	(1,005)	858	04/25/2022	1FE	
097023BU8	BOEING CO/THE		03/01/2019	MILLENNIUM ADVISORS	X X X	72,196	75,000	73,274	73,558			27			73,585		(1,390)	(1,390)	1,073	03/01/2027	1FE	
10373QAY6	BP CAPITAL MARKETS AMERICA INC		03/01/2019	CREDIT SUISSE FIRST	X X X	100,571	100,000	97,895	97,913			64			97,977		2,594	2,594	1,263	04/14/2024	1FE	
12189LAV3	BURLINGTON NORTHERN SANTA FE LLC		03/01/2019	CITIGROUP GLOBAL MKT	X X X	44,737	45,000	43,170	43,736			31			43,768		969	969	578	04/01/2025	1FE	
125509BU2	CIGNA HOLDING CO		03/01/2019	CITIGROUP GLOBAL MKT	X X X	87,757	90,000	84,808	84,918			124			85,041		2,716	2,716	1,138	04/15/2025	2FE	
125896BQ2	CMS ENERGY CORP		02/26/2019	SCOTIA CAPITAL (USA)	X X X	38,363	40,000	39,849	39,885			2			39,887		(1,524)	(1,524)	343	05/15/2026	2FE	
126408HD8	CSX CORP		03/01/2019	SUMRIDGE PARTNERS LL	X X X	54,281	55,000	54,870	54,908			2			54,910		(630)	(630)	635	11/01/2025	2FE	
126650CT5	CVS HEALTH CORP		03/01/2019	MARKETAXESS CORP	X X X	58,516	60,000	59,833	59,917			6			59,923		(1,407)	(1,407)	333	06/01/2021	2FE	
126650CX6	CVS HEALTH CORP		02/26/2019	HSBC SECURITIES (USA)	X X X	80,222	80,000	79,107	79,164			12			79,176		1,047	1,047	1,462	03/25/2028	2FE	
14313FAD1	CARMAX AUTO OWNER TRUST		03/12/2019	CITIGROUP GLOBAL MKT	X X X	206,497	205,000	204,972	204,978			2			204,980		1,517	1,517	1,586	06/15/2023	1FE	
14314JAC4	CARMAX AUTO OWNER TRUST 2017-1		03/12/2019	VARIOUS	X X X	369,977	371,844	371,773	371,826			5			371,830		(1,854)	(1,854)	1,719	11/15/2021	1FE	
14913Q2N8	CATERPILLAR FINANCIAL SERVICES CORP		03/05/2019	GOLDMAN SACHS & CO	X X X	80,394	80,000	79,938	79,945			4			79,948		446	446	1,260	09/07/2021	1FE	
151020BA1	CELGENE CORP		03/01/2019	WELLS FARGO SECS LLC	X X X	84,387	85,000	84,794	84,828			7			84,834		(447)	(447)	1,496	02/20/2023	2FE	
15189TAU1	CENTERPOINT ENERGY INC		03/01/2019	TORONTO DOMINION SEC	X X X	105,445	105,000	104,888	104,889			2			104,892		553	553	1,684	02/01/2024	2FE	
166764BP4	CHEVRON CORP		02/06/2019	WELLS FARGO SECS LLC	X X X	203,493	205,000	204,699	204,880			11			204,891		(1,397)	(1,397)	1,757	03/03/2020	1FE	
17275RAP7	CISCO SYSTEMS INC		03/05/2019	GOLDMAN SACHS & CO	X X X	220,794	220,000	220,614	220,446			(36)			220,410		384	384	3,243	03/04/2021	1FE	
17275RBD3	CISCO SYSTEMS INC		03/01/2019	GOLDMAN SACHS & CO	X X X	54,456	55,000	54,894	54,953			4			54,957		(501)	(501)	629	02/28/2021	1FE	
172967K5	CITIGROUP INC		03/01/2019	MITSUBISHI UFJ SECS	X X X	29,907	30,000	31,214	31,214			(27)			31,187		(1,279)	(1,279)	718	01/12/2026	2FE	
172967KNO	CITIGROUP INC		01/30/2019	CITIGROUP GLOBAL MKT	X X X	140,221	145,000	140,855	140,764			42			140,806		(585)	(585)	1,233	05/01/2026	2FE	
172967LV1	CITIGROUP INC		03/01/2019	CITIGROUP GLOBAL MKT	X X X	104,607	105,000	105,000	105,000						105,000		(393)	(393)	2,025	01/24/2023	2FE	
174610AK1	CITIZENS FINANCIAL GROUP INC		03/01/2019	GOLDMAN SACHS & CO	X X X	60,434	60,000	62,391	61,986			(44)			61,942		(1,508)	(1,508)	659	12/03/2025	2FE	
20030NCH2	COMCAST CORP		03/01/2019	MERRILL LYNCH PIERCE	X X X	43,574	44,000	43,845	43,855			2			43,857		(283)	(283)	538	05/01/2028	1FE	
20030NCS8	COMCAST CORP		03/01/2019	MARKETAXESS CORP	X X X	41,231	40,000	39,951	39,952			1			39,954		1,277	1,277	658	10/15/2025	1FE	
205887CB6	CONAGRA BRANDS INC</																					

## SCHEDULE D - PART 4

### Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/ Market Indicator (a)
233331BA4	DTE ENERGY CO		03/01/2019	MARKETAXESS CORP	X X X	95,774	95,000	94,851	94,862		5		5		94,866		908	908	2,041	08/01/2023	2FE
24422ZTS8	JOHN DEERE CAPITAL CORP		03/04/2019	GOLDMAN SACHS & CO	X X X	163,249	165,000	164,899	164,950		6		6		164,956		(1,706)	(1,706)	661	06/22/2020	1FE
24422EUQ0	JOHN DEERE CAPITAL CORP		03/01/2019	GOLDMAN SACHS & CO	X X X	100,787	100,000	99,904			5		5		99,909		878	878	489	01/10/2022	1FE
25389JAR7	DIGITAL REALTY TRUST LP		03/01/2019	MILLENNIUM ADVISORS	X X X	43,462	45,000	44,966			1		1		44,971		(1,509)	(1,509)	925	08/15/2027	2FE
25468PDA1	TWDC ENTERPRISES 18 CORP		03/01/2019	JPM SECURITIES-FIXED	X X X	74,853	75,000	75,929	75,116		(49)		(49)		75,067		(214)	(214)	366	05/30/2019	1FE
25746UBH1	DOMINION ENERGY INC		03/01/2019	JPM SECURITIES-FIXED	X X X	55,542	55,000	60,193	55,911		(250)		(250)		55,661		(119)	(119)	1,589	08/15/2019	2FE
25746UCR8	DOMINION ENERGY INC		03/01/2019	WELLS FARGO SECS LLC	X X X	63,814	65,000	64,906	64,942		3		3		64,945		(1,131)	(1,131)	1,142	01/15/2022	2FE
26441CAN5	DUKE ENERGY CORP		03/01/2019	SUMRIDGE PARTNERS LL	X X X	71,146	70,000	72,933	71,886		(60)		(60)		71,826		(680)	(680)	1,021	04/15/2024	2FE
26441CAR6	DUKE ENERGY CORP		03/05/2019	AMHERST SECURITIES G	X X X	145,335	150,000	145,298	145,898		264		264		146,162		(827)	(827)	1,395	09/01/2021	2FE
26441YAX5	DUKE REALTY LP		01/24/2019	WELLS FARGO SECS LLC	X X X	54,983	55,000	54,458	54,679		5		5		54,684		299	299	570	04/15/2023	2FE
26875PAK7	EOG RESOURCES INC		03/01/2019	DEUTSCHE BANC/ALEX B	X X X	88,349	90,000	87,514	88,248		68		68		88,316		33	33	1,116	03/15/2023	1FE
26884UAC3	EPR PROPERTIES		03/04/2019	WELLS FARGO SECS LLC	X X X	50,361	50,000	49,215	49,346		12		12		49,358		1,003	1,003	534	12/15/2026	2FE
29379VAZ6	ENTERPRISE PRODUCTS OPERATING LLC		03/01/2019	HSBC SECURITIES (USA	X X X	30,113	30,000	29,283	29,578		16		16		29,594		519	519	475	03/15/2023	2FE
29379VBD4	ENTERPRISE PRODUCTS OPERATING LLC		03/01/2019	JPM SECURITIES-FIXED	X X X	44,893	45,000	45,571	45,148		(36)		(36)		45,112		(219)	(219)	446	10/15/2019	2FE
30161MAL7	EXELON GENERATION CO LLC		03/01/2019	SUMRIDGE PARTNERS LL	X X X	102,475	100,000	106,100	104,218		(219)		(219)		104,000		(1,525)	(1,525)	944	06/15/2022	2FE
30161NAU5	EXELON CORP		03/01/2019	GOLDMAN SACHS & CO	X X X	63,421	65,000	61,093	61,154		79		79		61,233		2,187	2,187	859	04/15/2026	2FE
31428XAY2	FEDEX CORP		03/01/2019	GOLDMAN SACHS & CO	X X X	25,749	25,000	25,877	25,558		(18)		(18)		25,540		208	208	639	01/15/2024	2FE
31428XBP0	FEDEX CORP		03/01/2019	CITIGROUP GLOBAL MKT	X X X	62,517	65,000	64,873	64,884		2		2		64,886		(2,369)	(2,369)	1,228	02/15/2028	2FE
31620MAP1	FIDELITY NATIONAL INFORMATION SERVICES I		03/04/2019	MILLENNIUM ADVISORS	X X X	140,882	140,000	141,761	141,262		(127)		(127)		141,135		(253)	(253)	1,988	10/15/2020	2FE
34528QFP4	FORD CREDIT FLOORPLAN MASTER OWNER TRUST		03/06/2019	GOLDMAN SACHS & CO	X X X	172,778	175,000	174,974	174,988		2		2		174,990		(2,211)	(2,211)	872	09/15/2022	1FE
34531LAD2	FORD CREDIT AUTO LEASE TRUST		03/07/2019	RBC CAPITAL MARKETS	X X X	185,687	185,000	184,984	184,987		2		2		184,988		698	698	1,410	12/15/2021	1FE
34532AADS5	FORD CREDIT AUTO OWNER TRUST 2017-C		03/06/2019	OPPENHEIMER AND CO I	X X X	173,496	175,000	174,968	174,985		2		2		174,987		(1,491)	(1,491)	811	03/15/2022	1FE
34532TAE2	FORD CREDIT AUTO OWNER TRUST 2018-B		03/06/2019	JPM SECURITIES-FIXED	X X X	156,829	155,000	154,990	154,991		1		1		154,992		1,837	1,837	1,208	03/15/2024	1FE
36256GAE9	GM FINANCIAL AUTOMOBILE LEASING TRUST 20		03/07/2019	NATL FINANCIAL SERVI	X X X	50,164	50,000	49,994	49,994		1		1		49,995		169	169	371	07/20/2022	1FE
36256XAD4	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		03/12/2019	BARCLAYS CAPITAL FIX	X X X	210,689	210,000	209,977	209,983		2		2		209,978		711	711	1,057	11/16/2023	1FE
369550BE7	GENERAL DYNAMICS CORP		03/05/2019	GOLDMAN SACHS & CO	X X X	195,630	195,000	193,645	193,924		79		79		194,003		1,627	1,627	1,885	05/11/2021	1FE
36962G4J0	GENERAL ELECTRIC CO		03/05/2019	TORONTO DOMINION SEC	X X X	137,600	135,000	152,480	139,941		(864)		(864)		139,076		(1,476)	(1,476)	4,929	01/08/2020	2FE
37045XBV7	GENERAL MOTORS FINANCIAL CO INC		03/04/2019	MARKETAXESS CORP	X X X	99,417	100,000	99,860	99,939		8		8		99,947		(530)	(530)	1,053	04/13/2020	2FE
38013FAD3	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		03/12/2019	BARCLAYS CAPITAL FIX	X X X	146,144	145,000	144,977	144,980		2		2		144,982		1,162	1,162	1,138	10/16/2023	1FE
38013MAE6	GM FINANCIAL AUTOMOBILE LEASING TRUST 20		03/07/2019	CREDIT SUISSE FIRST	X X X	208,663	210,000	209,957	209,984		2		2		209,987		(1,324)	(1,324)	1,030	06/21/2021	1FE
38013RAD7	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		03/12/2019	BARCLAYS CAPITAL FIX	X X X	199,969	200,000	199,974	199,983		2		2		199,985		(16)	(16)	1,374	12/16/2022	1FE
38141EA66	GOLDMAN SACHS GROUP INC/THE		03/01/2019	MARKETAXESS CORP	X X X	113,960	110,000	126,121	115,203		(611)		(611)		114,592		(632)	(632)	1,467	06/15/2020	1FE
38141GG57	GOLDMAN SACHS GROUP INC/THE		02/12/2019	CREDIT SUISSE FIRST	X X X	128,108	120,000	125,868			(170)		(170)		125,698		2,411	2,411	3,833	01/24/2022	1FE
38141GWL4	GOLDMAN SACHS GROUP INC/THE		01/09/2019	GOLDMAN SACHS & CO	X X X	79,478	85,000	81,033	81,118		9		9		81,127		(1,650)	(1,650)	314	06/05/2028	1FE
38141GWM2	GOLDMAN SACHS GROUP INC/THE		03/01/2019	RBC CAPITAL MARKETS	X X X	102,773	105,000	105,000	105,000		2		2		105,000		(2,227)	(2,227)	1,873	07/24/2023	1FE
38141GWP5	GOLDMAN SACHS GROUP INC/THE		03/05/2019	MARKETAXESS CORP	X X X	214,265	215,000	214,974	214,993		2		2		214,995		(730)	(730)	2,609	07/23/2019	1FE
38141GXE9	GOLDMAN SACHS GROUP INC/THE		03/01/2019	US BANKCORP INVESTME	X X X	110,260	110,000	109,866			1		1		109,867		393	393	166	02/20/2024	1FE
38143UBH7	GOLDMAN SACHS GROUP INC/THE		03/01/2019	CITIGROUP GLOBAL MKT	X X X	44,413	45,000	47,583	46,980		(44)		(44)		46,936		(2,523)	(2,523)	891	02/25/2026	1FE
38148LAC0	GOLDMAN SACHS GROUP INC/THE		03/01/2019	GOLDMAN SACHS & CO	X X X	44,335	45,000	42,591	42,622		60		60		42,683		1,652	1,652	971	01/23/2025	1FE
421946AJ3	HEALTHCARE REALTY TRUST INC		03/01/2019	WELLS FARGO SECS LLC	X X X	53,929	55,000	53,089	53,658		32		32		53,690		238	238	734	05/01/2025	2FE
42824CAW9	HEWLETT PACKARD ENTERPRISE CO		03/01/2019	GOLDMAN SACHS & CO	X X X	62,541	60,000	63,101	62,751		(64)		(64)		62,687		(146)	(146)	1,143	10/15/2025	2FE
437076BN1	HOME DEPOT INC/THE		03/01/2019	GOLDMAN SACHS & CO	X X X	50,873	55,000	51,051	51,745		65		65		51,811		(938)	(938)	552	09/15/2026	1FE
46625HHS2	JPMORGAN CHASE & CO		03/01/2019	BANC/AMERICA SECUR.L	X X X	101,921	100,000	106,858	103,650		(401)		(401)		103,249		(1,328)	(1,328)	2,726	07/22/2020	1FE
46625HHU7	JPMORGAN CHASE & CO		03/04/2019	NETSCOUT SYSTEMS INC	X X X	122,278	120,000	125,930	123,771		(364)		(364)		123,407		(1,130)	(1,130)	1,998	10/15/2020	1FE
46625HJD3	JPMORGAN CHASE & CO		03/01/2019	CITIGROUP GLOBAL MKT	X X X	57,193	55,000	59,337	57,192		(119)		(119)		57,073		120	120	1,519	01/24/2022	1FE
46645UAQ0	JP MORGAN CHASE COMMERCIAL MORTGAGE SECU		03/08/2019	VARIOUS	X X X	39,020	39,225	39,225	39,222						39,223		(203)	(203)	192	12/01/2049	1FM
46647PAV8	JPMORGAN CHASE & CO		03/01/2019	FTN FINANCIAL SECURI	X X X	179,377	175,000	174,165	174,172		12		12		174,184		5,193	5,193	4,536	07/23/2029	1FE
47788CAC6	JOHN DEERE OWNER TRUST 2018		03/07/2019	BMOCM/BONDS	X X X	154,643	155,000	154,989	154,993		1		1		154,994		(351)	(351)	985	04/18/2022	1FE
494368Y8	KIMBERLY-CLARK CORP		03/01/2019	MARKETAXESS CORP	X X X	67,798	65,000	64,899	64,900		1		1		64,902		2,897	2,897	899	11/01/2028	1FE
494550BB1	KINDER MORGAN ENERGY PARTNERS LP		02/20/2019	WELLS FARGO SECS LLC	X X X	201,835	195,000	209,069	203,083		(1,002)		(1,002)		202,082		(247)	(247)	6,938	02/15/2020	2FE
49456BAF8	KINDER MORGAN INC/DE		03/01/2019	CITIGROUP GLOBAL MKT	X X X	46,116	45,000	45,727	45,568		(14)		(14)		45,554		562	562	505	06/01/2025	2FE



## SCHEDULE D - PART 4

### Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Forfeiture Date	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/Market Indicator (a)
949746RS2	WELLS FARGO & CO		03/04/2019	MITSUBISHI UFJ SECS	X X X	74,294	75,000	75,487	75,221		(18)		(18)		75,203		(910)	(910)	948	03/04/2021	1FE
949746SK8	WELLS FARGO & CO		03/01/2019	GOLDMAN SACHS & CO	X X X	44,702	45,000	45,000	45,000						45,000		(298)	(298)	848	01/24/2023	1FE
949746FU9	WELLS FARGO & CO		03/01/2019	JPM SECURITIES-FIXED	X X X	89,928	90,000	91,477	90,176		(100)		(100)		90,076		(148)	(148)	707	04/22/2019	1FE
95000GAW4	WELLS FARGO COMMERCIAL MORTGAGE TRUST 20		03/08/2019	VARIOUS	X X X	53,324	54,420	54,420	54,415						54,415		(1,091)	(1,091)	196	08/01/2049	1FM
95040QAD6	WELLTOWER INC		03/01/2019	GOLDMAN SACHS & CO	X X X	55,547	55,000	54,977	54,979						54,979		567	567	909	04/15/2028	2FE
96949LAD7	WILLIAMS COS INC/THE		03/01/2019	TORONTO DOMINION SEC	X X X	38,791	40,000	39,848	39,868		2		2		39,870		(1,079)	(1,079)	333	06/15/2027	2FE
970648AF8	WILLIS NORTH AMERICA INC		03/01/2019	GOLDMAN SACHS & CO	X X X	44,596	45,000	44,961	44,969		1		1		44,970		(374)	(374)	495	05/15/2027	2FE
98161FAD7	WORLD OMNI AUTOMOBILE LEASE SECURITIZATI		02/15/2019	PAYDOWN	X X X	22,842	22,842	22,839	22,841						22,842				38	08/15/2019	1FE
98161PAD5	WORLD OMNI AUTO RECEIVABLES TRUST 2016-B		03/06/2019	VARIOUS	X X X	59,289	59,781	59,765	59,778		1		1		59,778		(489)	(489)	169	02/15/2022	1FE
06367T4W7	BANK OF MONTREAL	A	03/05/2019	GOLDMAN SACHS & CO	X X X	65,226	65,000	64,924	64,942		4		4		64,946		280	280	806	04/13/2021	1FE
775109AW1	ROGERS COMMUNICATIONS INC	A	03/01/2019	WELLS FARGO SECS LLC	X X X	109,164	110,000	108,827	109,302		27		27		109,329		(165)	(165)	1,558	03/15/2023	2FE
78013GKN4	ROYAL BANK OF CANADA	A	03/05/2019	MILLENNIUM ADVISORS	X X X	118,559	120,000	119,930	119,957		4		4		119,961		(1,403)	(1,403)	939	10/26/2020	1FE
89114QBZ0	TORONTO-DOMINION BANK/THE	A	03/04/2019	MORGAN STANLEY & CO	X X X	206,269	205,000	204,908	204,924		5		5		204,929		1,340	1,340	1,573	06/11/2021	1FE
89352HAK5	TRANSCANADA PIPELINES LTD	A	01/30/2019	JPM SECURITIES-FIXED	X X X	106,192	105,000	104,985	104,991						104,991		1,201	1,201	1,148	10/16/2023	2FE
046353AR9	ASTRAZENECA PLC	D	03/01/2019	GOLDMAN SACHS & CO	X X X	105,546	105,000	104,657	104,680		11		11		104,691		855	855	2,021	01/10/2023	2FE
06738EAS4	HSBC HOLDINGS PLC	D	03/01/2019	DAIWA CAP MKTS AMERI	X X X	198,400	200,000	203,174	202,101		(115)		(115)		201,985		(3,585)	(3,585)	4,810	01/10/2023	2FE
404280AN9	INGERSOLL-RAND GLOBAL HOLDING CO LTD	D	03/01/2019	CITIGROUP GLOBAL MKT	X X X	112,742	110,000	115,097	112,845		(145)		(145)		112,700		42	42	1,894	03/30/2022	1FE
45687AAJ1	INGERSOLL-RAND GLOBAL HOLDING CO LTD	C	03/01/2019	WELLS FARGO SECS LLC	X X X	83,142	80,000	86,749	85,343		(197)		(197)		85,146		(2,005)	(2,005)	756	06/15/2023	2FE
53944YAC7	LLOYDS BANKING GROUP PLC	D	03/01/2019	MIZUHO SECURITIES US	X X X	202,099	205,000	204,301	204,564		24		24		204,588		(2,489)	(2,489)	3,998	01/11/2022	1FE
767201AS5	RIO TINTO FINANCE USA LTD	D	03/01/2019	WELLS FARGO SECS LLC	X X X	61,769	60,000	62,233	61,790		(45)		(45)		61,745		24	24	500	06/15/2025	1FE
822582BD3	SHELL INTERNATIONAL FINANCE BV	D	03/01/2019	GOLDMAN SACHS & CO	X X X	85,331	85,000	83,115	83,242		43		43		83,285		2,045	2,045	875	05/11/2025	1FE
822582BX9	SHELL INTERNATIONAL FINANCE BV	D	03/01/2019	GOLDMAN SACHS & CO	X X X	28,382	30,000	29,696	29,759		5		5		29,764		(1,381)	(1,381)	360	09/12/2026	1FE
82481LAB5	SHIRE ACQUISITIONS INVESTMENTS IRELAND D	D	01/17/2019	GOLDMAN SACHS & CO	X X X	72,562	75,000	74,919	74,955		1		1		74,956		(2,394)	(2,394)	595	09/23/2021	2FE
86562MBB5	SUMITOMO MITSUI FINANCIAL GROUP INC	D	03/01/2019	SMBC NIKKO SECS AMER	X X X	111,966	110,000	110,000	110,000						110,000		1,966	1,966	2,588	07/19/2023	1FE
89153VAL3	TOTAL CAPITAL INTERNATIONAL SA	D	03/01/2019	GOLDMAN SACHS & CO	X X X	87,529	85,000	88,898	87,839		(86)		(86)		87,753		(224)	(224)	1,284	04/10/2024	1FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	18,087,941	18,103,849	18,241,830	17,316,929		(6,736)		(6,736)		18,140,716		(52,783)	(52,783)	227,053		X X X
8399997	Subtotal - Bonds - Part 4				X X X	43,863,810	44,050,849	44,137,700	41,472,491		(3,587)		(3,587)		44,049,022		(185,223)	(185,223)	456,202		X X X
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X		X X X
8399999	Subtotal - Bonds				X X X	43,863,810	44,050,849	44,137,700	41,472,491		(3,587)		(3,587)		44,049,022		(185,223)	(185,223)	456,202		X X X
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X		X X X
8999999	Subtotal - Preferred Stocks				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X		X X X
9799999	Subtotal - Common Stocks				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X		X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X		X X X
9999999	Total - Bonds, Preferred and Common Stocks				X X X	43,863,810	X X X	44,137,700	41,472,491		(3,587)		(3,587)		44,049,022		(185,223)	(185,223)	456,202		X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0.

QE05.5

**E06 Schedule DB Part A Section 1 ..... NONE**

**E07 Schedule DB Part B Section 1 ..... NONE**

**E08 Schedule DB Part D Section 1 ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity ..... NONE**

**E10 Schedule DL - Part 1 - Securities Lending Collateral Assets ..... NONE**

**E11 Schedule DL - Part 2 - Securities Lending Collateral Assets ..... NONE**

**SCHEDULE E - PART 1 - CASH****Month End Depository Balances**

1 Depository		2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
						6 First Month	7 Second Month	8 Third Month	
<b>open depositories</b>									
BANK OF NEW YOR .....	200 Park Avenue, New York, NY 10017 .....					22,577	2,286,846	(3,145)	X X X
Arvest Bank .....	P.O. Box 1583, Little Rock, AR 72203 .....					9,199,589	7,332,645	9,343,793	X X X
Bank of America .....	100 North Tryon Street, Charlotte, NC 28255 .....		0.009	185,635		31,612,372	29,958,638	69,950,663	X X X
PNC Bank .....	249 5th Ave, One PNC Plaza, Pittsburg, PA 15222 .....					604,135	463,841	1,109,731	X X X
0199998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories .....		X X X	X X X						X X X
0199999 Totals - Open Depositories .....		X X X	X X X	185,635		41,438,673	40,041,970	80,401,042	X X X
0299998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories .....		X X X	X X X						X X X
0299999 Totals - Suspended Depositories .....		X X X	X X X						X X X
0399999 Total Cash On Deposit .....		X X X	X X X	185,635		41,438,673	40,041,970	80,401,042	X X X
0499999 Cash in Company's Office .....		X X X	X X X	X X X	X X X				X X X
0599999 Total Cash .....		X X X	X X X	185,635		41,438,673	40,041,970	80,401,042	X X X

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
Cusip	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>All Other Money Market Mutual Funds</b>								
825252885	STIT - GOVERNMENT & AGENCY PORTFOLIO		03/01/2019	0.000	X X X	19,338	38	112
8699999	Subtotal - All Other Money Market Mutual Funds					19,338	38	112
8899999	Total - Cash Equivalents					19,338	38	112

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